



Experimental Lepton Flavour Physics



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Who am I?

Ann-Kathrin Perrevoort

- › 2014-2018
PhD Heidelberg
- › 2018-2021
Postdoc Nikhef&Nijmegen
- › 2022-2023
Fellow KIT
- › Since 2024
Ass. Prof. Univ. Groningen
- › Research interests
charged Lepton Flavour Violation
data acquisition



Mu3e

ATLAS

Mu3e

LHCb



Experimental Lepton Flavour Physics

- › Selection of **experiments** that investigate **lepton flavour**
 - Focus on Lepton Flavour Violation (**LFV**)
 - Leaving out a lot of other interesting searches: Lepton Flavour Universality, $(g-2)$ of muon, electron EDM, neutrino mass, ...
- › Wed: Leptons @ Collider Experiments
- › Thu: Muon Experiments
- › Fri: Neutrinos



Experimental Lepton Flavour Physics



Introduction and Leptons @ Collider Experiments

Lecture 1

Today

- › **Indirect searches for BSM with Leptons**
 - Lepton Flavour Violation
- › **Leptons @ Colliders**
 - Detection of e , μ , τ
 - Example:
Search for $Z \rightarrow e\tau$ and $Z \rightarrow \mu\tau$ with the ATLAS Experiment



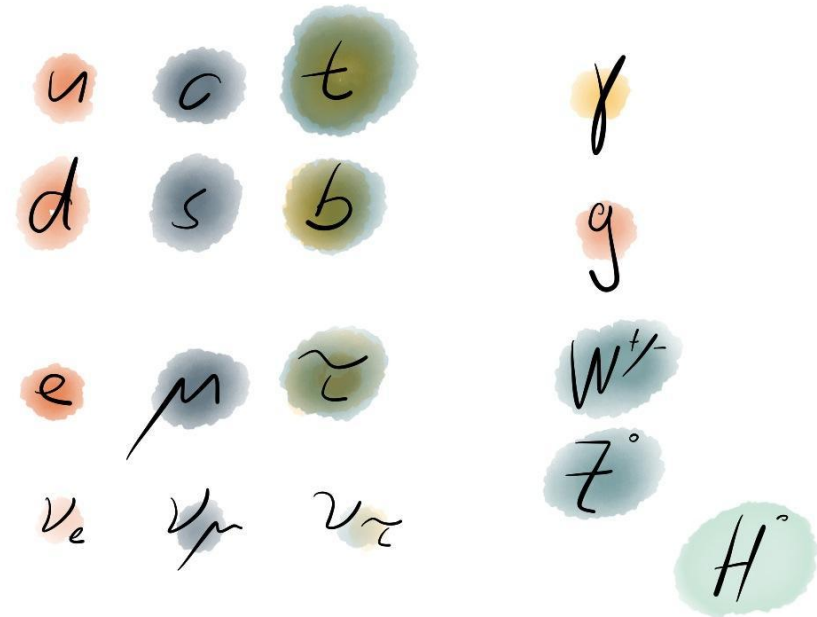


Indirect Searches for BSM with Leptons



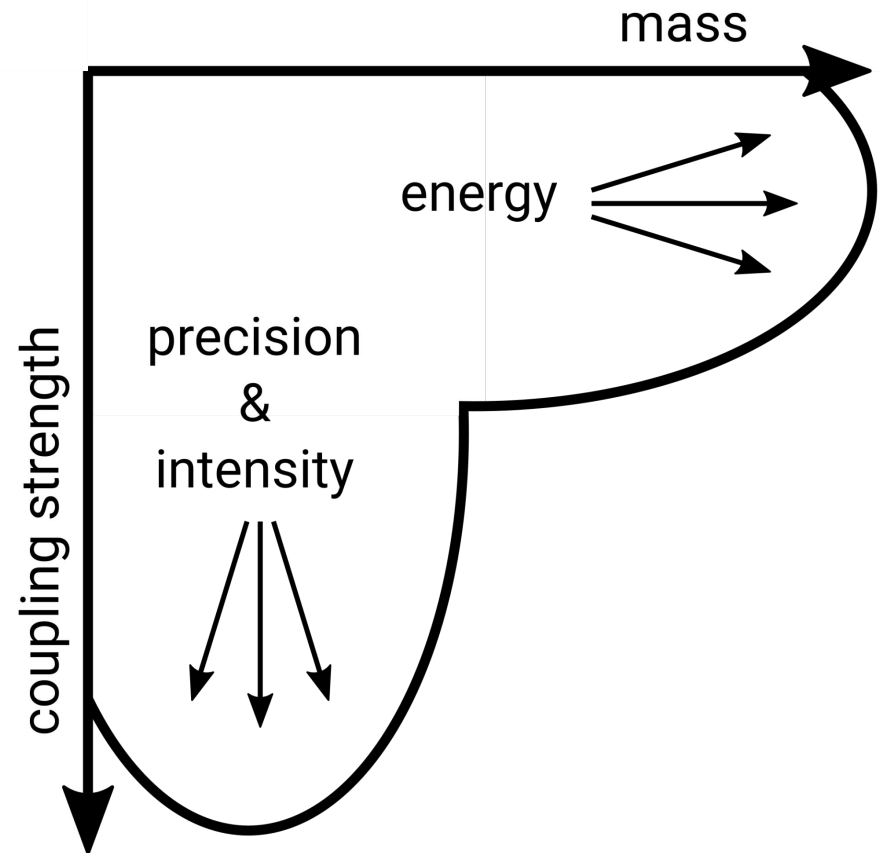
Indirect Searches for BSM Physics

- › **Standard Model (SM)** is **not** an **ultimate theory** of particle physics
- › Search for signs of beyond SM (**BSM**) physics



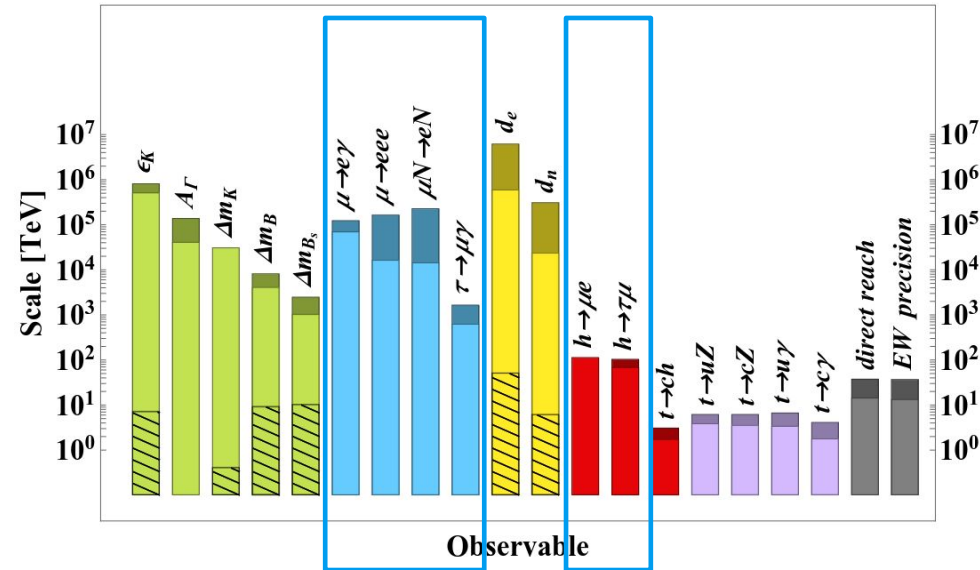
Indirect Searches for BSM Physics

- › **Standard Model (SM)** is **not** an **ultimate theory** of particle physics
- › Search for signs of beyond SM (**BSM**) physics
- › **Energy** frontier
 - **Direct production** of heavy BSM particles
- › **Precision / Intensity** frontier
 - **Indirect** search for tiny **deviations** from SM predictions
 - Sensitive to **small couplings** and/or **heavy particles in loops**
 - Use processes that are rare or forbidden in the SM



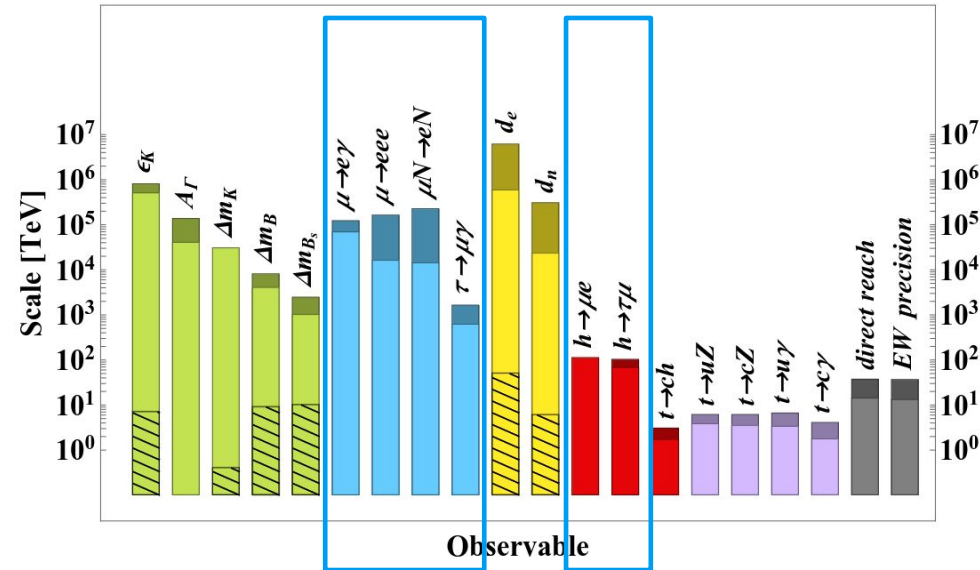
Indirect Searches for BSM Physics

- › **Standard Model (SM)** is **not** an **ultimate theory** of particle physics
- › Search for signs of beyond SM (**BSM**) physics
- › **Energy** frontier
 - **Direct production** of heavy BSM particles
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 - **Indirect** search for tiny **deviations** from SM predictions
 - Sensitive to **small couplings** and/or **heavy particles in loops**
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Lepton Flavour Violation

- › **Lepton flavour** is conserved due to an **accidental symmetry** in the SM
- › Lepton Flavour Violation (**LFV**) is a **forbidden** process



Lepton Flavour Violation

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- › Lepton Flavour Violation (**LFV**) is a **forbidden** process
- › And in **BSM**?
 - LFV frequently predicted
 - No LFV would hint at additional symmetry



H. Murayama, Supersymmetry IV, 2005

Lepton Flavour Violation

- › **Lepton flavour** is conserved due to an **accidental symmetry** in the SM
- › Lepton Flavour Violation (**LFV**) is a **forbidden** process
- › And in **BSM**?
 - LFV frequently predicted
 - No LFV would hint at additional symmetry
- › And in **nature**?
 - **Neutrino oscillations** are LFV!
 - But what about **charged leptons**?



Illustration: © Johan Jarnestad/The Royal Swedish Academy of Sciences

Charged Lepton Flavour Violation

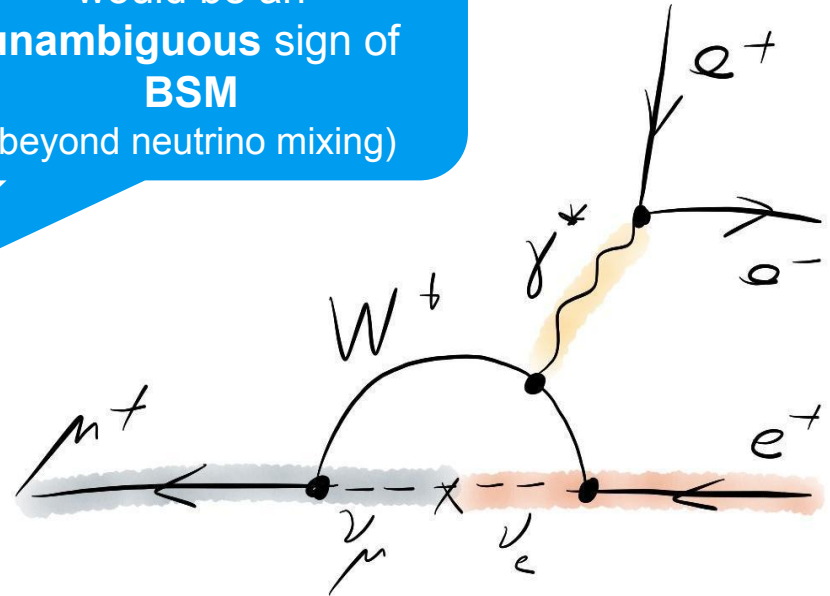
› LFV with charged leptons (**cLFV**)

- forbidden in the SM
- predicted in BSM models
- not (yet?) observed

Any **observation** of **cLFV**
would be an
unambiguous sign of
BSM
(beyond neutrino mixing)

› If **neutrino mixing** is added to SM, **cLFV** still heavily **suppressed**:

$$\mathcal{B}_{\mu \rightarrow eee} \propto \left(\frac{\Delta m_{\nu}^2}{m_W^2} \right)^2 \rightarrow \mathcal{B}_{\mu \rightarrow eee} < 10^{-54}$$





Detecting Leptons @ Collider Experiments

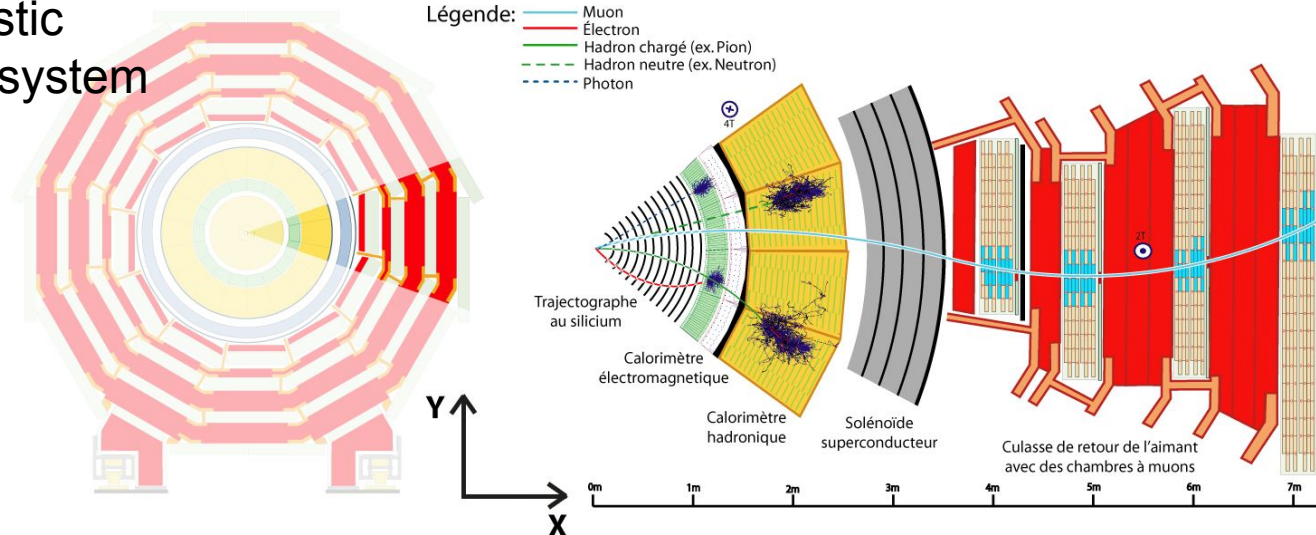


Detecting Leptons

- › Detect
 - Charged leptons
 - Photons
 - Hadrons
 - No neutrinos

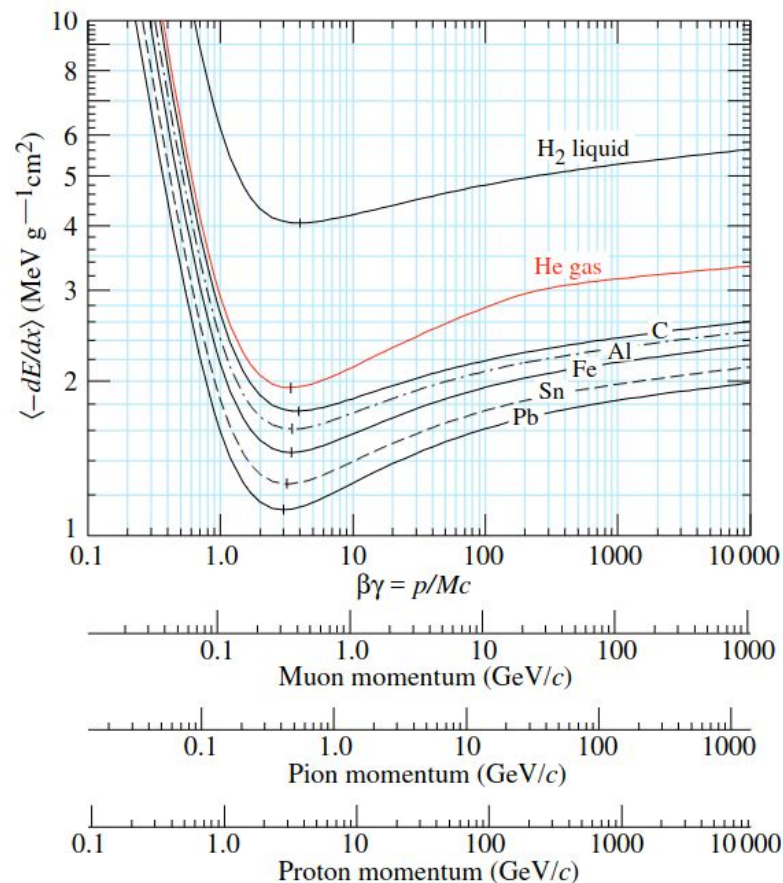
- › Identify by characteristic signature in detector system

Example: CMS Experiment



Detecting Leptons: Muons

- › **Muons** are rather **light**
 $m = 105.66 \text{ MeV}$
 with rather **long lifetime**
 $\tau = 2.197 \times 10^{-6} \text{ s}$
 - Decays via $\mu^\pm \rightarrow e^\pm \nu \bar{\nu}$,
 but most muons don't decay within
 detector
- › Minimum-ionizing particle (**MIP**)
 - Passes through all detectors
 - Characteristic signal in
muon system



Detecting Leptons: Muons

- › Reconstructed from
 - Combined **tracking** and **muon system** signals
 - Also possible:
 - Tracking + calorimeter
 - Muon system alone
- › Identification of prompt muons (from PV)
 - Distinguish from $K/\pi \rightarrow \mu$
 - Track fit quality
 - Mismatch of momenta measured in tracking and muon system
- › Isolation
 - Reject muons produced in jets
 - Selections based on activity around reconstructed muon candidate

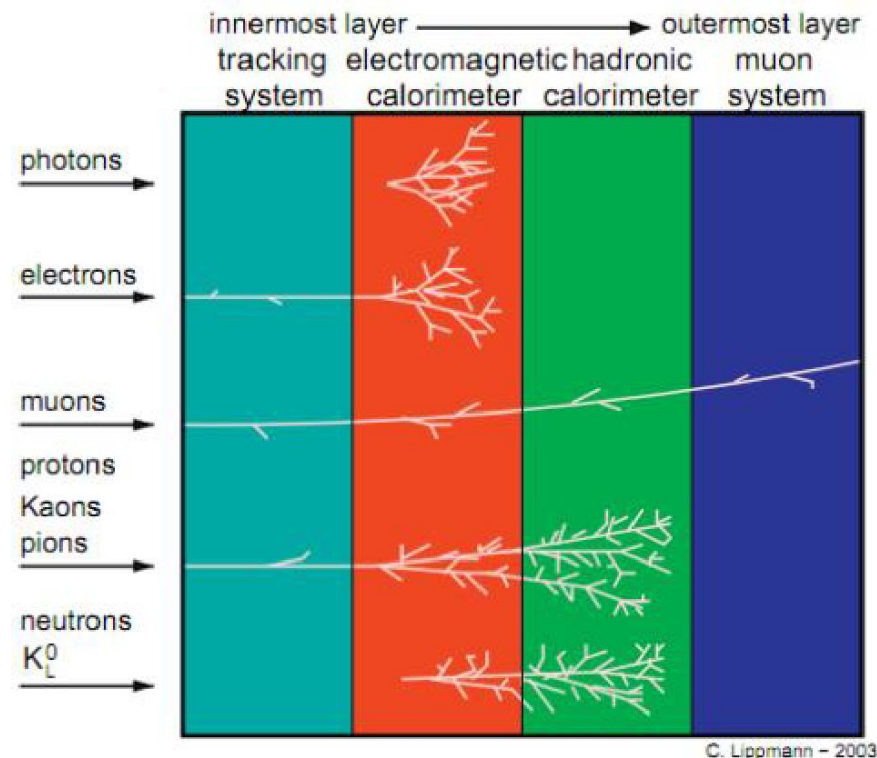


Fig. 1. Components of a "traditional" particle physics experiment. Each particle type has its own signature in the detector. For example, if a particle is detected only in the electromagnetic calorimeter, it is fairly certain that it is a photon.

Detecting Leptons: Electrons

- › **Electrons are very light** ($m = 0.511 \text{ MeV}$) and **stable**
- › Reconstructed from
 - Signal in electromagnetic calorimeter (**ECAL**) same for electrons and photons
 - Need matching **track**
 - Recover bremsstrahlung losses

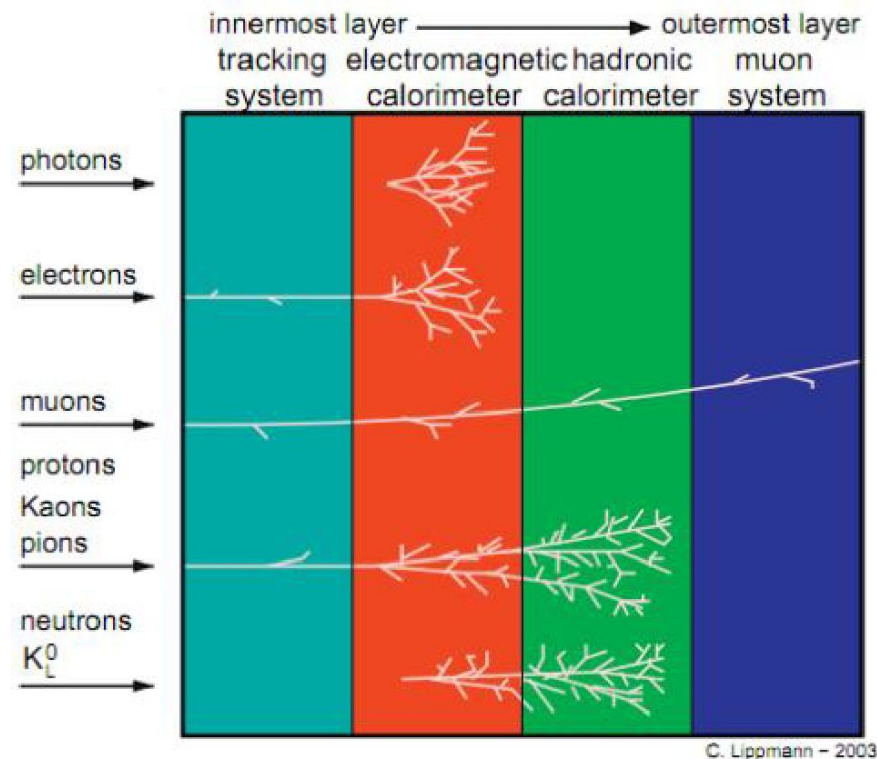
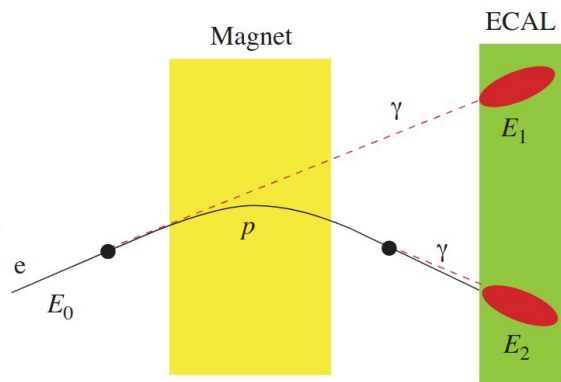


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Detecting Leptons: Electrons

- › **Electrons are very light** ($m = 0.511 \text{ MeV}$)
and **stable**
- › Reconstructed from
 - Signal in **ECAL** and matching **track**
 - Recover bremsstrahlung losses
- › Identification
 - Distinguish from jets
 - Shower and track parameters
 - Leakage into hadronic calorimeter (HCAL)
- › Isolation
 - Reject electrons produced in jets
 - Selections based on activity around reconstructed electron candidate

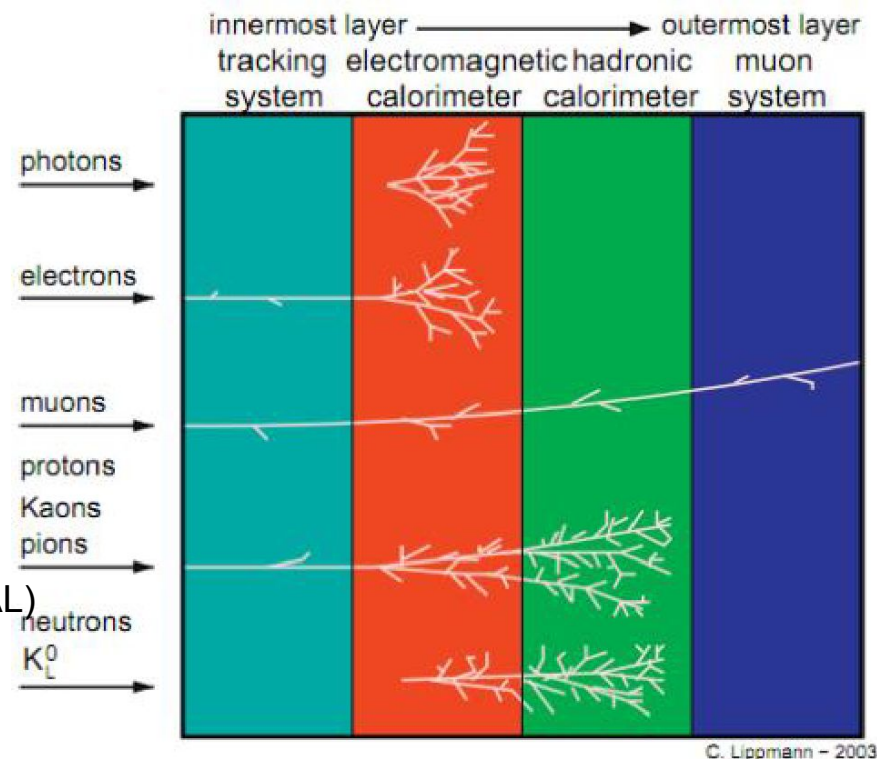
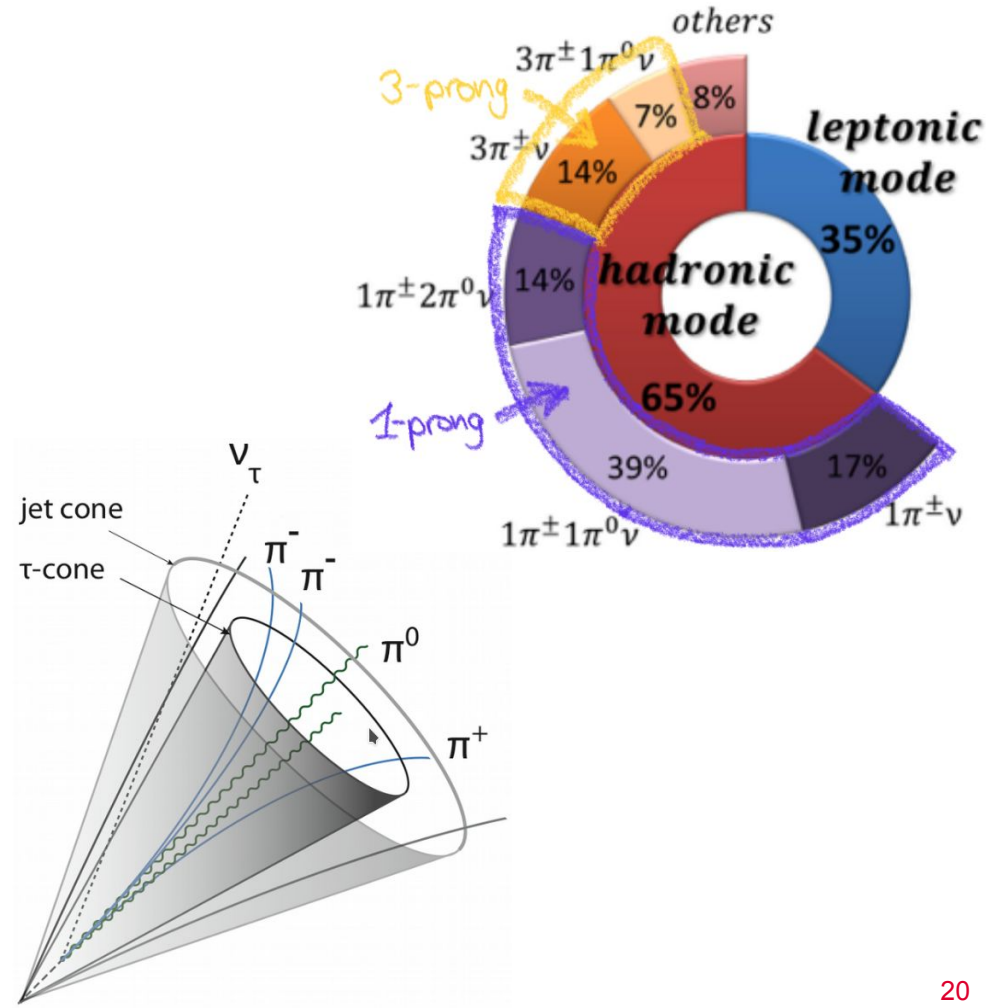


Fig. 1. Components of a "traditional" particle physics experiment. Each particle type has its own signature in the detector. For example, if a particle is detected only in the electromagnetic calorimeter, it is fairly certain that it is a photon.

Detecting Leptons: Taus

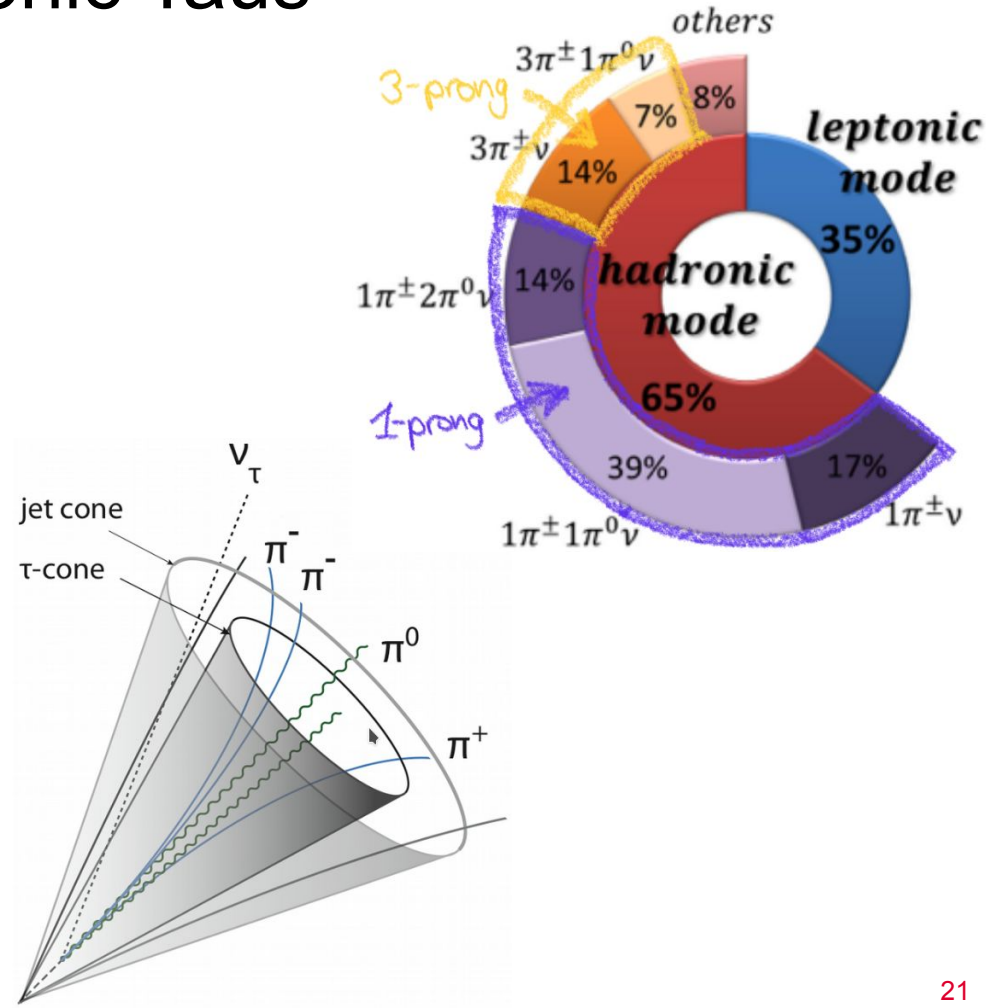
- › Taus are heavy $m = 1777 \text{ MeV}$
and **short-lived** $\tau = 2.9 \times 10^{-13} \text{ s}$
- › Multiple **decay modes**
 - **Leptonic** (BR $\sim 35\%$)
 - $\tau^\pm \rightarrow \ell^\pm \nu \bar{\nu}$, $\ell = e, \mu$
 - Reconstruct as e, μ
 - **Hadronic** (BR $\sim 65\%$)
 - $\tau^\pm \rightarrow \pi^\pm \nu$ or $3\pi^\pm \nu + \pi^0$ s
- › **Neutrinos** from tau decay not directly detected
 - Reconstruct **visible products**
 - Neutrinos partially reconstructed via missing transverse momentum

$$E_T^{\text{miss}} = \sum_{\text{visible}} \vec{p}_T$$



Detecting Leptons: Hadronic Taus

- › Reconstruct **visible hadronic** tau decay products
 - Decay $\tau^\pm \rightarrow \pi^\pm \nu$ or $3\pi^\pm \nu + \pi^0$ s has 1 or 3 associated **tracks** within a cone (**1-prong** / **3-prong**) + **calorimeter** activity
 - Improve by
 - Exploiting substructure: π^\pm have tracks, π^0 don't
 - Jet rejection via tracking and calorimeter information, ex. displaced vertex
 - Electron rejection via activity in ECAL vs. HCAL

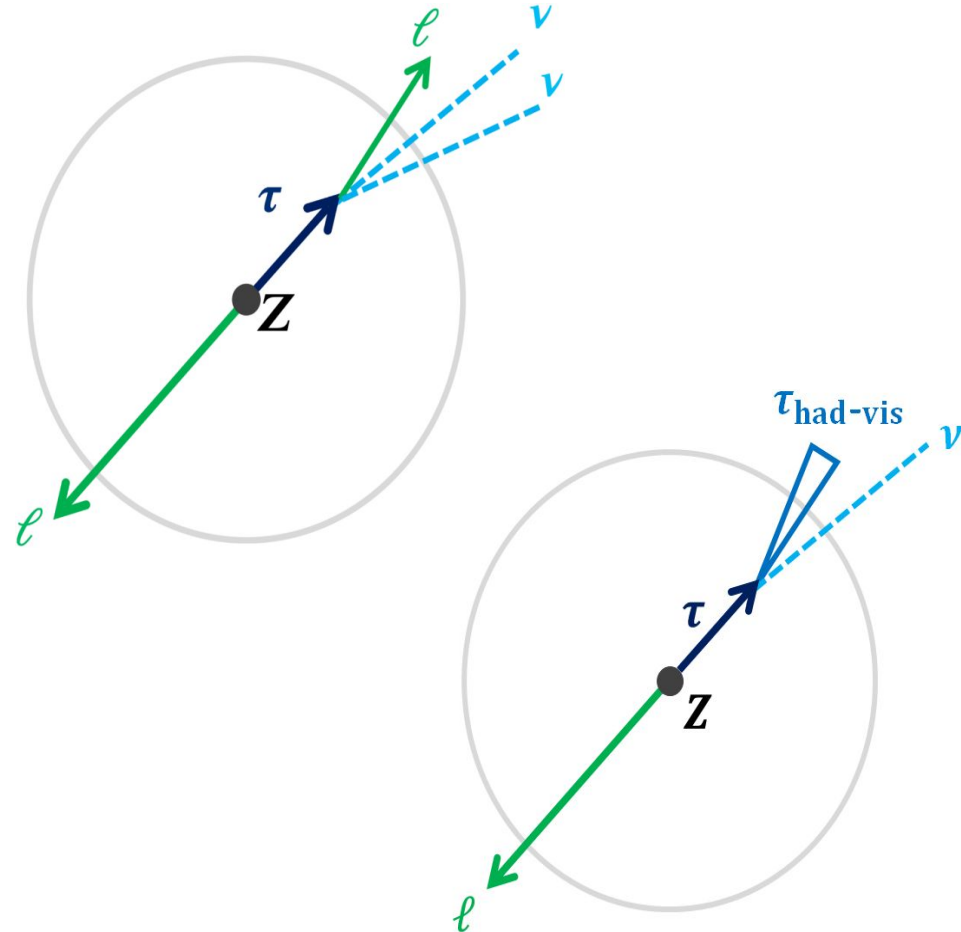


Example: $Z \rightarrow e\tau$ and $Z \rightarrow \mu\tau$ with ATLAS



$Z \rightarrow \ell \tau$ with ATLAS

- › ATLAS search for $Z \rightarrow \ell^\pm \tau^\mp$ ($\ell = e$ or μ) with full Run 2 dataset (8 billion Z)
- › **Signal $Z \rightarrow \ell \tau$**
 - **Opposite sign $\ell^\pm \tau^\mp$ pair**
 - **Back-to-back** in Z rest frame
 - τ_{lep} and $\tau_{\text{had-vis}}$ channel
 - **\mathbf{v} collinear** with $\tau_{\text{had-vis}}$ / ℓ from τ decay



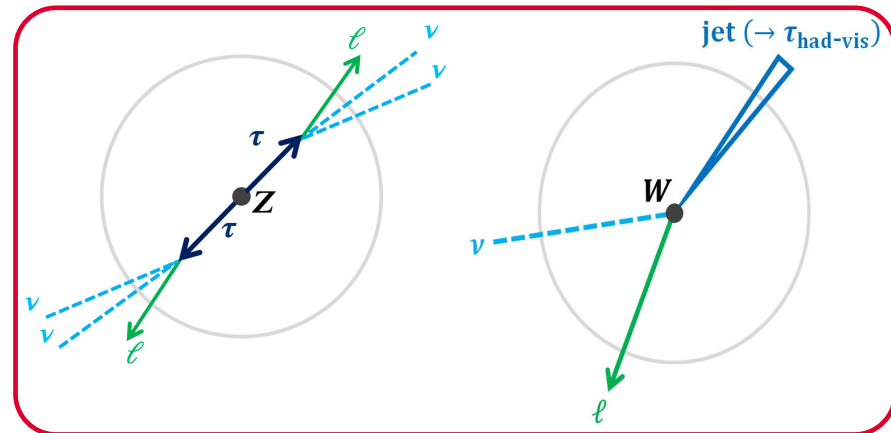
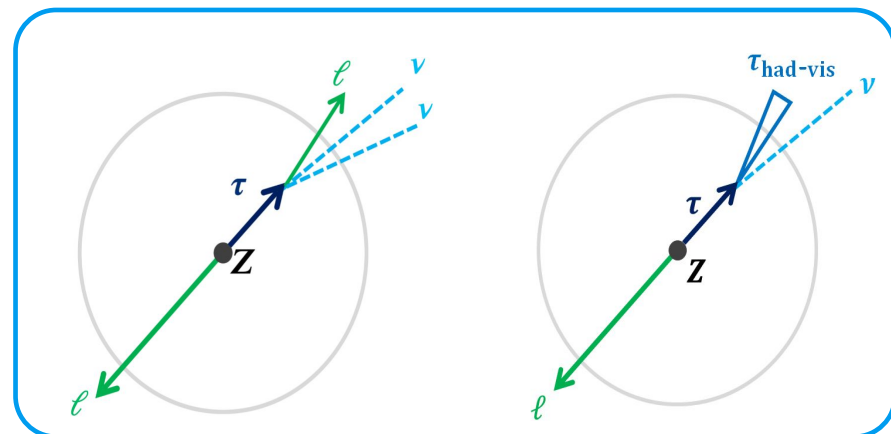
$Z \rightarrow \ell \tau$ with ATLAS

› ATLAS search for $Z \rightarrow \ell^\pm \tau^\mp$ ($\ell = e$ or μ) with full Run 2 dataset (8 billion Z)

› Signal $Z \rightarrow \ell \tau$

› **Background**

- Same final state
 - $Z \rightarrow \tau \tau$, $Z \rightarrow \ell \ell$
 - Decays of $t\bar{t}$, two gauge bosons, ...
 - Estimate from simulation
- Misidentification
 - $\mu \rightarrow e$ in $Z \rightarrow \ell \ell$
 - $\text{jet} \rightarrow \ell / \tau_{\text{had-vis}}$, ex. $W(\rightarrow \ell \nu) + \text{jet}$
 - Data-driven estimate

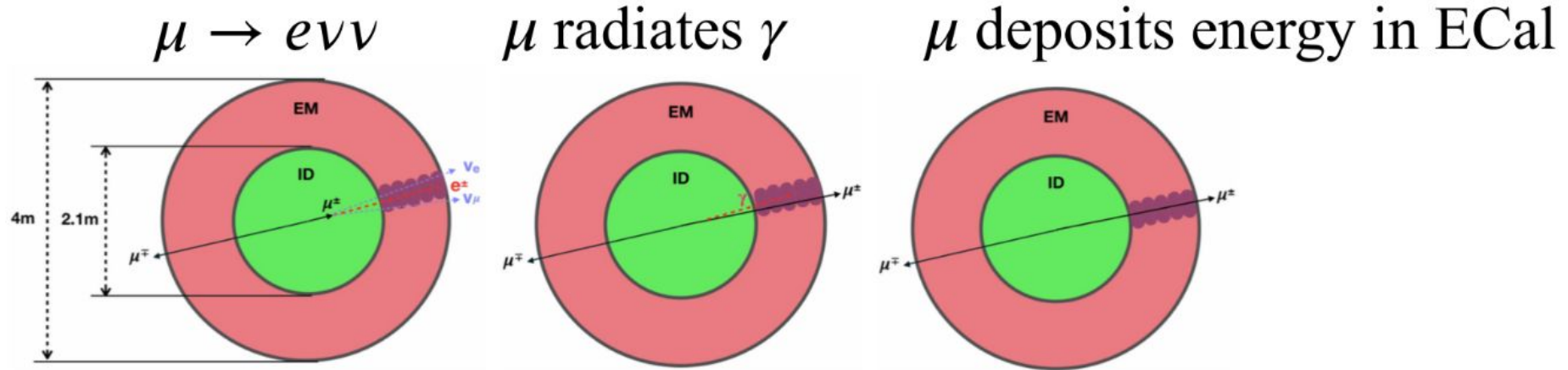




Misidentification



$Z \rightarrow \ell \tau$ with ATLAS



- › Misidentification: $\mu \rightarrow e$ (leptonic τ decays)
 - A $Z \rightarrow \mu \mu$ event can look like signal if a μ gets mistaken for an electron
 - Reasons: muon decay to electron, enhanced activity in ECal
 - Expect mismatch of p_τ measured in tracking and in ECal

$Z \rightarrow \ell \tau$ with ATLAS

- › Mis-identification background difficult to simulate
- › Fakes from $\text{jet} \rightarrow \ell / \tau_{\text{had-vis}}$
mis-identification estimated with data-driven **Fake Factor** method
 - Count events **passing/failing quality criteria** in fake enriched (FR) region
 - Ratio is fake factor F
 - Count events failing quality criteria in signal region (SR)
 - Multiply by fake factor
⇒ Estimate of fakes in SR

	FR	SR
pass ID	$N_{\text{FR}}^{\text{pass}}$	$N_{\text{SR}}^{\text{fakes}}$
fail ID	$N_{\text{FR}}^{\text{fail}}$	$N_{\text{SR}}^{\text{fail}}$

$$\frac{N_{\text{FR}}^{\text{pass}}}{N_{\text{FR}}^{\text{fail}}} = F$$

$$N_{\text{SR}}^{\text{fakes}} = N_{\text{SR}}^{\text{fail}} \times F$$

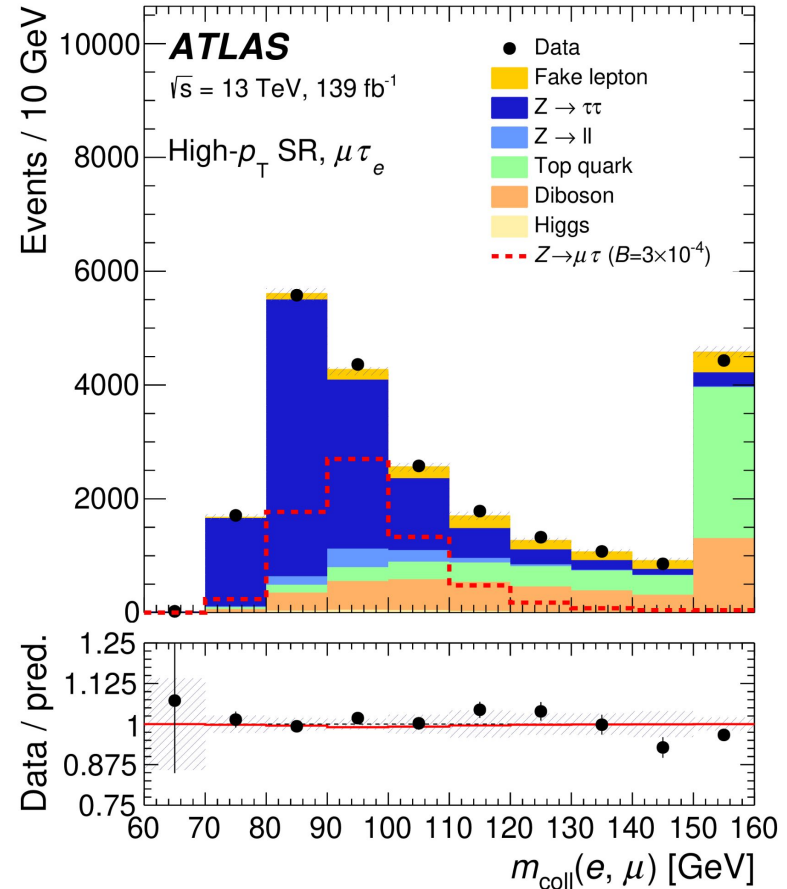


Observables

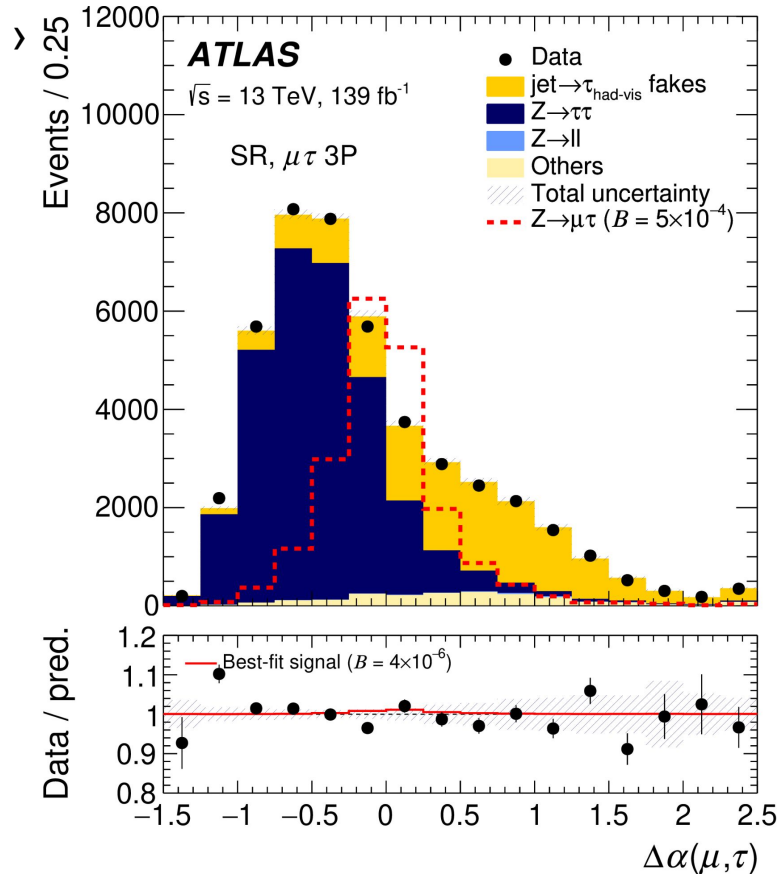


$Z \rightarrow \ell \tau$ with ATLAS

- › Closest **proxy** to invariant mass of $\ell\text{-}\tau_{\text{vis}}\text{-}v$ system is **collinear mass**
 - Invariant mass of $\ell\text{-}\tau_{\text{vis}}\text{-}E_{\text{T}}^{\text{miss}}$ system
 - Assume $E_{\text{T}}^{\text{miss}}$ has z-component such that $\eta(E_{\text{T}}^{\text{miss}}) = \eta(\tau_{\text{vis}})$



$Z \rightarrow \ell \tau$ with ATLAS

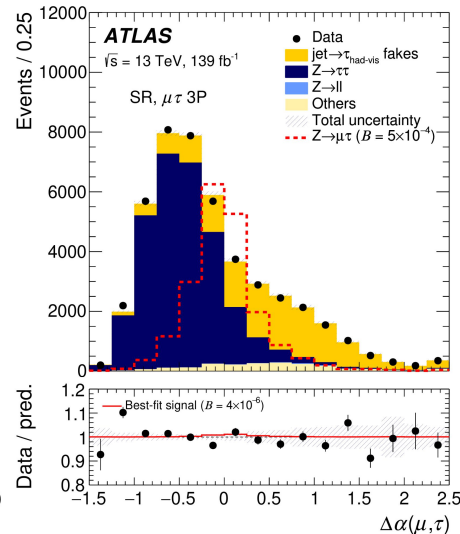
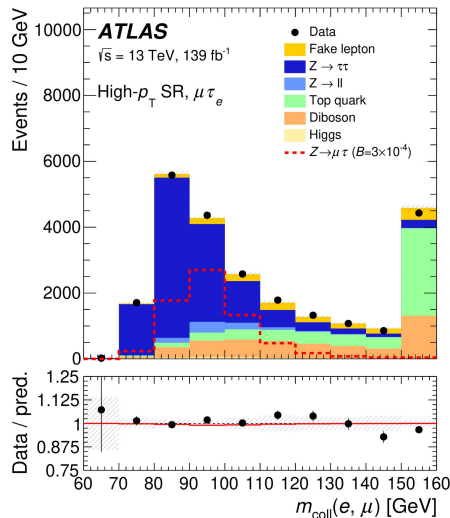


› Kinematic discriminant $\Delta\alpha$

- τ_{vis} **collinear** with τ :
 $p(\tau) = \alpha p(\tau_{\text{vis}})$
- Invariant mass $Z \rightarrow \ell\tau$
 $m_Z^2 - m_\tau^2 = 2p(\ell)p(\tau) = 2\alpha p(\ell)p(\tau_{\text{vis}})$
- ℓ and τ back-to-back in $Z \rightarrow \ell\tau$:
 $p_T(\ell) = p_T(\tau) = \alpha p_T(\tau_{\text{vis}})$
- $\Delta\alpha$ as difference
 - Close to zero for signal
 - But not for background

$Z \rightarrow \ell \tau$ with ATLAS

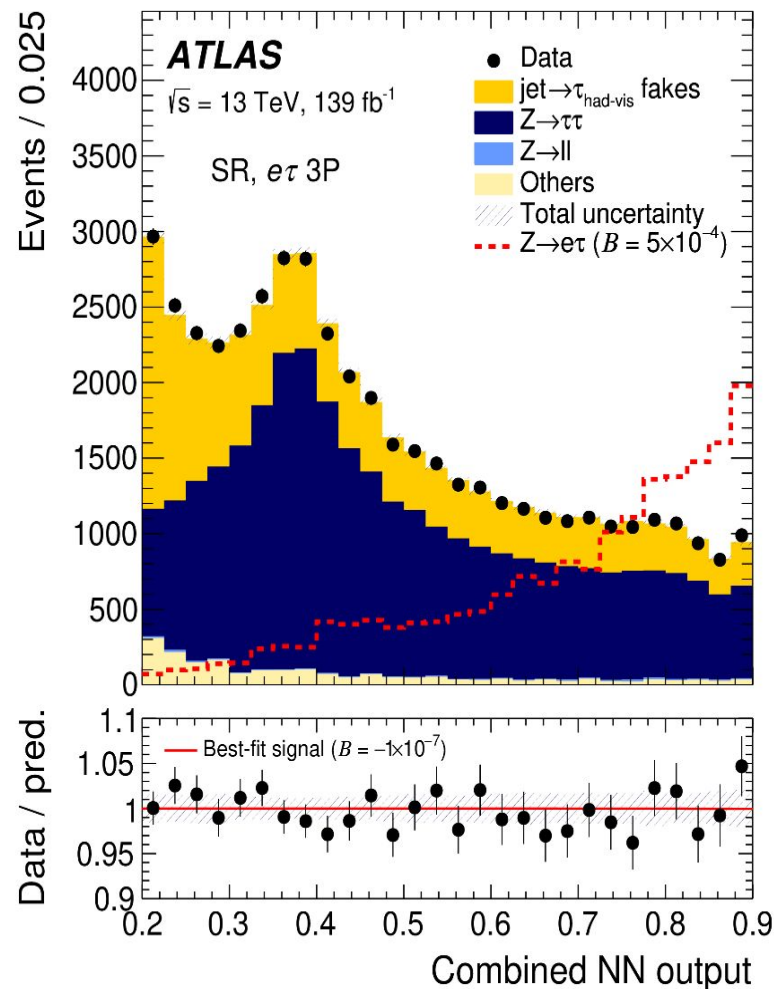
- Closest **proxy to invariant mass** of ℓ - τ_{vis} -v system is **collinear mass**
 - Invariant mass of ℓ - τ_{vis} - $E_{\text{T}}^{\text{miss}}$ system
 - Assume $E_{\text{T}}^{\text{miss}}$ has z-component such that $\eta(E_{\text{T}}^{\text{miss}}) = \eta(\tau_{\text{vis}})$



- Kinematic discriminant $\Delta\alpha$
 - τ_{vis} **collinear** with τ :
 $p(\tau) = \alpha p(\tau_{\text{vis}})$
 - Invariant mass $Z \rightarrow \ell \tau$
 $m_Z^2 - m_{\tau}^2 = 2p(\ell)p(\tau) = 2\alpha p(\ell)p(\tau_{\text{vis}})$
 - ℓ and τ back-to-back in $Z \rightarrow \ell \tau$:
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$Z \rightarrow \ell \tau$ with ATLAS

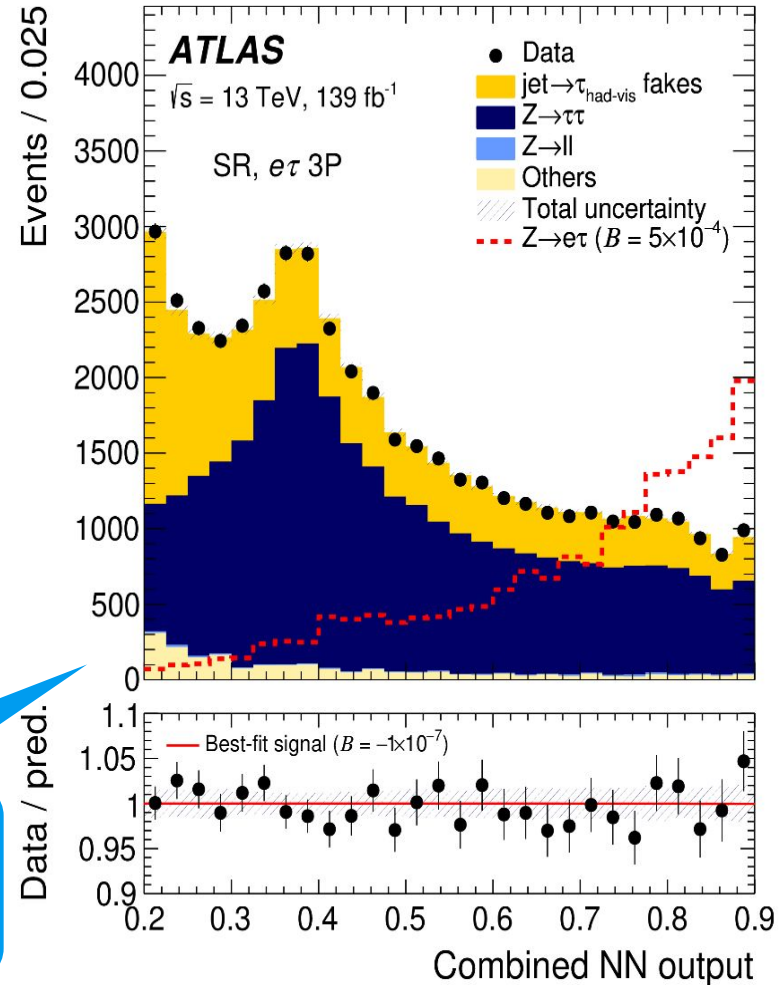
- › Exploit all correlations of the $\ell - \tau_{\text{vis}} - E_{\text{T}}^{\text{miss}}$ system
- › **Binary neural net (NN)** classifier to discriminate against dominant backgrounds



$Z \rightarrow \ell \tau$ with ATLAS

- › Binned maximum likelihood fit to data of NN distribution in signal and control regions
- › Extract signal branching fraction \mathcal{B} ($Z \rightarrow \ell \tau$) and background normalization

No statistically significant
deviation observed
 → upper limits on \mathcal{B}
 ($Z \rightarrow \ell \tau$)



$Z \rightarrow \ell \tau$ with ATLAS

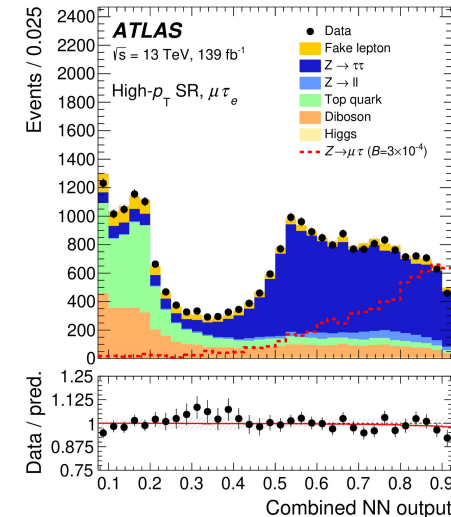
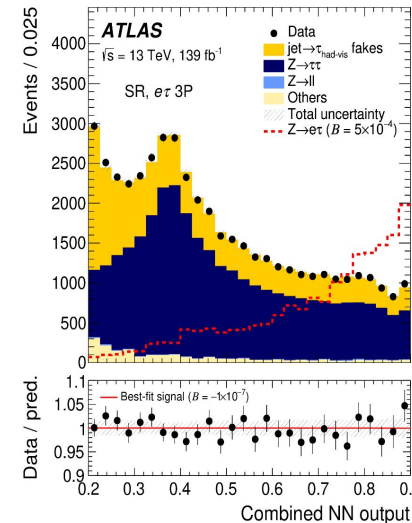
[Nature Physics 17, 819–825 \(2021\)](#)

[Phys.Rev.Lett. 127, 271801 \(2021\)](#)

Observed (expected) upper limit on $\mathcal{B}(Z \rightarrow \ell \tau)$ [$\times 10^{-6}$] at 95% confidence level (CL)		
Final state	$e\tau$	$\mu\tau$
Data set	Run 2	Run 1 ($\tau_{\text{had-vis}}$) + Run 2
Combined τ_{lep} and $\tau_{\text{had-vis}}$	5.0 (6.0)	6.5 (5.3)
OPAL & DELPHI at LEP	9.8	12

World-leading upper limit on $\mathcal{B}(Z \rightarrow \ell \tau)$

- › Superseding LEP limits by a factor of ~ 2 for 1st time @ LHC
- › Statistically limited





Summary

Summary

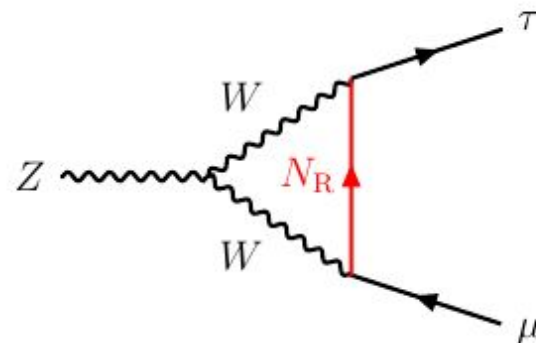
- › Indirect searches for BSM
 - Testing flavour symmetries in the lepton sector, ex. LFV
- › Leptons at colliders
 - Detection and reconstruction
 - Several challenges
 - Bremsstrahlung
 - Neutrinos in the final state
 - Misidentification
 - ...



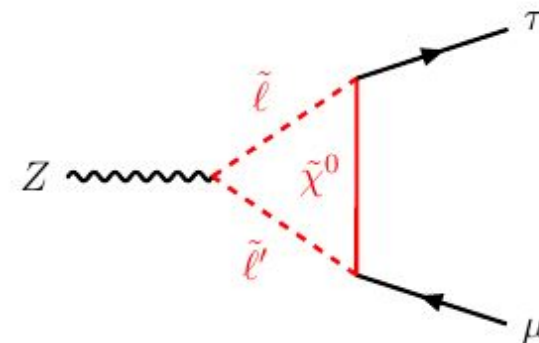
Backup



cLFV $Z \rightarrow \ell \tau$ Decays



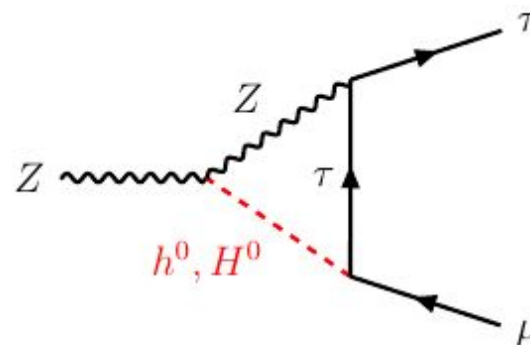
(a) Heavy right-handed neutrinos



(b) SUSY (slepton flavour mixing)

Examples for BSM in $Z \rightarrow \ell \tau$

- › Heavy neutrinos
- › Supersymmetry
- › Extended Higgs sector



(c) Type-III 2HDM

Contact Details

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faculty of science
 and engineering

$Z \rightarrow \ell \tau$ with ATLAS

- › ATLAS search for $Z \rightarrow \ell^{\pm} \tau^{\mp}$ ($\ell = e$ or μ) with full Run 2 dataset
- › Signal and most backgrounds modelled with **MC simulation**
- › **jet** $\rightarrow \ell / \tau_{\text{had-vis}}$ misidentification estimated **Fake Factor** method

	FR	SR
pass ID	$N_{\text{FR}}^{\text{pass}}$	$N_{\text{SR}}^{\text{fakes}}$
fail ID	$N_{\text{FR}}^{\text{fail}}$	$N_{\text{SR}}^{\text{fail}}$
	$\frac{N_{\text{FR}}^{\text{pass}}}{N_{\text{FR}}^{\text{fail}}} = F$ $\frac{N_{\text{SR}}^{\text{fakes}}}{N_{\text{SR}}^{\text{fail}}} = \text{xF}$	

