

# Charm at BESIII

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on behalf of the BESIII collaboration

22<sup>nd</sup> June 2023

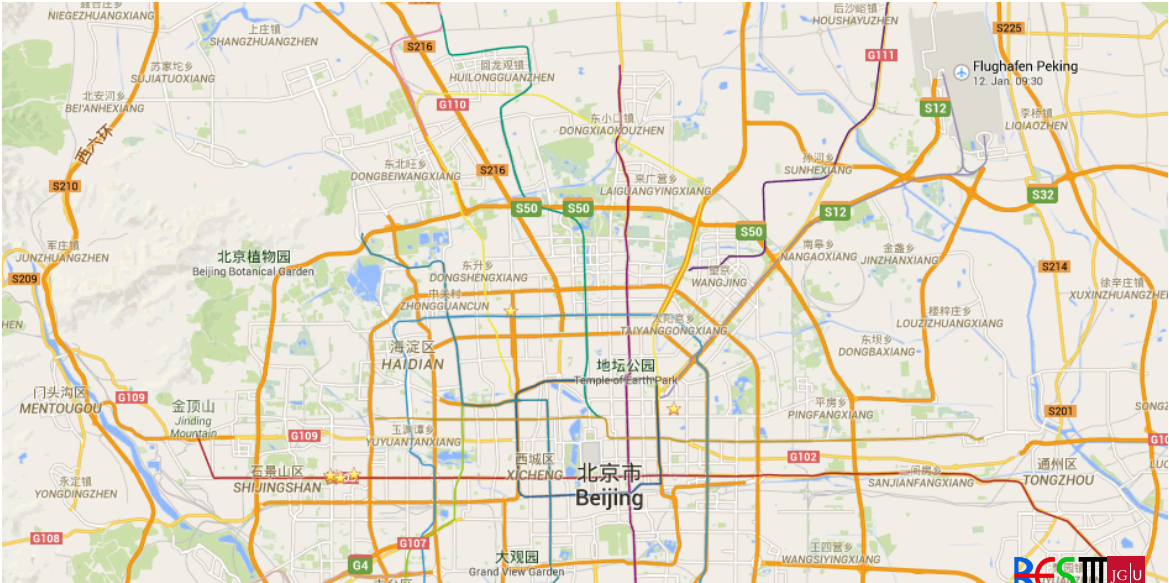


JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ

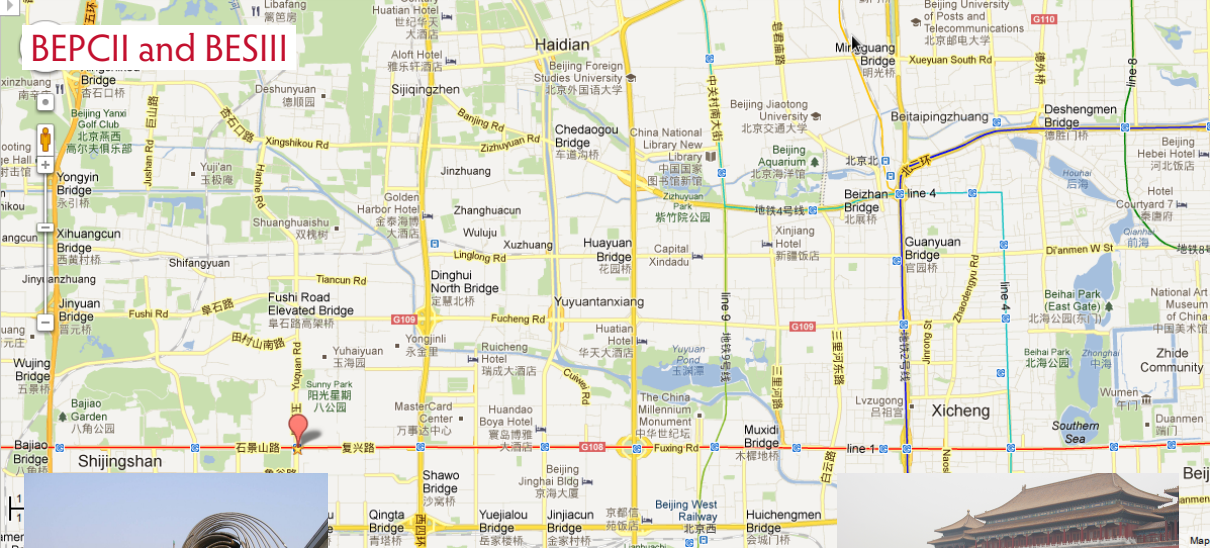


PRISMA

# BEPCII and BESIII



# BEP CII and BES III





# BEP CII and BES III



Linac

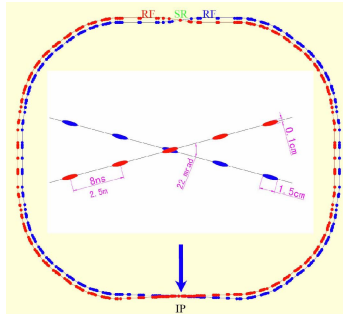
BES III

BSRF

Tiananmen 10km



# BEPCII storage rings: a $\tau$ -charm factory

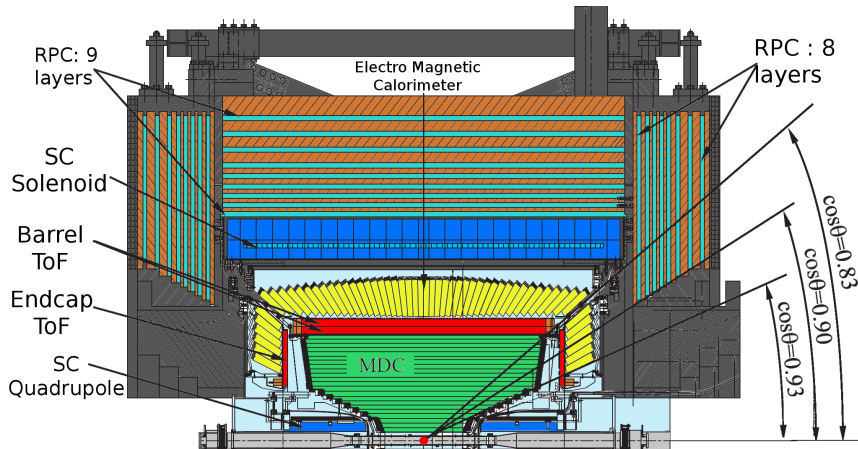


Upgrade of BEPC (started 2004,  
first collisions July 2008)

Beam energy  $1 \dots 2.45 \text{ GeV}$   
 Optimum energy  $1.89 \text{ GeV}$   
 Single beam current  $0.91 \text{ A}$   
 Crossing angle  $\pm 11 \text{ mrad}$

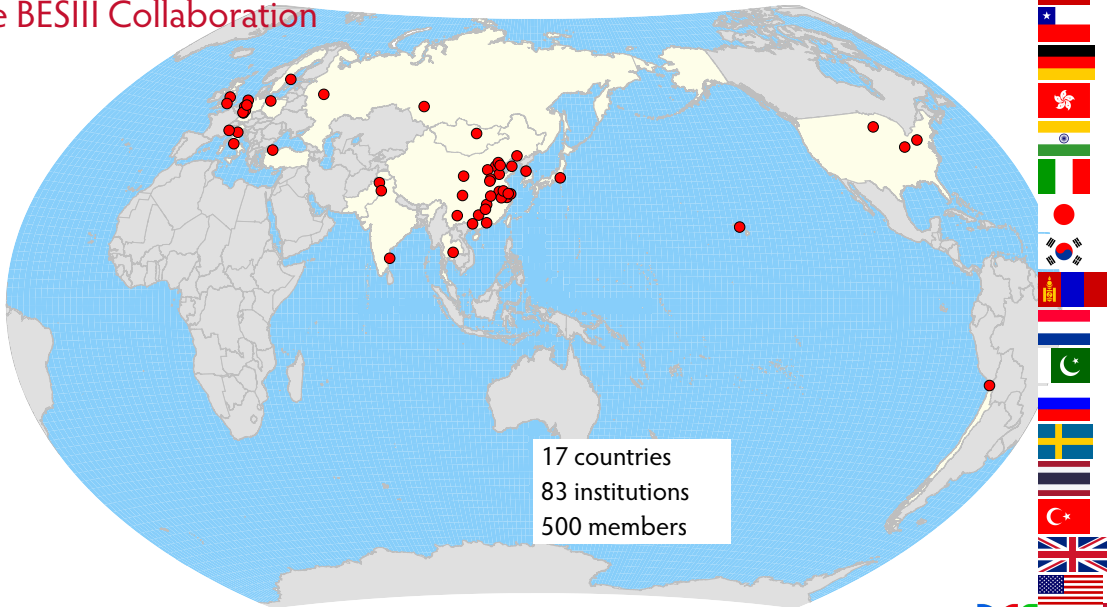
Design luminosity  $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$   
 Achieved  $1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

Beam energy measurement:  
 Laser Compton backscattering  
 $\Delta E/E \approx 5 \times 10^{-5}$   
 ( $\approx 50 \text{ keV}$  at  $\tau$  threshold)



At BEPCII in Beijing:  $e^+e^-$  collisions at  $\sqrt{s}$  between 2 and 5 GeV

# The BESIII Collaboration

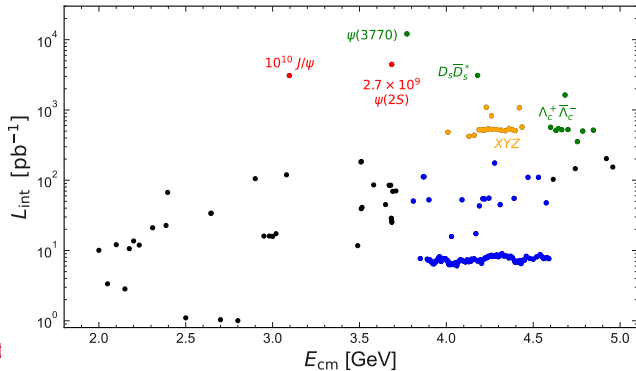




# 12 years data taking at BESIII

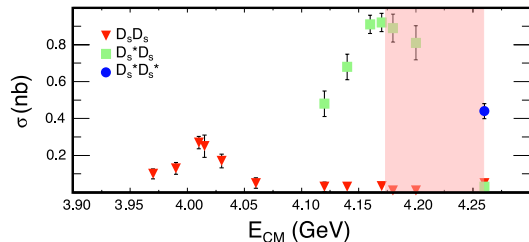
Data sets collected so far include

- $10 \times 10^9$   $J/\psi$  events
- $2.7 \times 10^9$   $\psi'$  events
- $12 \text{ fb}^{-1}$  on  $\psi(3770)$
- scan data between 2.0 and 3.08 GeV, and above 3.735 GeV
- large datasets for  $XYZ$  studies:
  - scan with  $> 500 \text{ pb}^{-1}$  per energy point
  - spaced 10 – 20 MeV apart
  - $14.8 \text{ fb}^{-1}$  in large datasets above 3.8 GeV



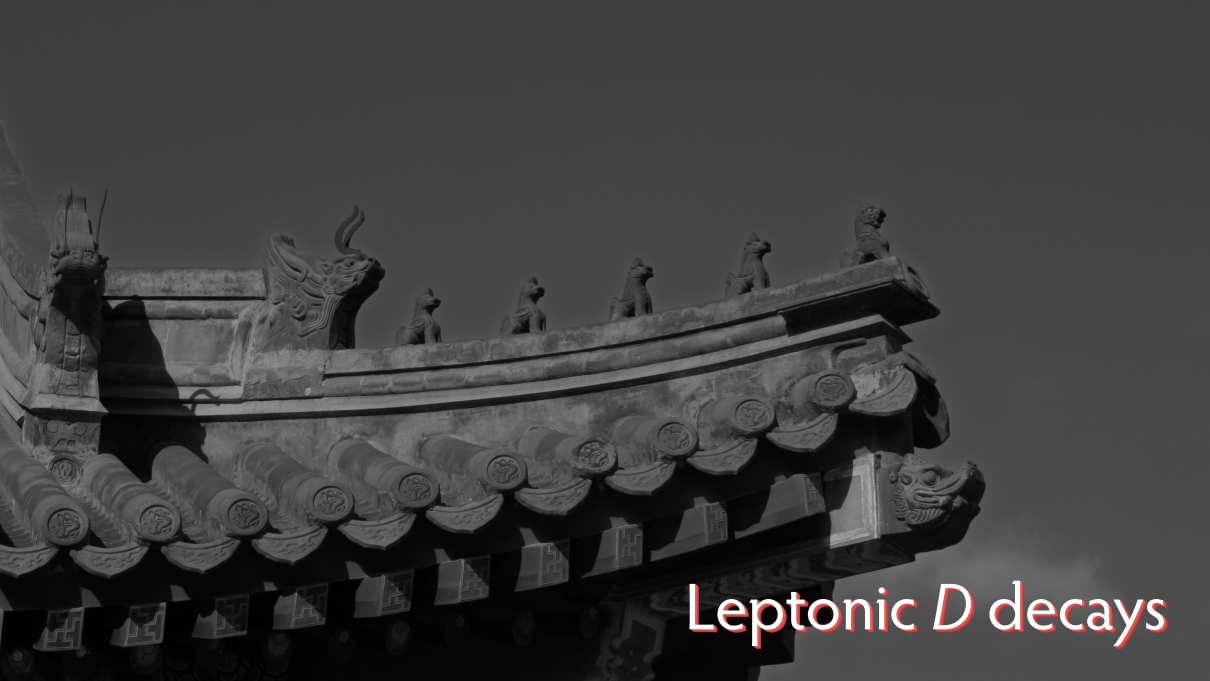
# Charm production at BESIII

$\sqrt{s}$ [GeV]	$\mathcal{L}_{\text{int}}$ [pb $^{-1}$ ]	decay chain
3.773	2930 9000	$e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$ (2021–2023)
4.178	3189	
4.189	526.7	
4.199	526.0	$e^+e^- \rightarrow D_s^*D_s$
4.209	517.1	6 fb $^{-1}$ in total
4.219	514.6	
4.226	1047	
4.6	567	$e^+e^- \rightarrow \Lambda_c^+\bar{\Lambda}_c^-$
> 4.6	5700	



CLEO, PRD 80 (2009) 072001

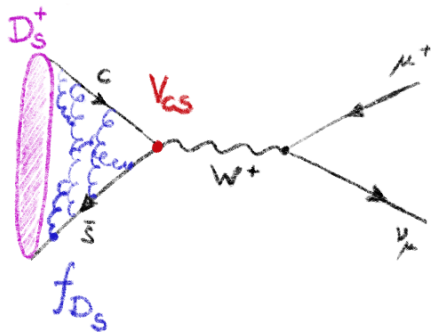
- $D\bar{D}$  pairs from  $\psi(3770)$   
+9 fb $^{-1}$  taken in 2021–23. Goal is 20 fb $^{-1}$  by 2024.
- $D_s^+ D_s^-$  pairs near threshold, but
- $D_s^+ D_s^{*-}$  ( $\rightarrow D_s^- \gamma$  or  $D_s^- \pi^0$ ) has much higher cross section
- $\Lambda_c^+ \bar{\Lambda}_c^-$  cross section flat near threshold



Leptonic  $D$  decays



## Leptonic decays of charmed mesons

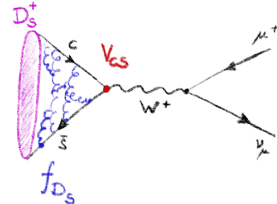


$$\Gamma(D_q^+ \rightarrow l^+ \nu_l) = \frac{G_F^2}{8\pi} f_{D_q}^2 |V_{cq}|^2 m_l^2 m_{D_q} \left(1 - \frac{m_l^2}{m_{D_q}^2}\right)^2$$

to leading order, neglecting radiative corrections

$D_q$ : charged, charmed meson, i.e.  $D^+$  ( $c\bar{d}$ ) or  $D_s^+$  ( $c\bar{s}$ )

# Leptonic decays of charmed mesons



$$\Gamma(D_q^+ \rightarrow l^+ \nu_l) = \frac{G_F^2}{8\pi} f_{D_q}^2 |V_{cq}|^2 m_\ell^2 m_{D_q} \left(1 - \frac{m_\ell^2}{m_{D_q}^2}\right)^2$$

Precise measurement of  $f_{D_q}^2 |V_{cq}|^2$  allows determination of

$f_{D_q}^2$ , using global value for  $|V_{cq}|^2$

$|V_{cq}|^2$ , using lattice QCD result for  $f_{D_q}$

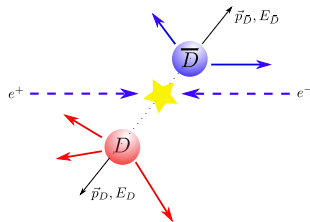
charged lepton must have 'wrong' helicity for its chirality: decay rate suppressed with  $m_\ell^2$

e.g. for  $D^+ \rightarrow l\nu$ , SM predicts ratio of rates

$$e : \mu : \tau = 2.35 \times 10^{-5} : 1 : 2.67$$

# Analysis principle: Double tag analysis

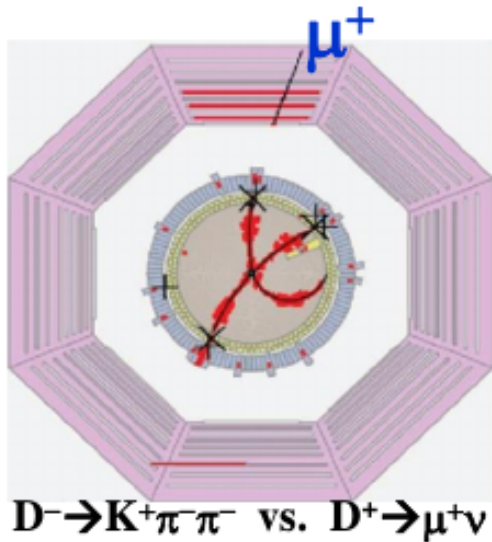
- Final state of signal decay: lepton (+ hadrons) + missing energy / momentum
- Difficulty: identify signal decay and separate it from background
- $e^+e^-$  at  $D^+D^-$  threshold: clean environment, no extra particles, closed kinematics
- Reconstruct one  $D^\mp$  in the event ( $D_{\text{tag}}$ ): know kinematics of the other  $D^\pm$
- Infer four-momentum of undetected neutrino:



$$p_{\text{miss}}^\mu = p_{e^+e^-}^\mu - p_{D_{\text{tag}}}^\mu - p_l^\mu$$



## Double-tag candidate in BESIII



# Single tag selection

- Single hadronic decay modes of  $D$  mesons have appreciable branching fraction  
can use  $\sim 25\%$  of the total  $D$  width for tagging
- Kinematic variables for **tag-side** selection:

$$\Delta E = E_D^* - E_{\text{beam}}^*$$
$$m_{\text{BC}} = \sqrt{E_{\text{beam}}^2 - \vec{p}_{\text{tag}}^2}$$

- Typically, select candidates in  $\Delta E$ , use  $m_{\text{BC}}$  spectrum to count ST events and to perform final ST selection

Single-tag data samples:

$E_{\text{cm}}$ [GeV]	$\mathcal{L}$ [ $\text{fb}^{-1}$ ]	$D^0$ yield	$D^+$ yield	$D_s^+$ yield
3.773	2.93	$2.7 \times 10^6$	$1.7 \times 10^6$	
4.009	0.48			$13 \times 10^3$
4.13 – 4.23	7.33			$0.8 \times 10^6$

# Double tag selection

**Signal side** selection ('double tag'):

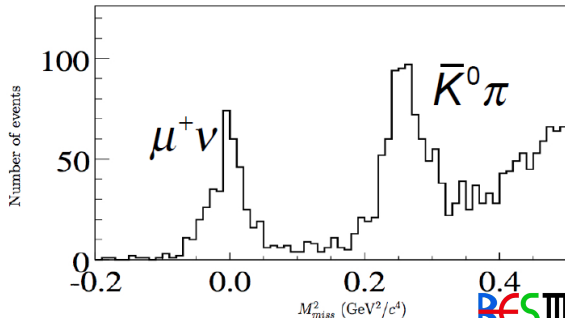
look in events with ST candidates for signature of signal decay

- Reject combinations with extra tracks
- Veto combinations with too much extra activity in calorimeter ( $E_{\text{extra}}$ )
- Apply criteria to further reject background based on detailed MC studies
- Identify signal using one of

$$U_{\text{miss}} = E_{\text{miss}} - |\vec{p}_{\text{miss}}|$$

$$M_{\text{miss}}^2 = E_{\text{miss}}^2 - |\vec{p}_{\text{miss}}|^2$$

both peak at 0 for signal events

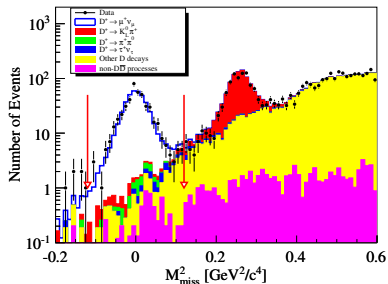




$$D^+ \rightarrow \ell^+ \nu$$

$$D^+ \rightarrow \mu^+ \nu_\mu$$

BESIII, Phys. Rev. D 89 (2014) 051104



$409 \pm 21$  signal events

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu_\mu) = (3.71 \pm 0.19 \pm 0.06) \times 10^{-4}$$

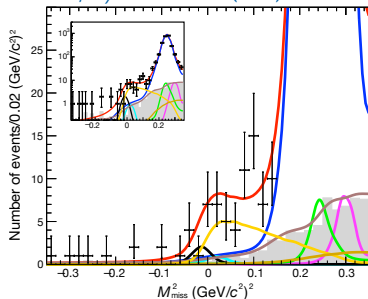
$$f_{D^+} |V_{cd}| = 45.7 \pm 1.2 \pm 0.4 \text{ MeV}$$

Precision  $\approx 2.7\%$

\*

$$D^+ \rightarrow \tau^+ \nu_\tau$$

BESIII, Phys. Rev. Lett. 123 (2019) 211802



$137 \pm 27$  signal events

$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu_\tau) = (1.20 \pm 0.24_{\text{stat}} \pm 0.12_{\text{syst}}) \times 10^{-3}$$

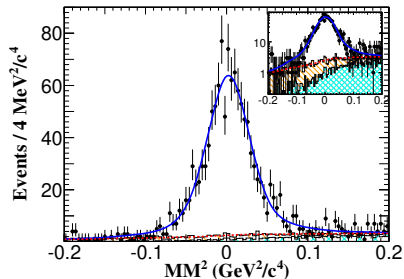
$$f_{D^+} |V_{cd}| = 50.4 \pm 5.1 \pm 2.5 \text{ MeV}$$

Precision  $\approx 11\%$

First observation



3.19 fb<sup>-1</sup> at 4.18 GeV, *Phys. Rev. Lett.* **122** (2019) 071802

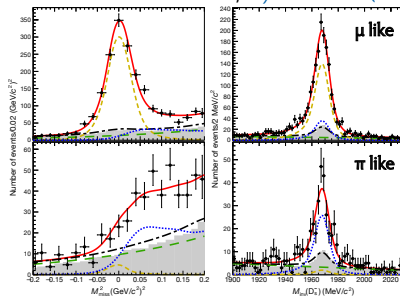


$$\mathcal{B}(D_s^+ \rightarrow \mu^+ \nu_\mu) = (5.49 \pm 0.16 \pm 0.15) \times 10^{-3}$$

$$f_{D_s^+} |V_{cs}| = 246.2 \pm 3.6 \pm 3.5 \text{ MeV}$$

using  $\mu$  ID in the MUC  
superseded by result shown on right

6.3 fb<sup>-1</sup> at 4.18–4.23 GeV, *Phys. Rev. D* **104** (2021) 052009



$$\mathcal{B}(D_s^+ \rightarrow \mu^+ \nu_\mu) = (5.35 \pm 0.13 \pm 0.16) \times 10^{-3}$$

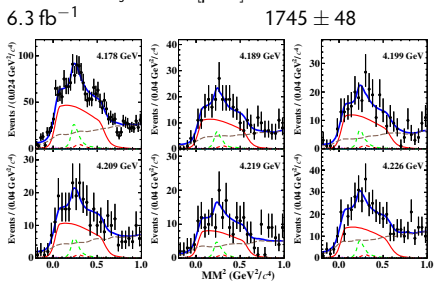
$$f_{D_s^+} |V_{cs}| = 243.1 \pm 3.0 \pm 3.7 \text{ MeV}$$

no MUC requirements;  $\approx 50\%$  overlap in event sample, but  
different analysis, different systematic uncertainties

$$D_s^+ \rightarrow \tau^+ \nu_\tau$$

Phys. Rev. D **104**(2021)032001

$$D_s^+ \rightarrow \tau^+ [\rho^+ \nu] \nu$$

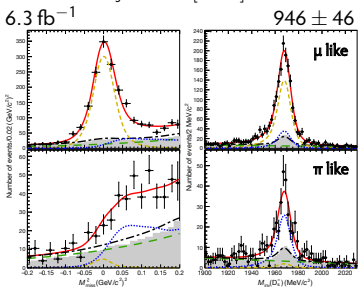


$$\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu) = (5.29 \pm 0.25 \pm 0.20) \times 10^{-2}$$

$$f_{D_s^+} |V_{cs}| = 244.8 \pm 5.8 \pm 4.8 \text{ MeV}$$

Phys. Rev. D **104**(2021)052009

$$D_s^+ \rightarrow \tau^+ [\pi^+ \nu] \nu$$

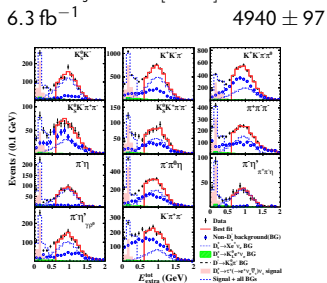


$$(5.21 \pm 0.25 \pm 0.17) \times 10^{-2}$$

$$243.0 \pm 5.8 \pm 4.0 \text{ MeV}$$

Phys. Rev. Lett. **127**(2021)171801

$$D_s^+ \rightarrow \tau^+ [e^+ \nu \nu] \nu$$



$$(5.27 \pm 0.10 \pm 0.12) \times 10^{-2}$$

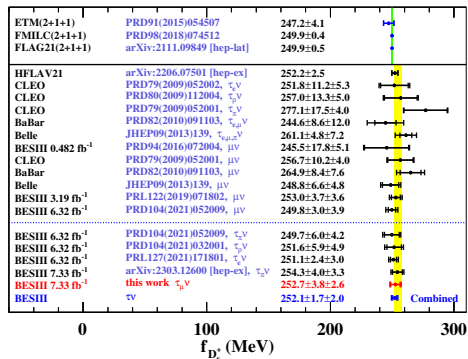
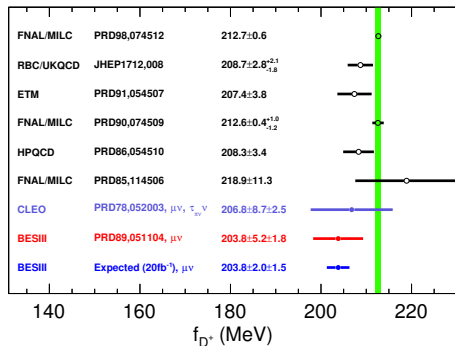
$$244.4 \pm 2.3 \pm 2.9 \text{ MeV}$$

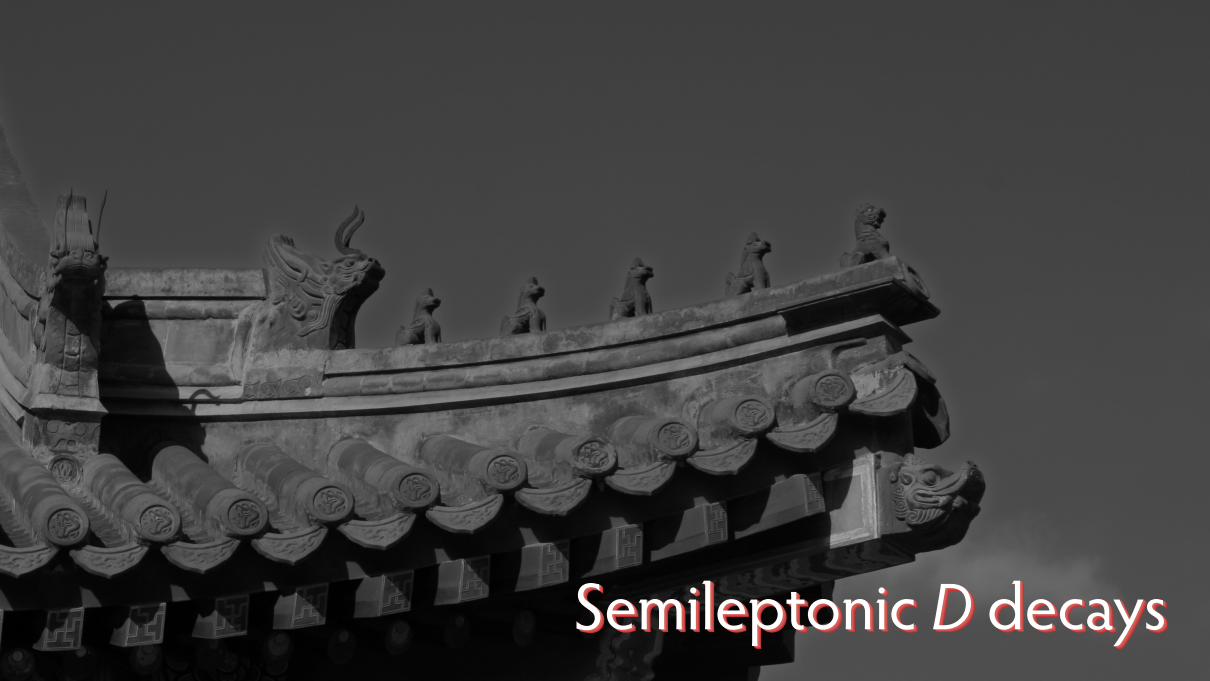
Same dataset, but different  $\tau^+$  decay modes: independent results, excellent compatibility.

Precision  $\approx 1.5\%$

# D and D<sub>s</sub> Decay constants

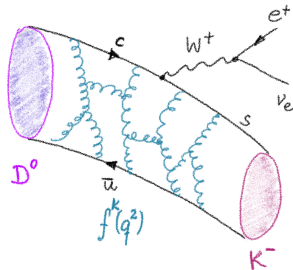
Take  $|V_{cd(s)}|$  from global fits to CKM matrix, determine  $f_{D(s)}^+$





Semileptonic  $D$  decays

# Semileptonic decays: form factors

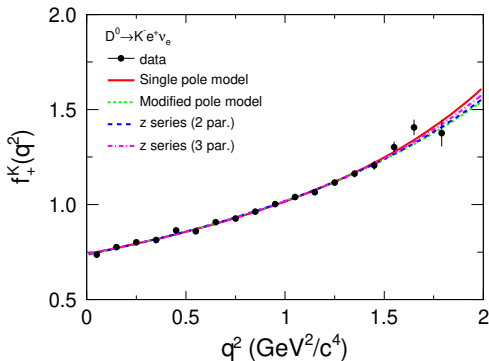
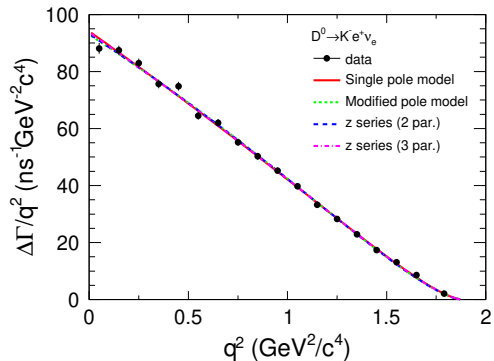


For decay into one pseudoscalar  $P$ , one extra degree of freedom:  
form factor  $f_+^P(q^2)$ , function of four-momentum transfer  $q \equiv p_D - p_P$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |\vec{p}_P|^3 |V_{cq}|^2 |f_+^P(q^2)|^2$$



# Decay dynamics for $D^0 \rightarrow K^- e^+ \nu_e$



$$f_+^K(0) |V_{cs}| = 0.7172 \pm 0.0025 \pm 0.0035$$

$$f_+^K(0) = 0.7368 \pm 0.0026 \pm 0.0036$$

$$|V_{cs}| = 0.9601 \pm 0.0033 \pm 0.0047 \pm 0.0239$$

using SM constraint fit for  $V_{cs}$

using LQCD and LCSR for  $f_+^K(0)$

## Branching fractions for $D \rightarrow \bar{K}e\nu$

New approach:  $D \rightarrow \bar{K}e^+\nu_e$  and  $\bar{D} \rightarrow Ke^-\bar{\nu}_e$  in the same event  
largest semi-leptonic branching fraction, clear experimental signature

*Advantage:* statistically independent from hadronic tags, no dependence on hadronic BFs, absolute measurement of  $\mathcal{B}$  possible

*Disadvantage:* no access to form factor

$$\mathcal{B}(D \rightarrow \bar{K}e^+\nu_e) = \sqrt{\frac{N_{DT}}{N_{D\bar{D}} \cdot \epsilon_{DT}}}$$

Produced  $D\bar{D}$  pairs in  $2.93 \text{ fb}^{-1}$  data at  $\psi(3770)$ :

$$N_{D^0\bar{D}^0} = (10\,597 \pm 28 \pm 98) \times 10^3$$

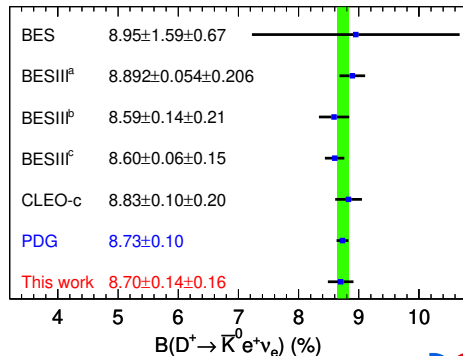
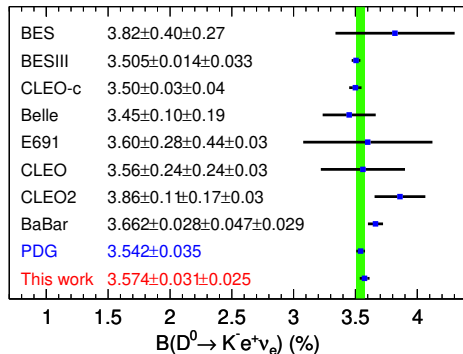
$$N_{D^+D^-} = (8296 \pm 31 \pm 65) \times 10^3$$

# $D \rightarrow K e \nu$ results

$$\mathcal{B}(D^0 \rightarrow K^- e^+ \nu_e) = (3.567 \pm 0.031 \pm 0.021) \times 10^{-2}$$

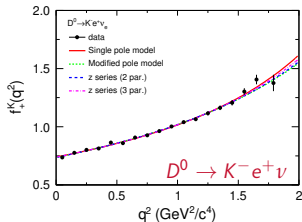
$$\mathcal{B}(D^+ \rightarrow \bar{K}^0 e^+ \nu_e) = (8.68 \pm 0.14 \pm 0.16) \times 10^{-2}$$

$$\frac{\Gamma(D^0 \rightarrow K^- e^+ \nu_e)}{\Gamma(D^+ \rightarrow \bar{K}^0 e^+ \nu_e)} = 1.039 \pm 0.021 \quad \text{supports isospin symmetry within } 1.9\sigma$$



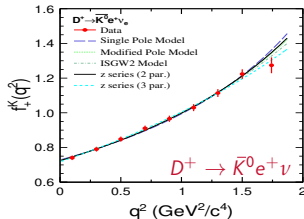
# Other $c \rightarrow s \ell^+ \nu$ SL decays with pseudoscalars

Phys. Rev. D **92**(2015)072012



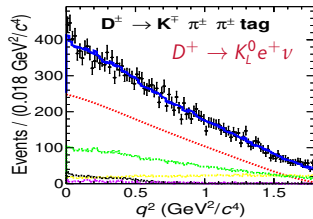
$$f_+^{D \rightarrow K}(0) |V_{cs}| = 0.717(03)(04)$$

Phys. Rev. D **96**(2017)012002



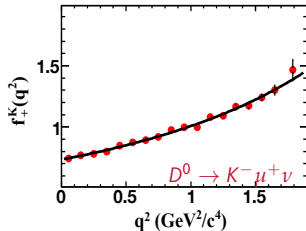
$$f_+^{D \rightarrow K}(0) |V_{cs}| = 0.705(04)(11)$$

Phys. Rev. D **92**(2017)112008



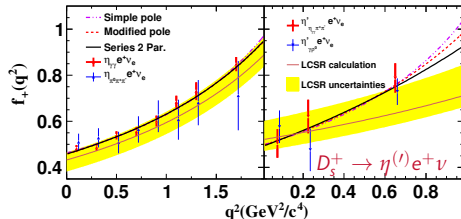
$$f_+^{D \rightarrow K}(0) |V_{cs}| = 0.728(06)(11)$$

Phys. Rev. Lett. **122**(2019)011804



$$f_+^{D \rightarrow K}(0) |V_{cs}| = 0.7148(38)(29)$$

Phys. Rev. Lett. **122**(2019)121801



$$f_+^{D_s \rightarrow \eta}(0) |V_{cs}| = 0.446(05)(04)$$

$$f_+^{D_s \rightarrow \eta'}(0) |V_{cs}| = 0.477(49)(11)$$

$$D_s^+ \rightarrow Xe^+ \nu_e$$

Mode	Averaged $\mathcal{B}$
$D_s^+ \rightarrow \phi e^+ \nu_e$	$(2.37 \pm 0.11)\%$
$D_s^+ \rightarrow \eta e^+ \nu_e$	$(2.32 \pm 0.08)\%$
$D_s^+ \rightarrow \eta' e^+ \nu_e$	$(0.80 \pm 0.07)\%$
$D_s^+ \rightarrow K^0 e^+ \nu_e$	$(0.34 \pm 0.04)\%$
$D_s^+ \rightarrow K^*(892)^0 e^+ \nu_e$	$(0.21 \pm 0.03)\%$
$D_s^+ \rightarrow f_0(980) e^+ \nu_e, f_0(980) \rightarrow \pi\pi$	$(0.30 \pm 0.05)\%$
<b>Sum of Semielectronic Modes</b>	<b><math>(6.34 \pm 0.17)\%</math></b>
<b><math>\mathcal{B}(D_s^+ \rightarrow Xe^+ \nu_e)</math> [CLEO]</b>	<b><math>(6.5 \pm 0.4)\%</math></b>
$D_s^+ \rightarrow \tau^+ \nu_\tau \rightarrow e^+ \bar{\nu}_\tau \nu_e \nu_\tau$	$(0.96 \pm 0.04)\%$

Single tags using  $D_s^- \rightarrow K^+ K^- \pi^-$  only:  
sufficient statistics, well-known backgrounds

Signal-side: require electron candidate with  
 $p_e > 200$  MeV

Analysis requires very careful modelling of PID  
efficiencies and mis-ID rates

Are there unobserved semi-electronic  $D_s^+$  decays?

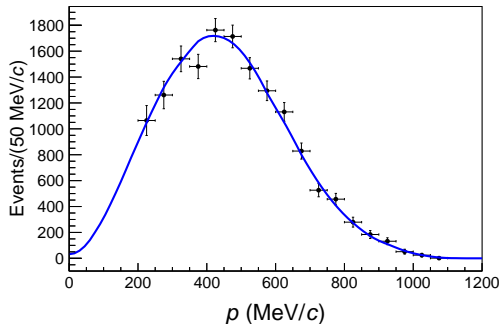


Mode	Averaged $\mathcal{B}$
$D_s^+ \rightarrow \phi e^+ \nu_e$	$(2.37 \pm 0.11)\%$
$D_s^+ \rightarrow \eta e^+ \nu_e$	$(2.32 \pm 0.08)\%$
$D_s^+ \rightarrow \eta' e^+ \nu_e$	$(0.80 \pm 0.07)\%$
$D_s^+ \rightarrow K^0 e^+ \nu_e$	$(0.34 \pm 0.04)\%$
$D_s^+ \rightarrow K^*(892)^0 e^+ \nu_e$	$(0.21 \pm 0.03)\%$
$D_s^+ \rightarrow f_0(980) e^+ \nu_e, f_0(980) \rightarrow \pi\pi$	$(0.30 \pm 0.05)\%$
<b>Sum of Semielectronic Modes</b>	<b><math>(6.34 \pm 0.17)\%</math></b>
<b><math>\mathcal{B}(D_s^+ \rightarrow X e^+ \nu_e)</math> [CLEO]</b>	<b><math>(6.5 \pm 0.4)\%</math></b>
$D_s^+ \rightarrow \tau^+ \nu_\tau \rightarrow e^+ \bar{\nu}_\tau \nu_e \nu_\tau$	$(0.96 \pm 0.04)\%$

Are there unobserved semi-electronic  $D_s^+$  decays?

$$\mathcal{B}(D_s^+ \rightarrow X e^+ \nu_e) = (6.30 \pm 0.13 \text{ (stat.)} \pm 0.10 \text{ (syst.)}) \%$$

Momentum spectrum of decay electrons

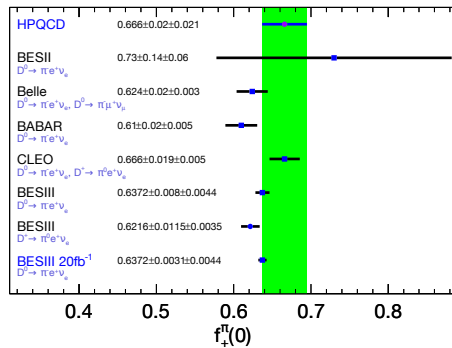
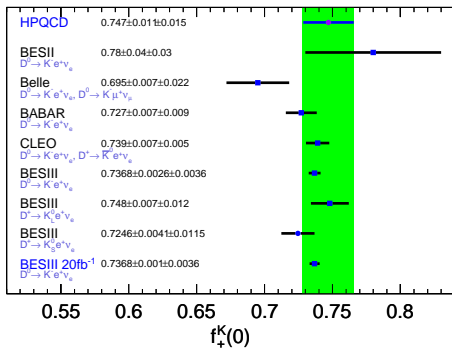


extrapolating to  $p_e < 200$  MeV introduces model dependence, 0.7% relative syst. uncertainty

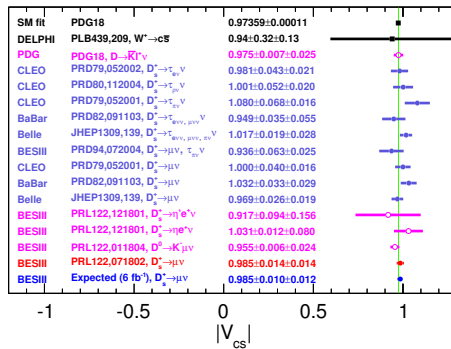
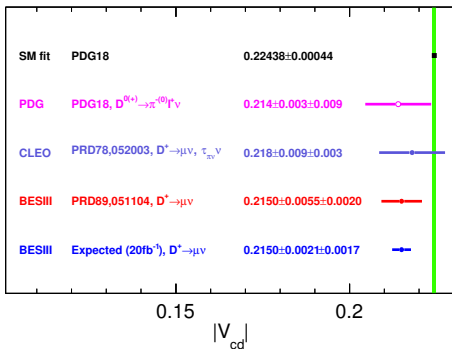
saturated by sum of exclusive channels

# Form factors for SL decays, $f_+^{K/\pi}(0)$

Take  $|V_{cd(s)}|$  from global fits to CKM matrix, determine  $f_+(0)$



# CKM matrix elements $V_{cd}$ and $V_{cs}$





# Tests of lepton flavour universality (LFU)

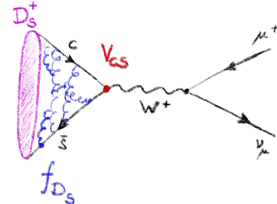
Ratio of decay widths to different lepton flavours:

$$R_{\ell/\ell'} \equiv \frac{\Gamma(D^+ \rightarrow \ell^+ \nu)}{\Gamma(D^+ \rightarrow \ell'^+ \nu)} = \frac{m_\ell^2 m_{D^+} \left(1 - \frac{m_\ell^2}{m_{D^+}^2}\right)^2}{m_{\ell'}^2 m_{D^+} \left(1 - \frac{m_{\ell'}^2}{m_{D^+}^2}\right)^2}$$

in the SM, coupling of  $W^\pm$  to leptons universal  
 $R$  depends only on masses of leptons and charmed meson

very precise prediction

similar relations for semi-leptonic decays



# Tests of lepton flavour universality (LFU)

Deviations from SM prediction: charged intermediate boson coupling differently to leptons of different flavour, e.g. leptoquarks

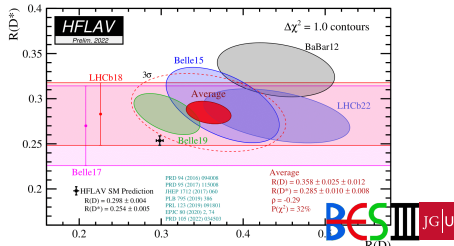
In some SUSY models (e.g. two-Higgs-doublet) couplings are standard-model like:  $\Gamma(D^+ \rightarrow \ell^+ \nu)$  modified by lepton-flavour independent factor, leaving  $R$  unchanged.

Some intriguing hints for violation of LFU from  $B$  decays (LHCb, Belle, BABAR):

$$R_K(b \rightarrow s \ell^+ \ell^-), R_{D^{(*)}}(b \rightarrow c \ell \nu)$$

so, worthwhile to look in more detail,  
and in the charm sector

First observations of  $D^+ \rightarrow \tau^+ \nu$   
and six semi-muonic  $D$  decays:

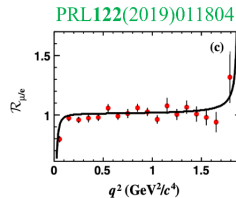
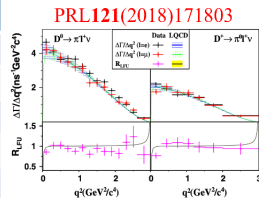


# Summary of LFU measurements in charm decays

		References	Measured B(I)/B(I')	SM prediction
$\mu/e$	$D^0 \rightarrow K^-$	PRL122(2019)011804	$0.974 \pm 0.014$	$\sim 0.975$
	$D^0 \rightarrow \pi^-$	PRL121(2018)171803	$0.922 \pm 0.038$	$\sim 0.985$
	$D^0 \rightarrow \rho^-$	PRD104(2021)L091103	$0.90 \pm 0.11$	0.93-0.96
	$D^+ \rightarrow \bar{K}^0$	EPJ C (2016) 76:369	$0.988 \pm 0.033$	$\sim 0.970$
	$D^+ \rightarrow \pi^0$	PRL121(2018)171803	$0.964 \pm 0.045$	$\sim 0.985$
	$D^+ \rightarrow \omega$	PRD101(2020)072005	$1.05 \pm 0.14$	0.93-0.99
	$D^+ \rightarrow \eta$	PRL124(2020)231801	$0.91 \pm 0.13$	0.97-1.00
	$D_s^+ \rightarrow \eta$		$1.05 \pm 0.24$	
	$D_s^+ \rightarrow \eta'$	PRD97(2018)012006	$1.14 \pm 0.68$	$\sim 1.0$
	$D_s^+ \rightarrow \varphi$		$0.86 \pm 0.29$	
$\tau/\mu$	$D^+ \rightarrow \tau^+ \nu$	PRL123(2019)211802	$3.21 \pm 0.77$	2.66
	$D_s^+ \rightarrow \tau^+ \nu$	PRL127(2021)171801	$9.72 \pm 0.37$	9.75

Deviation from one due to the different PS available

No deviation from SM within statistics





Hadronic  $D$  decays

# Strong phase in $D^0 \rightarrow K_S^0 \pi^+ \pi^-$

Measurement of  $\gamma$  with GGSZ needs **strong phase** between  $D^0$  and  $\bar{D}^0$  across Dalitz plot, will limit uncertainty on  $\gamma$

Direct measurement of strong phase requires quantum-correlated  $D^0 \bar{D}^0$  pairs. So far, only CLEO.

Use model-independent approach to measure phase developed by [Bondar & Poluektov, Eur. Phys. J. C 47 \(2006\) 347](#):

Determine amplitude-weighted averages

$$c_i = \frac{1}{\sqrt{F_i F_{-i}}} \int_i |f_D(m_+^2, m_-^2)| |f_D(m_-^2, m_+^2)| \times \cos[\Delta\delta_D(m_+^2, m_-^2)] dm_+^2 dm_-^2$$

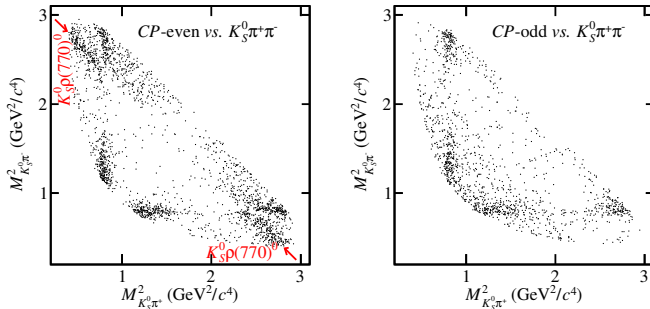
in symmetric bins  $i$  on the Dalitz plot

# Strong phase in $D^0 \rightarrow K_S^0 \pi^+ \pi^-$

Exploit quantum-correlated  $D^0 \bar{D}^0$  pairs at  $\psi(3770)$ :

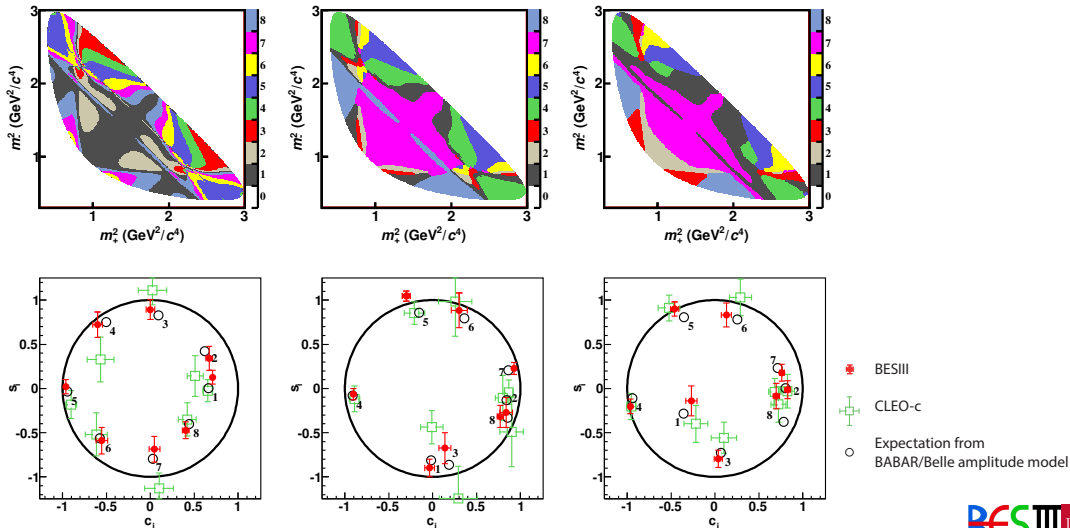
Reconstruct signal decay vs. flavour specific, CP-even, CP-odd, and CP-mixed tags:  
17 tag modes in total.

Effect of quantum correlation clearly visible:  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  vs. CP tags



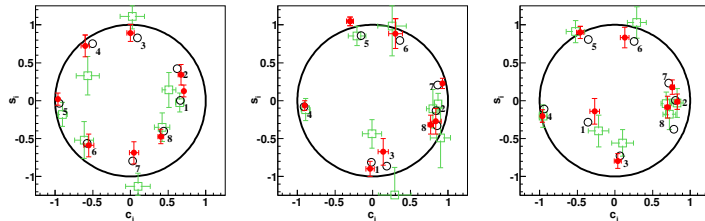
# Strong phase in $D^0 \rightarrow K_S^0 \pi^+ \pi^-$

Use three binning schemes (regions with  $\approx$  constant strong phase):

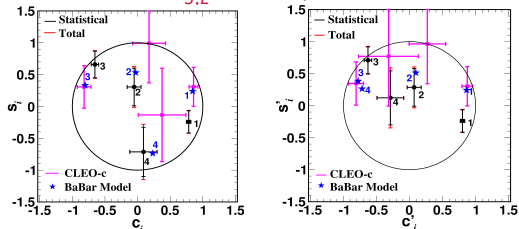


# Strong phase difference between $D^0$ and $\bar{D}^0$

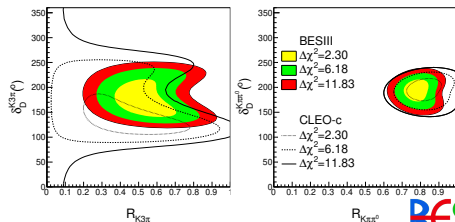
$D^0 \rightarrow K_{S,L}^0 \pi^+ \pi^-$  Phys. Rev. Lett. 124(2020)241802



$D^0 \rightarrow K_{S,L}^0 K^+ K^-$  Phys. Rev. D 102(2020)052008



$D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  and  $K^- \pi^+ \pi^0$  JHEP05(2021)164

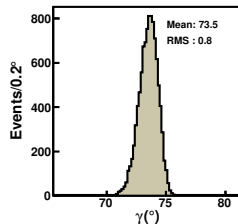
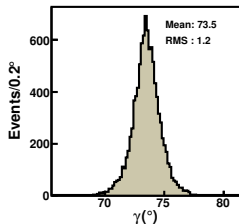
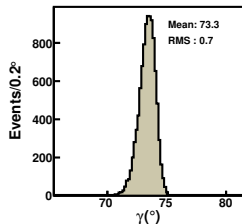




# Impact on $\gamma$ in $B^+ \rightarrow DK^+$

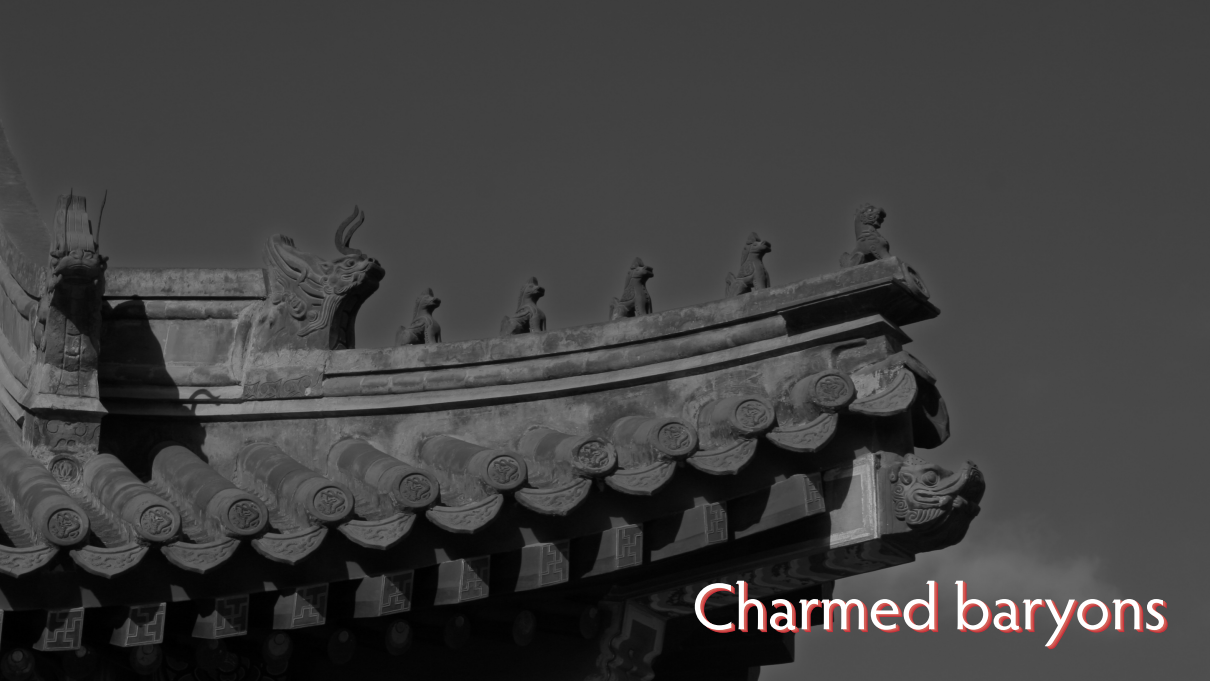
Toy study: use world average values for  $\gamma$ ,  $r_B$ ,  $\delta_B$ , generate large samples of  $B^+ \rightarrow DK^+ \rightarrow [K_S^0 \pi^+ \pi^-] K^+$  decays.

Sample  $s_i, c_i$  from BESIII measurement, determine  $\gamma$ :



Using modified optimal binning, contribution to  $\Delta\gamma$  due to strong phases in  $D$  decays is  $0.8^\circ$

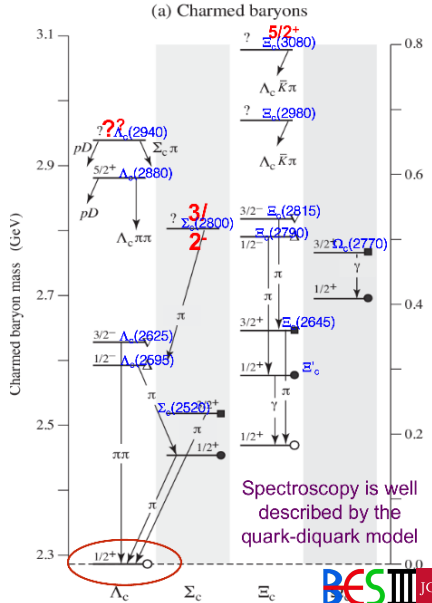
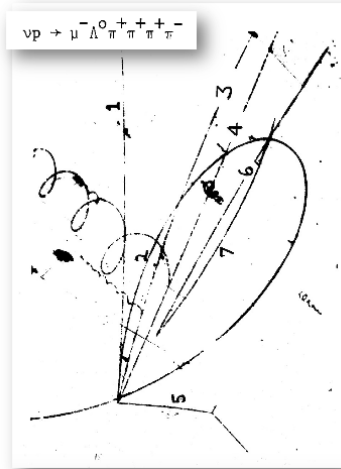
Sufficiently small for expected statistical uncertainties at LHCb prior to HL-LHC, and for Belle II



Charmed baryons

# Charmed baryons

First hint for a charmed baryon at BNL PRL34(1975)1125  
 candidate for  $\Sigma_c^{++} \rightarrow \Lambda_c^+ \pi^+$



# $\Lambda_c^+$

Situation before 2014:

fixed-target experiments (FOCUS, SELEX),  $e^+e^-$   $B$ -factories (ARGUS, CLEO, BABAR, Belle)

- Known decays only  $\approx 60\%$  of total width
- Many unknown decay channels
- Large uncertainties
- Most BF measured relative to  $\Lambda_c^+ \rightarrow pK^- \pi^+$

Large experimental uncertainties

➔ slow development in theory

Winter 2014: BESIII collects  $567 \text{ pb}^{-1}$  at 4.6 GeV,  
close to  $\Lambda_c^+ \bar{\Lambda}_c^-$  threshold  
(35 days beam time)

## $\Lambda_c^+$ data in PDG2015

$\Lambda_c^+$  DECAY MODES

Decay Mode	Fraction ( $\Gamma_i/\Gamma$ )	Scale factor/ Confidence level	$p$ (MeV/c)
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### Hadronic modes with a $p$ : $S = -1$ final states

$p\bar{K}^0$	( 3.21 ± 0.30 ) %		
$pK^- \pi^+$	( 6.84 ± 0.32 ) %		
$p\bar{K}^*(892)^0$	[q] ( 2.13 ± 0.30 ) %		22.9%
$\Delta(1232)^+ K^-$	( 1.18 ± 0.27 ) %		25.0%
$\Lambda(1520)\pi^+$	[q] ( 2.4 ± 0.6 ) %		13.3%
$pK^- \pi^+$ nonresonant	( 3.8 ± 0.4 ) %		10.5%
$p\bar{K}^0 \pi^0$	( 4.5 ± 0.6 ) %		13.3%
$p\bar{K}^0 \eta$	( 1.7 ± 0.4 ) %		23.5%
$p\bar{K}^0 \pi^+ \pi^-$	( 3.5 ± 0.4 ) %		11.4%
$pK^- \pi^+ \pi^0$	( 4.6 ± 0.8 ) %		13.0%
$pK^*(892)^- \pi^+$	[q] ( 1.5 ± 0.5 ) %		33.3%
$p(K^- \pi^+)$ nonresonant $\pi^0$	( 5.0 ± 0.9 ) %		18.0%
$\Delta(1232)\bar{K}^*(892)$	seen		
$pK^- \pi^+ \pi^+ \pi^-$	( 1.5 ± 1.0 ) × 10 <sup>-3</sup>		66.7%
$pK^- \pi^+ \pi^0 \pi^0$	( 1.1 ± 0.5 ) %		45.4%

### Hadronic modes with a $p$ : $S = 0$ final states

$p\pi^+ \pi^-$	( 4.7 ± 2.5 ) × 10 <sup>-3</sup>		45.4%
$p\phi(980)$	[q] ( 3.8 ± 2.5 ) × 10 <sup>-3</sup>		53.2%
$p\pi^+ \pi^+ \pi^- \pi^-$	( 2.5 ± 1.6 ) × 10 <sup>-3</sup>		64.0%
$pK^+ K^-$	( 1.1 ± 0.4 ) × 10 <sup>-3</sup>		36.4%
$p\phi$	[q] ( 1.12 ± 0.23 ) × 10 <sup>-3</sup>		
$pK^+ K^-$ non- $\phi$	( 4.8 ± 1.9 ) × 10 <sup>-4</sup>		

### Hadronic modes with a hyperon: $S = -1$ final states

$\Lambda\pi^+$	( 1.46 ± 0.13 ) %		8.9%
$\Lambda\pi^+ \pi^0$	( 5.0 ± 1.3 ) %		26.0%
$\Lambda\rho^+$	< 6 %	CL=95%	
$\Lambda\pi^+ \pi^+ \pi^-$	( 3.59 ± 0.28 ) %		7.8%
$\Sigma(1385)^+ \pi^+ \pi^-$ , $\Sigma^{*+} \rightarrow$	( 1.0 ± 0.5 ) %		20.0%
$\Lambda\pi^+$			
$\Sigma(1385)^- \pi^+ \pi^+$ , $\Sigma^{*-} \rightarrow$	( 7.5 ± 1.4 ) × 10 <sup>-3</sup>		18.7%
$\Lambda\pi^-$			

$\Lambda_c^+$ 

Situation before 2014:  
 fixed-target experiments  
 (ARGUS, CLEO, BABAR,

- Known decays only
- Many unknown decays
- Large uncertainties
- Most BF measured

Large experimental uncertainties  
 → slow development in

Winter 2014: BESIII collected  
 close to  $\Lambda_c^+ \bar{\Lambda}_c^-$  threshold  
 (35 days beam time)

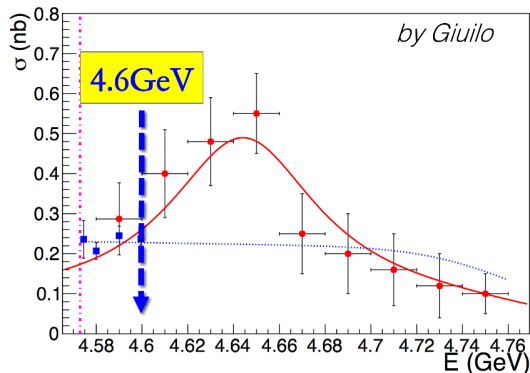


PDG2015

	Scale factor/ Confidence level	$p$ (MeV/c)
<b>with a <math>p</math>: <math>S = -1</math> final states</b>		
( $3.21 \pm 0.30$ ) %		
( $6.84^{+0.32}_{-0.40}$ ) %		
[q] ( $2.13 \pm 0.30$ ) %		22.9%
( $1.18 \pm 0.27$ ) %		25.0%
[q] ( $2.4 \pm 0.6$ ) %		10.5%
( $3.8 \pm 0.4$ ) %		13.3%
( $4.5 \pm 0.6$ ) %		23.5%
( $1.7 \pm 0.4$ ) %		11.4%
( $3.5 \pm 0.4$ ) %		13.0%
( $4.6 \pm 0.8$ ) %		33.3%
[q] ( $1.5 \pm 0.5$ ) %		18.0%
( $5.0 \pm 0.9$ ) %		
seen		
( $1.5 \pm 1.0$ ) $\times 10^{-3}$		66.7%
( $1.1 \pm 0.5$ ) %		45.4%
<b>with a <math>p</math>: <math>S = 0</math> final states</b>		
( $4.7 \pm 2.5$ ) $\times 10^{-3}$		45.4%
[q] ( $3.8 \pm 2.5$ ) $\times 10^{-3}$		53.2%
( $2.5 \pm 1.6$ ) $\times 10^{-3}$		64.0%
( $1.1 \pm 0.4$ ) $\times 10^{-3}$		36.4%
[q] ( $1.12 \pm 0.23$ ) $\times 10^{-3}$		
( $4.8 \pm 1.9$ ) $\times 10^{-4}$		
<b>hyperon: <math>S = -1</math> final states</b>		
( $1.46 \pm 0.13$ ) %		8.9%
( $5.0 \pm 1.3$ ) %		26.0%
< 6 %	CL=95%	
( $3.59 \pm 0.28$ ) %		7.8%
( $1.0 \pm 0.5$ ) %		20.0%
( $7.5 \pm 1.4$ ) $\times 10^{-3}$		18.7%

$\Lambda_c^+ \pi^- \pi^+$   
 $\Sigma(1385)^+ \pi^+ \pi^-, \Sigma^{*+} \rightarrow$   
 $\Lambda_c^+ \pi^+$   
 $\Sigma(1385)^- \pi^+ \pi^+, \Sigma^{*-} \rightarrow$   
 $\Lambda_c^+ \pi^-$

# $\Lambda_c^+$ production close to threshold



Cross section measurement at threshold:

BESIII, Phys. Rev. Lett. **120** (2018) 132001

BESIII dataset contains  $\approx 0.1M$   $\Lambda_c^+$  pairs

BESIII compared to Belle via ISR Phys. Rev. Lett. **101**  
(2008) 172001

Cross section jumps abruptly at threshold!

# BESIII $\Lambda_c^+$ results from first round of data

17 publications from first 34 days of data taking at 4.6 GeV:

- Precise, absolute BF measurements for hadronic, semi-leptonic and inclusive decays
- Observation of CS decay  $p\pi^+\pi^-$
- Evidence for CS decay  $p\eta$
- First measurements for many decay asymmetries
- $\Lambda_c^+$  spin
- EM formfactor near threshold

Very successful programme: increase energy, take more data!

	2014 : 0.567 fb <sup>-1</sup> at 4.6 GeV
<i>Hadronic decay</i>	
$\Lambda_c^+ \rightarrow pK^-\pi^+ + 11 \text{ CF modes}$	PRL 116, 052001 (2016)
$\Lambda_c^+ \rightarrow pK^+K^-, p\pi^+\pi^-$	PRL 117, 232002 (2016)
$\Lambda_c^+ \rightarrow nK_s\pi^+$	PRL 118, 12001 (2017)
$\Lambda_c^+ \rightarrow p\eta, p\pi^0$	PRD 95, 111102(R) (2017)
$\Lambda_c^+ \rightarrow \Sigma^-\pi^+\pi^0$	PLB 772, 388 (2017)
$\Lambda_c^+ \rightarrow \Xi^{0(*)}K^+$	PLB 783, 200 (2018)
$\Lambda_c^+ \rightarrow \Lambda\eta\pi^+$	PRD 99, 032010 (2019)
$\Lambda_c^+ \rightarrow \Sigma^+\eta, \Sigma^+\eta'$	CPC 43, 083002 (2019)
$\Lambda_c^+ \rightarrow \text{BP decay asymmetries}$	PRD 100, 072004 (2019)
$\Lambda_c^+ \rightarrow pK_s\eta$	PLB 817, 136327 (2021)
$\Lambda_c^+$ spin determination	PRD 103, L091101(2021)
<i>Semi-leptonic decay</i>	
$\Lambda_c^+ \rightarrow \Lambda e^+\nu_e$	PRL 115, 221805(2015)
$\Lambda_c^+ \rightarrow \Lambda\mu^+\nu_\mu$	PLB 767, 42 (2017)
<i>Inclusive decay</i>	
$\Lambda_c^+ \rightarrow \Lambda X$	PRL121, 062003 (2018)
$\Lambda_c^+ \rightarrow e^+ X$	PRL 121 251801(2018)
$\Lambda_c^+ \rightarrow K_s^0 X$	EPJC 80, 935 (2020)
<i>Production</i>	
$\Lambda_c^+ \Lambda_c^-$ cross section	PRL 120,132001(2018)

# $\Lambda_c^+$ after 2015

## $\Lambda_c^+$ data in PDG2015

$\Lambda_c^+$ DECAY MODES	Fraction ( $\Gamma_i/T$ )	Scale factor/ Confidence level	$p$ (MeV/c)
<b>Hadronic modes with a <math>p</math>: <math>S = -1</math> final states</b>			
$\rho\bar{K}^0$	(3.21 ± 0.30) %		
$\rho K^- \pi^+$	(6.84 ± 0.32) (0.40) %		
$\rho\bar{K}^*(892)^0$	[q] (2.13 ± 0.30) %	22.9%	
$\Delta(1232)^{++} K^-$	(1.18 ± 0.27) %	25.0%	
$\Lambda(1520)\pi^+$	[q] (2.4 ± 0.6) %	10.5%	
$\rho K^- \pi^+$ nonresonant	(3.8 ± 0.4) %	13.3%	
$\rho\bar{K}_S^0 \pi^0$	(4.5 ± 0.6) %	23.5%	
$\rho\bar{K}_S^0 \eta$	(1.7 ± 0.4) %	11.4%	
$\rho\bar{K}_S^0 \pi^+ \pi^-$	(3.5 ± 0.4) %	13.0%	
$\rho K^- \pi^+ \pi^0$	(4.6 ± 0.8) %	33.3%	
$\rho K^*(892)^- \pi^+$	[q] (1.5 ± 0.5) %	18.0%	
$\rho(K^- \pi^+)_{\text{nonresonant}} \pi^0$	(5.0 ± 0.9) %	seen	
$\Delta(1232) K^*(892)$	seen		
$\rho K^- \pi^+ \pi^+ \pi^-$	(1.5 ± 1.0) × 10 <sup>-3</sup>	66.7%	
$\rho K^- \pi^+ \pi^0 \pi^0$	(1.1 ± 0.5) %	45.4%	
<b>Hadronic modes with a <math>p</math>: <math>S = 0</math> final states</b>			
$\rho\pi^+ \pi^-$	(4.7 ± 2.5) × 10 <sup>-3</sup>	45.4%	
$\rho f_0(980)$	[q] (3.8 ± 2.5) × 10 <sup>-3</sup>	53.2%	
$\rho\pi^+ \pi^+ \pi^- \pi^-$	(2.5 ± 1.6) × 10 <sup>-3</sup>	64.0%	
$\rho K^+ K^-$	(1.1 ± 0.4) × 10 <sup>-3</sup>	36.4%	
$\rho\phi$	[q] (1.12 ± 0.23) × 10 <sup>-3</sup>		
$\rho K^+ K^- \text{ non-}\phi$	(4.8 ± 1.9) × 10 <sup>-4</sup>		
<b>Hadronic modes with a hyperon: <math>S = -1</math> final states</b>			
$\Lambda\pi^+$	(1.46 ± 0.13) %	8.9%	
$\Lambda\pi^+ \pi^0$	(5.0 ± 1.3) %	26.0%	
$\Lambda\rho^+$	< 6 %	CL=95%	
$\Lambda\pi^+ \pi^+ \pi^-$	(3.59 ± 0.28) %	7.8%	
$\Sigma(1385)^+ \pi^+ \pi^-, \Sigma^{*+} \rightarrow$	(1.0 ± 0.5) %	20.0%	
$\Lambda\pi^+$			
$\Sigma(1385)^- \pi^+ \pi^+, \Sigma^{*0} \rightarrow$	(7.5 ± 1.4) × 10 <sup>-3</sup>	18.7%	
$\Lambda\pi^-$			

## PDG 2020

<b>Hadronic modes with a <math>p</math> or <math>n</math>: <math>S = -1</math> final states</b>			
$\Gamma_1$	$\rho\bar{K}_S^0$	(1.59 ± 0.08) %	↓44% S=1.1
$\Gamma_2$	$\rho K^- \pi^+$	(6.28 ± 0.32) %	S=1.4
$\Gamma_3$	$\rho\bar{K}^*(892)^0$	[a] (1.96 ± 0.27) %	
$\Gamma_4$	$\Delta(1232)^{++} K^-$	(1.08 ± 0.25) %	
$\Gamma_5$	$\Lambda(1520)\pi^+$	[a] (2.2 ± 0.5) %	
$\Gamma_6$	$\rho K^- \pi^+$ nonresonant	(3.5 ± 0.4) %	
$\Gamma_7$	$\rho\bar{K}_S^0 \pi^0$	(1.97 ± 0.13) %	↓50% S=1.1
$\Gamma_8$	$n\bar{K}_S^0 \pi^+$	(1.82 ± 0.25) %	First
$\Gamma_9$	$\rho\bar{K}_S^0 \eta$	(1.6 ± 0.4) %	
$\Gamma_{10}$	$\rho\bar{K}_S^0 \pi^+ \pi^-$	(1.60 ± 0.12) %	↓28% S=1.1
$\Gamma_{11}$	$\rho K^- \pi^+ \pi^0$	(4.46 ± 0.30) %	↓61% S=1.5
$\Gamma_{12}$	$\rho K^*(892)^- \pi^+$	[a] (1.4 ± 0.5) %	
$\Gamma_{13}$	$\rho(K^- \pi^+)_{\text{nonresonant}} \pi^0$	(4.6 ± 0.8) %	
$\Gamma_{14}$	$\Delta(1232) K^*(892)$	seen	
$\Gamma_{15}$	$\rho K^- 2\pi^+ \pi^-$	(1.4 ± 0.9) × 10 <sup>-3</sup>	
$\Gamma_{16}$	$\rho K^- \pi^+ 2\pi^0$	(1.0 ± 0.5) %	
<b>Hadronic modes with a <math>p</math>: <math>S = 0</math> final states</b>			
$\Gamma_{17}$	$\rho\pi^0$	< 2.7 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{18}$	$\rho\eta$	(1.24 ± 0.30) × 10 <sup>-3</sup>	First
$\Gamma_{19}$	$\rho\omega(782)^0$	(9 ± 4) × 10 <sup>-4</sup>	
$\Gamma_{20}$	$\rho\pi^+ \pi^-$	(4.61 ± 0.28) × 10 <sup>-3</sup>	First
$\Gamma_{21}$	$\rho f_0(980)$	[a] (3.5 ± 2.3) × 10 <sup>-3</sup>	
$\Gamma_{22}$	$\rho 2\pi^+ 2\pi^-$	(2.3 ± 1.4) × 10 <sup>-3</sup>	
$\Gamma_{23}$	$\rho K^+ K^-$	(1.06 ± 0.06) × 10 <sup>-3</sup>	
$\Gamma_{24}$	$\rho\phi$	[a] (1.06 ± 0.14) × 10 <sup>-3</sup>	↓36%
$\Gamma_{25}$	$\rho K^+ K^- \text{ non-}\phi$	(5.3 ± 1.2) × 10 <sup>-4</sup>	
$\Gamma_{26}$	$\rho\phi\pi^0$	(10 ± 4) × 10 <sup>-5</sup>	
$\Gamma_{27}$	$\rho K^+ K^- \pi^0$ nonresonant	< 6.3 × 10 <sup>-5</sup>	CL=90%
<b>Hadronic modes with a hyperon: <math>S = -1</math> final states</b>			
$\Gamma_{28}$	$\Lambda\pi^+$	(1.30 ± 0.07) %	S=1.1
$\Gamma_{29}$	$\Lambda\pi^+ \pi^0$	(7.1 ± 0.4) %	↓78% S=1.1
$\Gamma_{30}$	$\Lambda\rho^+$	< 6 %	CL=95%
$\Gamma_{31}$	$\Lambda\pi^- 2\pi^+$	(3.64 ± 0.29) %	S=1.4

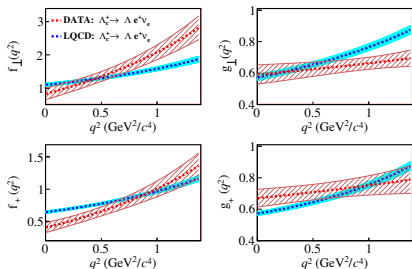
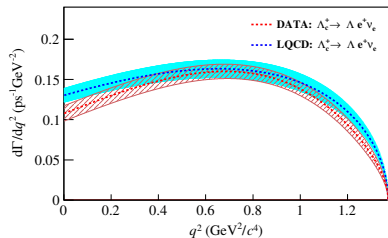
$\Gamma_{44}$	$\Sigma^0 \pi^+$	(1.29 ± 0.07) %	↓45% S=1.1
$\Gamma_{45}$	$\Sigma^+ \pi^0$	(1.25 ± 0.10) %	↓33%
$\Gamma_{46}$	$\Sigma^+ \eta$	(4.4 ± 2.0) × 10 <sup>-3</sup>	
$\Gamma_{47}$	$\Sigma^+ \eta'$	(1.5 ± 0.6) %	
$\Gamma_{48}$	$\Sigma^+ \pi^+ \pi^-$	(4.50 ± 0.25) %	↓46% S=1.1



# $\Lambda_c^+$ semileptonic decays: LQCD vs data

BESIII, Phys. Rev. Lett. **129** (2022) 231803

LQCD: S. Meinel, Phys. Rev. Lett. **118** (2017) 082001

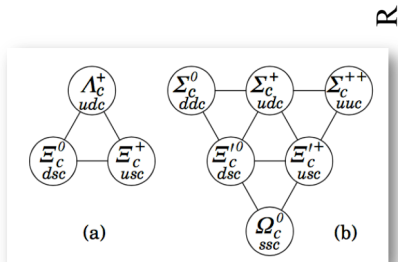


LQCD calculation used measured branching fraction as input: differential decay rate in rather good agreement

Form factors in data quite different from LQCD calculations

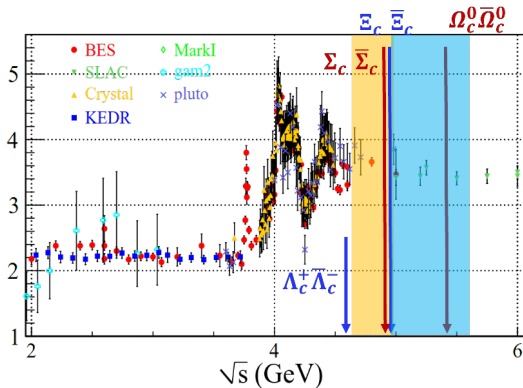
Availability of high-quality, precise data essential!

# Heavier charmed baryons



## Energy thresholds

$\Lambda_c^+ \bar{\Sigma}_c^-$	4.74 GeV
$\Lambda_c^+ \bar{\Sigma}_c \pi$	4.88 GeV
$\Sigma_c \bar{\Sigma}_c$	4.91 GeV
$\Xi_c \bar{\Xi}_c$	4.94 GeV
$\Xi_c' \bar{\Xi}_c'$	5.16 GeV
$\Omega_c \bar{\Omega}_c$	5.40 GeV



With energy upgrade to 5.6 GeV, can cover all **ground-state charmed baryons** in detail  
 Study production and decays of **excited charmed baryons**

# BESIII Quo Vadis?

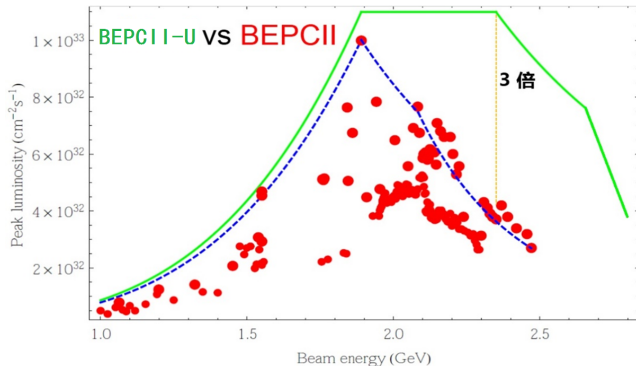
- Flavour physics at BESIII: **charm**
- Large data samples compared to predecessors (CLEO, ...), but small compared to LHCb
- Big advantage: production near threshold, closed kinematics, clean events, neutrals and missing energy!
- (Semi-)Leptonic decays of charmed hadrons
- Unique data sample: quantum-correlated  $D^0\bar{D}^0$  pairs to measure strong phases

just submitted our 500th paper: mini-workshop to celebrate on 31st May

<https://indico.ihep.ac.cn/event/19694/timetable/>

# Upgrade to accelerator: BEPCII-U project

- **Goal:** improve luminosity at large  $\sqrt{s}$
- **Easiest upgrade:** install more RF power, optimize machine lattice
- **Bonus:** running above  $\sqrt{s} \sim 5$  GeV becomes feasible  
charmed baryons besides  $\Lambda_c^+$ :  $\Sigma_c$ ,  $\Xi_c$ ,  $\Omega_c$



# Outlook for BESIII

- Currently running on  $\psi(3770)$ , with the goal to collect  $20 \text{ fb}^{-1}$  in total
- **Challenge:** improve systematic uncertainties!
- Upgrade of inner tracking system (ageing):  
installation of 3-layer CGEM detector (2024)
- Upgrades to accelerator already performed
  - ▶ better feedback systems
  - ▶ automated switching from  $e^-$  to  $e^+$ , for top-up injection ( $\mathcal{L}_{\text{int}} + 30\%$ )
  - ▶ power supplies and cooling for magnets, to allow running at higher  $\sqrt{s}$
  - ▶ **RF power upgrade** to reach up to 5.6 GeV

Operate BESIII for several years after upgrade (2030?)

More exciting and precise results to come from the new larger datasets

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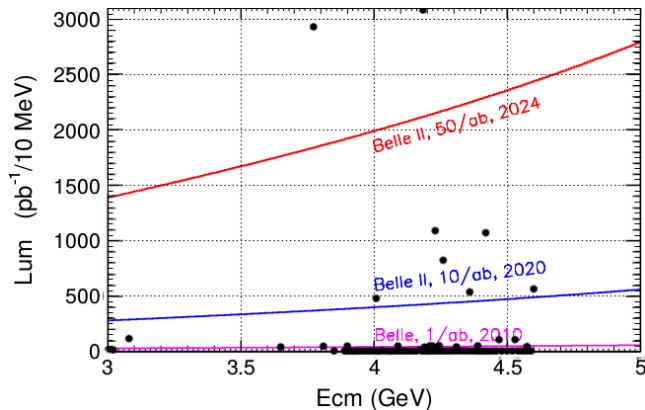


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# Luminosity expectation Belle II (ISR) vs BESIII (direct)

B2TIP WG7



Note: old luminosity projection for Belle II; current  $\mathcal{L}_{\text{int}} = 428 \text{ fb}^{-1}$ , target is  $4 \text{ ab}^{-1}$  by 4/2026