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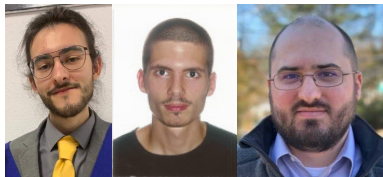
Felix Huber, U. Bordeaux

Uncertainty relations and coding bounds from state polynomial optimization

joint works with:

Moisés Morán & Gerard Munné, Jagiellonian U. Kraków

Andrew Nemec, Texas A & M



Quantum uncertainty relations

Heisenberg uncertainty relation

$$\Delta x \cdot \Delta p \geq \frac{\hbar}{2\pi}$$

Pauli observable uncertainty

$$\Delta X + \Delta Y + \Delta Z \geq 2$$

where

$$\Delta \hat{O} = \langle \hat{O}^2 \rangle_{\rho} - \langle \hat{O} \rangle_{\rho}^2$$

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-
- ▶ Non-commuting operators cannot be simultaneously measured.
 - ▶ How to find such relations systematically?

Generalizing the Pauli uncertainty

- ▶ Operators $\{A_i\}_{i=1}^n$ and $\chi_{ij} \in \{0, 1\}$ such that

$$A_i A_j = (-1)^{\chi_{ij}} A_j A_i, \quad A_i = A_i^\dagger, \quad A_i^2 = \mathbb{1}$$

- ▶ Encode relations in graph:

$$i \sim j \quad \text{if} \quad A_i A_j = -A_j A_i$$

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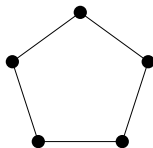
$$i \sim j \quad \text{if} \quad A_i A_j = -A_j A_i$$

Example

$$\mathcal{A}_1 = \{XZIII, IXZII, IIXZI, IIIXZ, ZIIIX\}$$

$$\mathcal{A}_2 = \{XIX, ZXI, IZX, ZZZ, ZIX\}$$

- ▶ Same anti-commutativity graph
- ▶ Same uncertainty relation



$$\sum_{A \in \mathcal{A}_1} \Delta A = \sum_{A' \in \mathcal{A}_2} \Delta A' \geq |\mathcal{A}| - \sum_{A \in \mathcal{A}} \langle A \rangle_\rho^2 = n - \beta$$

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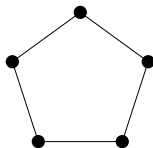
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(reversible Clifford circuit connects sets \mathcal{A}_1 and \mathcal{A}_2)

Aim: determine β

Operators $\{A_i\}_{i=1}^n$ and $\chi_{ij} \in \{0, 1\}$ such that

$$A_i A_j = (-1)^{\chi_{ij}} A_j A_i, \quad A_i = A_i^\dagger, \quad A_i^2 = \mathbb{1}$$

Aim: determine

$$\beta = \sup_{\rho, \mathcal{H}, A_i} \sum_{i=1}^n \langle A_i \rangle_{\rho}^2$$

Then $\sum_i \Delta A_i \geq n - \beta$ is a tight additive uncertainty relation.

- ▶ To find β , use NPA hierarchy

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- ▶ To find β , use NPA hierarchy



Navascués-Pironio-Acín hierarchy / quantum Lasserre

- ▶ Goal: find largest eigenvalue of a non-commutative expression under constraints, e.g. $\max \text{tr}(P\rho)$, P a polynomial.
- ▶ Applications: Bell inequalities, bounds on ground state energy

Key idea: index a moment matrix with monomials in operators (X_1, \dots, X_n) up to some degree ℓ , $M(P, Q) = \langle P^\dagger Q \rangle$

Example

Two operators A, B

$$M = \begin{matrix} & \mathbb{1} & A & B & A^2 & AB & \dots \\ \mathbb{1} & \langle \mathbb{1} \rangle & \langle A \rangle & \langle B \rangle & \langle A^2 \rangle & \langle AB \rangle & \\ A & \langle A^\dagger A \rangle & \langle A^\dagger B \rangle & \langle A^\dagger A^2 \rangle & \langle A^\dagger AB \rangle & & \\ B & & \langle B^\dagger B \rangle & \langle B^\dagger A^2 \rangle & \langle B^\dagger AB \rangle & & \\ A^2 & & & \langle (A^2)^\dagger A^2 \rangle & \langle (A^2)^\dagger AB \rangle & & \\ AB & & & & \langle (AB)^\dagger AB \rangle & & \\ \vdots & & & & & & \ddots \end{matrix} \succeq 0$$

Navascués-Pironio-Acín hierarchy (II)

$$M = \begin{array}{c} \mathbb{1} \\ A \\ B \\ A^2 \\ AB \\ \vdots \end{array} \left[\begin{array}{cccccc} \mathbb{1} & A & B & A^2 & AB & \dots \\ \langle \mathbb{1} \rangle & \langle A \rangle & \langle B \rangle & \langle A^2 \rangle & \langle AB \rangle & \\ \langle A^\dagger A \rangle & \langle A^\dagger B \rangle & \langle A^\dagger A^2 \rangle & \langle A^\dagger AB \rangle & & \\ \langle B^\dagger B \rangle & \langle B^\dagger A^2 \rangle & \langle B^\dagger AB \rangle & & & \\ \langle (A^2)^\dagger A^2 \rangle & \langle (A^2)^\dagger AB \rangle & & & & \\ \langle (AB)^\dagger AB \rangle & & & & & \\ \vdots & & & & & \ddots \end{array} \right] \succeq 0,$$

- ▶ Apply constraints from observable relations:
 $M(P, Q) = M(R, S)$ if $P^\dagger Q = R^\dagger S$
- ▶ Objective function is linear combination of entries.
- ▶ Maximize over all matrices $M \succeq 0$ satisfying the constraints.

Problem: $\beta = \sum_{i=1}^n \langle A_i \rangle^2$ is **quadratic** in the entries!

DPS/symmetric extension hierarchy

Idea: Use the Doherty-Parrilo-Spedalieri / symmetric extension hierarchy to get quadratic terms.

Quantum de Finetti theorem: Given k -partite ϱ_k . If for all $n \in \mathbb{N}$, there exists ϱ_n such that $\pi \varrho_n \pi^{-1} = \varrho_n$ for all $\pi \in S_n$ and

$$\text{tr}_{n \setminus k}(\varrho_n) = \varrho_k$$

then

$$\varrho_k = \int \varrho^{\otimes k} d\mu(\varrho)$$

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- ▶ Approximate $M^{\otimes 2}$ by a hierarchy of $\text{tr}_{n \setminus 2}(M_n)$

$$M_n(P, Q; E, F; \dots; K, L) = \langle P^\dagger Q \rangle \langle E^\dagger F \rangle \dots \langle K^\dagger L \rangle$$

- ▶ Converging sequence of upper bounds on $\beta = \sum_i \langle A_i \rangle^2$.

“Scalar extension” Pozas et al 2017, “State polynomial optimization” Klep et al 2023

Theta body

Optimization is over set

$$\text{TH}(G) = \left\{ \text{diag}(M) \mid \begin{pmatrix} \mathbf{1} & \mathbf{x}^T \\ \mathbf{x} & M \end{pmatrix} \succeq 0, x_i = M_{ii} \forall i, M_{ij} = 0 \text{ if } i \sim j \right\}.$$

with $i \sim j$ if $A_i A_j = -A_j A_i$

- ▶ $\text{TH}(G)$ is also known as the theta body of G .
- ▶ Maximum over sum of $\text{TH}(G)$ is the Lovász theta number.

This gives the bound

$$\alpha(G) \leq \beta \leq \vartheta(G)$$

where the lower bound is the independence number α .

Stronger relaxations

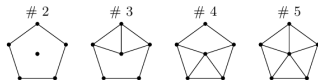
Index by k products of $\langle A_i^\dagger \rangle A_i$

- Efficiently computable bounds

$$\alpha \leq \beta \leq \vartheta_k \leq \dots \leq \vartheta_1$$

where α is the independence number of a graph.

- Generalizes to arbitrary operators (qudits...)



ϑ_1	3.2361	2.2361	2.2361	2.2361
ϑ_2	3.0000	2.0000	2.0000	2.0000
α	3	2	2	2

	1	2	3	4	5	6	7	8	
ϑ_1	2.2361	3.2361	2.2361	2.2361	2.2361	4.2361	3.2361	3.2361	
ϑ_2	2.0000	3.0000	2.0000	2.0000	2.0000	4.0000	3.0000	3.0000	
α	2	3	2	2	2	4	3	3	
	9	10	11	12	13	14	15	16	
ϑ_1	3.2361	3.2361	3.2361	3.2361	3.2361	3.2361	3.2361	3.2361	
ϑ_2	3.0000	3.0000	3.0000	3.0000	3.0000	3.0000	3.0000	3.0000	
α	3	3	3	3	3	3	3	3	
	17	18	19	20	21	22	23	24	
ϑ_1	3.2361	3.1966	3.0642	3.3177	3.2361	3.1966	3.2361	3.1966	
ϑ_2	3.0000	3.0000	3.0000	3.0000	3.0000	3.0000	3.0000	3.0000	
α	3	3	3	3	3	3	3	3	
	25	26	27	28	29	30	31	32	
ϑ_1	2.2361	2.2361	2.2361	2.2361	2.2361	2.2361	2.2361	2.2361	
ϑ_2	2.0000	2.0000	2.0000	2.0000	2.0000	2.0000	2.0000	2.0000	
α	2	2	2	2	2	2	2	2	
	33	34	35	36	37	38	39	40	
ϑ_1	2.2361	2.2361	2.2361	2.2361	2.2361	2.2361	2.2361	2.2361	
ϑ_2	2.0363	2.0056	2.0085	2.0033	2.0249	2.0392	2.0121	2.0024	
ϑ_3	2.0067	2.0004	2.0017	2.0000	2.0047	2.0052	2.0006	2.0000	
ϑ_4	2.0013	2.0000	2.0003	2.0000	2.0014	2.0011	2.0002	2.0000	
α	2	2	2	2	2	2	2	2	
	41	42	43						
ϑ_1	2.2361	2.2361	2.1099						
ϑ_2	2.0910	2.0000	2.0950						
ϑ_3	2.0076	2.0000	2.0938						
ϑ_4	2.0024	2.0000	2.0938						
α	2	2	2						

Outlook

- ▶ When is $\alpha = \beta$?
See Xu/Schwonnek/Winter PRX Quantum 5 (2), 020318 (2024)
- ▶ Position-momentum uncertainty also holds classically.
A theory for distinguishing classical from quantum uncertainty / moment inequalities?

For example, classically for all measures μ

$$\left(\int x^4 y^2 d\mu\right) \left(\int x^2 y^4 d\mu\right) - \left(\int x^2 y^2 d\mu\right)^3 \geq 0$$

Klep et al. 2024

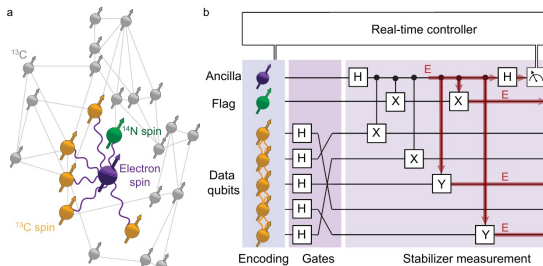
What is the quantum bound?

- ▶ Other interesting non-linear expressions in QIT?
→ Quantum Error correcting codes :)

Quantum computing



Blatt laboratory, Abobeih et al. 2022



- ▶ Information is physical (atoms, photons, electric charges ...)
 - ▶ Quantum physics is noisy / quantum information is fragile
 - ▶ Quantum information cannot be treated classically (state collapse, no-cloning, continuity of states & errors)
- ... we need a way to protect quantum states from noise

Quantum error-correcting codes

- ▶ A quantum code encodes quantum information (e.g. a qubit) redundantly. The encoded state can be recovered after being affected by noise.
- ▶ Quantum codes form the backbone of quantum computers: allow for fault-tolerant processing of quantum information.

Example

$$\alpha |0\rangle + \beta |1\rangle \mapsto \alpha |000\rangle + \beta |111\rangle$$

- ▶ circumvents no-cloning.
- ▶ discretization of errors.
(detect single flip by measurement of ZZI , ZIZ , IZZ)
- ▶ syndrome measurement collapses state onto code subspace.

Conditions for quantum error correction

- ▶ Code is a subspace $(\mathbb{C}^2)^{\otimes n}$, represented by a projector Π .
- ▶ Noise acts as a quantum channel on a state ρ

$$\mathcal{N}(\rho) = \sum_{E_\mu \in \mathcal{N}} E_\mu(\rho)E_\mu^\dagger$$

where $\sum_\mu E_\mu^\dagger E_\mu = \mathbb{1}$.

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- ▶ Think of this as a type of **sphere packing**: equivalent to

$$\langle \phi | E_\mu E_\nu | \psi \rangle = c_{\mu\nu} \langle \phi | \psi \rangle$$

for all $E_\mu, E_\nu \in N$. and $|\phi\rangle, |\psi\rangle \in \text{ran}(\Pi)$.

Our goal: correct all tensor-product errors of at most weight δ .

The *Pauli matrices* form a basis for complex 2×2 matrices.

$$\begin{aligned} I &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & Y &= \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \\ X &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, & Z &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \end{aligned}$$

- ▶ Tensor-product basis

$$\mathcal{E}_n = \left\{ E_\alpha = e_{\alpha_1} \otimes \dots \otimes e_n \mid e_i \in \{I, X, Y, Z\} \right\}$$

- ▶ Weight $\text{wt}(E_\alpha)$ is the number of coordinates where E_α acts non-trivially. E.g. $\text{wt}(IXIZZ) = 3$

Fundamental question in (quantum) coding theory

A $((n, K, \delta))$ quantum code is then a projector Π on $(\mathbb{C}^2)^{\otimes n}$ of rank K , such that

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- ▶ A code is *pure*, if $c_{ab} \propto \text{tr}(E_a E_b)$ for $1 < \text{wt}(E_a^\dagger E_b) < \delta$.
(maximally mixed marginals)
- ▶ A code is *self-dual* if $K = 1$ and the code is pure.

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Question

For a given block length n and distance d , what is the maximal size K for quantum codes $((n, K, d))_D$ on n quDits?

- ▶ Linear programming bounds
- ▶ Analytical bounds (q. Singleton)
- ▶ SDP bounds...?

Contributions

Result (A)

A quantum code with parameters $((n, K, \delta))_2$ exists if and only if a certain SDP hierarchy is feasible at every level.

Result (B)

The Lovász number bounds the existence of self-dual quantum codes. A symmetrization recovers the quantum Delsarte bound.

Result (C)

There is an SDP of size $O(n^4)$ based on the Terwilliger algebra. Codes with parameters $((7, 1, 4))_2$, $((8, 9, 3))_2$, and $((10, 5, 4))_2$ do not exist.

An attempt: Stabilizer codes

- ▶ Stabilizer group $S = \langle g_1, \dots, g_{n-k} \rangle$, $-\mathbb{1} \notin S$, g_i generators.
- ▶ Projector onto the code subspace

$$\Pi = \frac{1}{2^{n-k}} \sum_{i=1}^{n-k} (\mathbb{1} + g_i) = \frac{1}{2^{n-k}} \sum_{s \in S} s$$

- ▶ Simplest case: graph states $g_i = X_i \otimes_{j \in N(i)} Z_j$
- ▶ Commutative group: $[g_i, g_j] = 0$ for all g_i, g_j .

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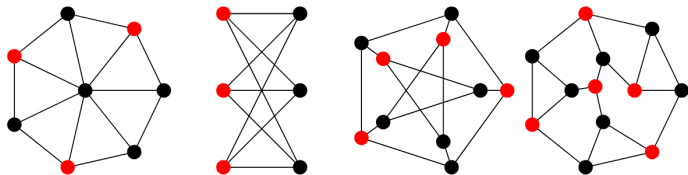
Is there a stabilizer code with $K = 1$ with distance δ (i.e. a $\delta - 1$ -uniform graph state)?

- ▶ Need maximal commuting subgroup of Pauli group.
- ▶ If $E_a E_b = -E_b E_a$, then not both can be in S .
- ▶ If $1 < \text{wt}(E_a) < \delta$, then E_a is not in S .

Independence number

Independence number (maximum size of disconnected set)

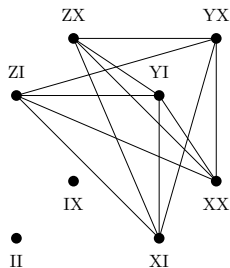
$$\alpha = \max_{H \subset V} |H| \quad \text{s.t.} \quad (i,j) \notin E \quad \text{for all} \quad i,j \in H$$



- ▶ It is known that $\alpha(G) \leq \theta(G)$
- ▶ ϑ is efficiently computable, α is not

Lovász bound for stabilizer codes

- ▶ Index by “Pauli cube”:
 $\mathcal{E}_{n,\delta} = \{E_a \in \mathcal{E}_n \mid \text{wt}(E_a) \geq \delta\}$
- ▶ Confusability graph:
 $a \sim b$ if $E_a E_b = -E_b E_a$.
- ▶ If a self-dual stabilizer code with distance δ exists, then there is an independent set of size $\alpha = 2^n$
- ▶ As a consequence: $2^n \leq 1 + \vartheta(G)$.



Lovász bound for all quantum codes

Key idea (c.f. uncertainty relations)

- ▶ Write $\langle E_a \rangle$ for $\text{tr}(E_a \varrho)$ with $\varrho = \Pi/K$.
- ▶ Construct moment matrix $\Gamma_{ab} = \langle E_a^\dagger \rangle \langle E_b \rangle \langle E_a E_b^\dagger \rangle$ for $E_\alpha \in \mathcal{E}_n$,

$$\Gamma = \begin{matrix} & \mathbb{1} & \langle E_1 \rangle E_1^\dagger & \cdots & \langle E_N \rangle E_N^\dagger \\ \begin{matrix} \mathbb{1} \\ \langle E_1 \rangle E_1^\dagger \\ \vdots \\ \langle E_N \rangle E_N^\dagger \end{matrix} & \left[\begin{array}{cccc} \mathbb{1} & \Gamma_{01} & \cdots & \Gamma_{0N} \\ 1 & \Gamma_{11} & \cdots & \Gamma_{1N} \\ & & \ddots & \vdots \\ & & & \Gamma_{NN} \end{array} \right] & \succeq 0, \end{matrix}$$

- ▶ For two qubits, one would index with $\langle II \rangle II, \langle IX \rangle IX, \dots, \langle YZ \rangle YZ, \langle ZZ \rangle ZZ$.

Lovász bound for all quantum codes (II)

$$\Gamma = \begin{matrix} & \mathbb{1} & \langle E_1 \rangle E_1^\dagger & \dots & \langle E_N \rangle E_N^\dagger \\ \mathbb{1} & \left[\begin{array}{cccc} \mathbb{1} & \Gamma_{01} & \dots & \Gamma_{0N} \\ \langle E_1^\dagger \rangle E_1 & \Gamma_{11} & \dots & \Gamma_{1N} \\ \vdots & & \ddots & \vdots \\ \langle E_N^\dagger \rangle E_N & & & \Gamma_{NN} \end{array} \right] & & & \\ \end{matrix} \succeq 0,$$

$$N = 4^n - 1$$

Consider $K = 1$. Then:

- ▶ $\Gamma_{00} = \langle \mathbb{1} \rangle = 1$
- ▶ $\Gamma_{ab} = \Gamma_{a0} = \langle E_a \rangle^2$
- ▶ $\sum_{a=0}^N \Gamma_{aa} = 2^n$, corresponding to $\text{tr}(\rho^2) = 1$.

Note: If Γ is a valid moment matrix, then so is $(\Gamma + \Gamma^T)/2$.

Impose extra condition:

- ▶ $\Gamma_{ab} = 0$ if $E_a E_b = -E_b E_a$.

Lovász bound for all quantum codes (III)

This corresponds to a hyperplane in the theta body

$$\text{TH}(G) = \left\{ \text{diag}(M) \mid \begin{pmatrix} 1 & x^T \\ x & M \end{pmatrix} \succeq 0, x_a = M_{aa} \forall a, M_{ab} = 0 \text{ if } a \sim b \right\}.$$

where the *quantum confusability graph* has $\mathcal{E}_n \setminus \mathbb{1}$ as vertices and

$$\begin{aligned} a \sim b & \text{ if } \begin{cases} 0 < \text{wt}(E_a E_b) < \delta & \text{or} \\ E_a E_b = -E_b E_a \end{cases} \\ a \sim a & \text{ if } 0 < \text{wt}(E_a) < \delta \end{aligned}$$

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Lovász bound on self-dual quantum codes

If a $((n, 1, \delta))$ code exists, then

- b) $\text{TH}(G)$ contains an element with $2^n = 1 + \sum_{a=1}^N M_{aa}$ and
- a) $2^n \leq \vartheta(G) + 1$

► Already excludes the $((4, 1, 3))$ code / four-qubit AME state.

Symmetry-reduction

This scales badly: Pauli cube has 4^n elements!

- ▶ Average Γ over all row and column permutations which keep triples of weights $i = \text{wt}(E_a)$, $j = \text{wt}(E_b)$, and $k = \text{wt}(E_a^\dagger E_b)$ invariant.
- ▶ The resulting matrix

$$\tilde{\Gamma} = \sum_{\pi \in \text{Aut}_0} \pi \Gamma \pi^{-1}$$

can be block-diagonalized with the Terwilliger algebra.

- ▶ This results in an SDP of size $O(n^4)$.

Gijswijt, Schrijver, Tanaka, J. Comb. Theory, A 113, 8, 2006, 1719-1731

→ Efficiently computable Lovasz bounds!

Complete SDP hierarchy for code existence

1. Formulate the Knill-Laflamme conditions $\Pi E_a E_b \Pi = c_{ab} \Pi$ as

$$K \sum_{\substack{E \in \mathcal{E}_n \\ \text{wt}(E)=j}} \text{tr}(E \rho E^\dagger \rho) = \sum_{\substack{E \in \mathcal{E}_n \\ \text{wt}(E)=j}} \text{tr}(E \rho) \text{tr}(E^\dagger \rho)$$

for $j < \delta$. (In short: $KB_j = A_j$ for $j < \delta$)

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2. State polynomial optimization: Consider non-commutative letters $\{x_i\}$. Form words $w = x_{j_1} \dots x_{j_k}$. Associate expectations $\langle w \rangle$ behaving as $v \langle w \rangle = \langle w \rangle v$ and $\langle v \langle w \rangle \rangle = \langle v \rangle \langle w \rangle$. State monomials have the form $w_{i_1} \langle w_{i_2} \rangle \dots \langle w_{i_m} \rangle$. Use Positivstellensatz for positive state polynomials / corresponding moment hierarchy. (Note: Γ from above is an intermediate level!) Klep et al. 2023
- This recovers RHS in above condition. LHS...?

Complete SDP hierarchy (II)

3. Use the quantum MacWilliams identity

$$B(x, y) = A\left(\frac{x + 3y}{2}, \frac{x - y}{2}\right),$$

where $A(x, y) = \sum_{j=0}^n A_j(\Pi) x^{n-j} y^j$ and likewise for $B(x, y)$.

4. Hierarchy is dimension-free: restrict to qubits by characterization of quasi-Clifford algebras with generator relations $\alpha_i \alpha_j = (-1)^{\chi_{ij}} \alpha_j \alpha_i$, $\chi_{ij} \in \{0, 1\}$ and $\alpha_i^2 = 1$.

Gastineau-Hills 1982

5. Impose that $\rho = \Pi/K$: use swap-like constraints,

$$\text{tr}(\rho^m) = \text{tr}((1, 2, \dots, m)\rho^{\otimes m}) = \frac{1}{K^{m-1}}$$

expanded in Pauli matrices.

Applications

- ▶ Averaging the Lovász bound over distance-preserving automorphism leads to the quantum Delsarte bound,

$$\eta = \max \sum_{j=0}^n A_j,$$

subject to $A_0 = 1$, $A_j \geq 0$ with equality for $1 < j < \delta$,

$$\sum_{i=0}^n K_j(i) A_i \geq 0 \quad \text{for } j = 0, \dots, n.$$

If $\eta < 2^n$, then code does not exist.

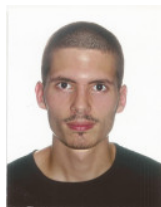
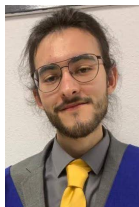
- ▶ Hierarchy with $O(n^4)$ scaling: Average over distance and zero-preserving automorphisms. Symmetry-reduce using the 4-ary Terwilliger algebra. Gijswijt, Schrijver, Tanaka 2006
- ▶ Infeasibility certificates for $((7, 1, 4))$, $((8, 9, 3))$, $((10, 5, 4))$ codes.

Contributions

- ▶ Complete hierarchies of SDP bounds for uncertainty relations and the existence of quantum codes.
- ▶ Quantum analogies of the classical Lovász and Delsarte bounds.
- ▶ Numerically practical relaxations.
- ▶ Flexibility of applications, formally dimension-free: extensions to qudit codes & more general confusability graphs possible.

M. Morán, FH, Uncertainty relations from state polynomial optimization,
arXiv:2408.10323

G. Munné, A. Nemeč, FH, SDP bounds on quantum codes,
arXiv:2310.00612, PRL 132, 200202 (2024)



- ▶ Other applications for non-linear expressions in expectations?
- ▶ A theory for classical vs quantum moments?
- ▶ More general settings: quantum capacity of a graph?
- ▶ Rational certificates for exact non-existence proof.