

“ $B \rightarrow D^* \ell \nu$ semileptonic decays in lattice QCD”

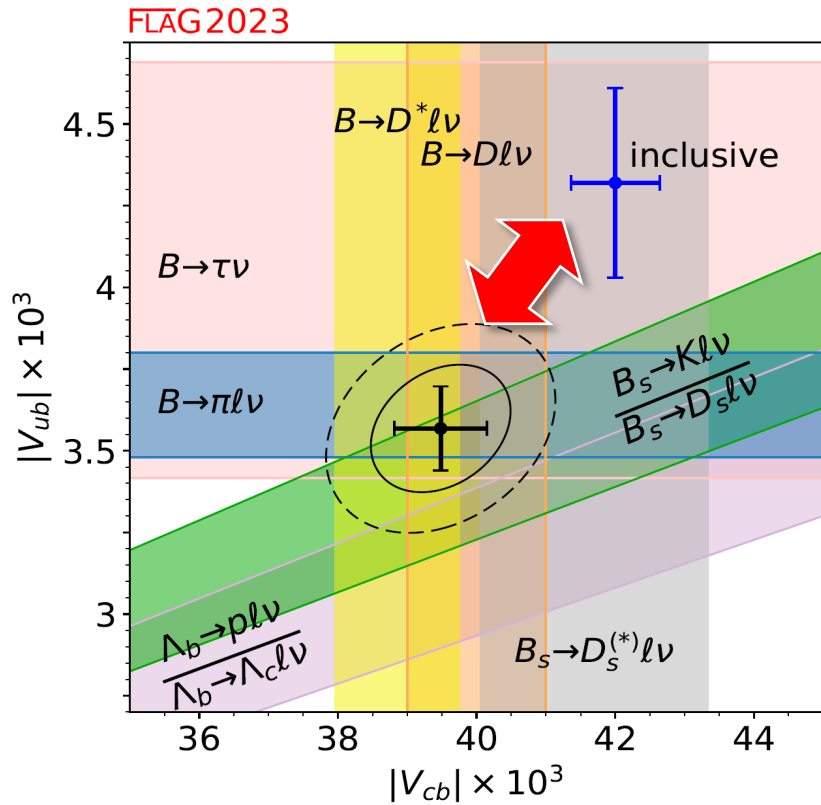
KEK, SOKENDAI Takashi Kaneko

Lattice meets Continuum @ University of Siegen, Sep 30 – Oct 3, 2024

$B \rightarrow D^* \ell \nu$ & lattice QCD

$\ell = e, \mu$ modes

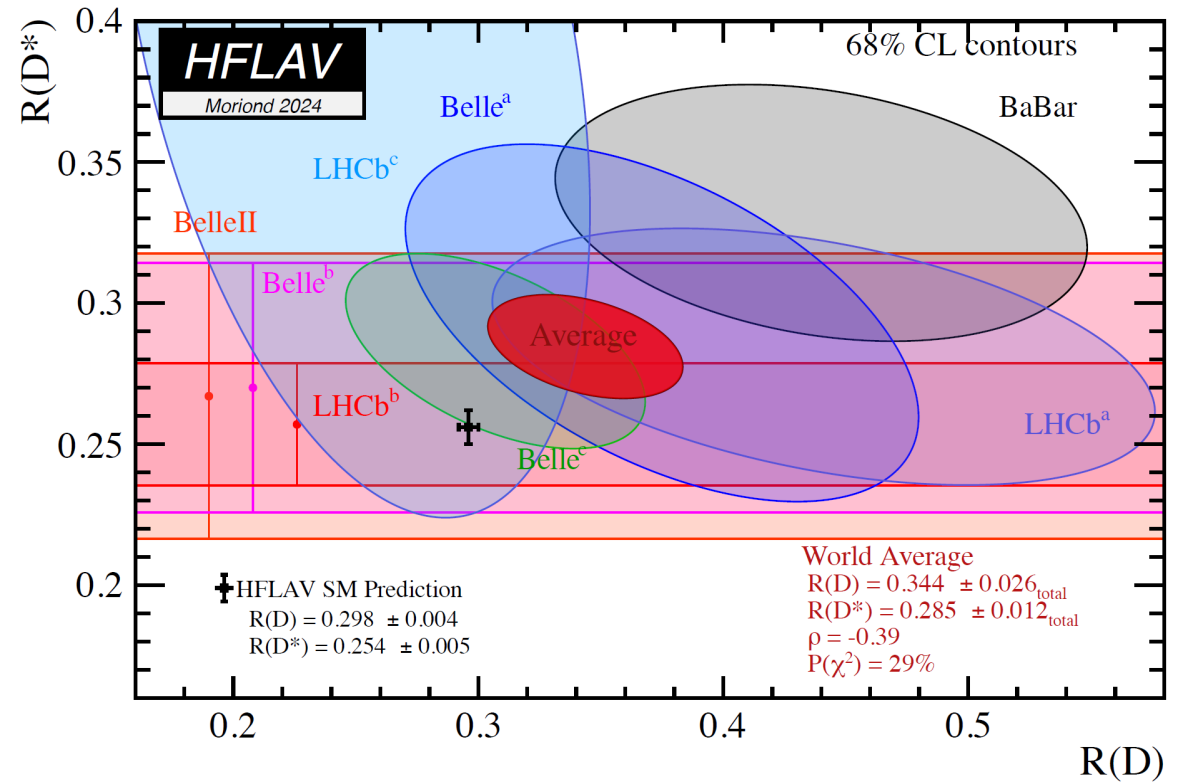
- determination of $|V_{cb}|$



- $\geq 8\%$, 3σ tension w/ inclusive decay

semitauonic mode $\ell = \tau$

- hint of NP thru LF universality violation

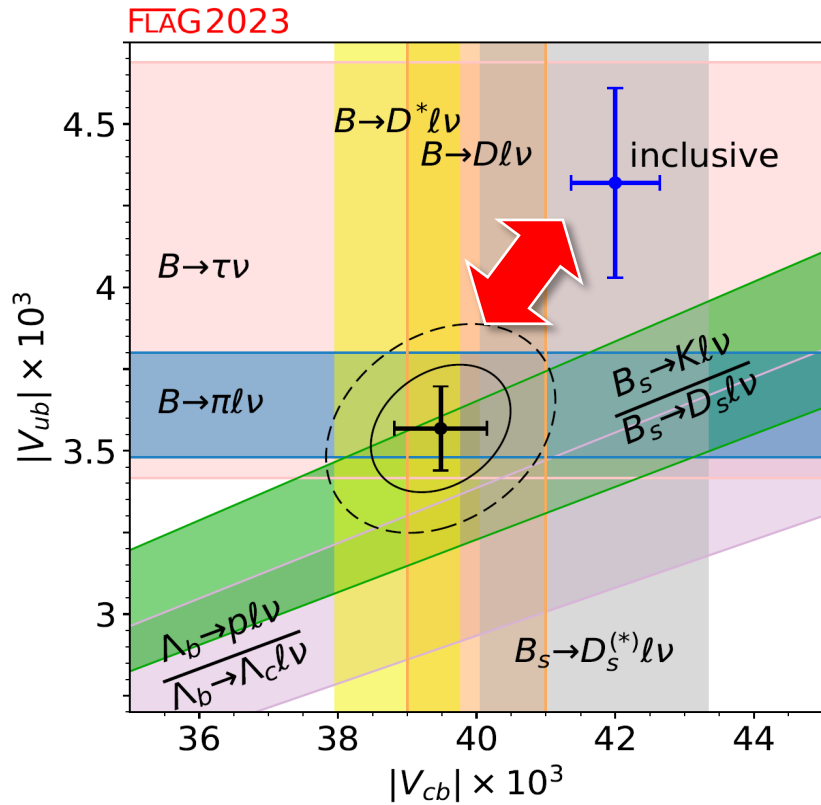


- for pure SM estimates of $R(D_*)$

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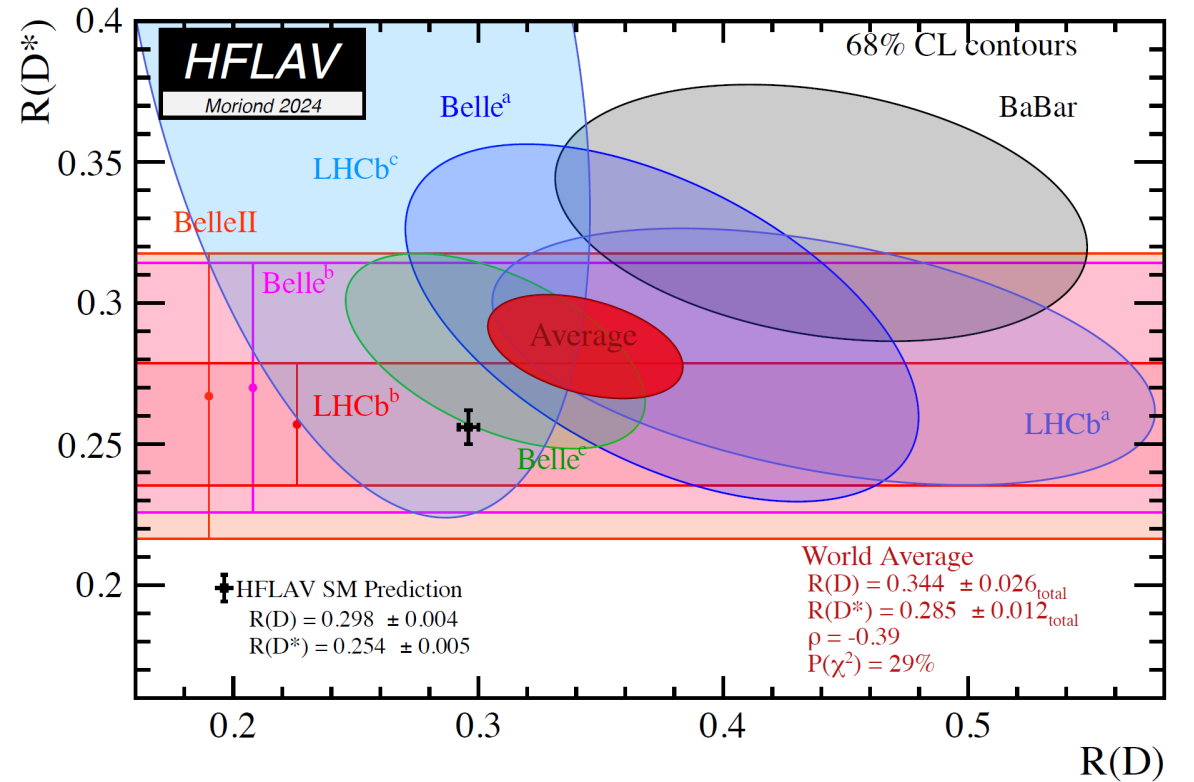
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lattice QCD – all relevant form factors (FFs)

this talk

"cover $B \rightarrow D^* \ell \nu$ from the perspectives of your own collaboration"

JLQCD data in some technical/physics details + some comparisons

- calculation of FFs @ simulation points
- extrapolation to the real world
- parameterization of FF data
- [$|V_{cb}|$ and $R(D^*)$]

see talks by [Alex Vaquero](#), [Martin Jung](#) and [Raynette van Tonder](#)

for lattice, phenomenology, experiment status

calculation of FFs @ simulation points

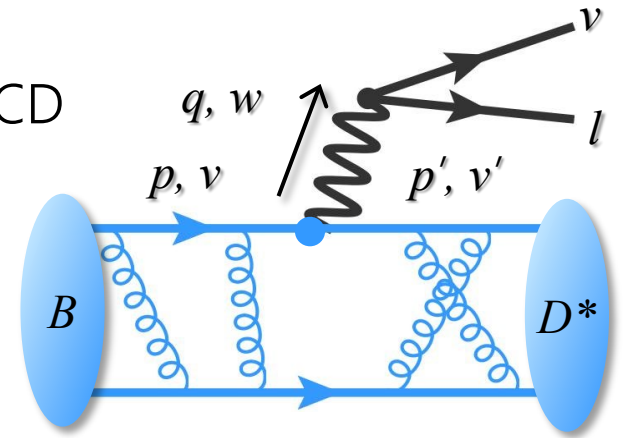
$B \rightarrow D^* \ell \nu$ form factors

in "heavy quark" convention

w/ NR normalization, v 's instead of p 's \Rightarrow less sensitive to $m_Q \Rightarrow$ lattice QCD

$$\langle D^*(p', \varepsilon') | V_\mu | B(p) \rangle = i \epsilon_{\mu\nu\rho\sigma} \varepsilon'^\nu v'^\rho v^\sigma h_V(w)$$

$$\langle D^*(p', \varepsilon') | A_\mu | B(p) \rangle = \varepsilon'_\mu (w + 1) h_{A_1}(w) - \varepsilon' v \{ v_\mu h_{A_2}(w) + v'_\mu h_{A_3}(w) \}$$



$$w = vv' \geq 1 \text{ (zero recoil)}$$

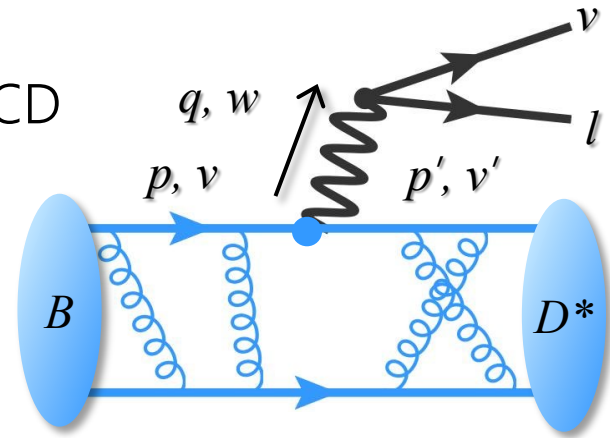
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before '21

- minimal input to determine $|V_{cb}|$ had been calculated : only h_{A_1} at $w=1$
- other FFs [$h_{A_1}(w)$ @ $w \neq 1$, $h_{A_2}(w)$, $h_{A_3}(w)$, $h_V(w)$] from exp't \Rightarrow previous $|V_{cb}|$, $R(D^*)$

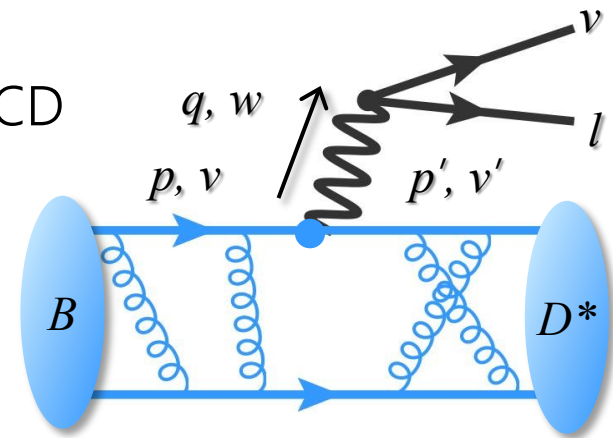
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3 collaborations recently calculated all FFs also at $w \neq 1$

Fermilab/MILC '21 : 1st calculation w/ EFT-based b quarks at physical $m_{b,\text{phys}}$

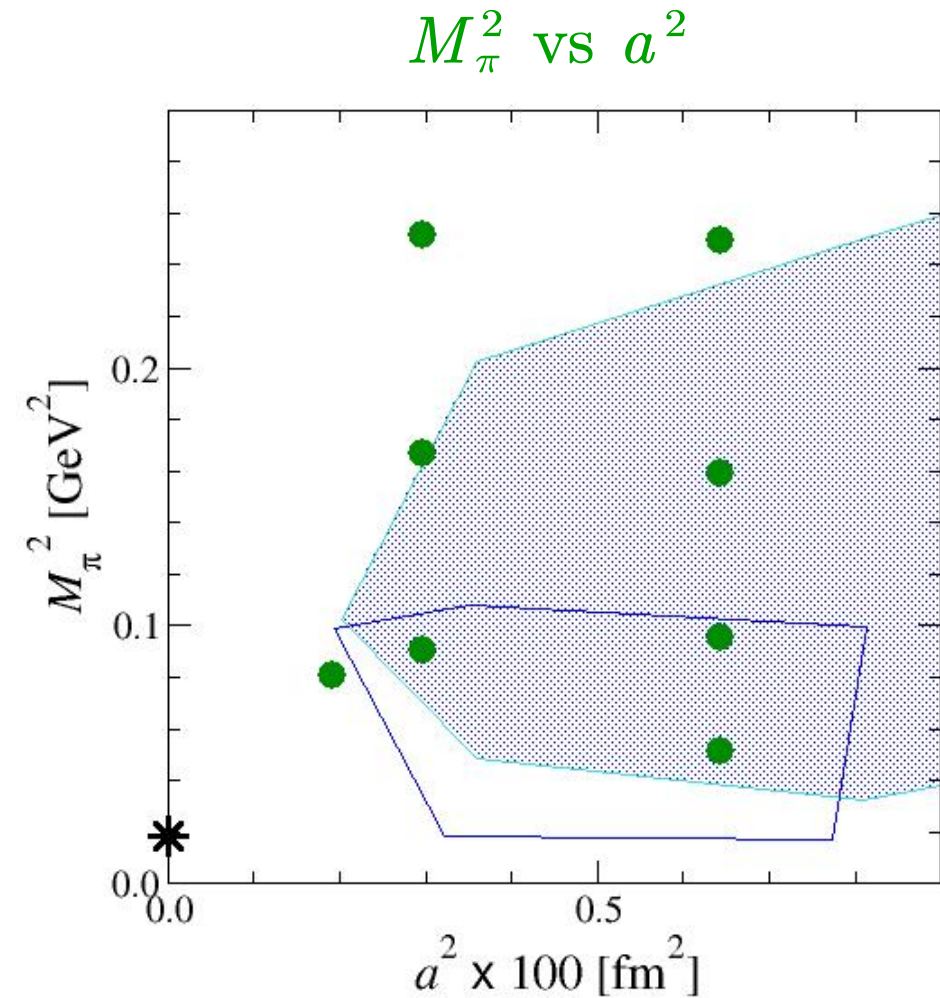
HPQCD '23 : computationally-inexpensive & relativistic b at unphysically small m_b

JLQCD '23 : theoretically clean & relativistic b at unphysically small m_b

gauge ensembles

JLQCD '23

- a chiral fermion-formulation to preserve chiral symmetry
- $N_f = 2+1$ ($m_u = m_d = m_{ud}$)
- 3 cutoffs $a^{-1} \lesssim 4.5$ GeV $\sim m_{b,\text{phys}}$ $\Leftrightarrow \mathcal{O}(a)$ error
- $M_\pi \gtrsim 230$ MeV \Leftrightarrow ChPT at $a=0$



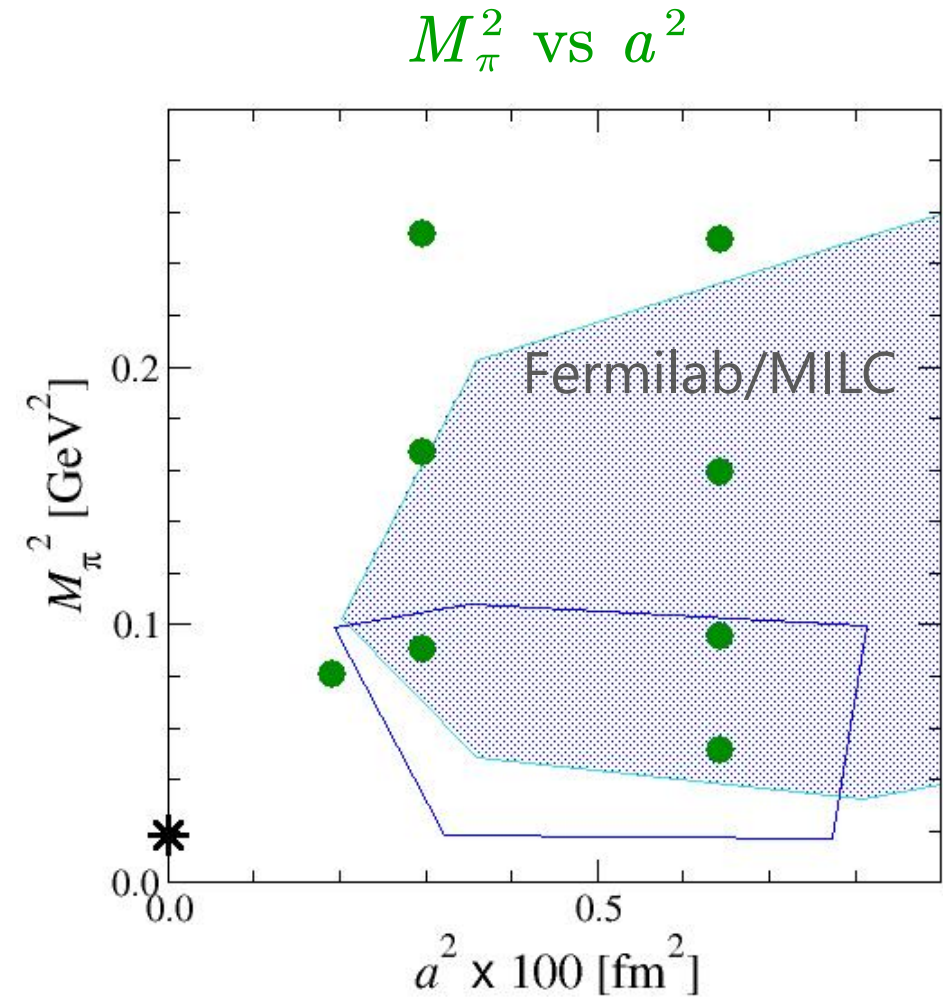
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- much higher statistics than JLQCD



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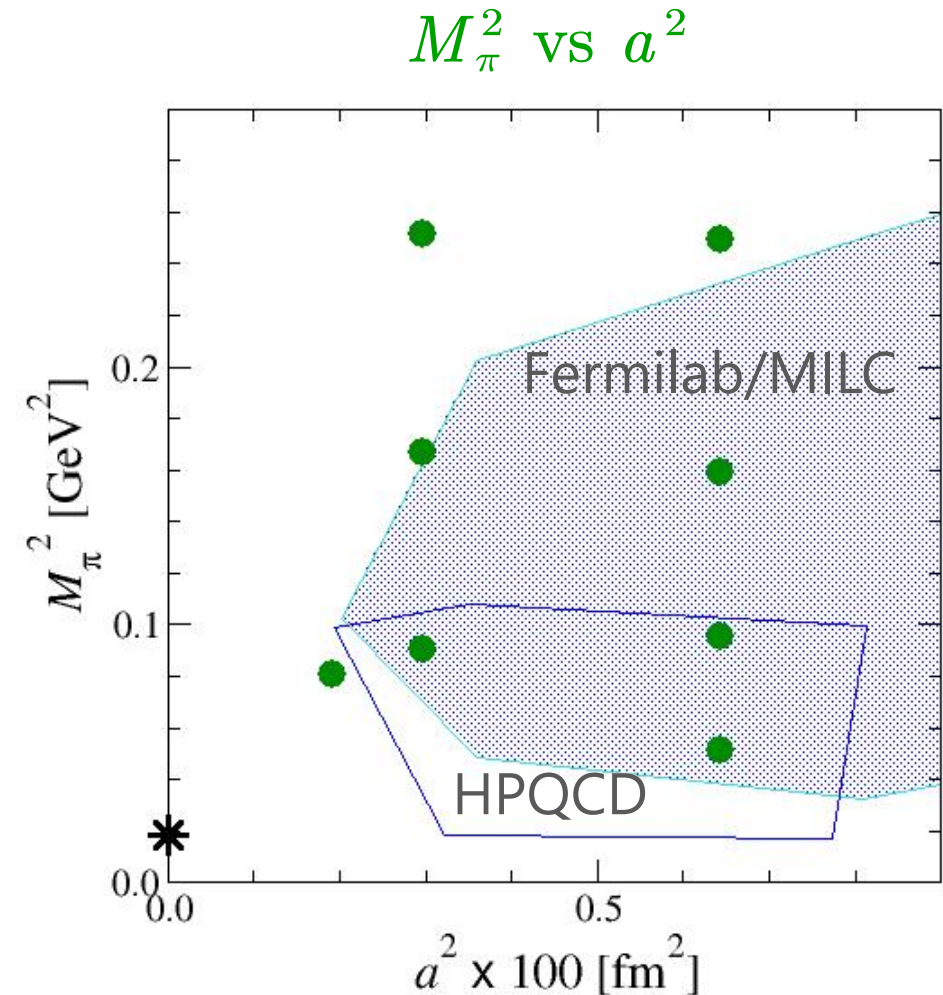
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- recent $N_f=2+1+1$ ensembles w/ another staggered-type
- similar cutoffs ($B \rightarrow D^* \ell \nu$) w/ $\mathcal{O}(\alpha_s \nu a^2)$ errors
- physical $M_{\pi,\text{phys}} \Leftrightarrow$ mild M_π dependence (later) $\Leftrightarrow B \rightarrow \pi \ell \nu$



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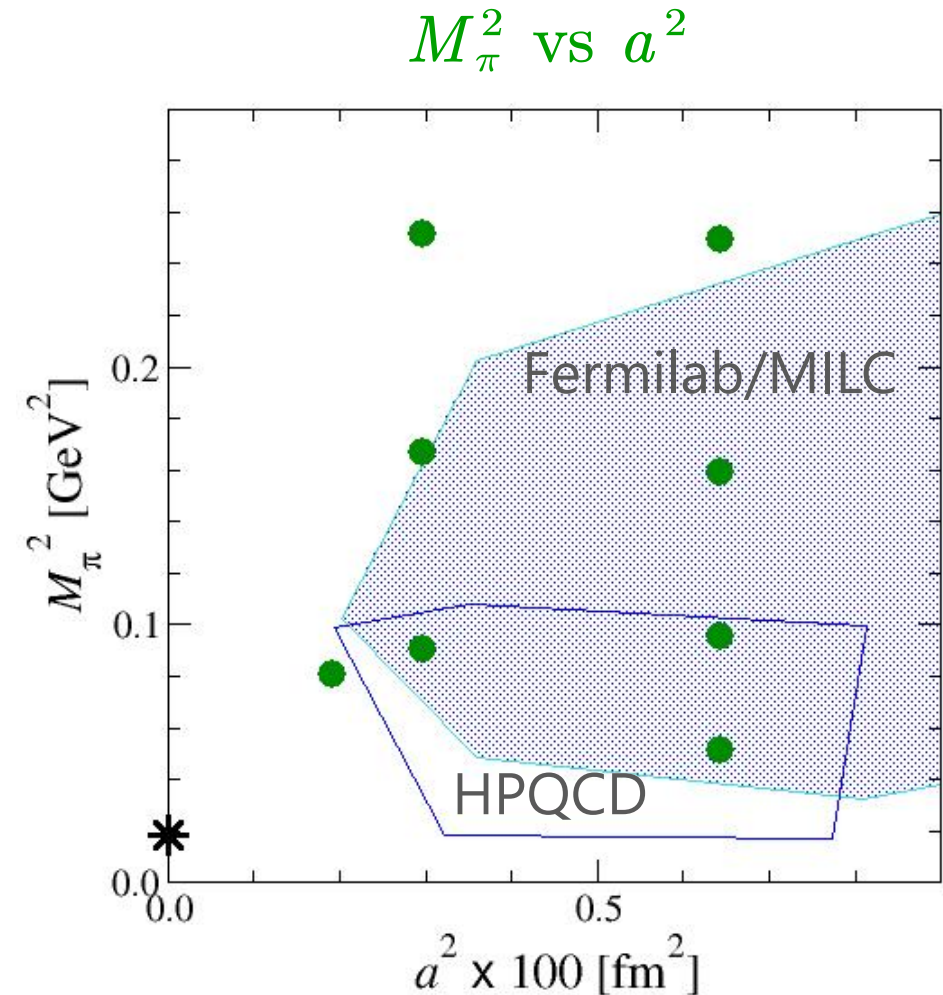
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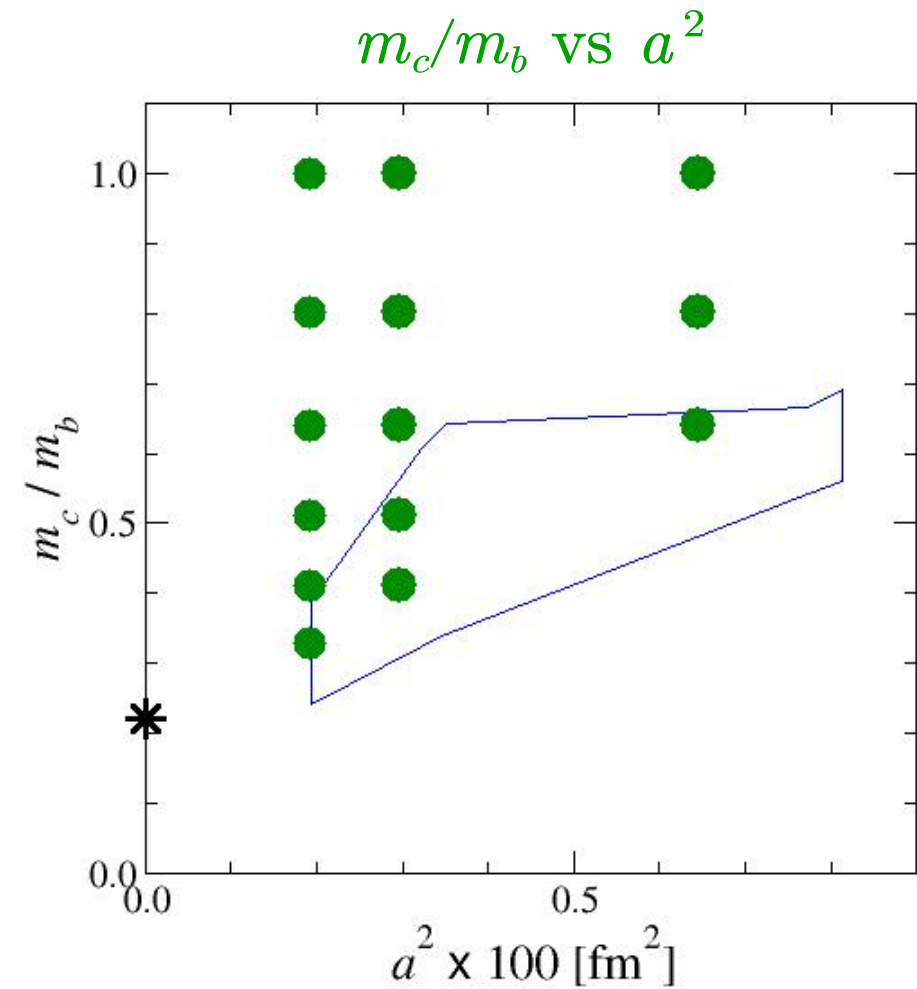
\Rightarrow e.g. Fermilab/MILC, HPQCD : w/ more extended set of $N_f=2+1+1$ ensembles (e.g. Lattice '24)



$B \rightarrow D^*$ correlation functions

JLQCD '23

- $am_b < 0.7 \Leftrightarrow a \neq 0$ errors \ll order counting $O((am_b)^2)$
- $m_b \leq 3.2$ GeV \Rightarrow extrap to $m_{b,\text{phys}}$ \Leftrightarrow mild m_b dependence
- $w \lesssim 1.1$ w/ $|a\mathbf{p}| \leq 0.40$
- simple renormalization of lattice operators [see below]



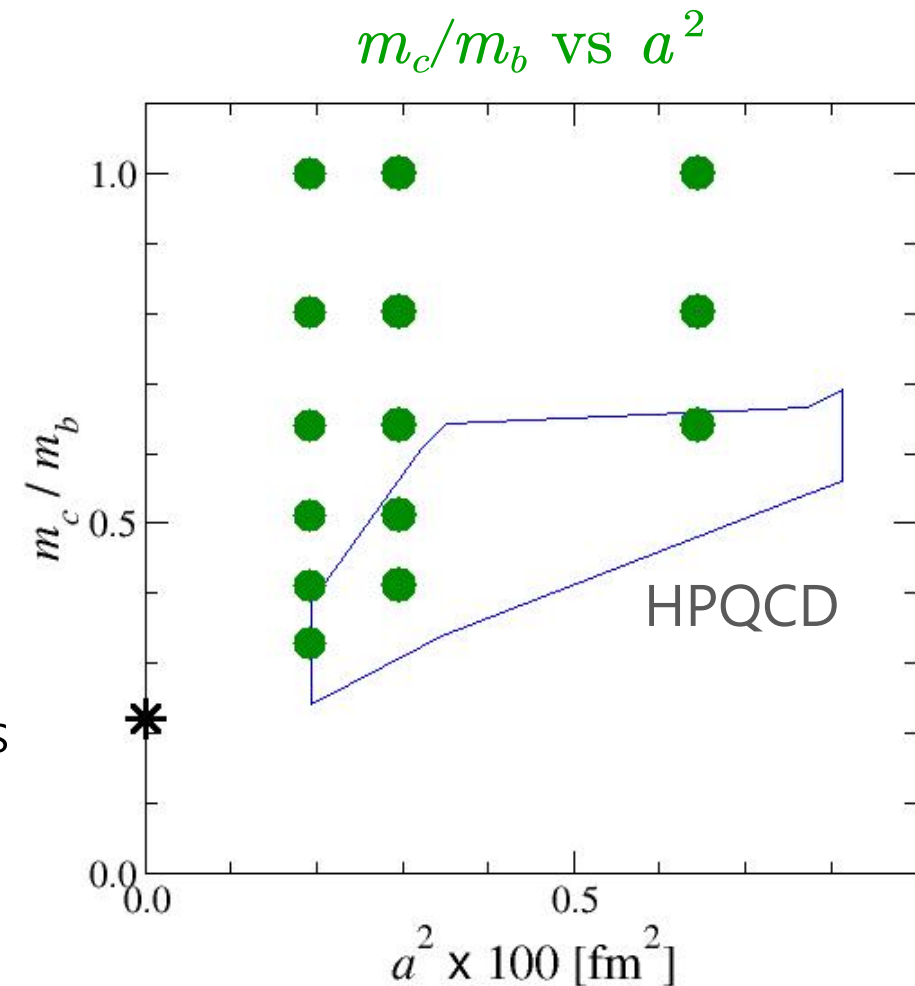
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HPQCD '23

- relativistic approach w/ $am_b < 0.8$
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- v2 : more conservative error for renormalization of lattice op.s



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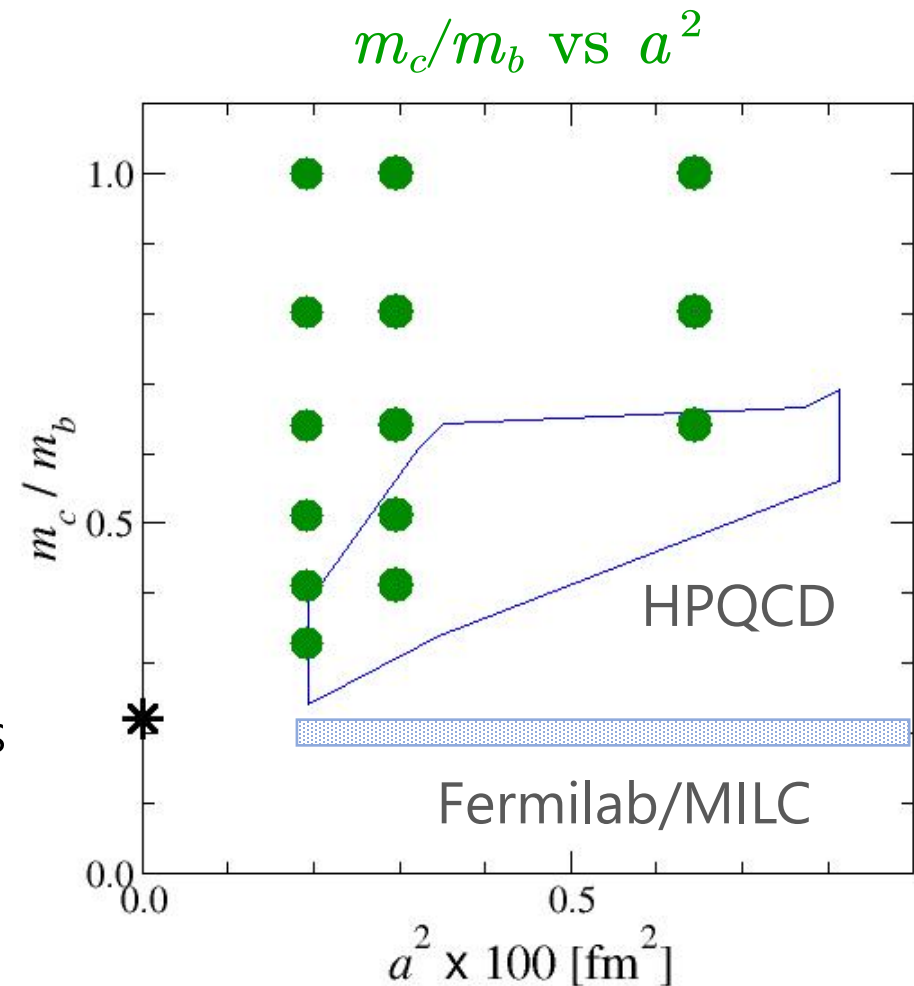
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- $f(am_b)O(|a\mathbf{p}_b|^n) a \neq 0$ errors \Rightarrow directly @ $m_{b,\text{phys}}$
- perturbative matching w/ QCD



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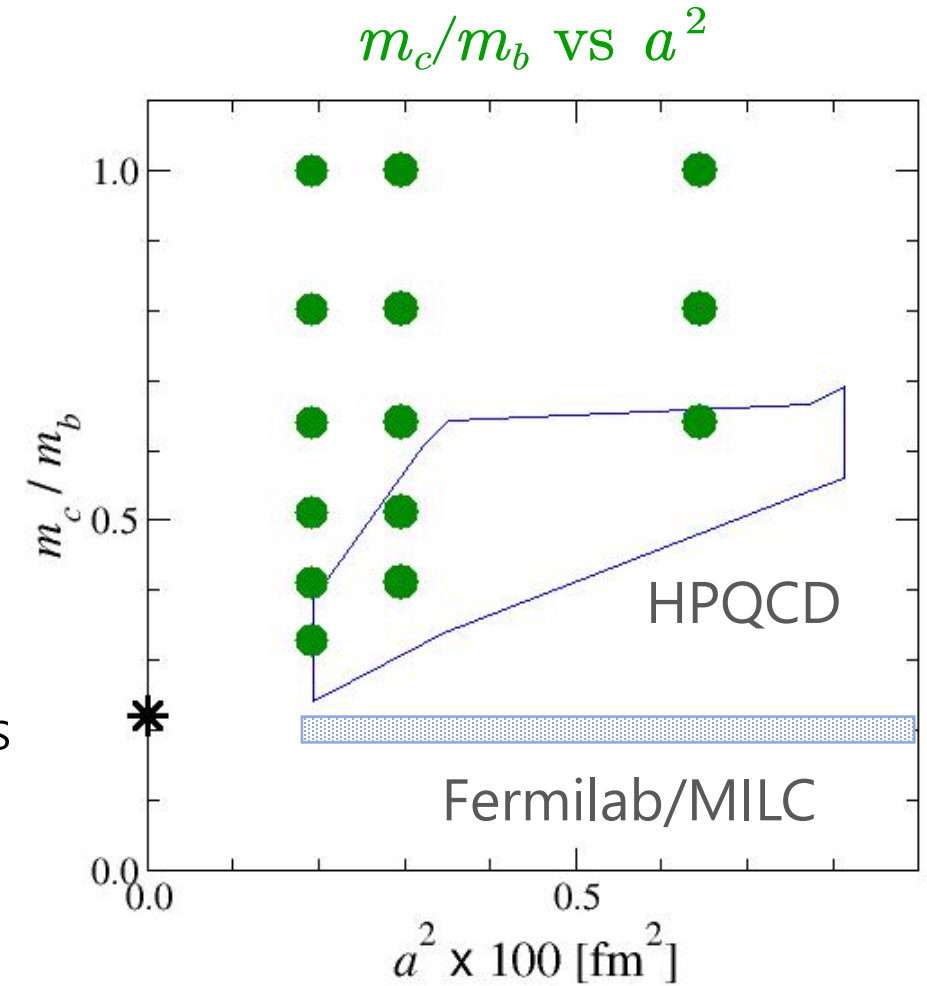
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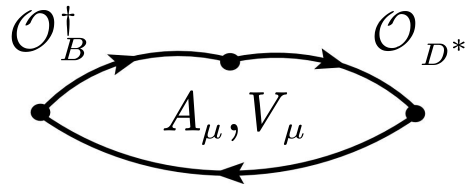
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independent studies w/ different systematics



extraction of FFs

main observables – B and D^* correlation functions on the lattice → MEs



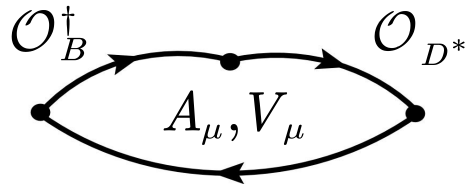
$$C_{A_\mu}^{BD^*}(\mathbf{p}, \mathbf{p}'; \Delta t, \Delta t') = \frac{Z_B Z_{D^*}^*}{4E_B E_{D^*}} \langle D^*(p) | A_\mu | B(p) \rangle e^{-E_B \Delta t} e^{-E_{D^*} \Delta t'} + O\left(e^{-\Delta E_{B(D^*)} \Delta t^{(0)}}\right)$$

simultaneous fit to all correlators : HPQCD

– straightforward (w/ huge correlation matrix)

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ratio method [Hashimoto et al. '99] : Fermilab/MILC, JLQCD

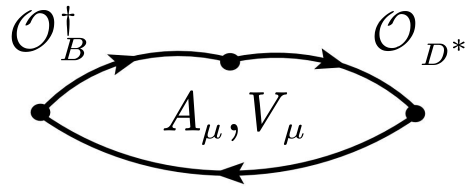
– designed to cancel unnecessary factors / reduce excited state contribution, ...

e.g. to determine h_{A_1} @ $|\mathbf{p}| = |\mathbf{p}'| = 0$

$$R(\Delta t, \Delta t') = \frac{C_{A_4}^{BD^*}(\Delta t, \Delta t') C_{A_4}^{D^*B}(\Delta t, \Delta t')}{C_{V_4}^{BB}(\Delta t, \Delta t') C_{V_4}^{D^*D^*}(\Delta t, \Delta t')} = \frac{\langle D^* | A_4 | B \rangle \langle B | A_4 | D^* \rangle}{\langle B | V_4 | B \rangle \langle D^* | V_4 | D^* \rangle} = h_{A_1} (w=1)^2$$

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– JLQCD w/ chiral symmetry : do not need explicit renormalization for SM FFs \Leftrightarrow HPQCD's update v2

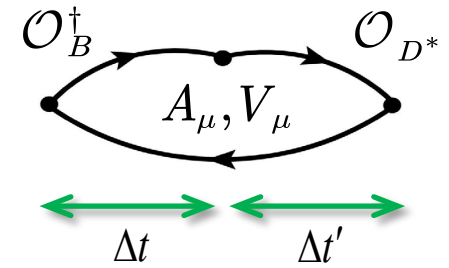
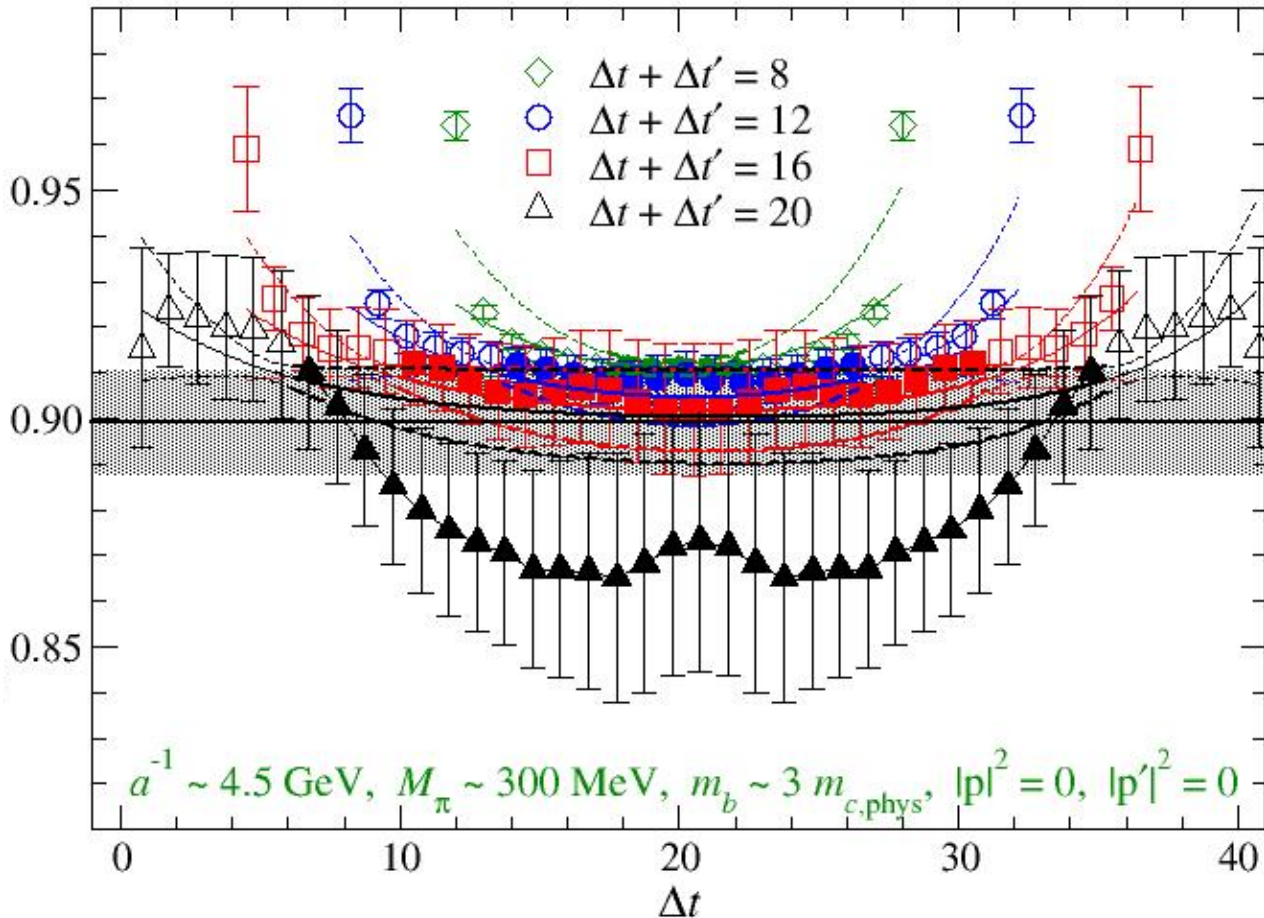
ground state saturation : JLQCD

could be serious issue for $B \rightarrow \pi \ell \nu$ (talk by Oliver Bär) : process / lattice op's dependent issue – $B \rightarrow D^* \ell \nu$?

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JLQCD's data of "R" ($\rightarrow h_{A1}(1)$)

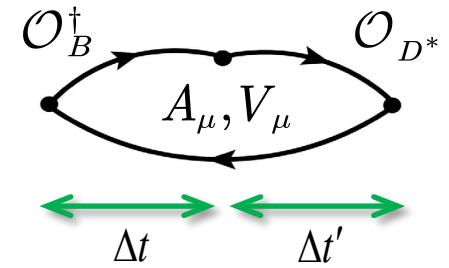
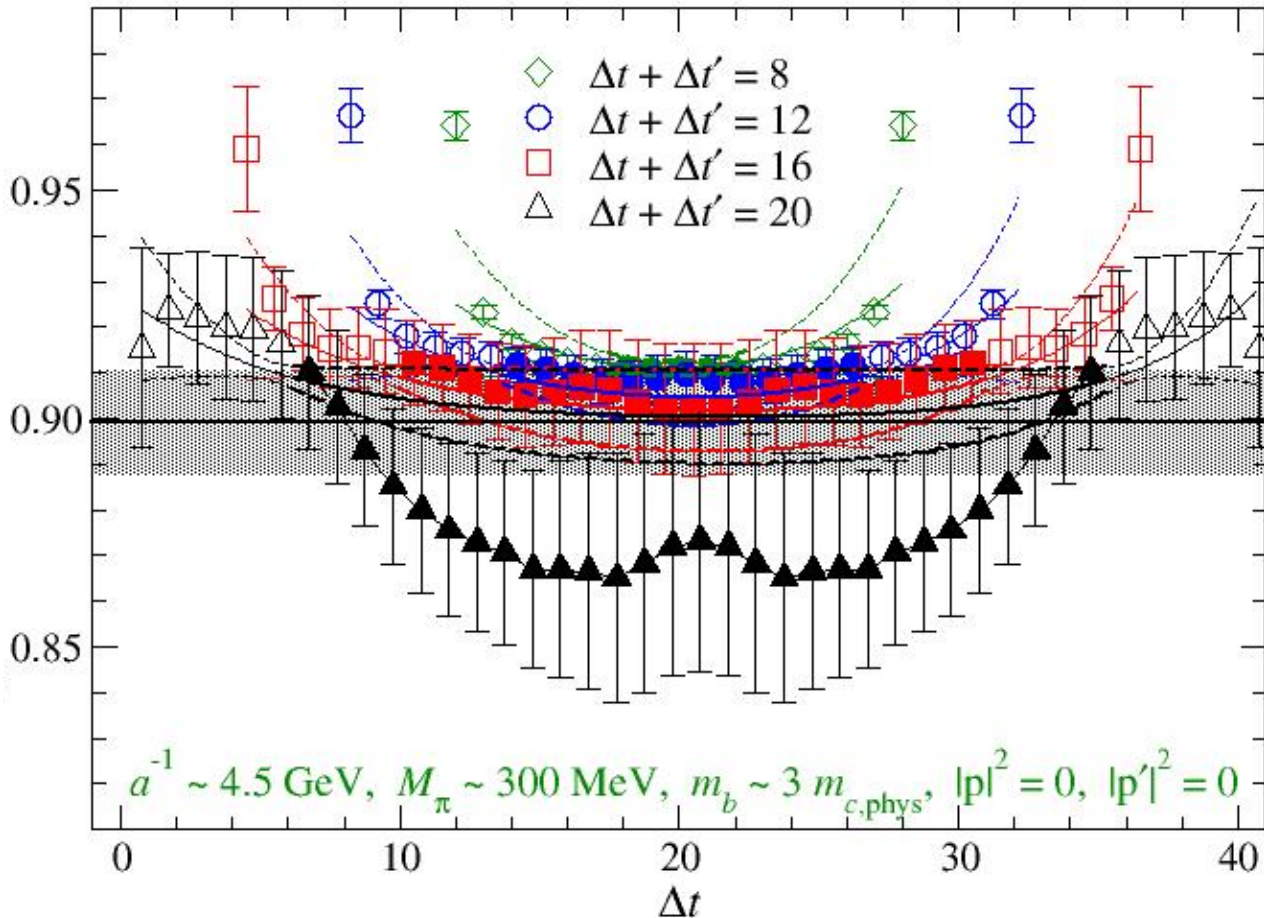


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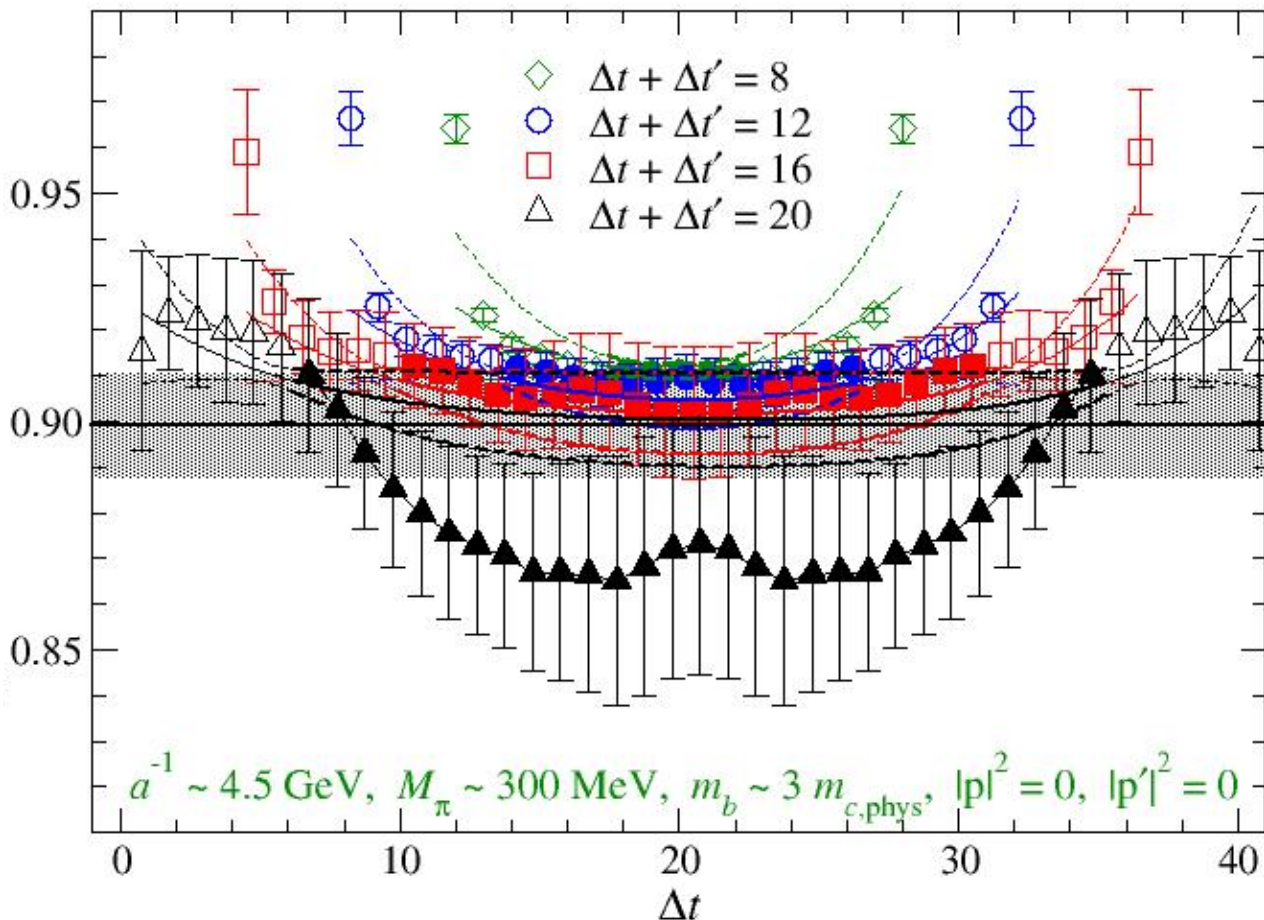
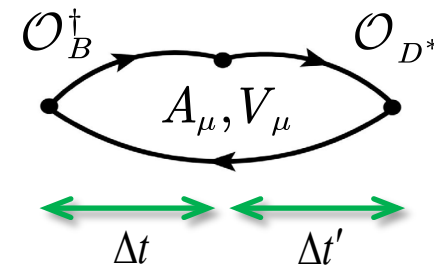


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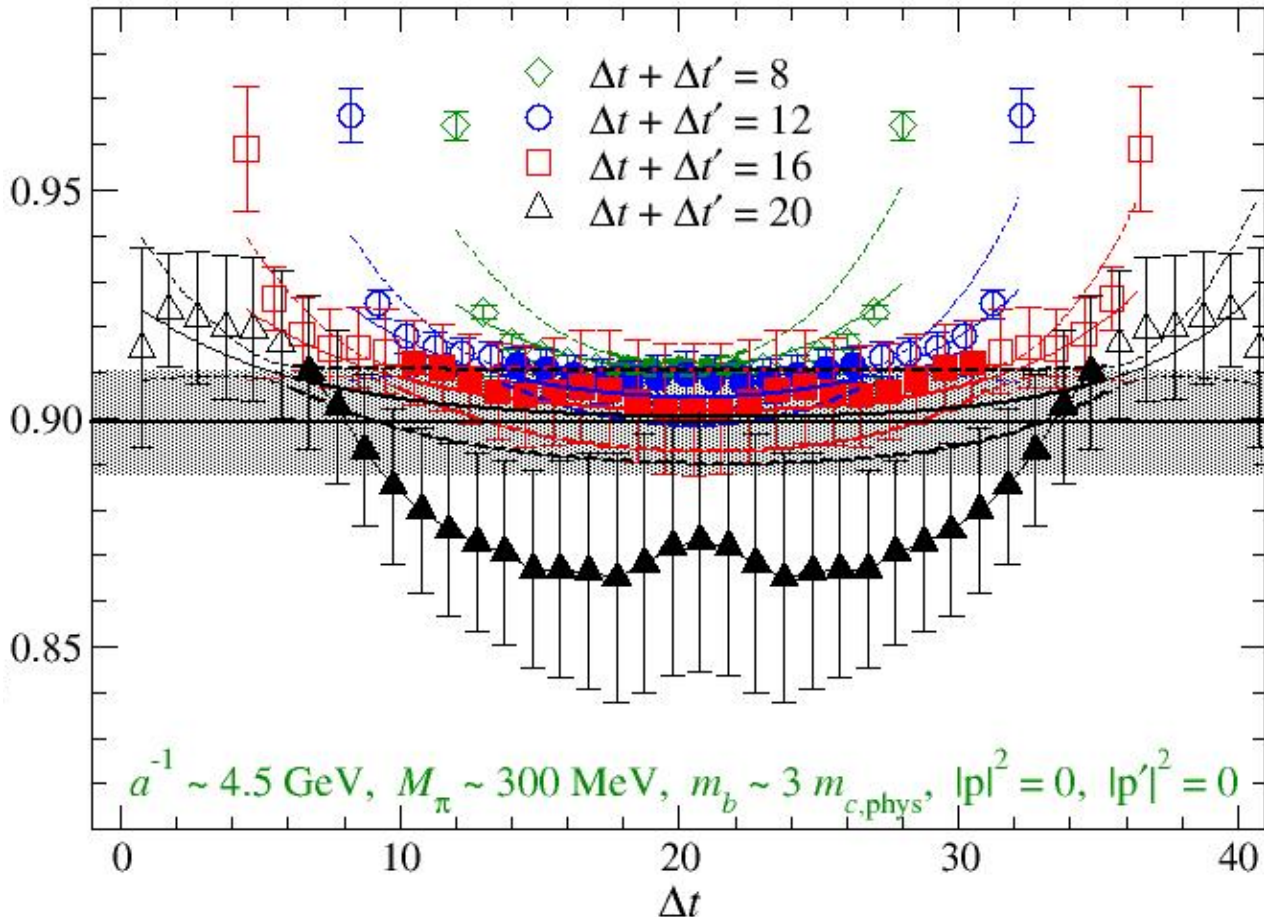
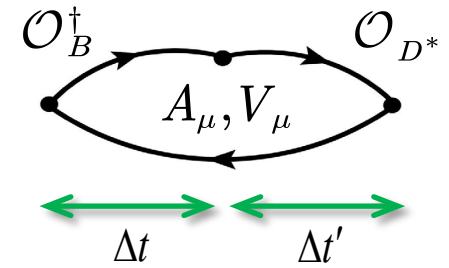


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excited state contributions are reasonably controlled in JLQCD's study

extrapolation to the real world

2 step analysis of FF data

1. "continuum + chiral extrapolation"

- extrapolate to $a=0$, $m_{q,\text{phys}}$ based on HMChPT, HQET, ...
- AND, polynomial-interpolate to reference values of w

⇒ "synthetic data" of FFs at $a=0$, $m_{q,\text{phys}}$ but at reference values of w

2. model-independent (BGL) parameterization (Boyd-Grinstein-Lebed '97)

⇒ FFs at $a=0$, $m_{q,\text{phys}}$ as a function of w

continuum + chiral extrapolation : JLQCD

NLO HMChPT (Randall-Wise '92, Savage '01) + polynomial corrections

$$\frac{h_{A_1}(w)}{\eta_{A_1}} = c + \frac{3g_{D^*D\pi}^2}{32\pi^2 f_\pi^2} \Delta_c^2 \bar{F}_{\log}(M_\pi, \Delta_c, \Lambda_\chi) \\ + c_w (w-1) + d_w (w-1)^2 + c_b (w-1) \varepsilon_b + c_\pi \xi_\pi + c_{\eta_s} \xi_{\eta_s} + a_a \xi_a + a_{m_b a} \xi_{am_b}$$

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- Fermilab/MILC and HPQCD : similar form of NLO chiral log + polynomial corrections

continuum + chiral extrapolation : JLQCD

NLO HMChPT (Randall-Wise '92, Savage '01) + polynomial corrections

$$\frac{h_{A_1}(w)}{\eta_{A_1}} = c + \frac{3g_{D^*D\pi}^2}{32\pi^2 f_\pi^2} \Delta_c^2 \bar{F}_{\log}(M_\pi, \Delta_c, \Lambda_\chi) \\ + c_w (w-1) + d_w (w-1)^2 + c_b (w-1) \varepsilon_b + c_\pi \xi_\pi + c_{\eta_s} \xi_{\eta_s} + a_a \xi_a + a_{m_b a} \xi_{am_b}$$

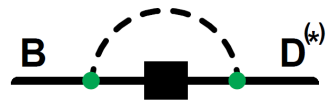
$$\varepsilon_b = \frac{\bar{\Lambda}}{2m_b}, \quad \xi_\pi = \frac{M_\pi^2}{(4\pi f_\pi)^2}, \quad \xi_{\eta_s} = \frac{M_{\eta_s}^2}{(4\pi f_\pi)^2}, \quad \xi_a = (a\Lambda_{\text{QCD}})^2, \quad \xi_{am_b} = (am_b)^2$$

η_X : one-loop radiative correction (Neubert '92); Luke's theorem $\Rightarrow O((w-1)/m_b)$ for h_{A_1}

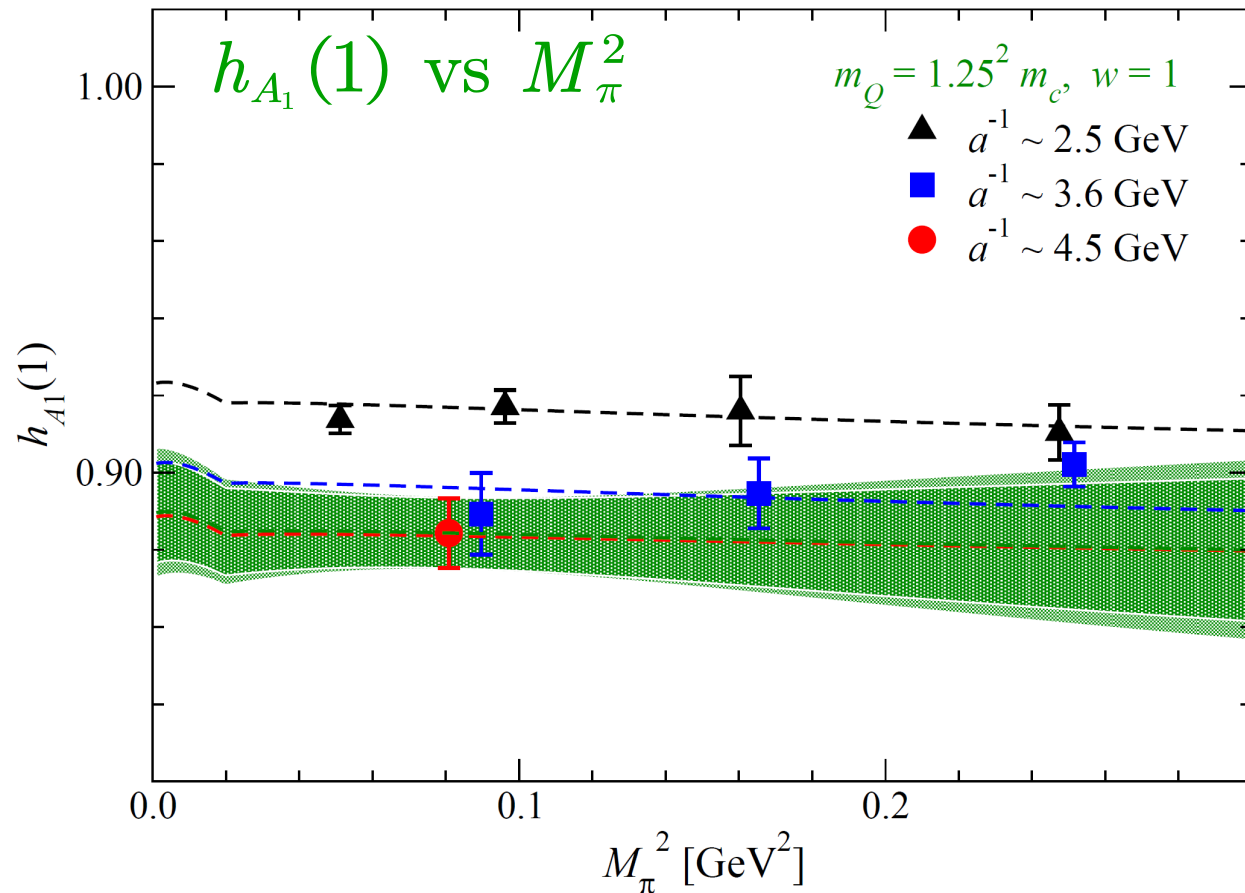
- appropriately normalized $\xi_X \Rightarrow c_X = O(1)$ or zero-consistent
- Fermilab/MILC and HPQCD : similar form of NLO chiral log + polynomial corrections
- large correlation matrix w.r.t. a, m_{ud}, m_s, w and m_b for relativistic approach
e.g. JLQCD w/ time-consuming chiral fermions \Rightarrow poorly determined low-lying eigenpairs
 \Rightarrow SVD cut, shrinkage \Rightarrow additional systematic uncertainty \Leftrightarrow Fermilab/MILC

M_π dependence

NLO chiral log in HMChPT (Randall-Wise '92, Savage '01)

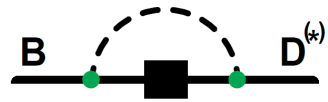


$$\Delta_c^2 \bar{F}_{\log} = \Delta_c^2 \ln \left[\frac{M_\pi^2}{\Lambda_{\text{QCD}}^2} \right] - 2(2) - \Delta_c \sqrt{\Delta_c^2 - M_\pi^2} \ln \left[\frac{\Delta_c - \sqrt{\Delta_c^2 - M_\pi^2}}{\Delta_c + \sqrt{\Delta_c^2 - M_\pi^2}} \right] + \dots = \Delta_c^2 \ln \left[\frac{M_\pi^2}{\Lambda_{\text{QCD}}^2} \right] + O(\Delta_c^3)$$

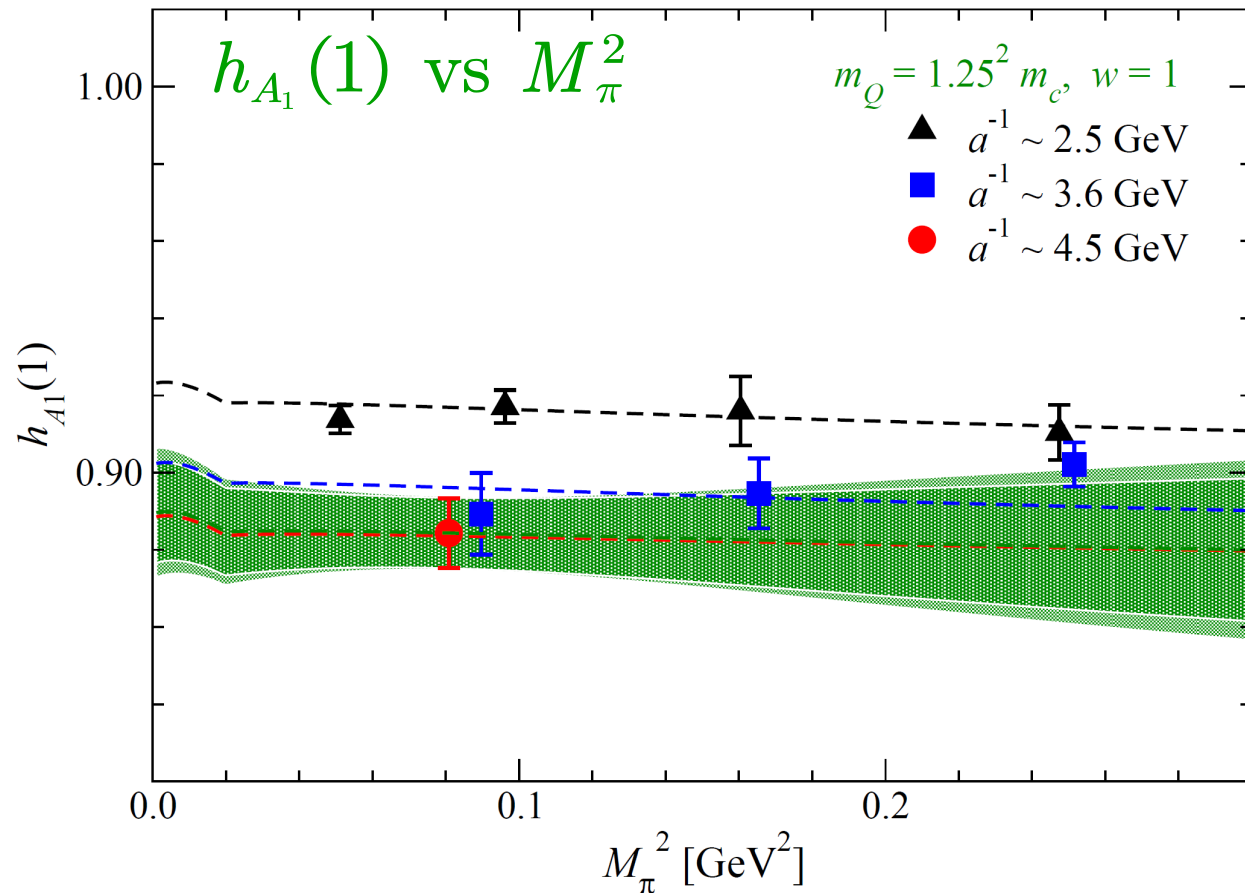


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– chiral log suppressed by $\Delta_c^2 = (M_{D^*} - M_D)^2$

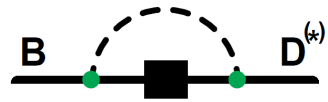
$$\frac{3g_{D^*D\pi}^2}{32\pi^2 f_\pi^2} \Delta_c^2 \bar{F}_{\log} + \text{"}\xi\text{" scheme } f(\text{LEC}) \rightarrow f_\pi$$

$$+ g_{D^*D\pi} = 0.53(8) \text{ (Fermilab/MILC '14)}$$

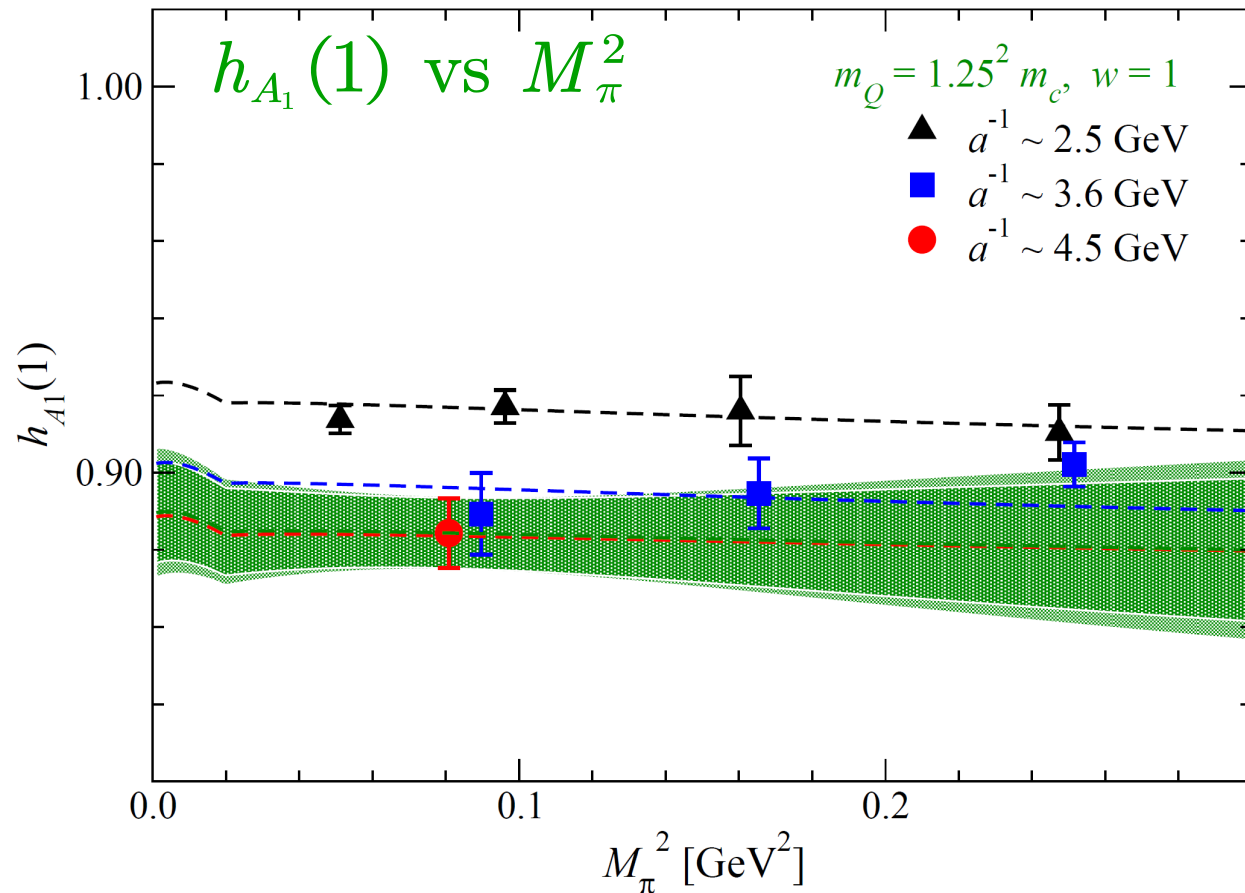
\Rightarrow small systematic uncertainty

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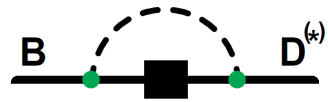
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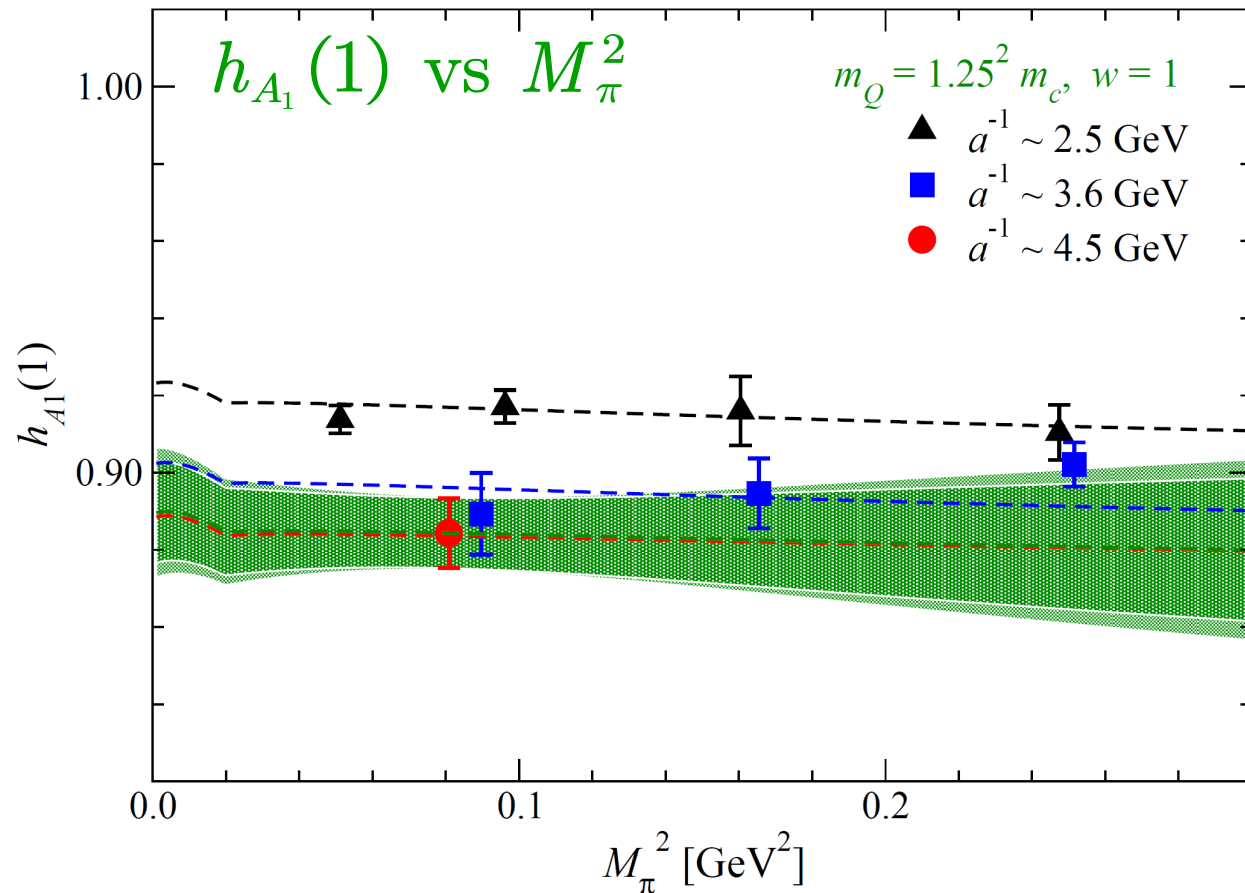
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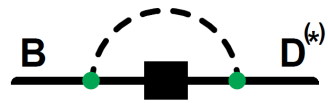
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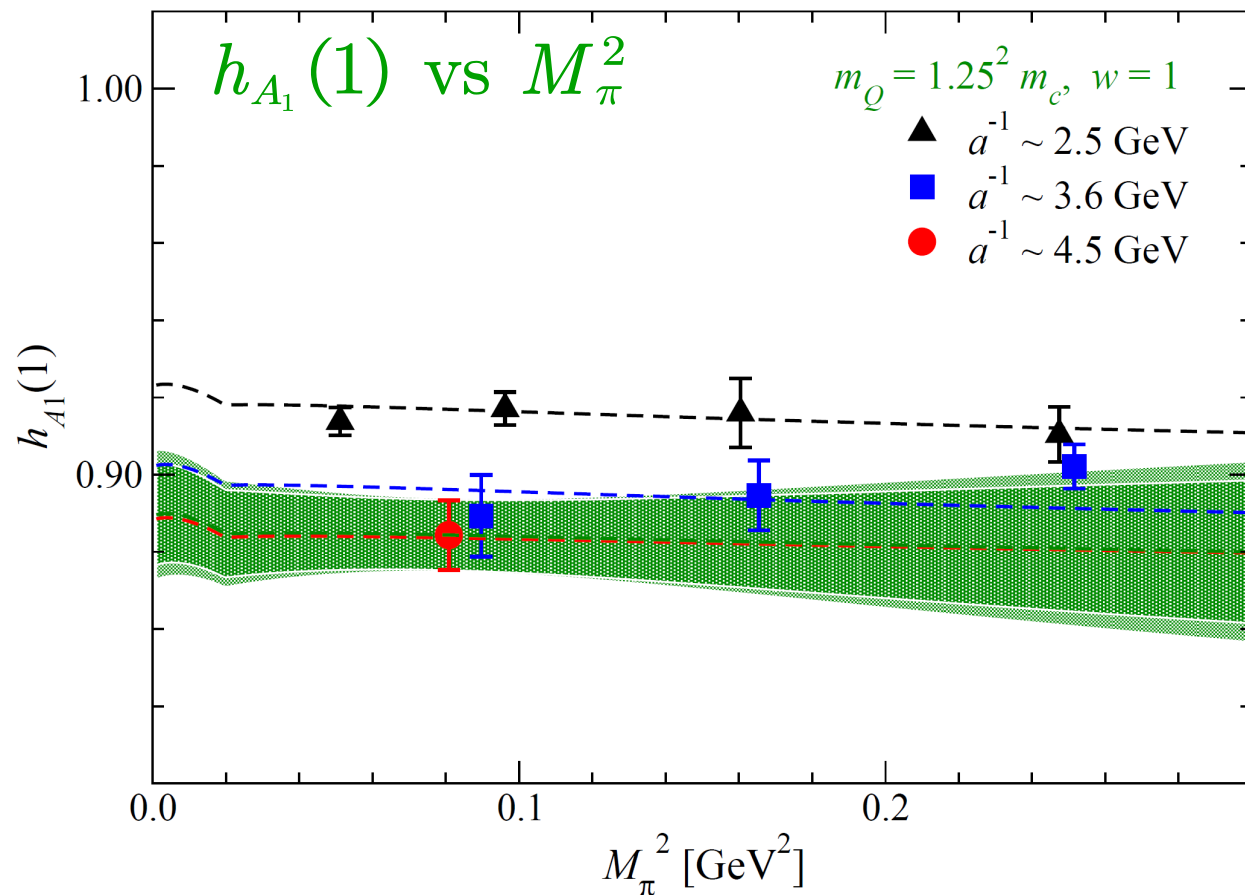
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 \leq statistical accuracy

M_π dependence

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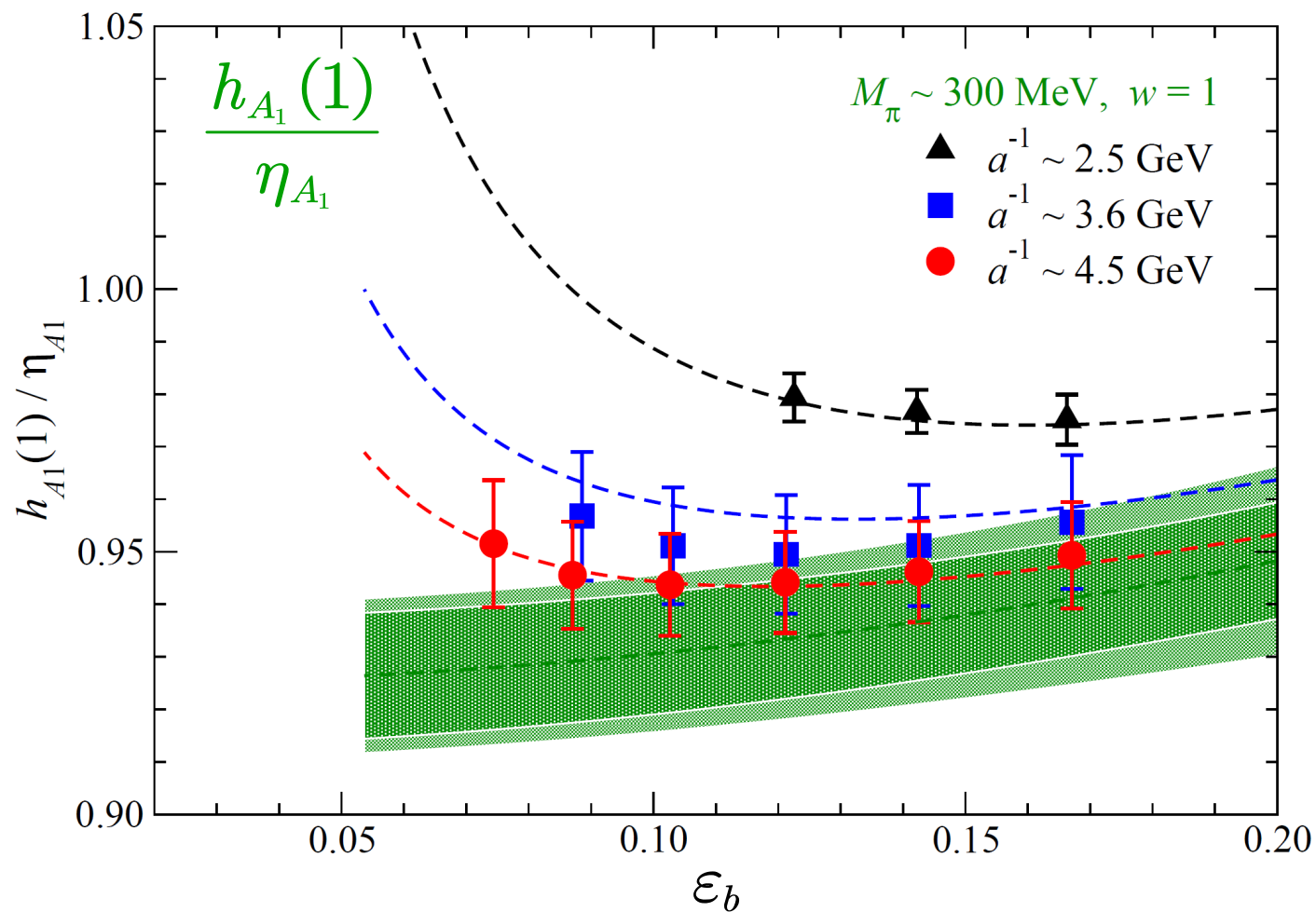
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reasonably described by NLO log + analytic

m_b dependence



– physical m_b dependence

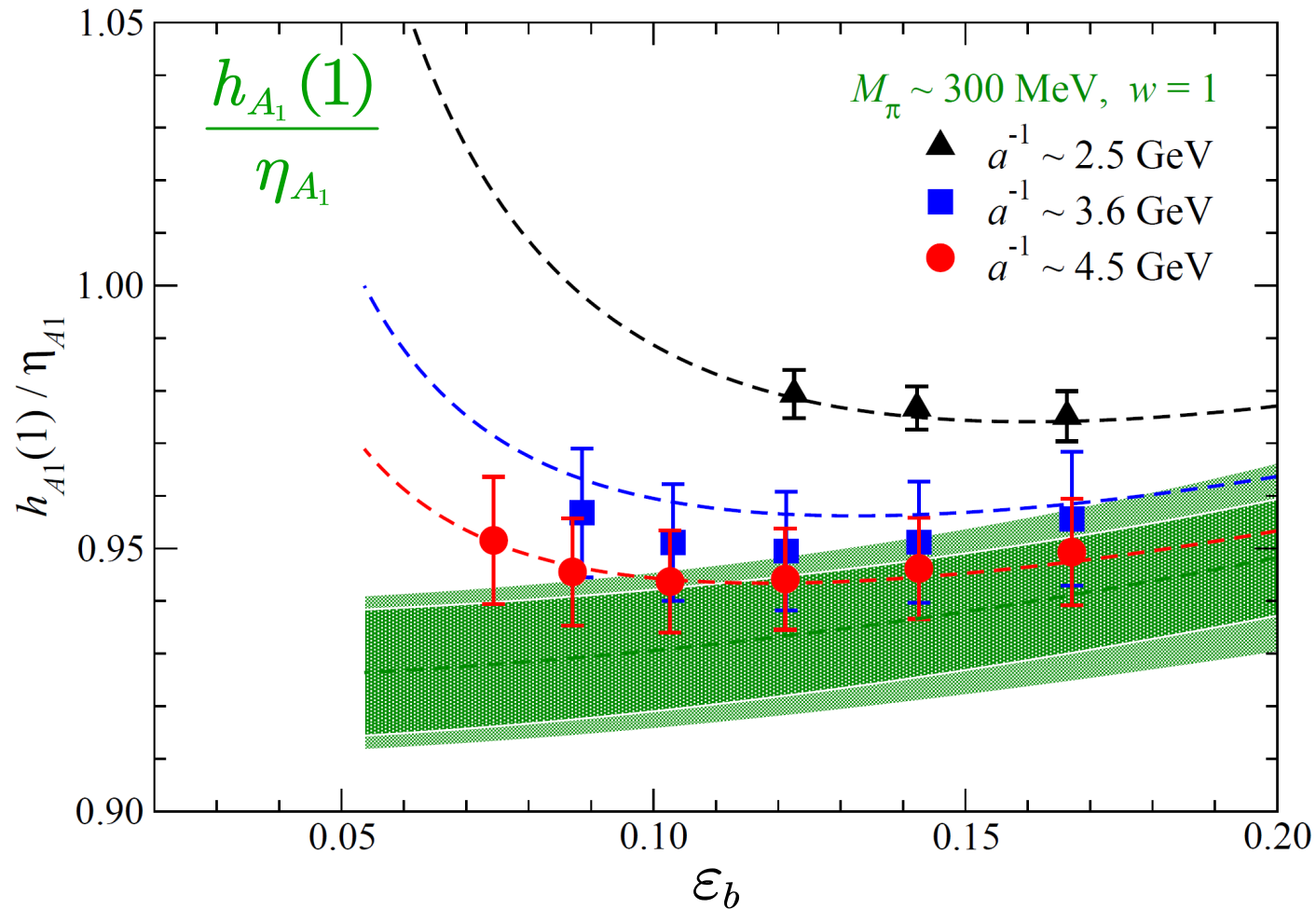
$$\epsilon_b = \frac{\bar{\Lambda}}{2m_b} \rightarrow \frac{\bar{\Lambda}}{M_{\eta_b}} \quad \bar{\Lambda} = 0.5 \text{ GeV}$$

– $O((am_b)^2)$ $a \neq 0$ effects

– non-trivial mixture $x^{-2} + x^2$

– $am_b < 0.7 \Rightarrow$ both @ a few %

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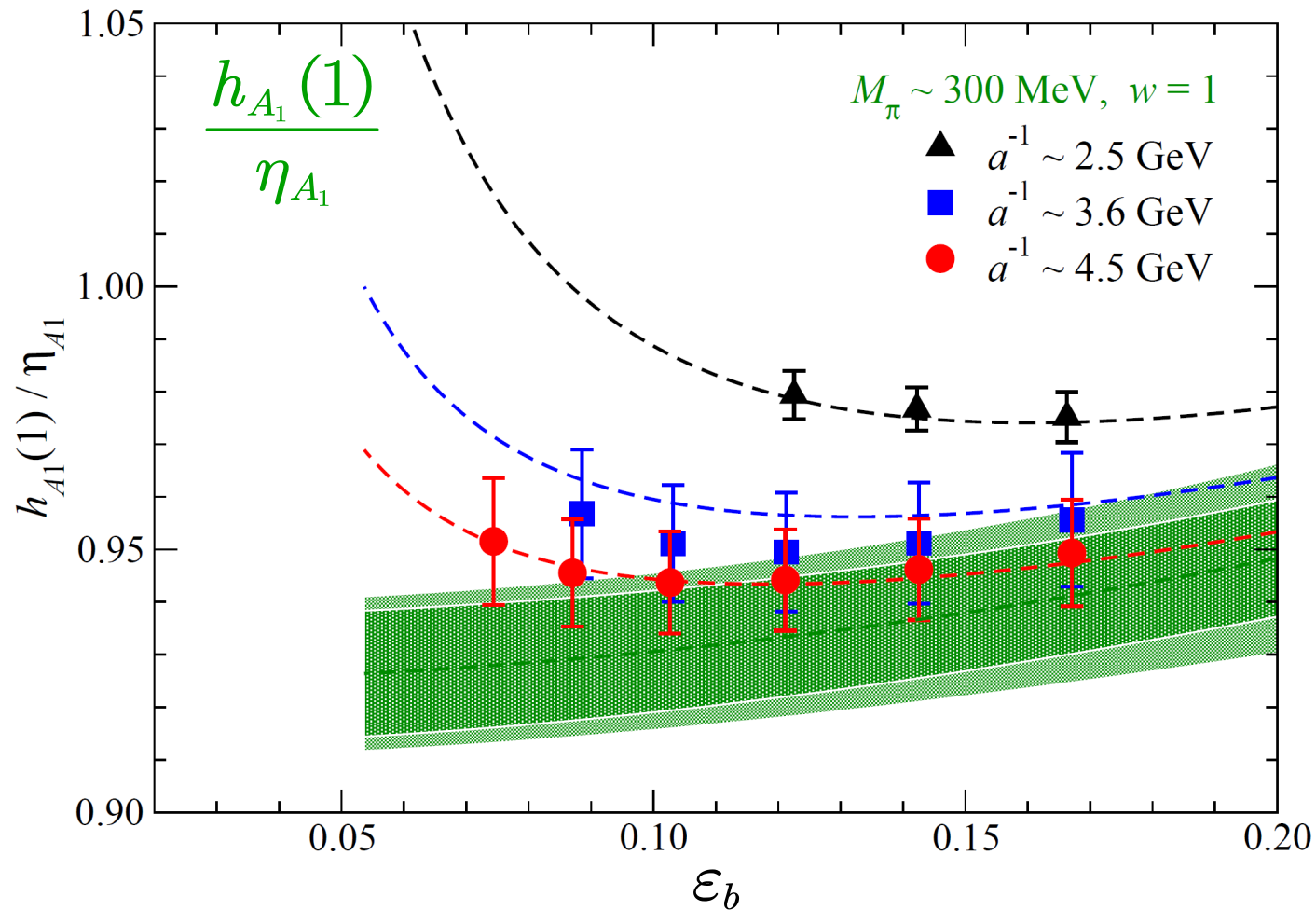
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fitted to expected functional form of $1/m_b^{(2)}$ plus $(am_b)^2 \Rightarrow$ ~~higher order terms~~

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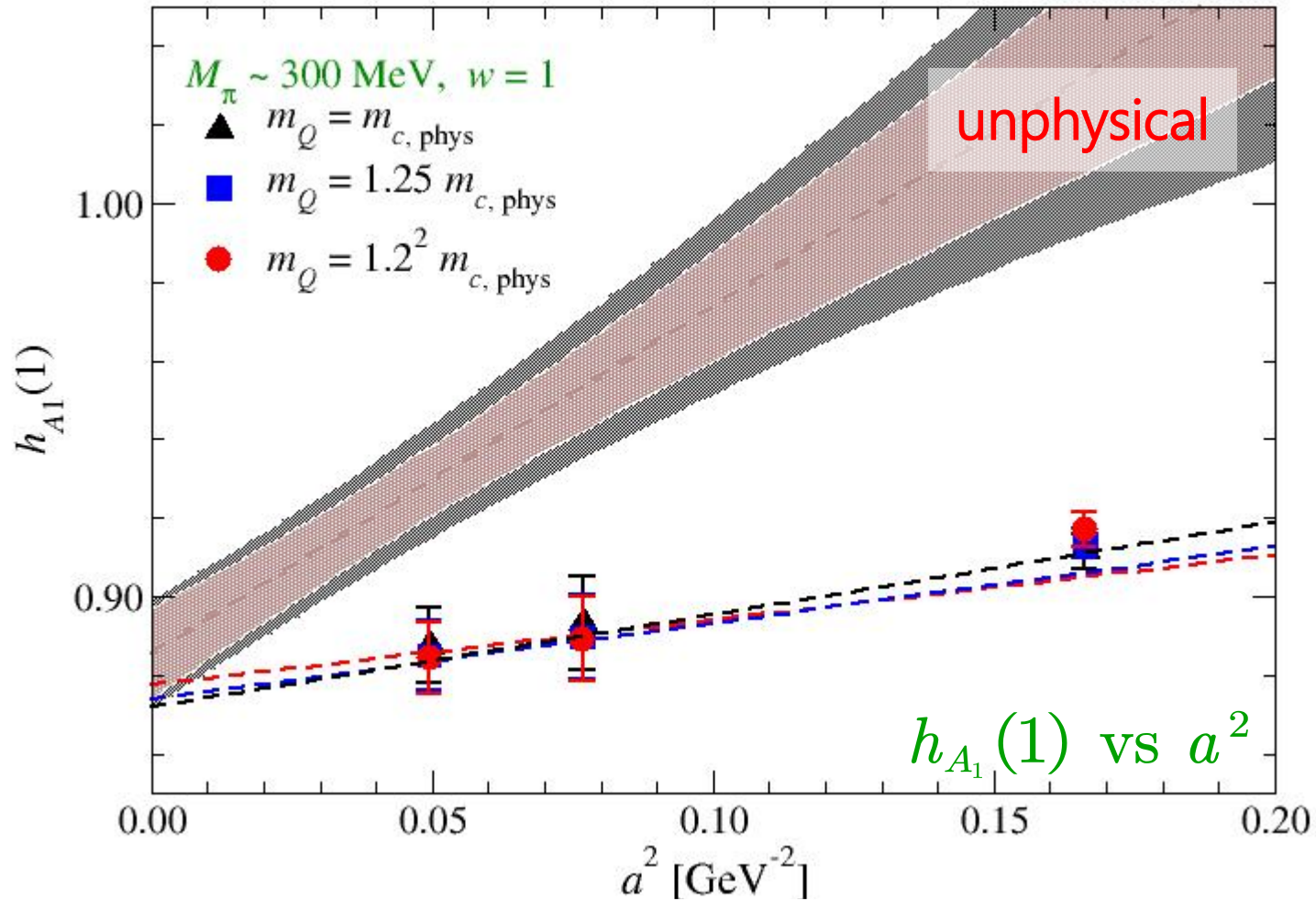
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– physical m_b dependence on lattice
vs HQET analysis of exp data

fitted to expected functional form of $1/m_b^{(2)}$ plus $(am_b)^2 \Rightarrow$ ~~higher order terms~~

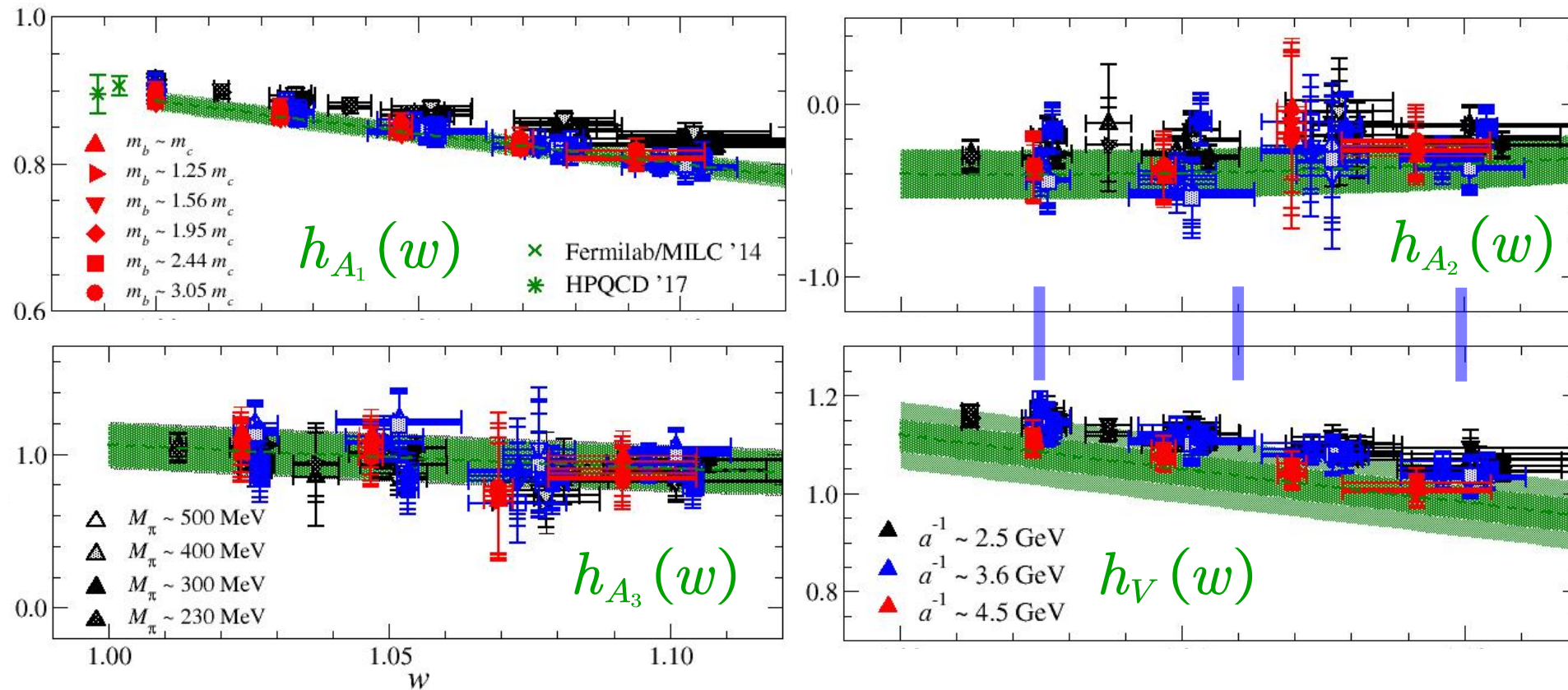
a dependence



- if naively simulate physical m_b
 $\Rightarrow 3 - 12\%$ $a \neq 0$ errors
- w/ our condition $am_b < 0.7$
 \Rightarrow a few % $a \neq 0$ errors
 \Rightarrow controlled continuum extrap
- e.g. similar $a \neq 0$ error @ physical m_b
 $\Rightarrow a^{-1} \geq 4.5 \text{ GeV}$

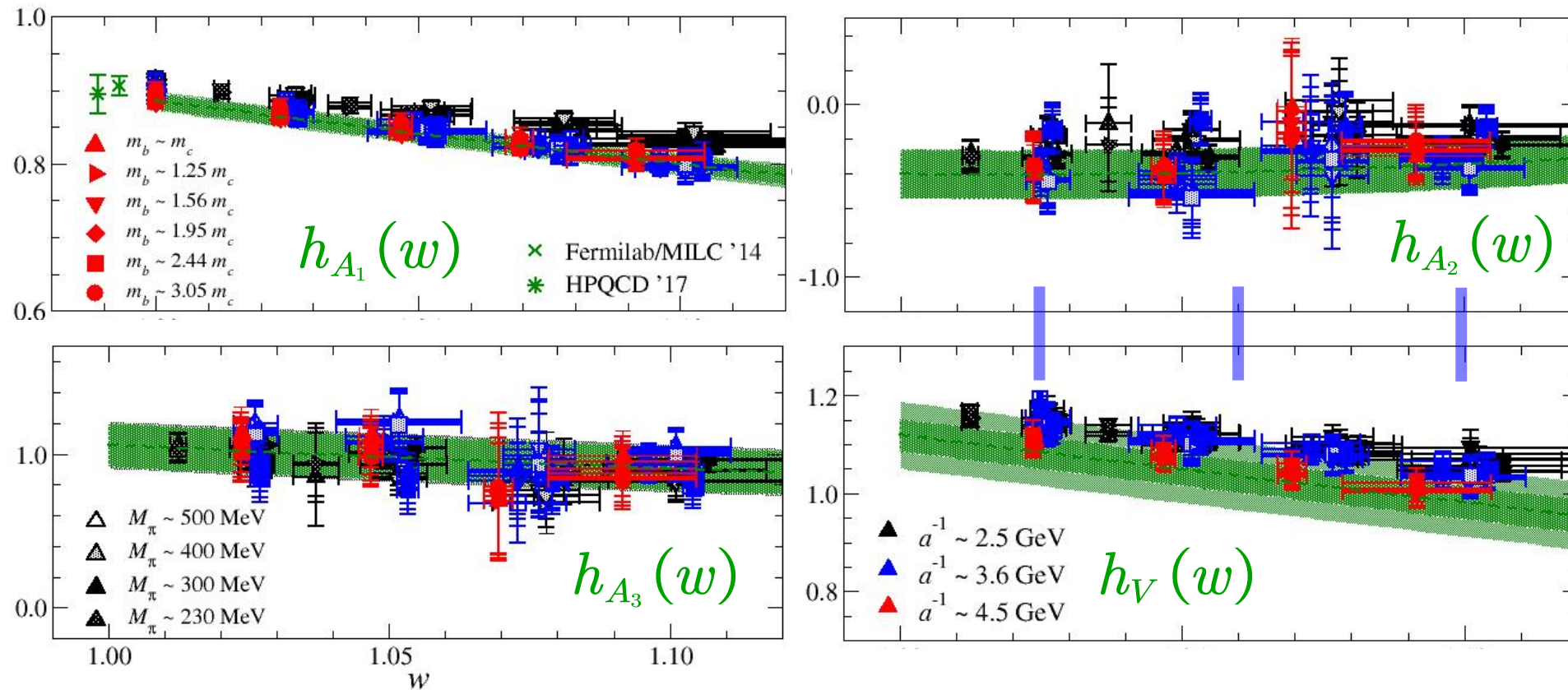
well described by $O(a^2)$ extrapolation

w dependence



- no strong curvature in $w \Rightarrow$ quadratic interpolation in $(w-1)$ to reference values of w
 $w/$ quadratic coefficients : 3.0σ for h_{A_1} ; consistent $w/$ zero for others

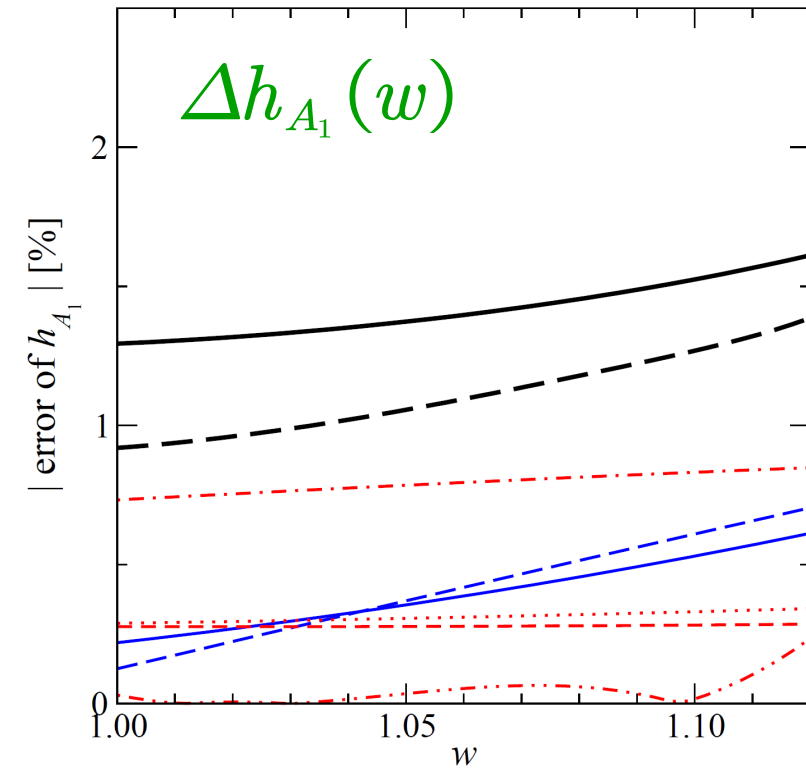
w dependence



- no strong curvature in $w \Rightarrow$ quadratic interpolation in $(w-1)$ to reference values of w
w/ quadratic coefficients : 3.0σ for h_{A_1} ; consistent w/ zero for others
- much noisier for $h_{A_{\{2,3\}}}$ (later)
- mild dependence on $a, M_\pi, m_b \Rightarrow$ reasonably controlled extrapolation w/ $\chi^2/\text{dof} \sim 0.5$

uncertainties

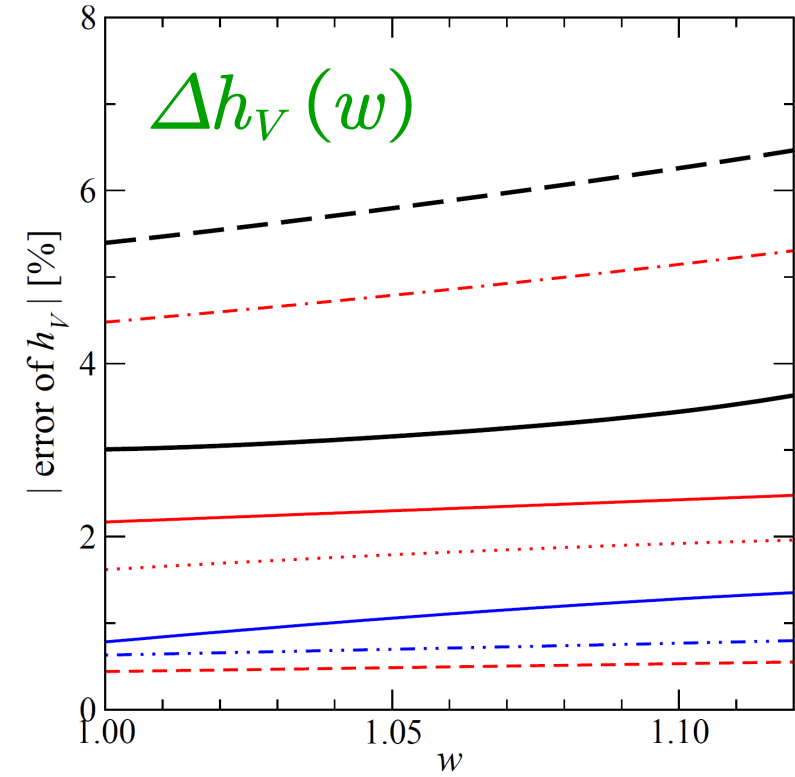
h_{A1}, h_V



- statistical
- - - systematic
- covariance matrix
- - - multiplicative form
- - - fit form: M_π^2
- ... fit form: $M_{\eta_s}^2$
- - - fit form: a^2
- - - fit form: w^3

other sys. errors due to

- input to fix a, m_q 's
 - finite volume effects
 - ...
- are small

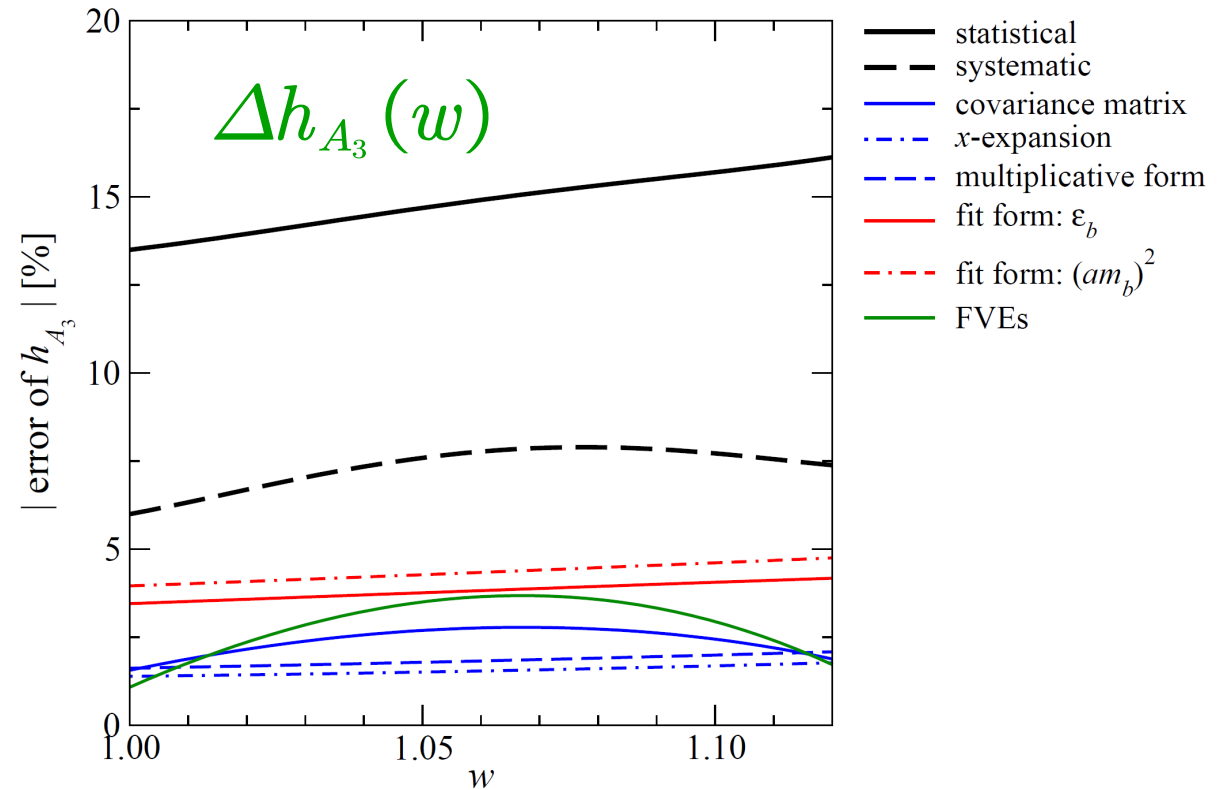
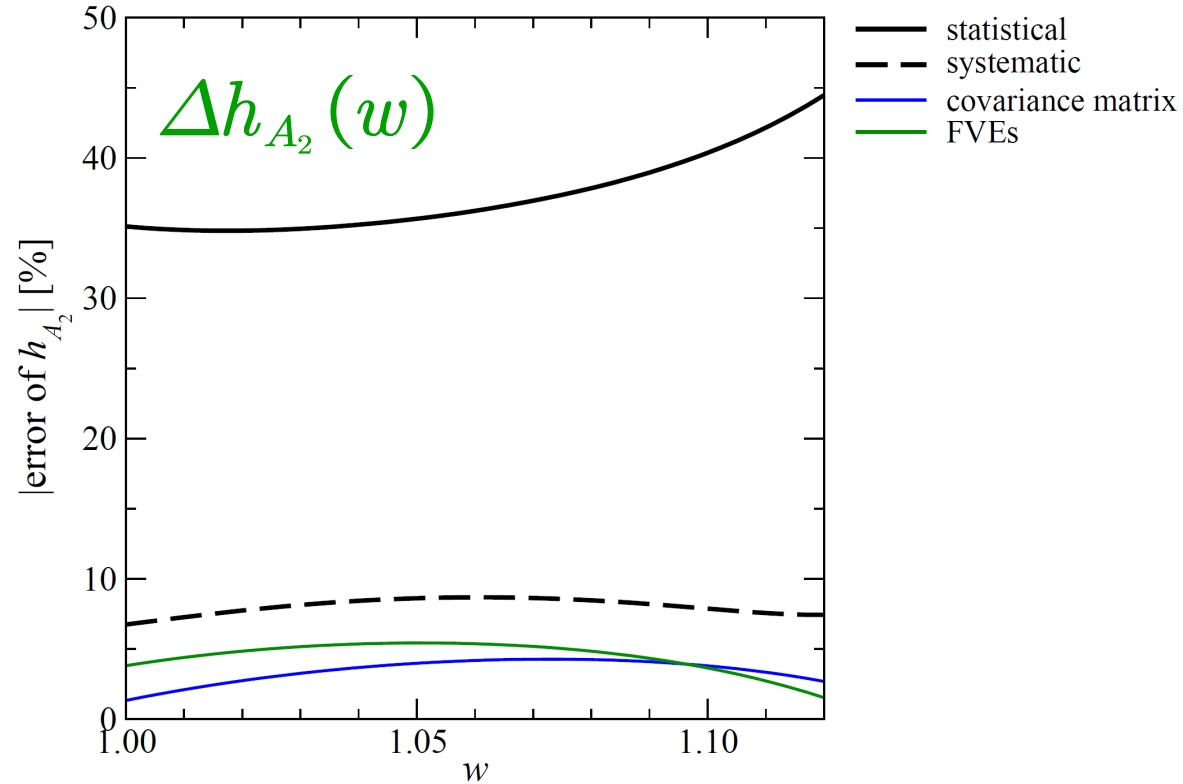


- statistical
- - - systematic
- covariance matrix
- - - x-expansion
- fit form: ϵ_b
- - - fit form: M_π^2
- ... fit form: $M_{\eta_s}^2$
- - - fit form: $(am_b)^2$

- $C_{A1}^{BD*}(\epsilon_{D*} \perp v_B), C_V^{BD*}$ sensitive only to $h_{A1}, h_V \Rightarrow$ statistically more accurate than $h_{A\{2,3\}}$
- largest errors from statistics and discretization : 1-2% for h_{A1} , 3-5% for h_V
- systematic error as |"analysis A" - "analysis B"| $\sim 1\sigma$?

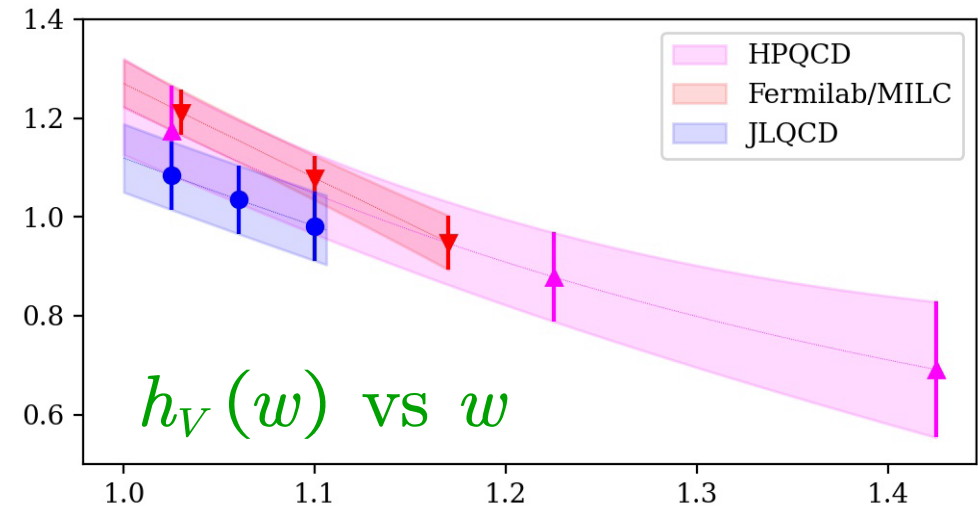
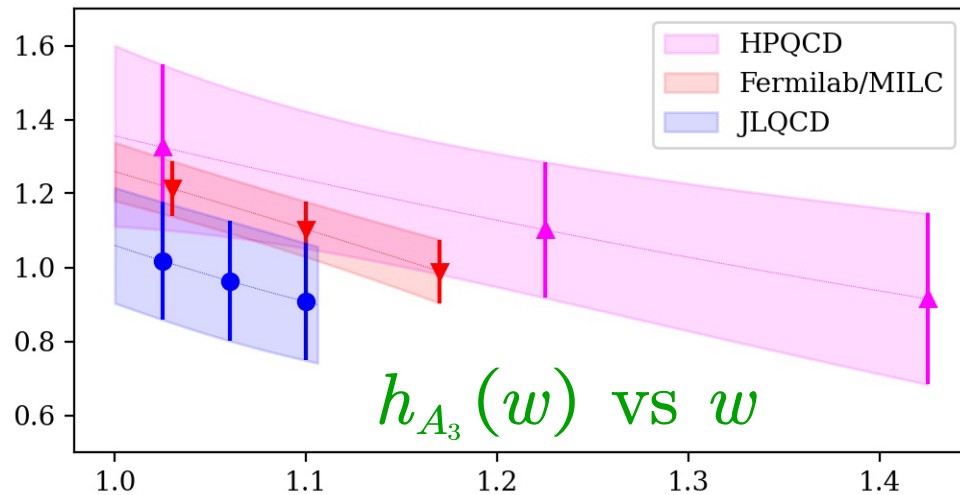
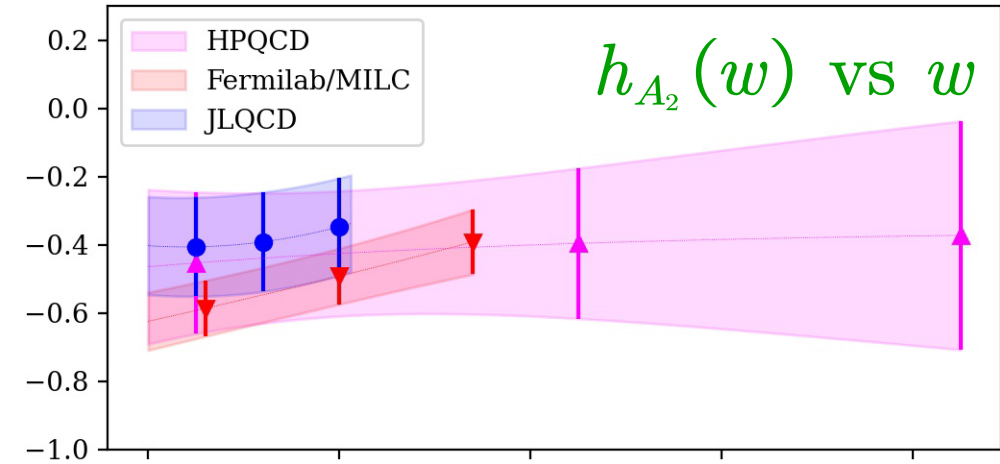
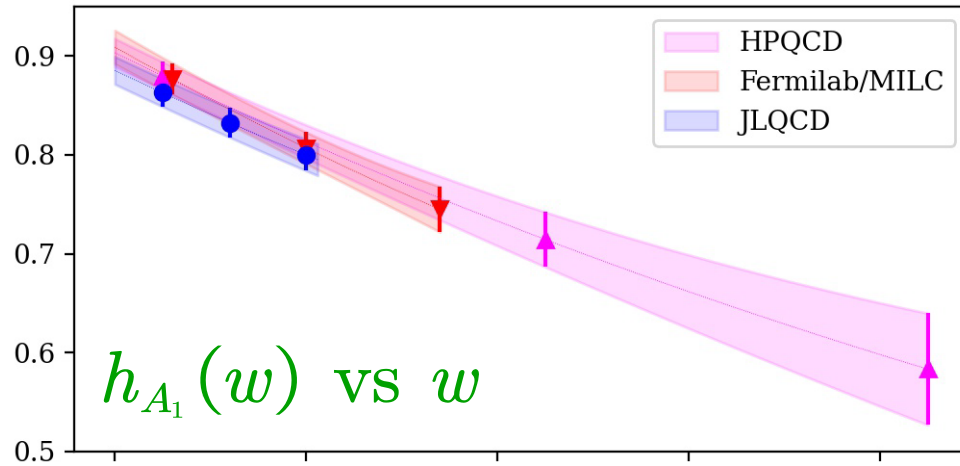
uncertainties

h_{A_2}, h_{A_3}



- no correlators exclusively sensitive to these \Rightarrow **statistics limited**
- **much room to improve** (later)

comparison w/ Fermilab/MILC and HPQCD



- HPQCD v2 \Rightarrow (1 σ level) $h_{A_2} \downarrow$, $h_{A_3} \uparrow$ w/ slightly larger uncertainties
- reasonable consistency

parametrization of “synthetic” FF data

FFs in “relativistic” convention

“relativistic” convention $|H\rangle_{\text{rel}} = |H\rangle_{\text{heavy}} / \sqrt{M_H}$

$$\langle D^*(p', \varepsilon') | V_\mu | B(p) \rangle = i \epsilon_{\mu\nu\rho\sigma} \varepsilon'^{* \nu} p'^{\rho} p^{\sigma} g(q^2)$$

$$\langle D^*(p', \varepsilon') | A_\mu | B(p) \rangle = \varepsilon'_\mu{}^* f(q^2) - \varepsilon'^{*} p \{ (p + p')_\mu a_+(q^2) + (p - p')_\mu a_-(q^2) \}$$

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$a_\pm \Rightarrow \mathcal{F}_{1,2} = \text{linear combinations of } f, a_\pm$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{192\pi^3 M_B^3} |V_{cb}|^2 \frac{k}{q^5} (q^2 - m_\ell^2) \{ (2q^2 + m_\ell^2) (2q^2 f^2 + \mathcal{F}_1^2 + 2k^2 q^4 g^2) + 3k^2 q^2 m_\ell^2 \mathcal{F}_2^2 \}$$

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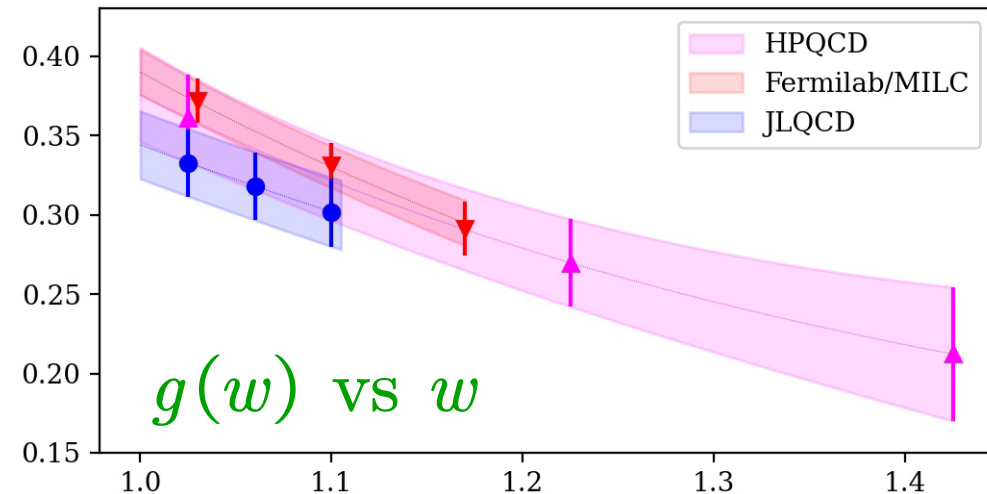
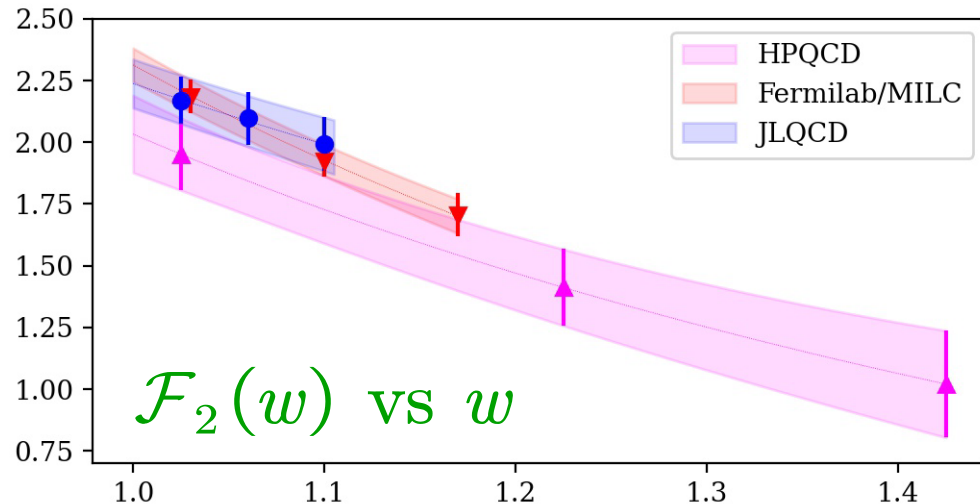
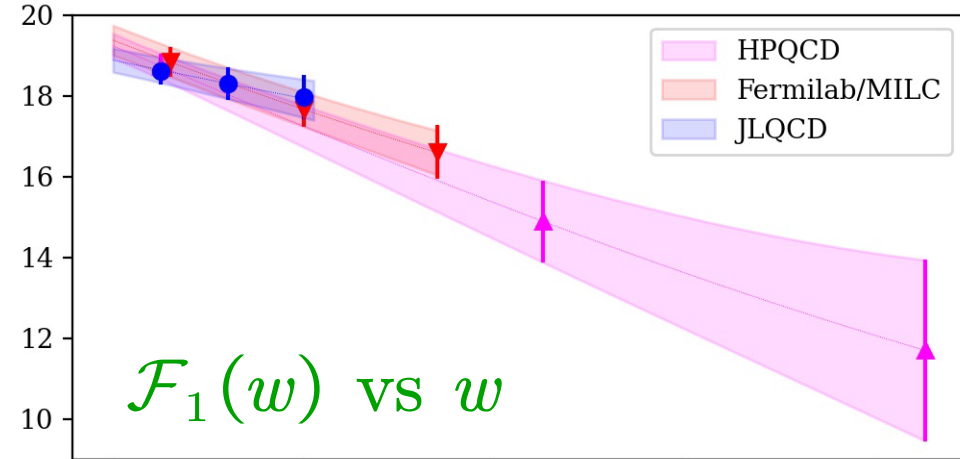
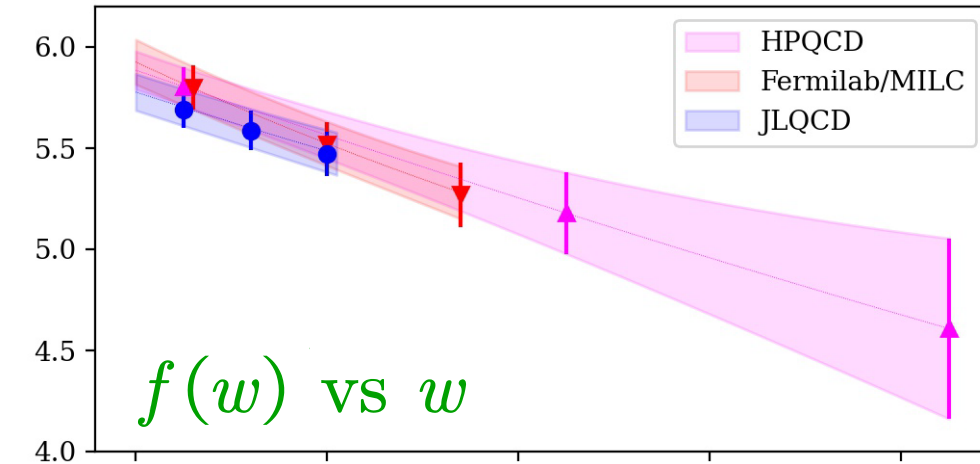


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- $f \propto h_{A1}$: only this @ $w=1$
- $g \propto h_V$: only this for vector ME
- $\mathcal{F}_1 \ni f, h_{A\{2,3\}}$: contributions of h_{A2}, h_{A3} @ $w \neq 1$
- $\mathcal{F}_2 \ni f, h_{A\{2,3\}}$: m_ℓ^2 suppressed contributions $\rightarrow R(D^*)$

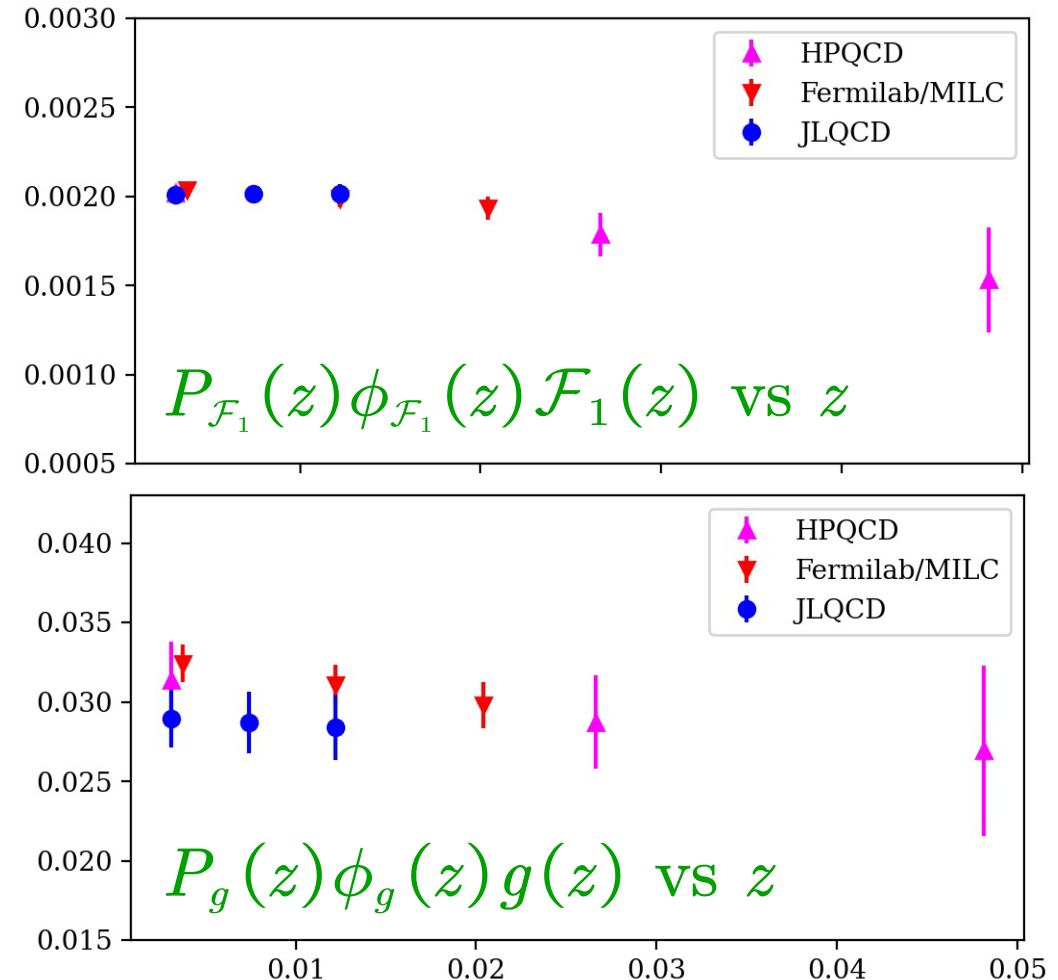
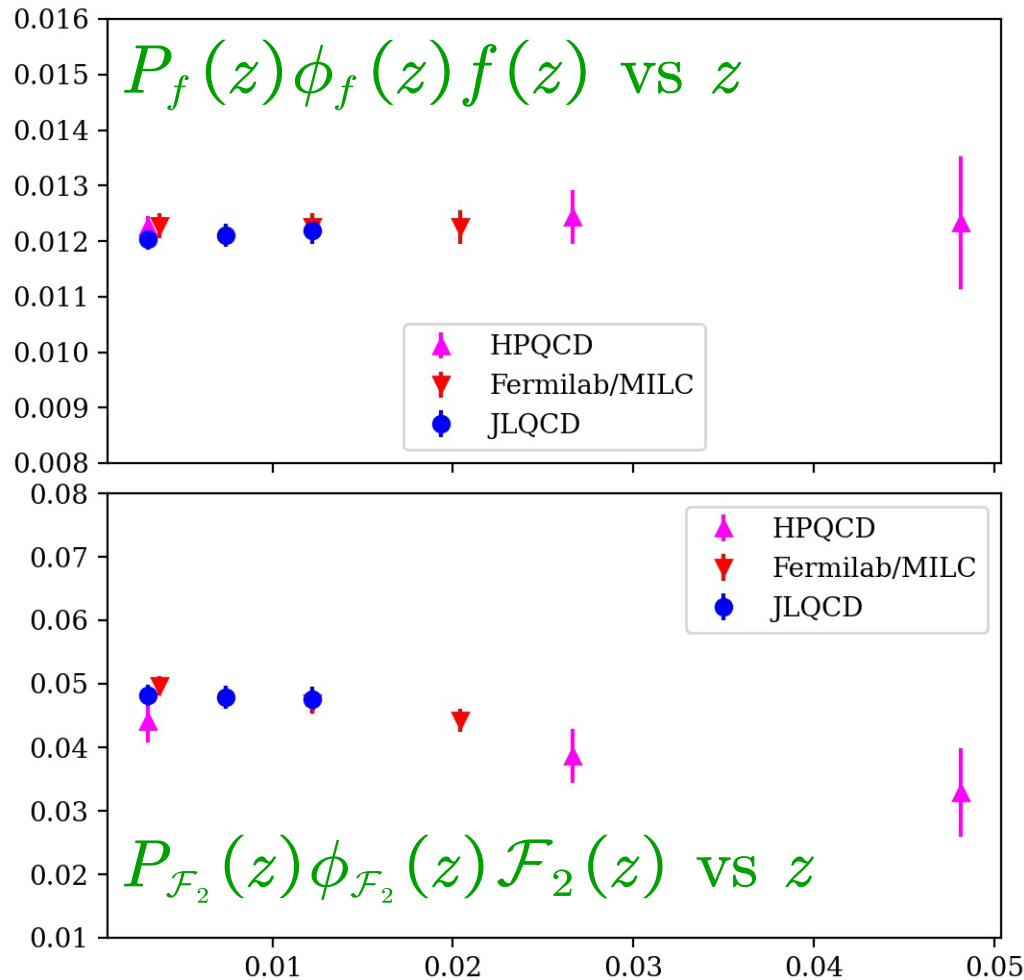
synthetic data of FFs in relativistic convention



- “tensions”? : normalization of \mathcal{F}_2 and g ... but $\lesssim 2\sigma$ level
- limited regions w for JLQCD = [1.025,1.100] \Leftrightarrow Fermilab/MILC [1.03,1.17], HPQCD [1.025,1.425]

done :^)

data for BGL fit



- factoring out pole contributions \Rightarrow milder dependence on z (w)
- "tensions" remain: normalization of \mathcal{F}_2 and g

fit to BGL parametrization (Boyd+ '97)

$$f(q^2) = \frac{1}{P_f(z)\phi_f(z)} \sum_n^{N_f} a_n^f z^n \quad z = \frac{\sqrt{1+w} - \sqrt{2}}{\sqrt{1+w} + \sqrt{2}}$$

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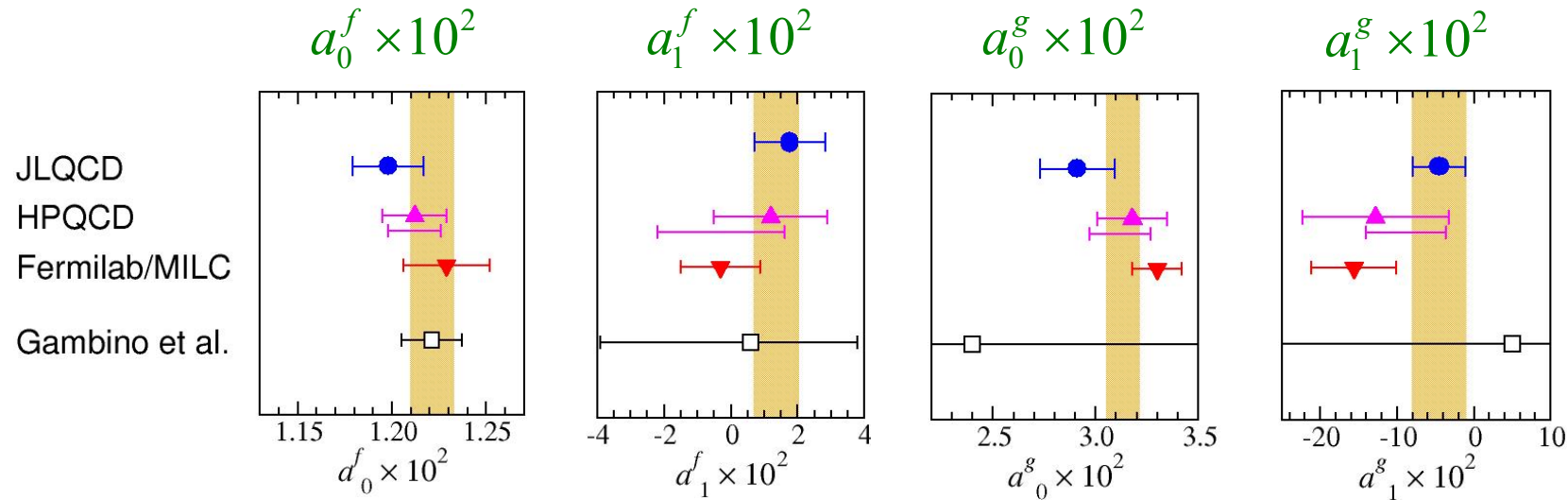
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- kinematical constraints
 - @ $w=1$: $\mathcal{F}_1(1) = (M_B - M_{D^*}) f(1) \Rightarrow$ 3 studies : fix $a^{\mathcal{F}1}_0$
 - @ $w_{\text{max}}(m_\ell=0)$: $\mathcal{F}_1(w_{\text{max}}) \Leftrightarrow \mathcal{F}_2(w_{\text{max}}) \Rightarrow$ JLQCD: fix $a^{\mathcal{F}2}_0$; other two: confirm in their fit results

comparison of coefficients : f and g

constant terms a_0^X , linear coefficients a_1^X

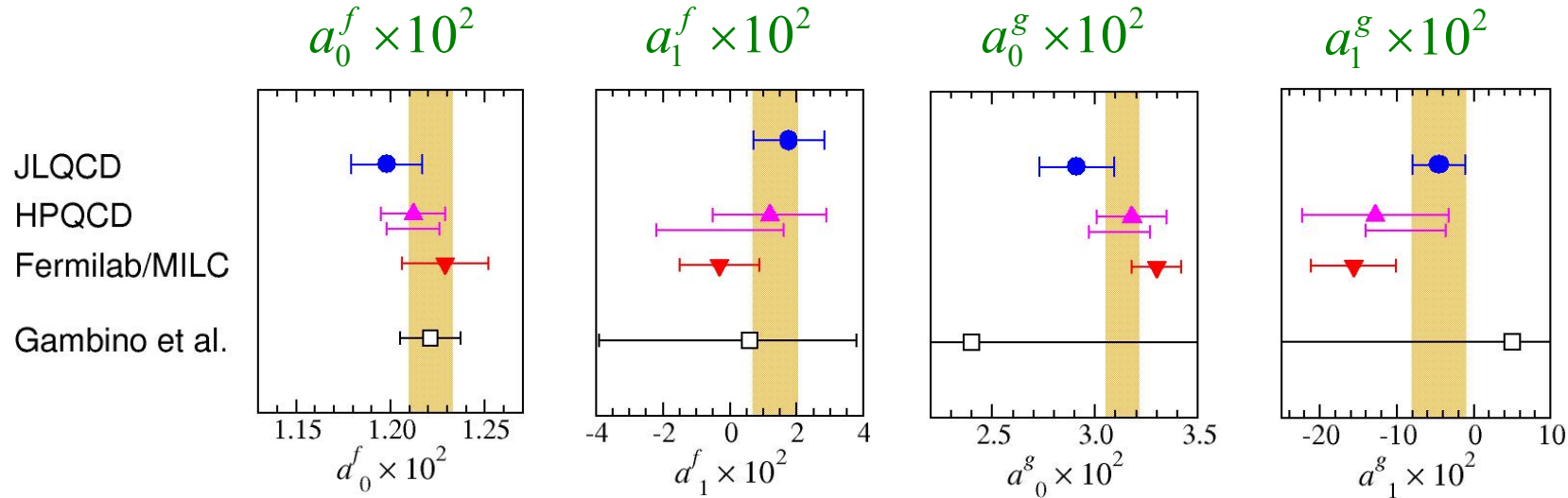


Gambino et al. '19: BGL analysis (quadratic) of Belle data '18 + $h_{A1}(w=1)$ from lattice

Bordone+ '24: frequentist BGL fit to all lattice data \Rightarrow FLAG '24 (?)

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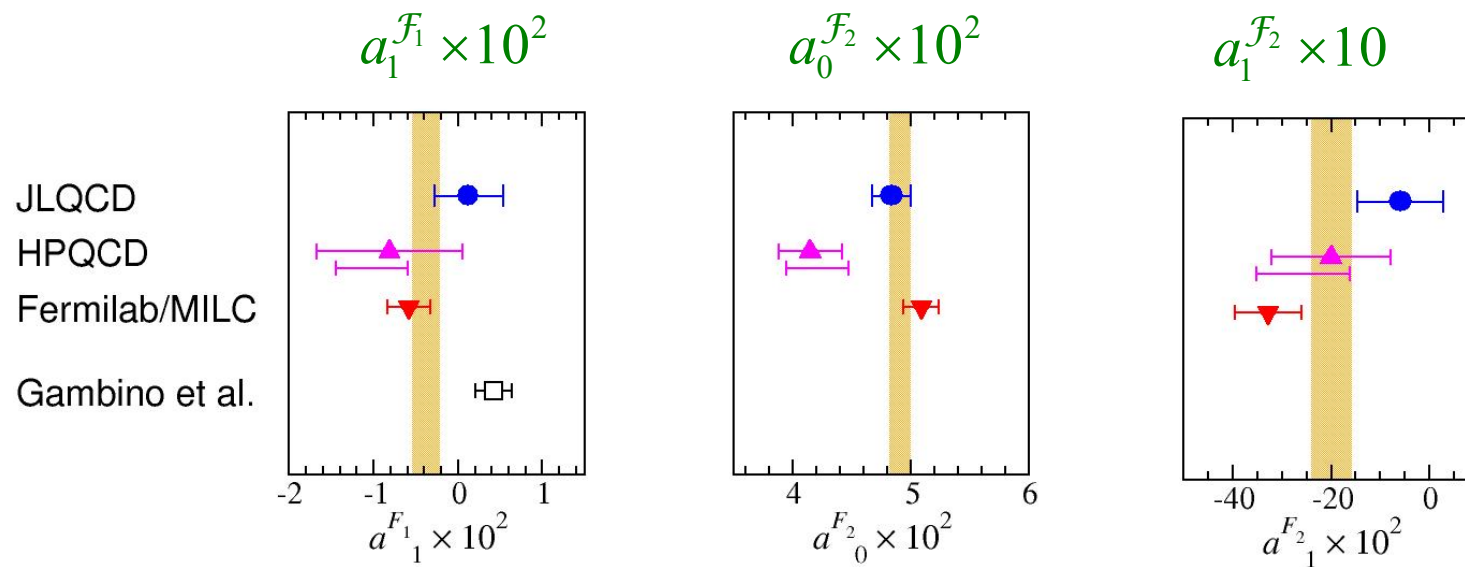


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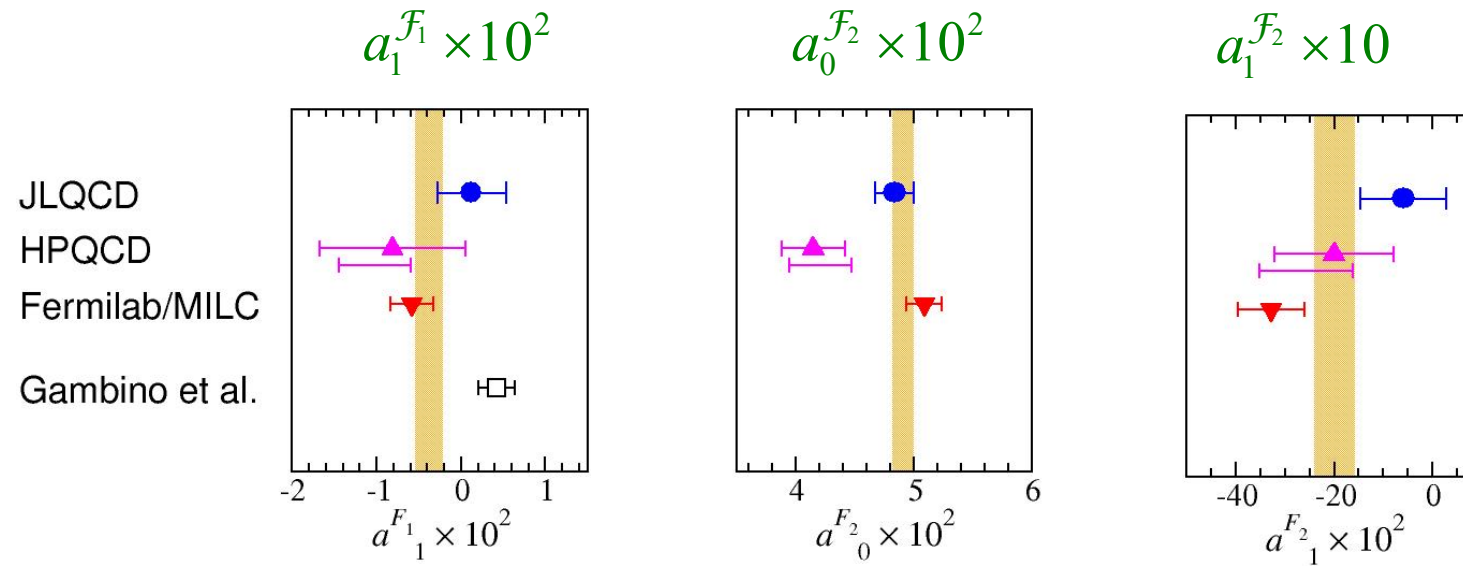
- $f \sim d\Gamma/dw @ w \sim 1$, $g \sim \langle D^* | V_\mu | B \rangle$
- + lattice data @ $w \neq 1$ helpful in constraining BGL $\Leftrightarrow a_0^f$: constrained by $h_{A1}(w=1)$ from lattice
- + reasonable agreement among independent lattice studies \Leftrightarrow very different systematics
- JLQCD \Leftrightarrow Fermilab/MILC : 1.8σ for a_0^g ; 1.7σ for a_1^g

comparison of coefficients : $\mathcal{F}_1, \mathcal{F}_2$



- kinematical constraints @ $w=1 \Rightarrow a^{\mathcal{F}_1}_0 \propto a^f_0$

comparison of coefficients : $\mathcal{F}_1, \mathcal{F}_2$

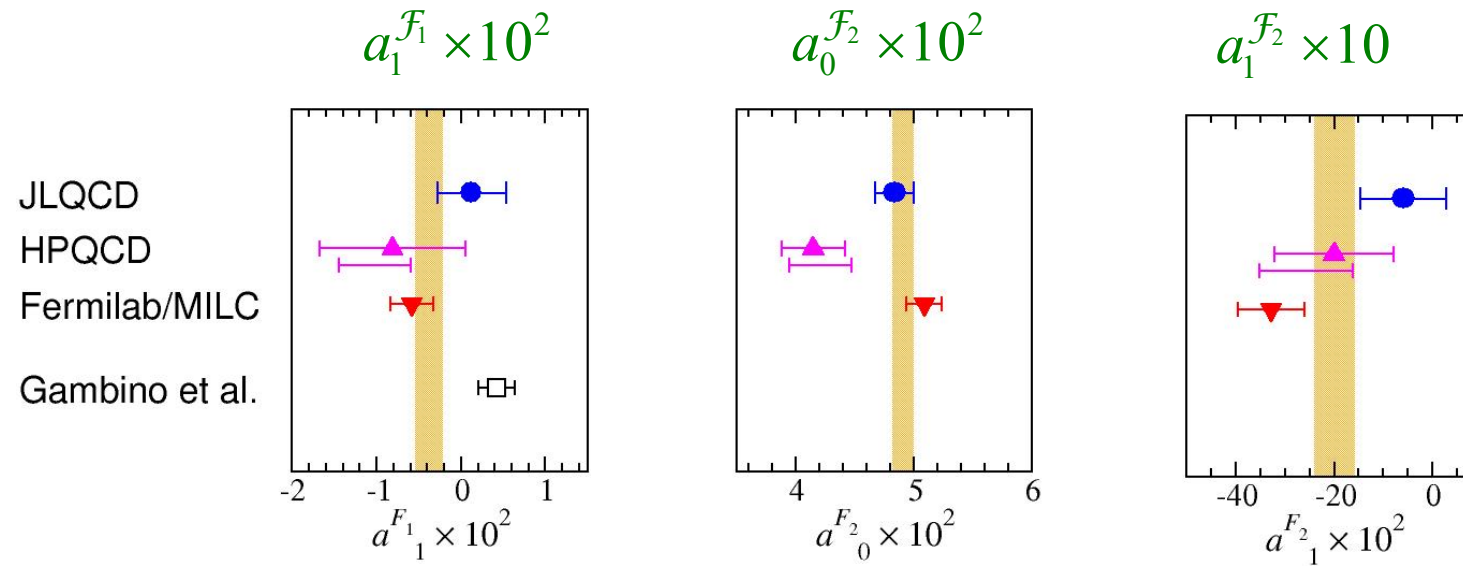


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+ well constrained by exp'tal data, consistent w/ JLQCD; Fermilab/MILC ???

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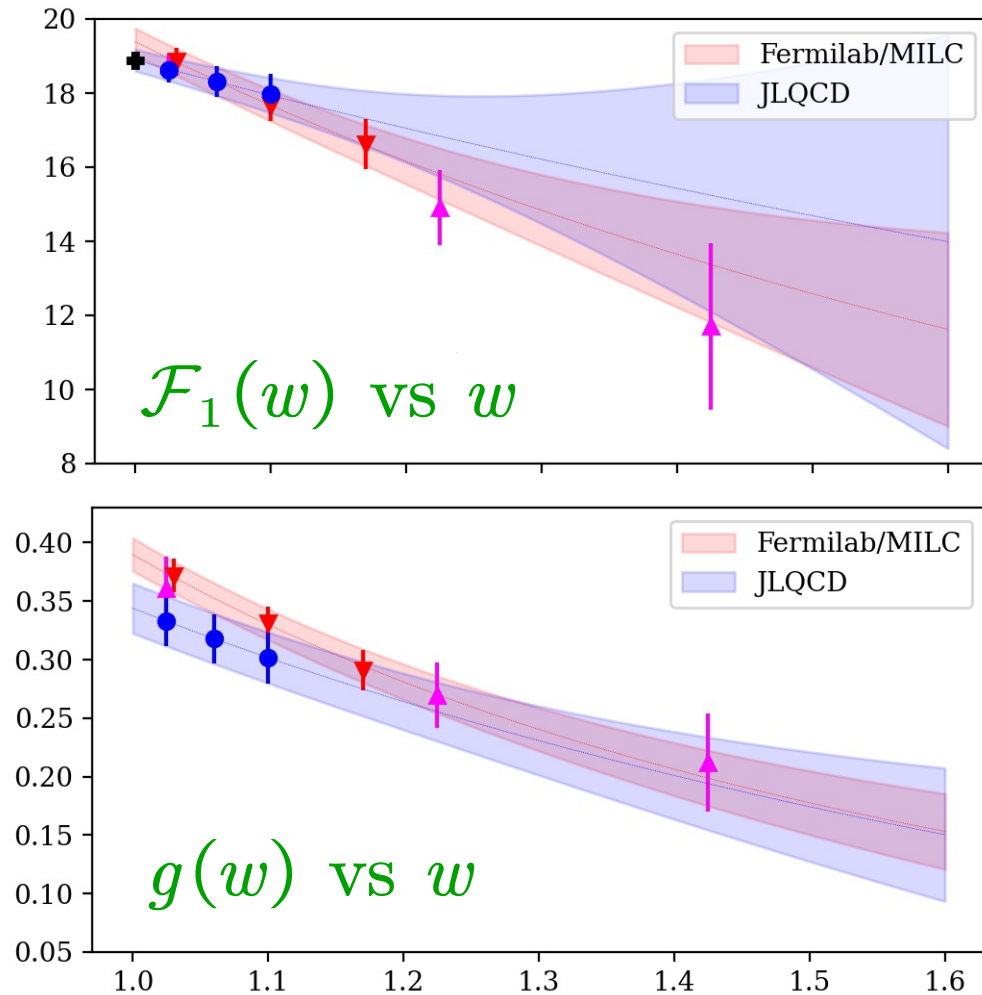
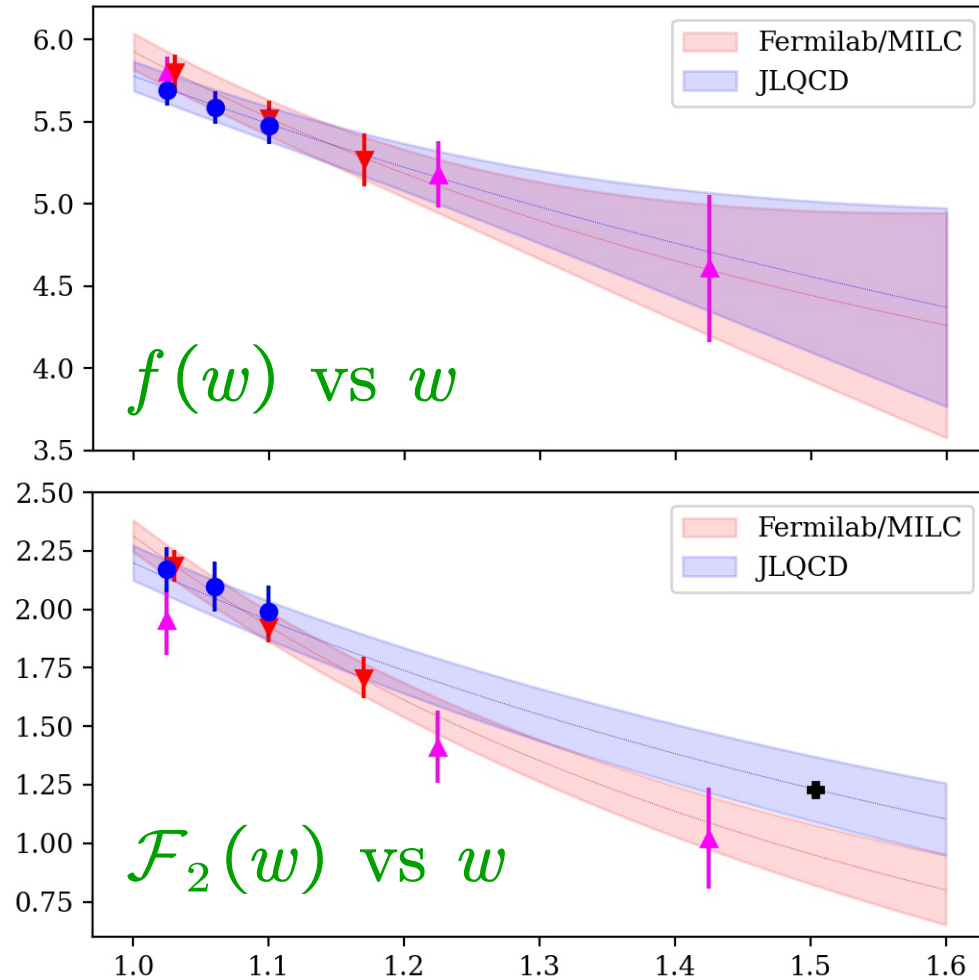
- $\mathcal{F}_2 \sim O(m_l^2)$ to $d\Gamma/dw$

+ poorly constrained by exp't (e, μ) / input to new physics search by τ channel

+ well constrained by lattice studies but tension among them

$a^{\mathcal{F}_2}_0$: 3.0σ b/w Fermilab/MILC \Leftrightarrow HPQCD; $a^{\mathcal{F}_2}_1$: 2.4σ b/w Fermilab/MILC \Leftrightarrow JLQCD

BGL fit curves



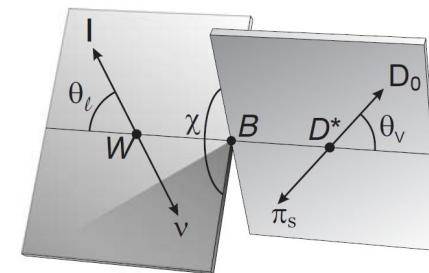
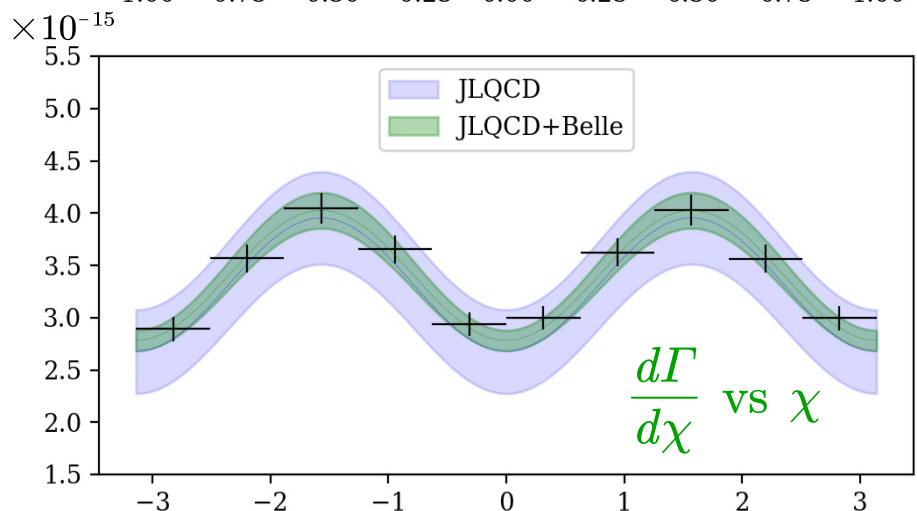
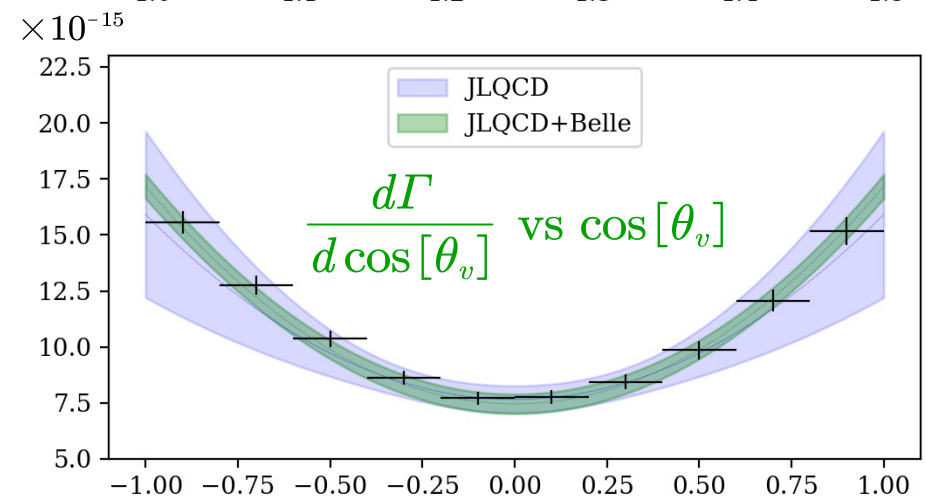
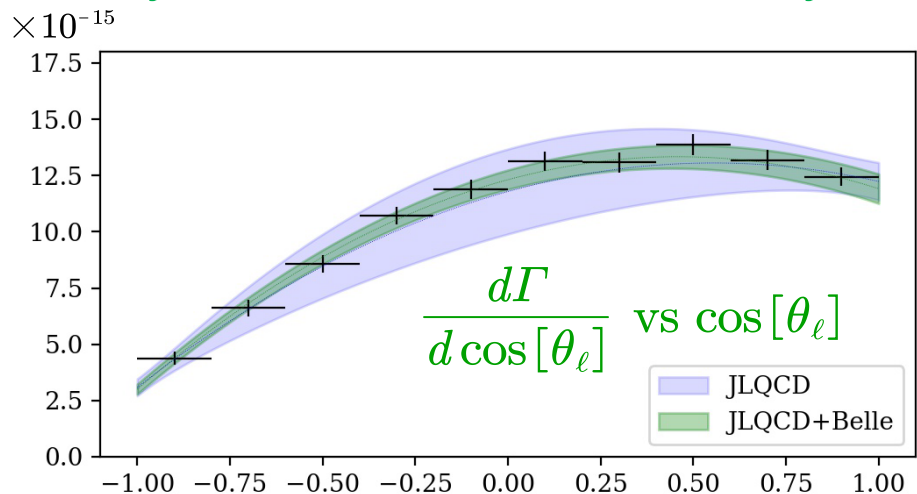
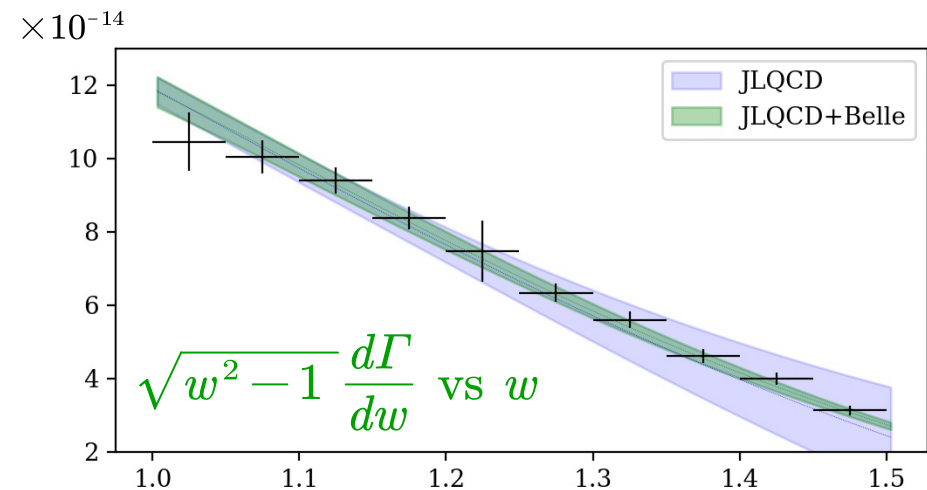
only synthetic
data for HPQCD

- 2σ consistency among lattice studies
- constraint at w_{\max} : helpful to constrain JLQCD's extrapolation of \mathcal{F}_2 to large recoils

observables : $d\Gamma/dX, |V_{cb}|, R(D^*)$

comparison w/ Belle

differential decay rate w.r.t. w and 3 decay angles



blue : fit to JLQCD data

⊗ an input value of $|V_{cb}|$

good consistency w/ Belle



green: fit to JLQCD+Belle

JLQCD and Belle data

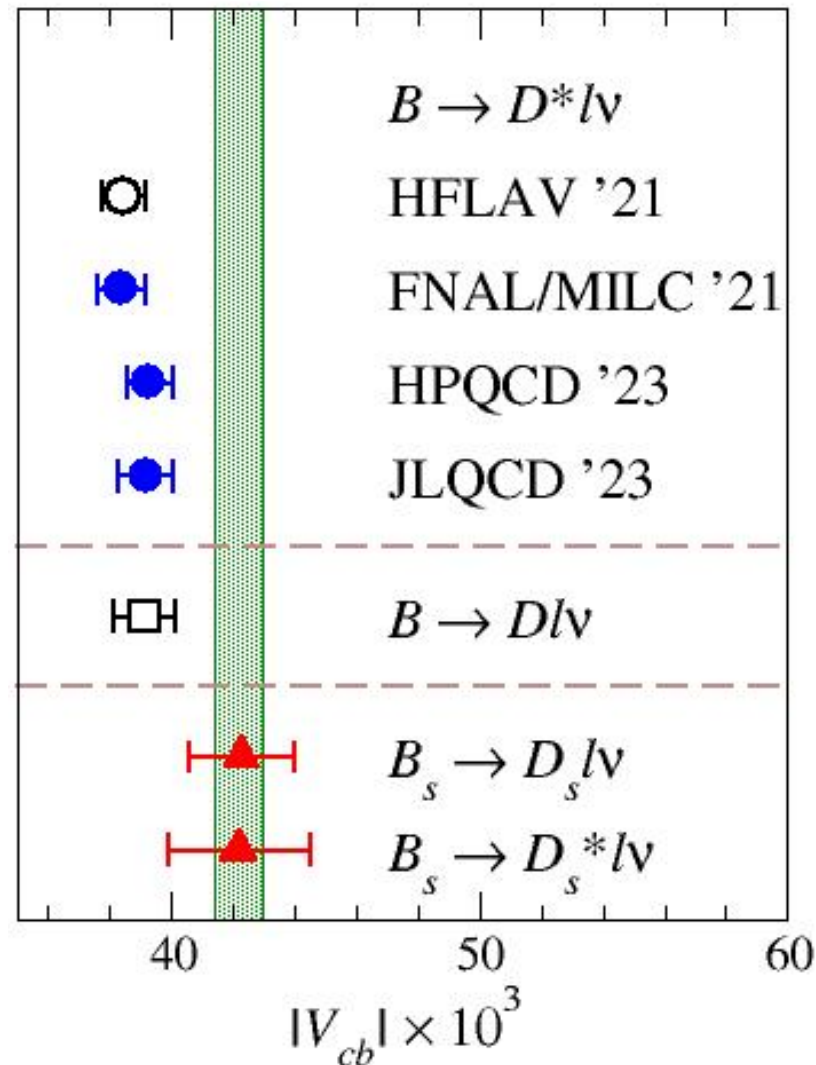
determination of $|V_{cb}|$

good consistency w/ Belle; extension of JLQCD to larger $w \Rightarrow$ precise th. predictions

$|V_{cb}|$ and $R(D^*)$

$|V_{cb}|$ from $B_{(s)}$ decays

inclusive



– consistency among 3 studies and previous determination w/ $h_{A1}(1)$

⇒ $|V_{cb}|$ tension remains

+ truncation of BGL expansion

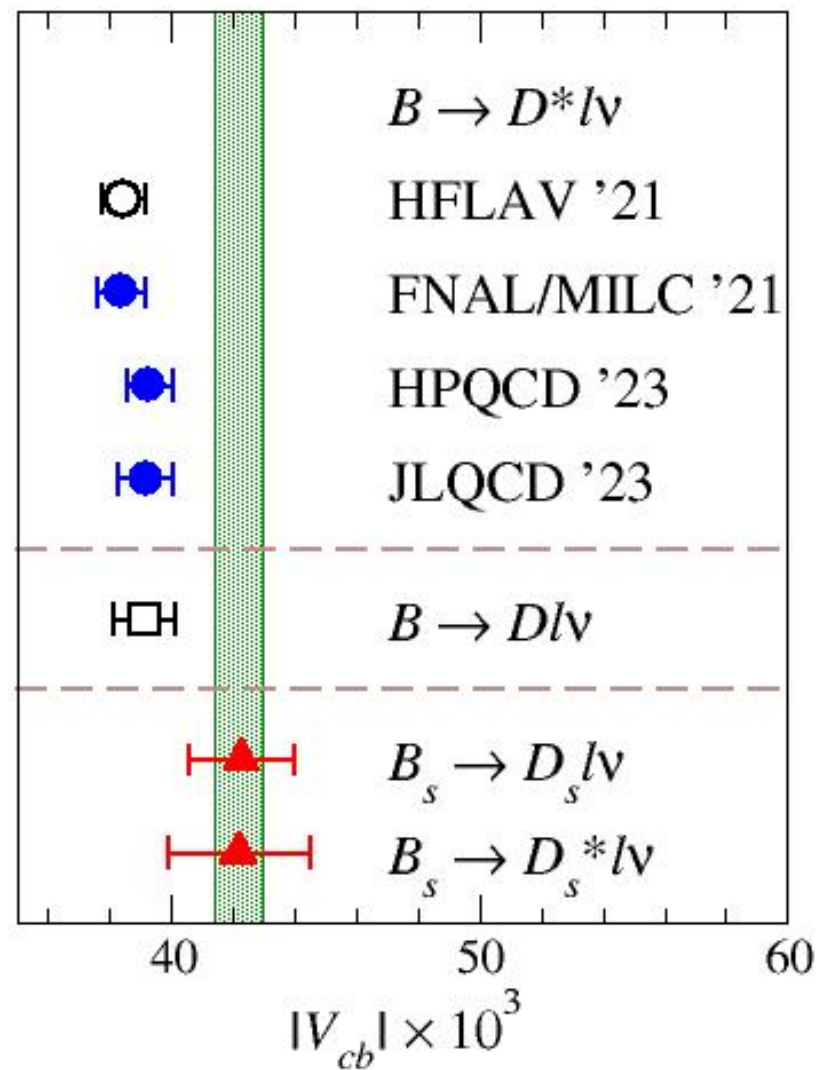
+ D'Agostini bias

$|V_{cb}|$ and $R(D^*)$

$|V_{cb}|$ from $B_{(s)}$ decays

$$R(D^*) = \Gamma(B \rightarrow D^* \tau \nu) / \Gamma(B \rightarrow D^* \ell \nu) \quad (\ell = e, \mu)$$

inclusive



- consistency among 3 studies and previous determination w/ $h_{A1}(1)$

$\Rightarrow |V_{cb}|$ tension remains

- + truncation of BGL expansion
- + D'Agostini bias

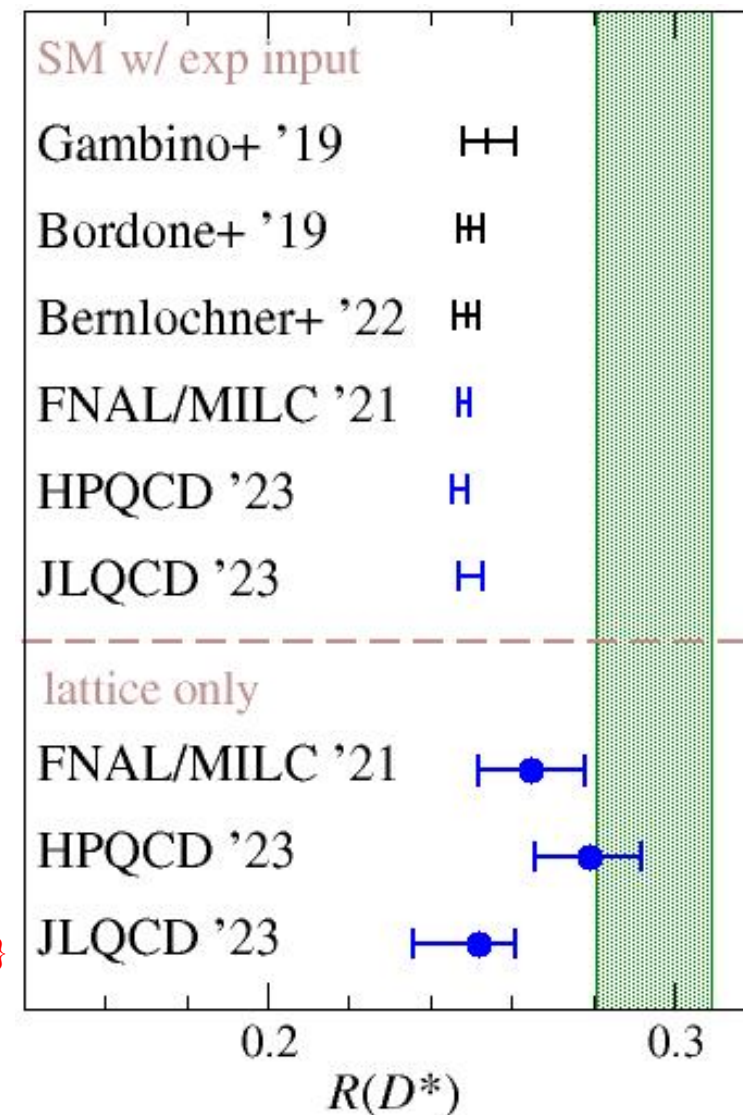
- $R(D^*)$ w/ exp input : 3σ from exp

- pure SM value of $R(D^*)$

\Rightarrow average $0.267(8)$ 3%, 1.7σ from exp

- + more precise \mathcal{F}_2 up to w_{\max}^τ
- + extension to larger recoils $w_{\max}^{\{e,\mu\}}$

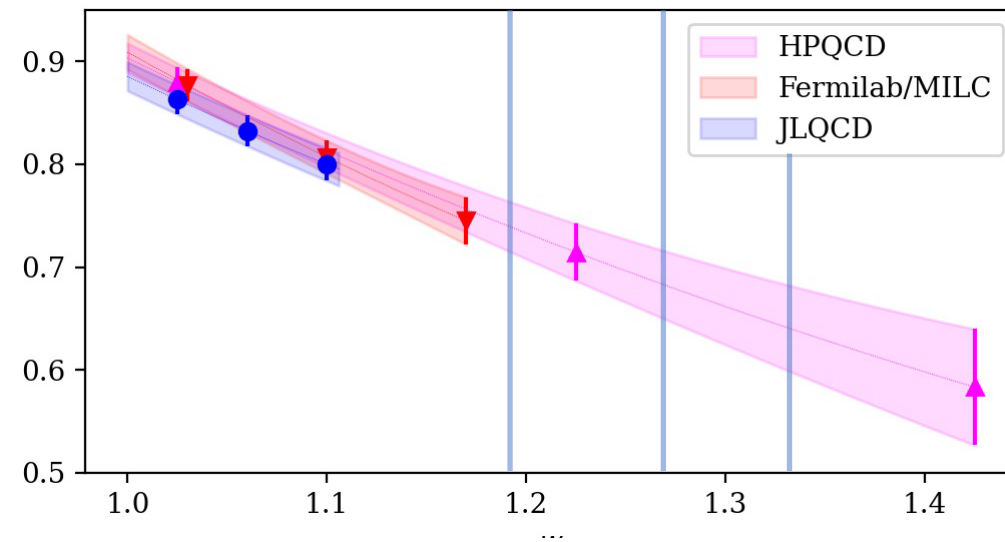
HFLAV



future possibilities of JLQCD

short term

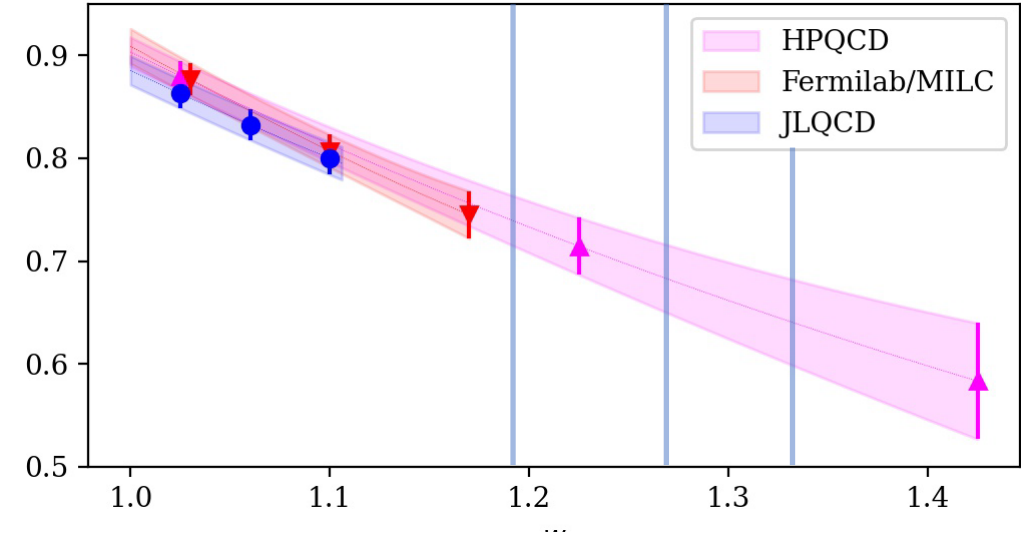
- extension to large recoils
 - + JLQCD: limit n for $|a\mathbf{p}| = (2\pi/N_s)n$ as $a \rightarrow 0$
 - HPQCD like: keep $|a\mathbf{p}| \propto n/N_s$ as $a \rightarrow 0 \Rightarrow w \leq 1.33$
 - + need much more statistics: $\#\text{tsrc} = 2 \rightarrow 16$



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- correlator ratios for h_{A2} and $h_{A3} \Rightarrow$ more precise $\mathcal{F}_1, \mathcal{F}_2$

$$\langle D^*(p', \varepsilon') | A_\mu | B(p) \rangle = \varepsilon'_\mu (w + 1) h_{A_1}(w) - \underline{\varepsilon' v} \{ v_\mu h_{A_2}(w) + v'_\mu h_{A_3}(w) \}$$

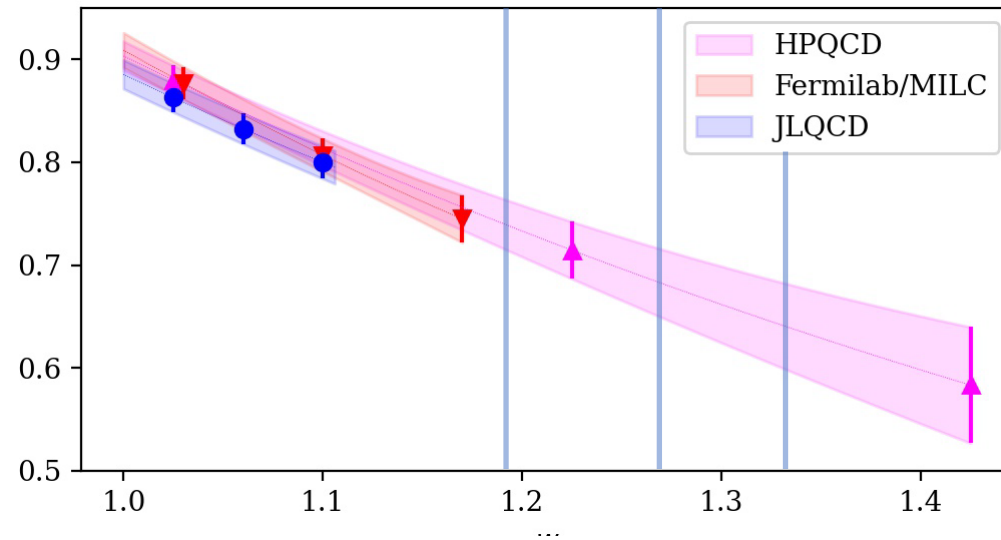
$w/\mathbf{p}=\mathbf{0} \Rightarrow$ limit (p', ε') 's

\Rightarrow need study efficiency on the existing ensembles

future possibilities of JLQCD

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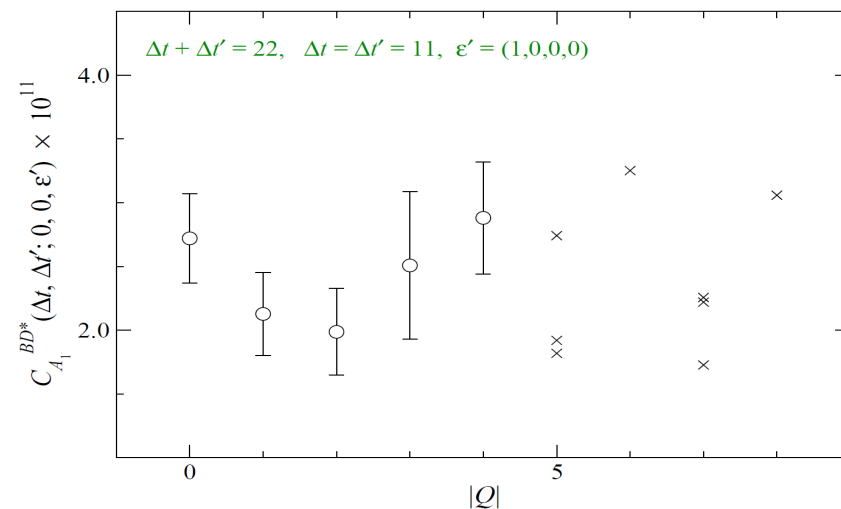
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w/ $\mathbf{p}=\mathbf{0} \Rightarrow$ limit $(\mathbf{p}', \varepsilon')$'s

\Rightarrow need study efficiency on the existing ensembles

long term

- simulating a finer lattice
 - + topology freezing? $\Rightarrow Q$ dependence not large



summary

$B \rightarrow D^* \ell \nu$ from the perspectives of JLQCD

JLQCD's approach

- independent study w/ a theoretically-clean relativistic formulation to control systematics

comparison w/ Fermilab/MILC and HPQCD

- 2-3 σ tension in BGL coefficients, but 2 σ consistency for FFs
- systematic error as |"fit A" – "fit B"| = "1 σ "?

future possibilities

- larger recoils; more precise calculation of $\mathcal{F}_1, \mathcal{F}_2$