

$B \rightarrow D^{(*)}$ Form Factors in the Heavy Quark Expansion

Judd Harrison & Marzia Bordone

Beyond the Flavour Anomalies V
April 2024

b quarks on the Lattice

- ▶ On the lattice we want $am_q \ll 1$, so that discretisation effects are small.
- ▶ Work at fixed physical volume $V = (aL_x)^3$ large enough so that finite volume effects, $\sim e^{-M_\pi aL_x} \ll 1$, $\Rightarrow M_\pi aL_x \gg 1$.
- ▶ This means that as we make a smaller, volume in lattice units L_x^3 must be increased.

For bottom quarks, small values of a such that $am_b \ll 1$ require large values of L .

\Rightarrow **Fully relativistic b -quarks are very computationally expensive to simulate.**

Two options for computing $B \rightarrow D^{(*)}$ FFs:

- ▶ Use an effective action for the b quark, e.g. NRQCD, Fermilab heavy-quark action.
- ▶ Simulate relativistic heavy-quarks but with unphysically light mass $m_h \lesssim m_b$

Relativistic heavy-quarks on the Lattice

Use a heavy quark whose mass is below the physical b -quark mass. For some quantity, $F(m_b)$

- ▶ Compute $F^{\text{latt}}(m_h)$, using ensembles with different a , and different values of m_h .
- ▶ Fit those values to some function of the form

$$F^{\text{latt}}(m_h) = F^{\text{phys}}(m_h) + \delta F^{\text{disc}}(a\Lambda_{QCD}, am_h, \dots)$$

with a physical function determined from HQET, e.g.

$$F^{\text{phys}}(m_h) \sim \sum_n (\Lambda_{QCD}/m_h)^n$$

- ▶ Use the posterior distribution for the function to determine its physical value at the b -mass, $F^{\text{phys}}(m_b)$

This method allows for one or two results at or near the physical b -quark mass, while those results below am_b allow us to pin down the am_h discretisation effects.

This approach has a number of significant advantages over previous methods:

- ▶ Currents can be renormalised fully non-perturbatively, e.g. using RI-SMOM or PCVC/PCAC relations.
- ▶ Precision is **statistics limited**

Form Factor Definitions

There are 4 form factors needed to describe $B \rightarrow D^*$ decay within the Standard Model

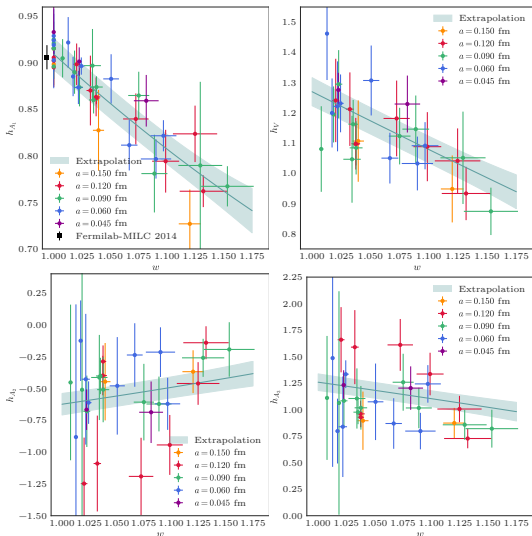
$$\begin{aligned}\langle D^* | \bar{c} \gamma^\mu b | \bar{B} \rangle &= i \sqrt{M_B M_{D^*}} \varepsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta h_V, \\ \langle D^* | \bar{c} \gamma^\mu \gamma^5 b | \bar{B} \rangle &= \sqrt{M_B M_{D^*}} [h_{A_1} (w + 1) \epsilon^{*\mu} \\ &\quad - h_{A_2} (\epsilon^* \cdot v) v^\mu - h_{A_3} (\epsilon^* \cdot v) v'^\mu].\end{aligned}$$

There are also 3 tensor form factors needed to include potential new physics:

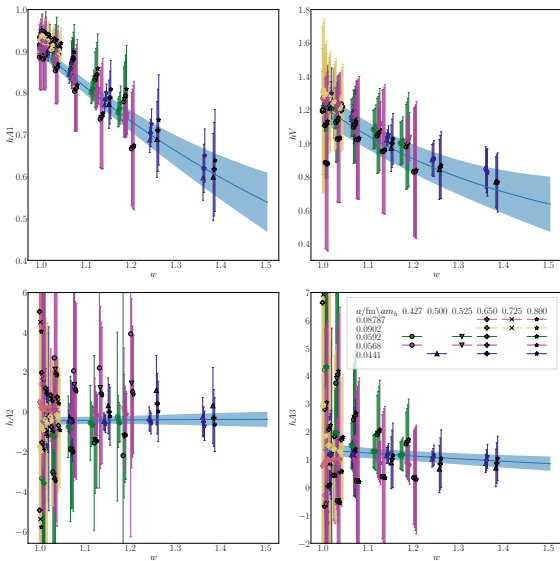
$$\begin{aligned}\langle D^* | \bar{c} \sigma^{\mu\nu} b | \bar{B} \rangle &= - \sqrt{M_B M_{D^*}} \varepsilon^{\mu\nu\alpha\beta} [h_{T_1} \epsilon_\alpha^* (v + v')_\beta \\ &\quad + h_{T_2} \epsilon_\alpha^* (v - v')_\beta + h_{T_3} (\epsilon^* \cdot v) v_\alpha v'_\beta].\end{aligned}$$

Three recent lattice calculations of the form factors by Fermilab-MILC, HPQCD and JLQCD.

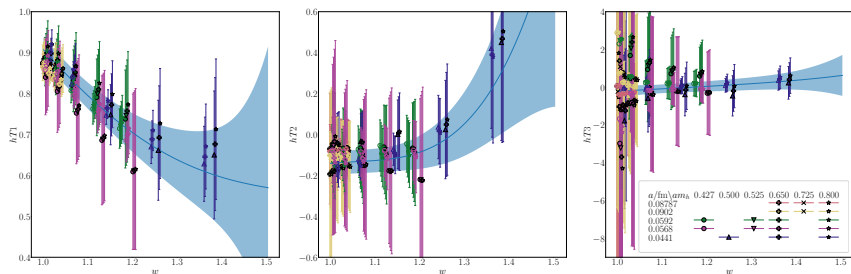
$B \rightarrow D^*$ FFs computed by Fermilab-MILC Collaboration using Fermilab heavy-quark action for c and b , on ensembles with 2+1 flavours of asqtad sea quarks



SM and Tensor FFs with HISQ for c and h quarks, up to $m_h \approx 0.9m_b$, 2+1+1 flavours of HISQ sea quarks

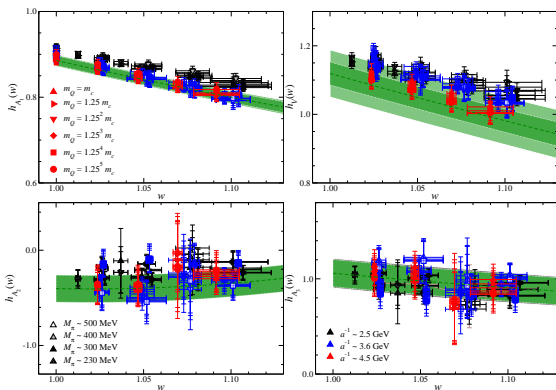


SM and Tensor FFs with HISQ for c and h quarks, up to $m_h \approx 0.9m_b$, 2+1+1 flavours of HISQ sea quarks



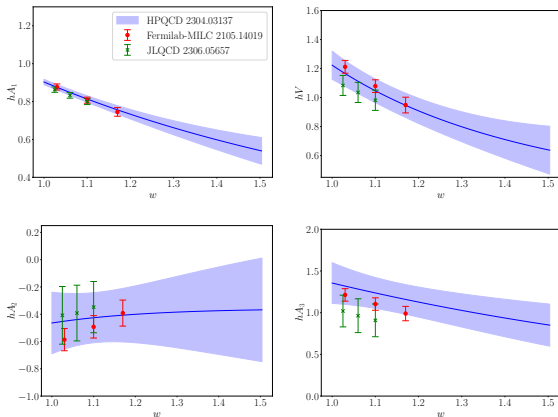
Also includes simultaneous analysis and updated results for $B_s \rightarrow D_s^*$.

SM FFs with M6bius domain wall for c and h quarks, up to $m_h \approx 0.7m_b$, 2+1 flavours of sea quarks



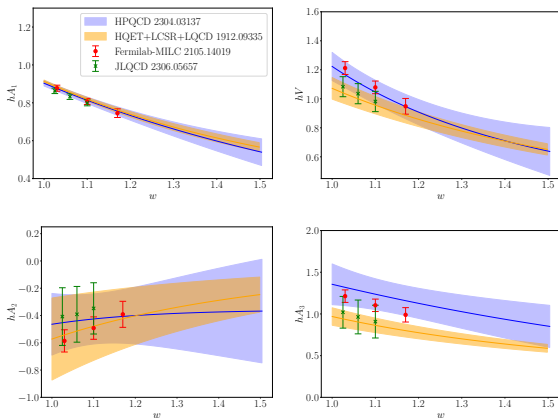
HPQCD and JLQCD calculations contain additional information about the $1/m_h$ dependence of the form factors.

Comparison of Lattice Results



Good agreement between lattice calculations.

Comparison of Lattice Results & HQE



$\mathcal{O}(\alpha_s, 1/m_c^2, 1/m_b)$ heavy quark expansion fit includes

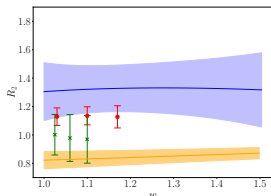
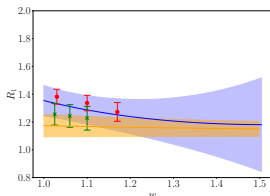
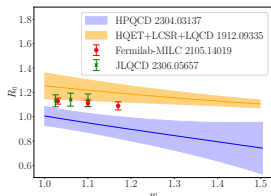
- ▶ Lattice QCD $B_{(s)} \rightarrow D_{(s)}$ and zero recoil $B_{(s)} \rightarrow D_{(s)}^*$
- ▶ LCSR & QCDSR

looks like reasonable agreement.

Comparison of Lattice Results & HQE

However, ratios do not seem to agree so well.

$$R_0 = \frac{1}{1+r} \left(w + 1 + w \frac{rh_{A_2} - h_{A_3}}{h_{A_1}} - \frac{h_{A_2} - rh_{A_3}}{h_{A_1}} \right), \quad R_1 = \frac{h_V}{h_{A_1}}, \quad R_2 = \frac{rh_{A_2} + h_{A_3}}{h_{A_1}}$$



Next steps:

- ▶ Check statistical compatibility with updated HQE fit to all available data
- ▶ Include $\mathcal{O}(\alpha_s, 1/m_c^2, 1/m_b)$ information in heavy-mass extrapolation of lattice data
- ▶ Include additional $1/m_h$ info from lattice in HQE fit

The Heavy Quark Expansion in a nutshell

The HQE exploits the fact that the b and c quarks are heavy

- ▶ Double expansion in $1/m_{b,c}$ and α_s
- ▶ The HQE symmetries relate $B^{(*)} \rightarrow D^{(*)}$ form factors
- ▶ At $1/m_{b,c}$ drastic reduction of independent degrees of freedom

With current precision we know we have to go beyond the $1/m_{b,c}$ order and we use the following form

$$F_i = \left(a_i + b_i \frac{\alpha_s}{\pi} \right) \xi + \frac{\Lambda_{\text{QCD}}}{2m_b} \sum_j c_{ij} \xi_{\text{SL}}^j + \frac{\Lambda_{\text{QCD}}}{2m_c} \sum_j d_{ij} \xi_{\text{SL}}^j + \left(\frac{\Lambda_{\text{QCD}}}{2m_c} \right)^2 \sum_j g_{ij} \xi_{\text{SSL}}^j$$

- ▶ total of 10 independent structures to be extracted from data

$$\xi_{\text{SL}}^j(w) = \chi_2(w), \chi_3(w), \eta(w)$$

$$\xi_{\text{SSL}}^j(w) = l_j(w)$$

Which datapoints we use?

Our “old” 2019 fits

[Bordone, Gubernari, Jung, van Dyk, '19]

- ▶ Light-Cone Sum Rules: valid at $q^2 \lesssim 0$ [Gubernari, Kokulu, van Dyk, '18]
- ▶ FNAL/MILC and HPQCD $B \rightarrow D$ results [1503.07237, 1505.03925]
- ▶ FNAL/MILC and HPQCD zero-recoil $B \rightarrow D^*$ results [1403.0635, 1711.11013]
- ▶ QCD Sum Rules to constrain sub-leading Isgur-Wise functions [Ligeti, Neubert, Nir '92,'93]
- ▶ Unitarity Bounds [Boyd, Grinstein, Lebel, '95, Caprini, Lellouch, Neubert, '97]

New players: Lattice QCD data away from zero-recoil

- ▶ Are they compatible with our previous fits?
- ▶ Can we learn something about the HQE from them?

Fits and conventions



Default HQE fit from 2019

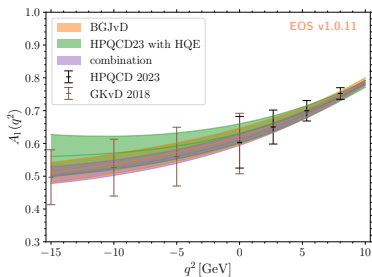
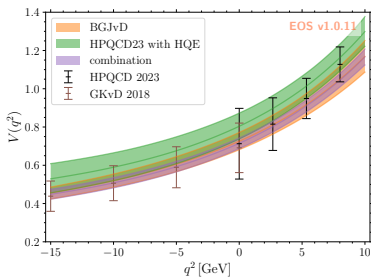


HQE up to $1/m_c^2$ fitted to the HPQCD'23 data w/
unitarity

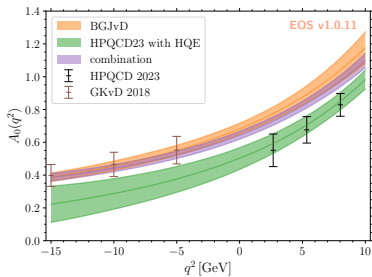
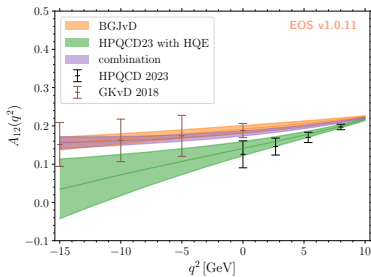


Combination of the previous two

Consider all coming results as very preliminary!!

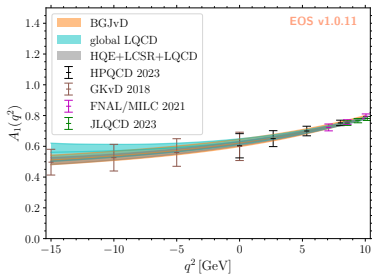
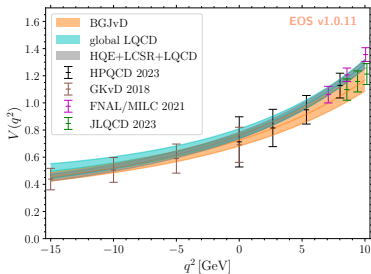


p-values
 — $\sim 15\%$
 — $\sim 99\%$
 — $\sim 99\%$



- ▶ If for V and A_1 everything aligns well, for A_{12} and A_0 there is an evident shift in slopes
- ▶ In the combination difference is milder

Combining with other LQCD results

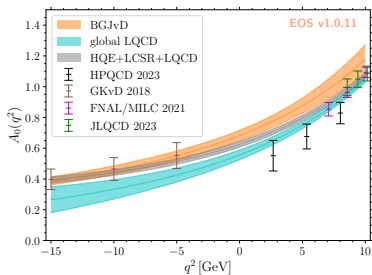
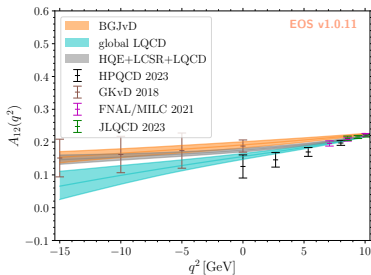


p-values

— $\sim 70\%$

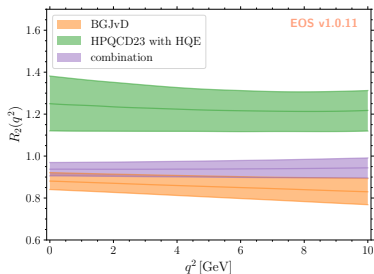
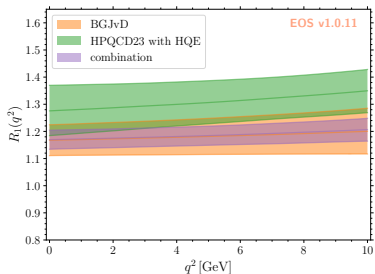
— $\sim 99\%$

— $\sim 98\%$



- ▶ All LQCD $B \rightarrow D^*$ results can be described in a global fit to the HQE
- ▶ R_D is in tension with $B \rightarrow D$ LQCD w/o LCSRs

In the CLN basis



- ▶ Looking at ratios of form factors the tension is even larger
- ▶ In $R_2 \sim A_{12}$ there is a difference of the order of $\sim 10\%$

Fitting HPQCD lattice data at multiple m_h with HQE

Lattice data includes $m_h = 1.5m_c$, $m_h = 0.9m_b$

- ▶ need to add $1/m_h^2$ terms to continuum HQE parameterisation.
- ▶ Also add generic α_s and α_s^2 contributions

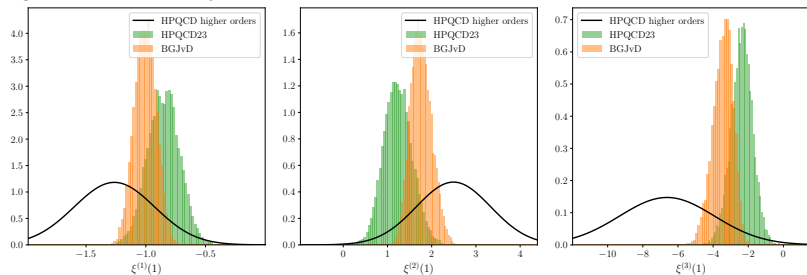
$$\begin{aligned} F_i = & \left(a_i + b_i \frac{\alpha_s}{\pi} + k_i \frac{\alpha_s^2}{\pi^2} \right) \xi + \frac{\Lambda_{\text{QCD}}}{2m_h} \sum_j c_{ij} \xi_{\text{SSL}}^j + \frac{\Lambda_{\text{QCD}}}{2m_c} \sum_j d_{ij} \xi_{\text{SL}}^j \\ & + \left(\frac{\Lambda_{\text{QCD}}}{2m_c} \right)^2 \sum_j g_{ij} \xi_{\text{SSL}}^j + \left(\frac{\Lambda_{\text{QCD}}}{2m_h} \right)^2 \sum_j h_{ij} \xi_{\text{SSL}}^j \\ & + \sum_{\substack{n,m=1,2 \\ q=h,c}} \left(\frac{\Lambda_{\text{QCD}}}{2m_q} \right)^n \left(\frac{\alpha_s}{\pi} \right)^m \zeta_i^{n,m,q} \end{aligned}$$

with $\zeta = \zeta(1) + \zeta'(1)(w-1)$

- ▶ Use the same uniform prior widths for ξ , ξ_{SSL}^j and ξ_{SSL}^j , use gaussian priors of 0 ± 10 for $\zeta_i^{n,m,q(t)}(1)$, h_{ij} , k_i
- ▶ discretisation and chiral effects added analogously to 2304.03137

What changes in terms of parameters?

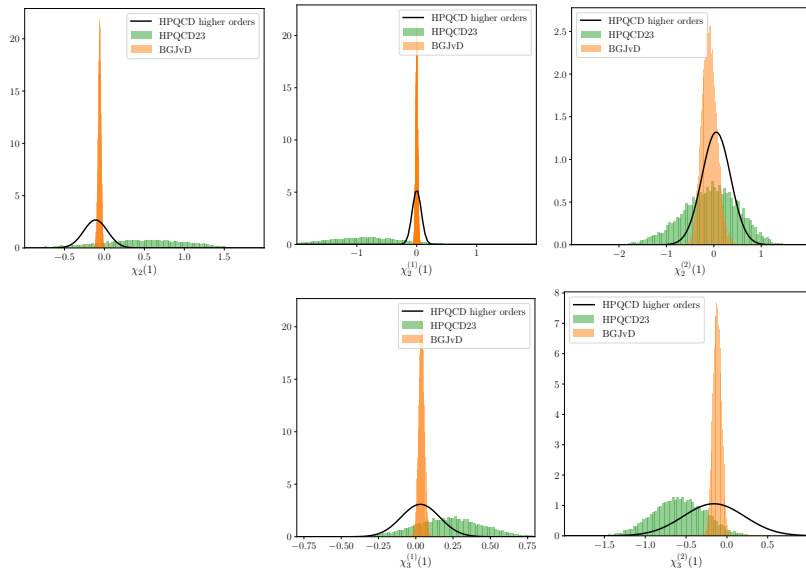
Isgur-Wise function: ξ



- ▶ Discrepancies arise in ξ at higher order in $(w - 1)$, reflects difference seen in slope
- ▶ Similar situation comparing to combined fit posteriors

What changes in terms of parameters?

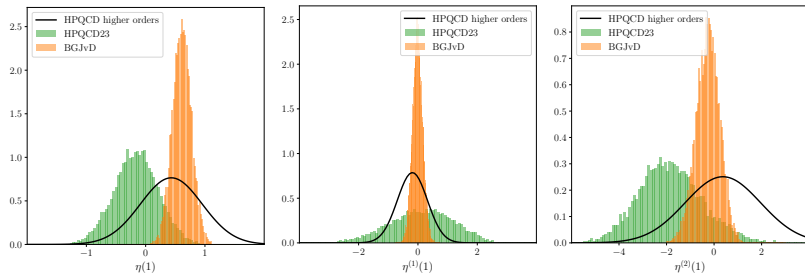
Sub-leading Isgur-Wise functions: χ_2, χ_3



► Information gained by including lattice $1/m_h$ dependence

What changes in terms of parameters?

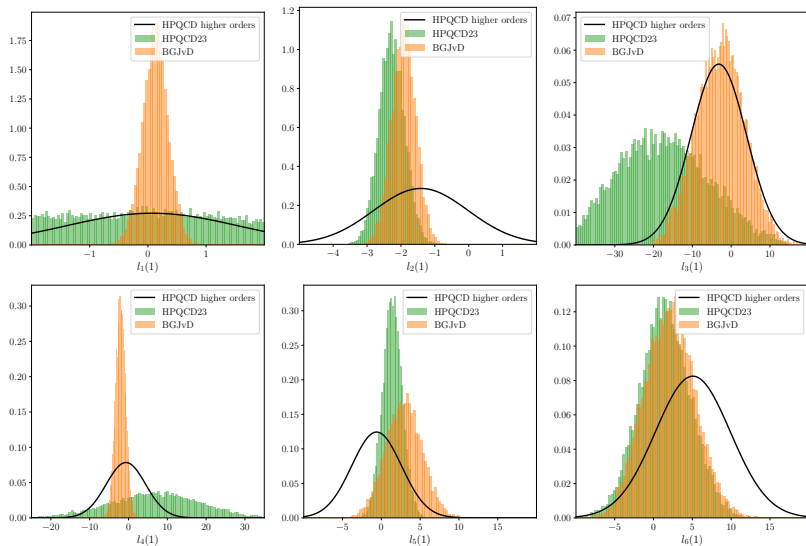
Sub-leading Isgur-Wise functions: η



- ▶ From QCDSR, $\eta(1)$ should be positive and different from zero

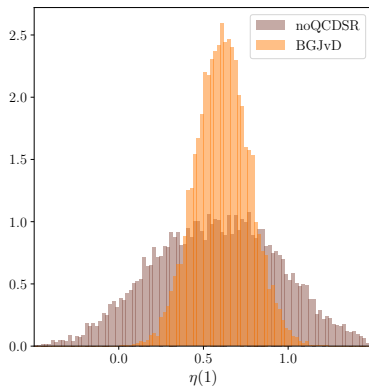
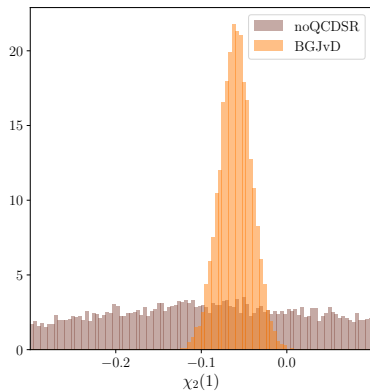
What changes in terms of parameters?

Sub-sub-leading Isgur-Wise functions: $l_i(1)$



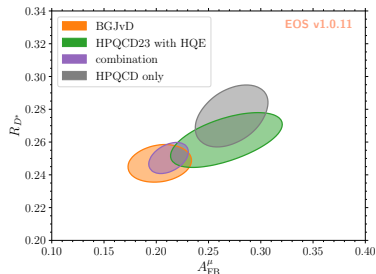
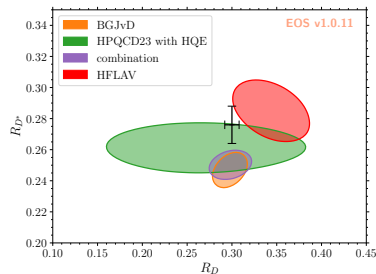
- ▶ Still some information to be gained by including lattice $1/m_h$ dependence for $l_i(1)$

What happens if we remove QCDSRs?



- ▶ We lose sensitivity to some of the subleading IW functions
- ▶ the central value for $\eta(1)$ is very similar, but with larger uncertainties

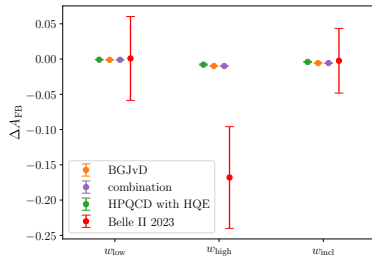
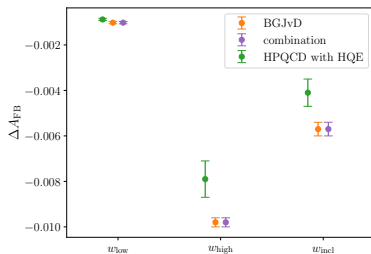
Predictions



- ▶ Predictions for various observables are very different for the various fits
- ▶ For the HPQCD with HQE fit the sensitivity to R_D is reduced
- ▶ There is a non trivial correlation between A_{FB} and R_{D^*}
- ▶ If we fit all $B \rightarrow D$ and $B \rightarrow D^*$ LQCD results together to the HQE, we find

$$R_D = 0.301 \pm 0.004 \quad R_{D^*} = 0.257 \pm 0.004$$

Predictions for ΔA_{FB}



- ▶ Various theory predictions disagree with each other
- ▶ In the comparison with experimental data, the main player are experimental uncertainties

Conclusions

- ▶ New Lattice QCD results can be accommodated in the HQE framework
- ▶ Studying the interplay between various datasets allows us to better understand correlations and sources of discrepancies
- ▶ The information on the subleading Isgur-Wise functions is crucial
- ▶ Relativistic lattice calculations done at multiple m_h can provide additional information about SL and SSL Isgur-Wise functions