

# Extracting excited-state contributions to the $B_s$ to $D_s$ semi-leptonic decays from inclusive lattice simulations

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In collaboration with Alessandro Barone, Ahmed Elgaziari, Shoji Hashimoto, Andreas Juettner, Takashi Kaneko,  
Ryan Kellermann



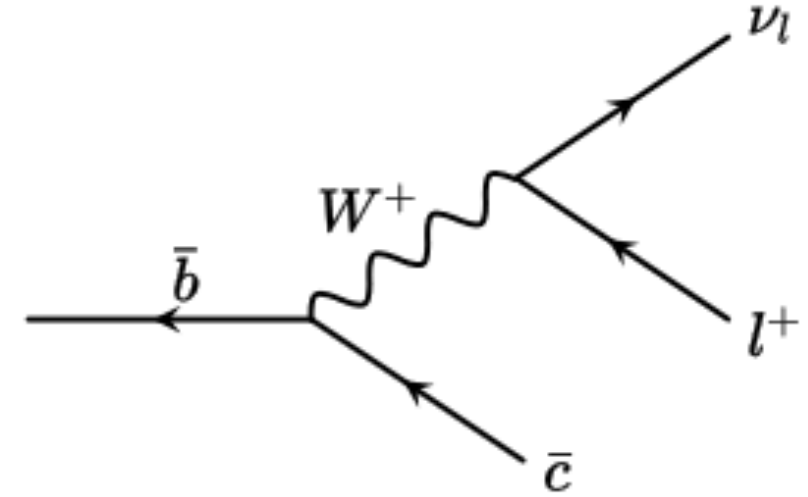
Lattice Meets Continuum, 2024/10/1



# Introductions

# Semi-leptonic decays

- in the quark level it corresponds to  $b \rightarrow cl\nu_l$
- it is a CKM-favoured decay corresponding to  $V_{cb}$ , a fundamental parameter in SM
- we concentrate on the **exclusive processes** with  $X_{cs}$  being  $D_s, D_s^*, D_{s0}^*, D_{s1}, D'_{s1}, D_{s2}^*$
- for  $l$ , we only consider **light leptons**
- two problems
  - tension between **inclusive and exclusive**  $V_{cb}$
  - **1/2 vs 3/2** puzzle



$$B_S \rightarrow X_{cs} + l \nu_l$$

$$v = \frac{p_{B_S}}{M_{B_S}} \quad v' = \frac{p_{X_{cs}}}{M_{X_{cs}}} \quad q$$

$$\omega = v \cdot v' \quad p_{B_S} = p_X + q$$

# Inclusive and exclusive determinations of $|V_{cb}|^2$

inclusive

$$42 \pm 1 \times 10^{-3}$$

exclusive

$$38 \pm 1 \times 10^{-3}$$

experimental data

The diagram features a central yellow rounded rectangle labeled 'experimental data'. Two blue arrows originate from the top corners of this rectangle. The left arrow points to the text 'inclusive' and the value  $42 \pm 1 \times 10^{-3}$ . The right arrow points to the text 'exclusive' and the value  $38 \pm 1 \times 10^{-3}$ .

# Inclusive and exclusive determinations of $|V_{cb}|^2$

inclusive

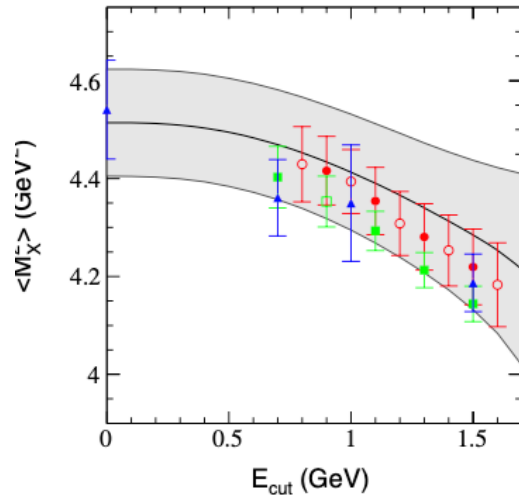
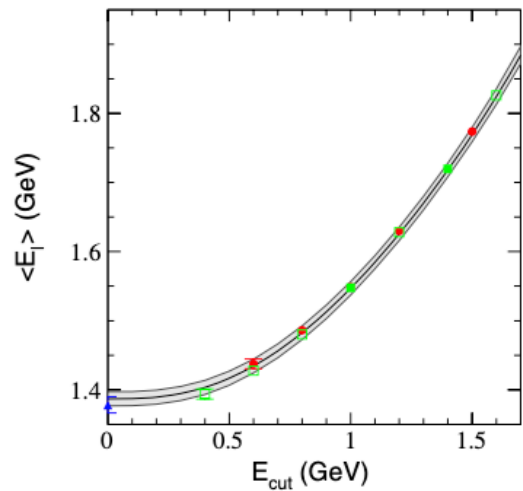
exclusive

$42 \pm 1 \times 10^{-3}$

$38 \pm 1 \times 10^{-3}$

OPE expansion of moments for inclusive decays

experimental data



$$\begin{aligned}
 X = & X^{(0)} + \frac{\alpha_s}{\pi} X^{(1)} + \left(\frac{\alpha_s}{\pi}\right)^2 X^{(2)} + \left(\frac{\mu_\pi}{m_b}\right)^2 X^{(\pi)} \\
 & + \left(\frac{\mu_G}{m_b}\right)^2 X^{(G)} + \left(\frac{\mu_D}{m_b}\right)^3 X^{(D)} + \left(\frac{\mu_{LS}}{m_b}\right)^2 X^{(LS)} + \dots
 \end{aligned}$$

[Gambino et al., [PRD89.1\(2014\)](#)]

# Inclusive and exclusive determinations of $|V_{cb}|^2$

inclusive

exclusive

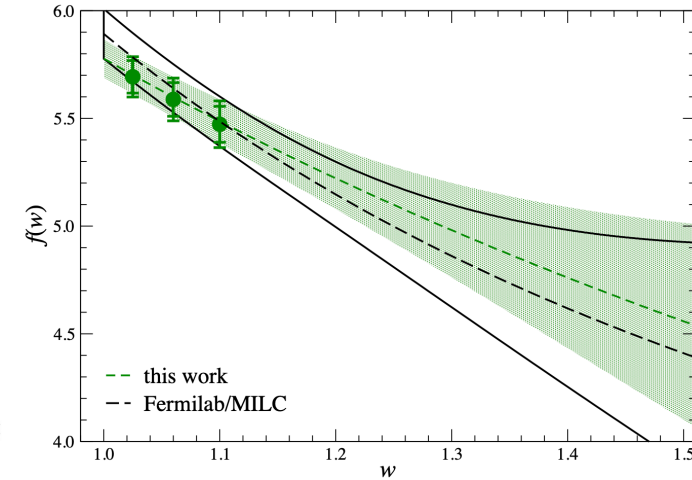
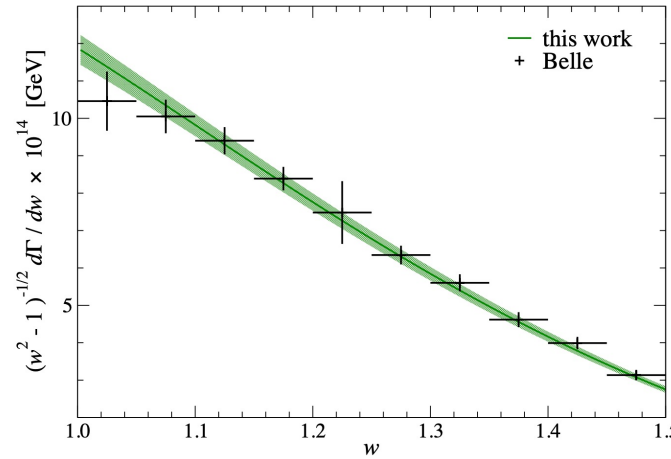
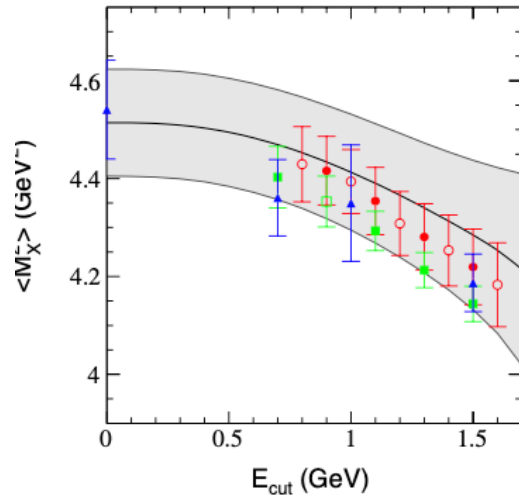
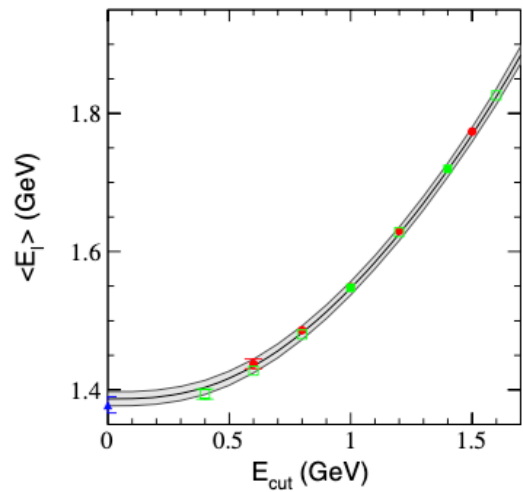
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OPE expansion of moments for inclusive decays

experimental data

lattice data + CLN/BGL parameterizations of exclusive form factors



$$X = X^{(0)} + \frac{\alpha_s}{\pi} X^{(1)} + \left(\frac{\alpha_s}{\pi}\right)^2 X^{(2)} + \left(\frac{\mu_\pi}{m_b}\right)^2 X^{(\pi)} + \left(\frac{\mu_G}{m_b}\right)^2 X^{(G)} + \left(\frac{\mu_D}{m_b}\right)^3 X^{(D)} + \left(\frac{\mu_{LS}}{m_b}\right)^2 X^{(LS)} + \dots$$

[Gambino et al., [PRD89.1\(2014\)](#)]

$$\frac{d\Gamma}{d\omega} = G(f, \mathcal{F}_1, \mathcal{F}_2)$$

$$F(z) = \frac{1}{P_F(z)\phi_F(z)} \sum_{k=0}^{N_F} a_{F,k} z^k, \quad z(\omega) = \frac{\sqrt{\omega+1} - \sqrt{2}}{\sqrt{\omega+1} + \sqrt{2}}$$

[Aoki et al., [PRD109.7\(2024\)](#)]

# Inclusive and exclusive determinations of $|V_{cb}|^2$

OPE expansion of moments for inclusive decays

inclusive

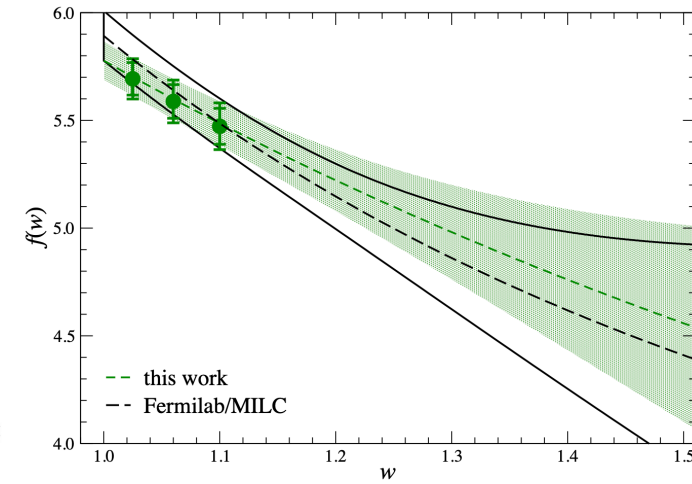
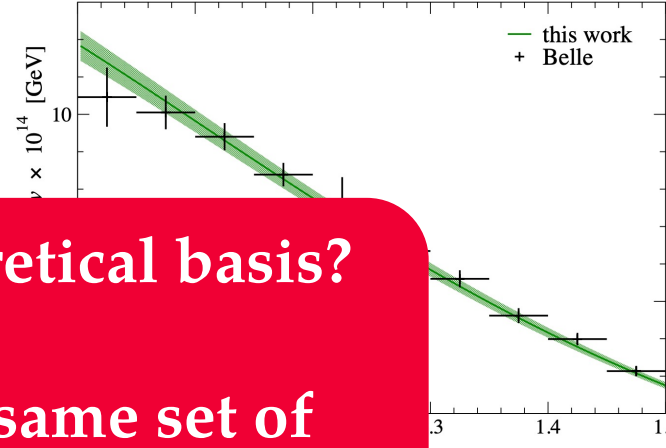
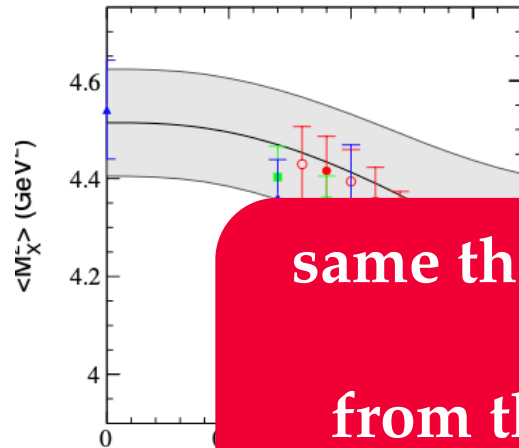
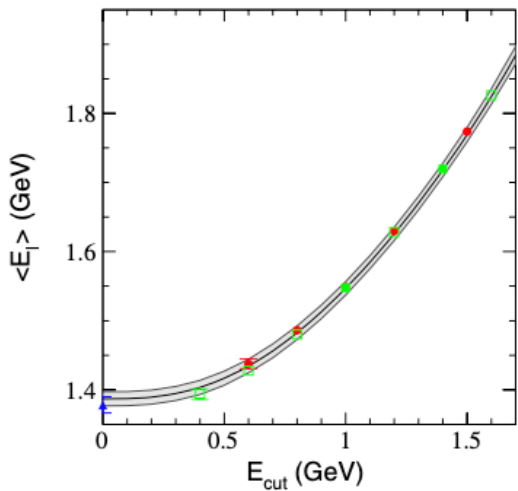
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experimental data

exclusive

$$38 \pm 1 \times 10^{-3}$$

lattice data + CLN/BGL parameterizations of exclusive form factors



same theoretical basis?  
from the same set of  
lattice data?

$$X = X^{(0)} + \frac{\alpha_s}{\pi} X^{(1)} + \left(\frac{\alpha_s}{\pi}\right)^2 X^{(2)} + \left(\frac{\mu_\pi}{m_b}\right) X^{(\pi)} + \left(\frac{\mu_G}{m_b}\right)^2 X^{(G)} + \left(\frac{\mu_D}{m_b}\right)^3 X^{(D)} + \left(\frac{\mu_{LS}}{m_b}\right)^2 X^{(LS)} + \dots$$

[Gambino et al., [PRD89.1\(2014\)](#)]

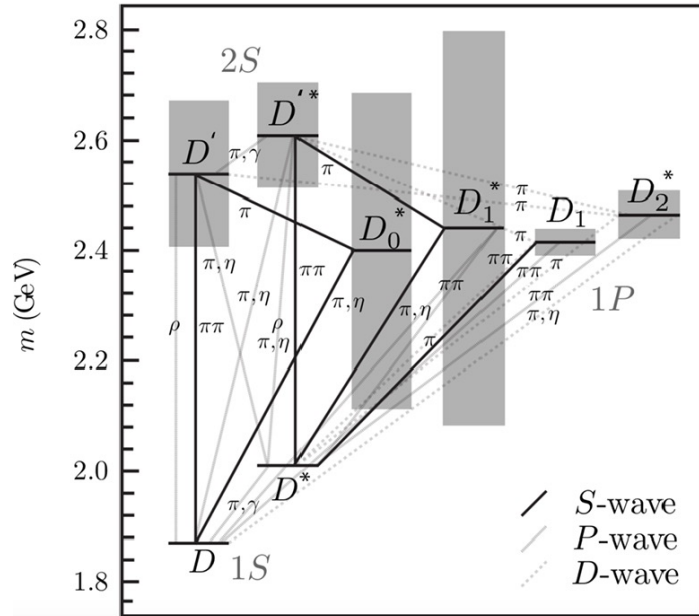
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[Aoki et al., [PRD109.7\(2024\)](#)]

[Bigi et al., [EPJC52.4\(2007\)](#)]

# 1/2 and 3/2 puzzle



$j^P$	$J^P$	
$(1/2)^- \equiv S$	$0^-$	$D$
	$1^-$	$D^*$
$(1/2)^+ \equiv P_{1/2}$	$0^+$	$D_0^*$
	$1^+$	$D_1'$
	$1^+$	$D_1$
$(3/2)^+ \equiv P_{3/2}$	$1^+$	$D_1$
	$2^+$	$D_2^*$

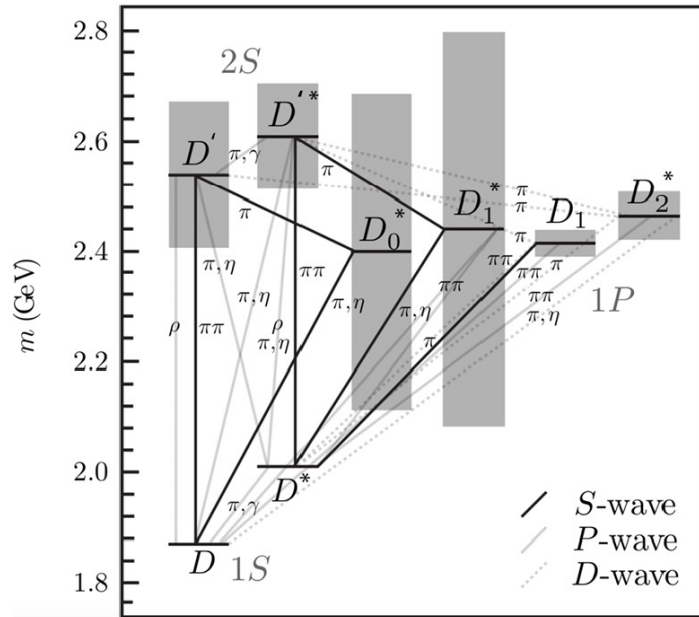
$$j = L + S_{\text{light quark}}$$

$$J = j + S_{\text{heavy quark}}$$



[Bigi et al., [EPJC52.4\(2007\)](#)]

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$$j = L + S_{\text{light quark}}$$

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- heavy quark limit  $m_Q \rightarrow \infty$
- OPE+HQET



- $\tau_{1/2}(\omega = 1) \ll \tau_{3/2}(\omega = 1)$
- transition form factors from  $B$  to  $D_{3/2}^{**}$  and  $D_{1/2}^{**}$ , and thus their decay ratios, can be expressed by **Isgur-Wise form factor  $\tau_{1/2}$  and  $\tau_{3/2}$**

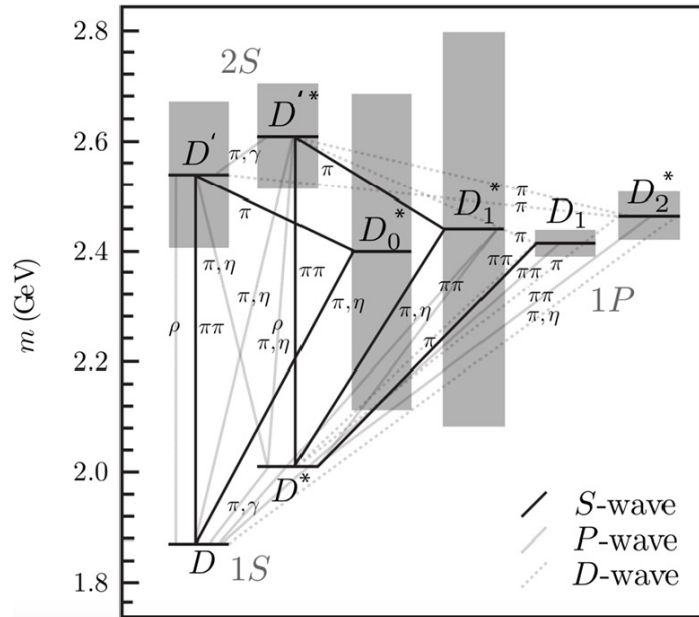


$$\Gamma_{1/2} \ll \Gamma_{3/2}$$

[Uraltsev, [PLB501.1-2\(2001\)](#)]

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$$\Gamma_{1/2} \ll \Gamma_{3/2}$$

**what is the nature of the remaining 15%?  
can we understand it from lattice?**

[Uraltsev, [PLB501.1-2\(2001\)](#)]

# Methods

more details from Alessandro's  
talk on Thursday

# Lattice setup

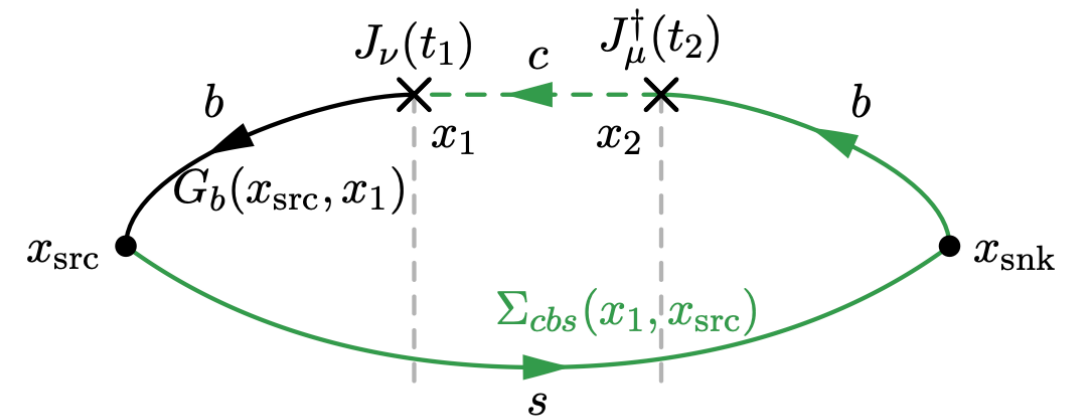
## Gauge ensemble

- data from RBC/UKQCD
- lattice size:  $24^3 \times 64$
- lattice spacing:  $a \approx 0.11$  fm, energy unit:  $\frac{1}{a} \approx 1.785$  GeV
- 2+1-flavour DWF actions with approximately physical masses are utilized for light quarks
- relativistic-heavy quark action for  $b$  and  $c$  quarks

$$M_\pi \approx 330 \text{ MeV}, \quad M_{B_s} \approx 5370 \text{ MeV}, \quad M_{D_s} \approx 1680 \text{ MeV}$$

## Simulation of 4-pt correlators

- $t_{snk} - t_{src} = 20, t_2 - t_{src} = 14$ , fixed
- $t_1$  range from 0 to 14  $\Rightarrow t = t_2 - t_1$  range from 14 to 0
- we work in the rest frame of  $B_s, v \equiv \frac{p_{B_s}}{M_{B_s}} = (1, 0, 0, 0)$
- $-\mathbf{q} = (q_k, q_k, q_k)$
- almost non-perturbative renormalization for the current  
[El-Khadra et. al., [PRD.64\(2001\):014502](#)]



# Four-point correlators

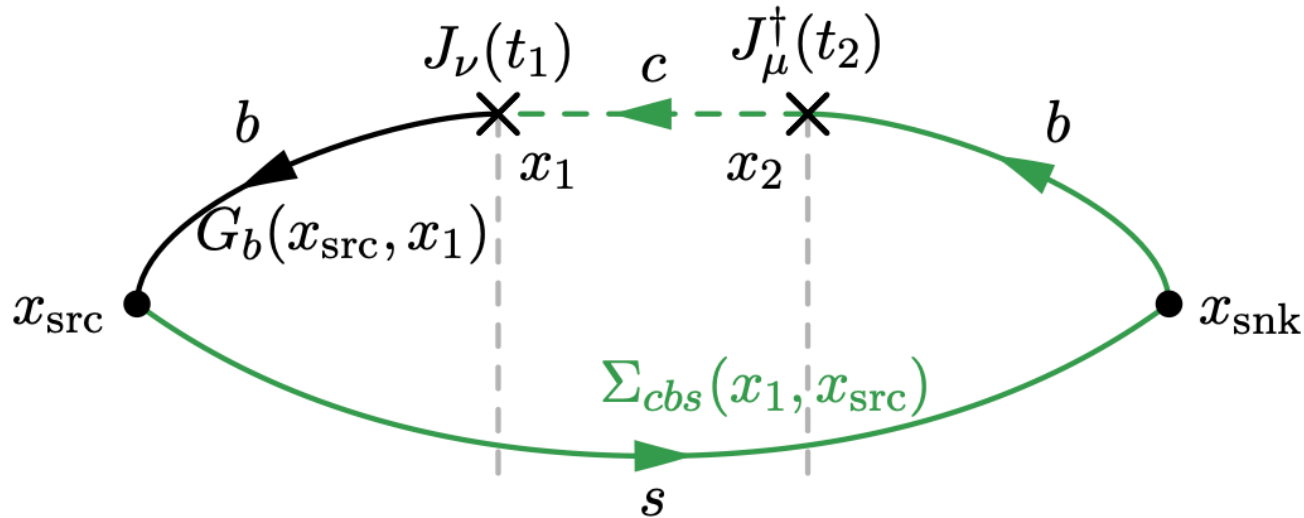
see Alessandro's talk on Thursday

$$C_{J_\mu J_\nu}(\mathbf{q}, t)$$

$$\equiv \int d^3x \frac{e^{i\mathbf{q}\cdot\mathbf{x}}}{2M_{B_s}} \langle B_s | J_\mu^\dagger(\mathbf{x}, 0) e^{-\hat{H}t} J_\nu(0) | B_s \rangle$$

inclusive process with  $B_s$  as initial state

$$J_\mu \equiv V_\mu - A_\mu, V_\mu = \bar{b}\gamma^\mu c, A_\mu = \bar{b}\gamma^\mu\gamma^5 c$$



# Four-point correlators

see Alessandro's talk on Thursday

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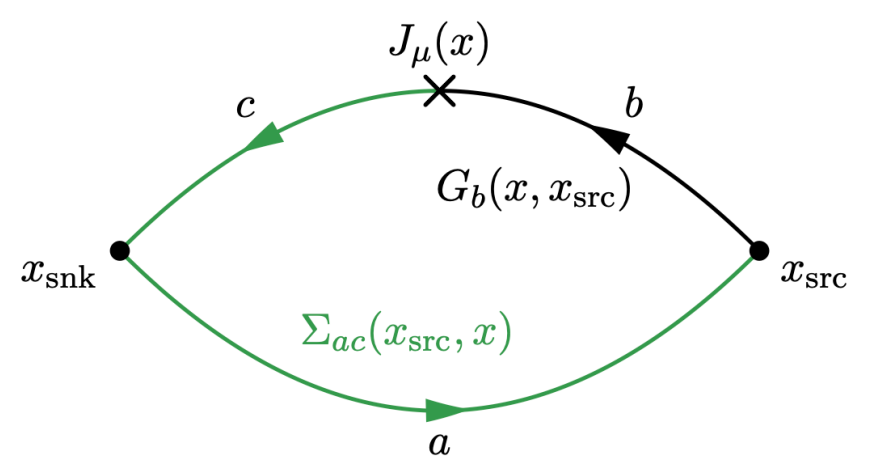
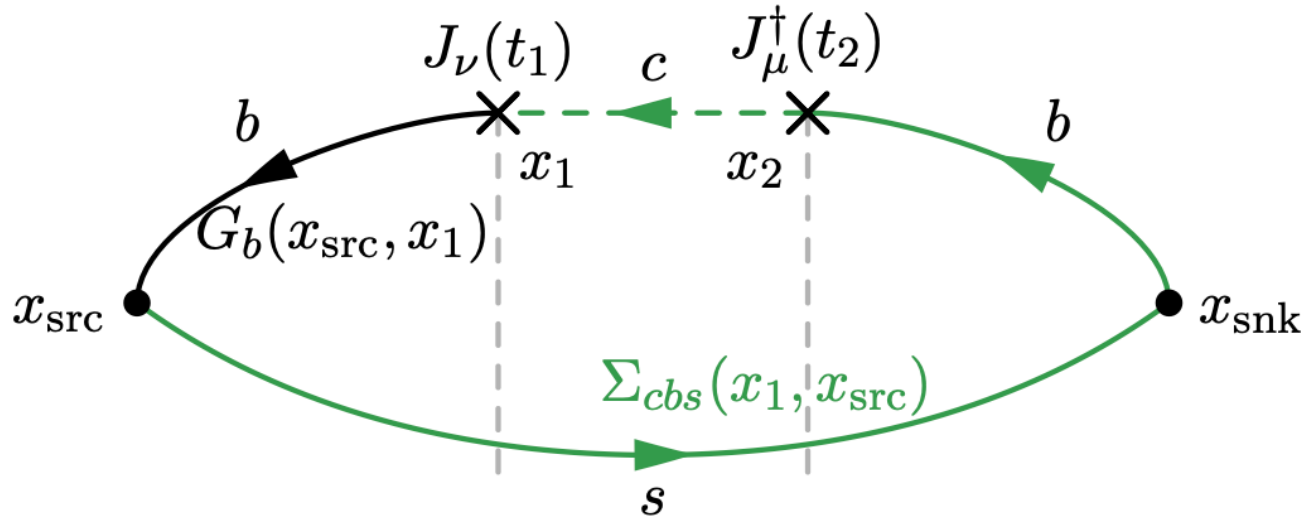
$$= \sum_{\mathbf{X}_{cs}} \frac{1}{2M_{B_s} 2E_{\mathbf{X}_{cs}}} \langle B_s | J_\mu^\dagger(0) | \mathbf{X}_{cs}, -\mathbf{q} \rangle \langle \mathbf{X}_{cs}, -\mathbf{q} | J_\nu(0) | B_s \rangle e^{-E_{\mathbf{X}_{cs}} t}$$

inclusive process with  $B_s$  as initial state

contributions from all possible final states

exclusive information could be extracted from 4-pt correlators

$$J_\mu \equiv V_\mu - A_\mu, V_\mu = \bar{b}\gamma^\mu c, A_\mu = \bar{b}\gamma^\mu \gamma^5 c$$



# Four-point correlators

see Alessandro's talk on Thursday

$$C_{J_\mu J_\nu}(\mathbf{q}, t)$$

$$\equiv \int d^3x \frac{e^{iq \cdot x}}{2M_{B_s}} \langle B_s | J_\mu^\dagger(x, 0) e^{-\hat{H}t} J_\nu(0) | B_s \rangle$$

$$= \sum_{X_{cs}} \frac{1}{2M_{B_s} 2E_{X_{cs}}} \langle B_s | J_\mu^\dagger(0) | X_{cs}, -\mathbf{q} \rangle \langle X_{cs}, -\mathbf{q} | J_\nu(0) | B_s \rangle e^{-E_{X_{cs}} t}$$

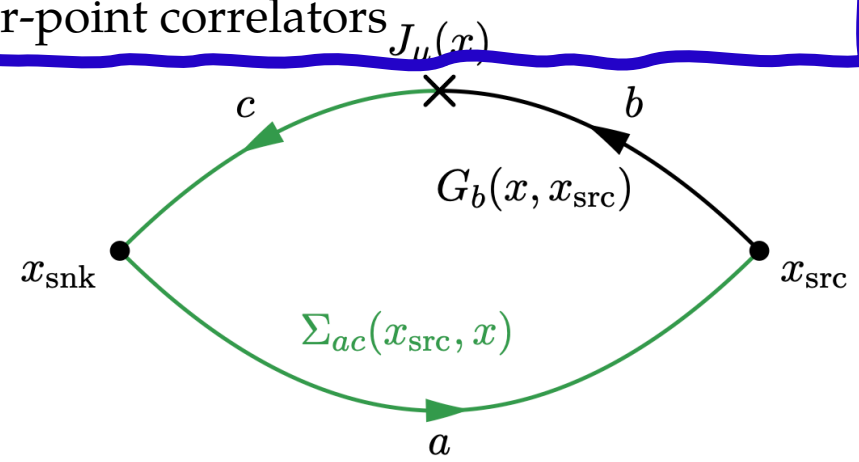
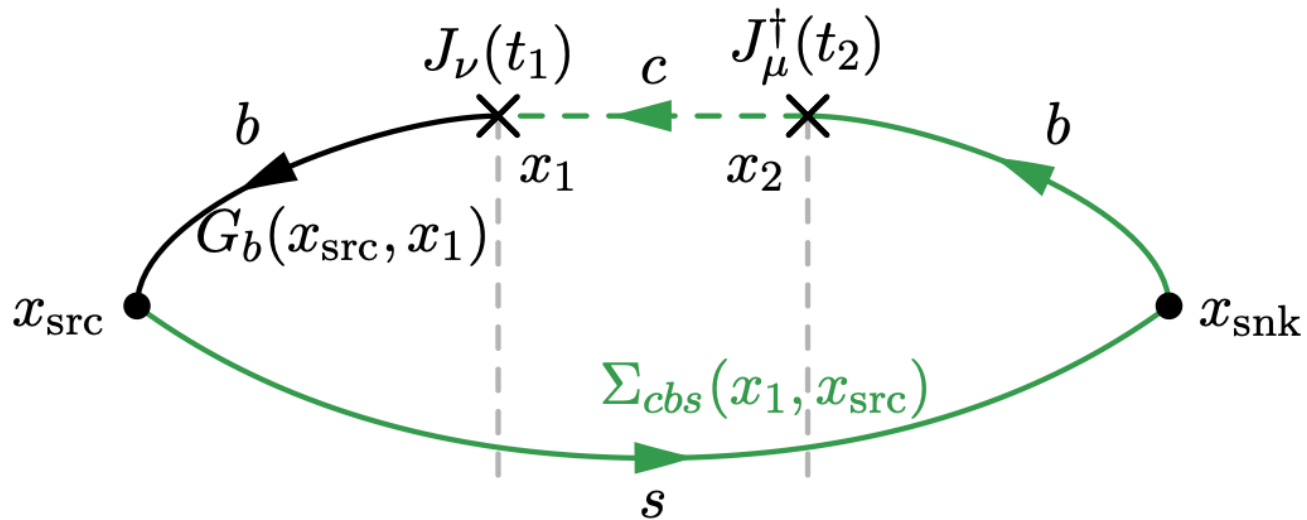
inclusive process with  $B_s$  as initial state

contributions from all possible final states

exclusive information could be extracted from 4-pt correlators

$$J_\mu \equiv V_\mu - A_\mu, V_\mu = \bar{b} \gamma^\mu c, A_\mu = \bar{b} \gamma^\mu \gamma^5 c$$

- pro: don't need to construct the **complicated operators** representing the final state
- con: need to perform **multi-state fit** of the four-point correlators  $J_\mu(x)$



# Decomposition of four-point correlators

four-point correlators  
as the summations of a  
series of three-point  
correlators

$$\begin{aligned} C_{J_\mu J_\nu}(\mathbf{q}, t) &= \sum_{X_c} \frac{1}{2E_{X_c} 2M_B} \langle B | J_\mu^\dagger(0) | X_c \rangle \langle X_c | J_\nu(0) | B \rangle e^{-E_{X_c} t} \\ &= \frac{1}{2E_D 2M_B} \langle B | J_\mu^\dagger(0) | D \rangle \langle D | J_\nu(0) | B \rangle e^{-E_D t} \\ &\quad + \frac{1}{2E_{D^*} 2M_B} \sum_{\sigma} \langle B | J_\mu^\dagger(0) | D^*, \sigma \rangle \langle D^*, \sigma | J_\nu(0) | B \rangle e^{-E_{D^*} t} \\ &\quad + \frac{1}{2E_{D_0^*} 2M_B} \langle B | J_\mu^\dagger(0) | D_0^* \rangle \langle D_0^* | J_\nu(0) | B \rangle e^{-E_{D_0^*} t} \\ &\quad + \frac{1}{2E_{D_1'} 2M_B} \sum_{\sigma} \langle B | J_\mu^\dagger(0) | D_1', \sigma \rangle \langle D_1', \sigma | J_\nu(0) | B \rangle e^{-E_{D_1'} t} \\ &\quad + \frac{1}{2E_{D_1} 2M_B} \sum_{\sigma} \langle B | J_\mu^\dagger(0) | D_1, \sigma \rangle \langle D_1, \sigma | J_\nu(0) | B \rangle e^{-E_{D_1} t} \\ &\quad + \frac{1}{2E_{D_2^*} 2M_B} \sum_{\sigma} \langle B | J_\mu^\dagger(0) | D_2^*, \sigma \rangle \langle D_2^*, \sigma | J_\nu(0) | B \rangle e^{-E_{D_2^*} t} \end{aligned}$$



# Decomposition of four-point correlators

four-point correlators as the summations of a series of three-point correlators

three-point correlators parameterized by form factors and final-state masses/energies

- S wave

- $D(0^-)$

$$\langle B, v | V_\mu | D, v' \rangle = \left[ h_+(v_\mu + v'_\mu) + \boxed{h_-(v_\mu - v'_\mu)} \right] \sqrt{M_B M_D} \quad (3.97)$$

$$\langle B, v | A_\mu | D, v' \rangle = 0 \quad (3.98)$$

- $D^*(1^-)$

$$\langle B, v | V_\mu | D^*, v', \sigma \rangle = \left[ \boxed{h_V \epsilon_{\mu\alpha\beta\gamma} \epsilon^\alpha v'^\beta v^\gamma} \right] \sqrt{M_B M_{D^*}} \quad (3.99)$$

$$\langle B, v | A_\mu | D^*, v', \sigma \rangle = i \left[ (\omega + 1) h_{A1} \epsilon_\mu - \boxed{(\epsilon \cdot v) (h_{A2} v_\mu + h_{A3} v'_\mu)} \right] \sqrt{M_B M_{D^*}} \quad (3.100)$$

- P wave,  $j = \frac{1}{2}$

- $D_0^*(0^+)$

$$\langle B, v | V_\mu | D_0^*, v' \rangle = 0 \quad (3.101)$$

$$\langle B, v | A_\mu | D_0^*, v' \rangle = \left[ g_+(v_\mu + v'_\mu) + \boxed{g_-(v_\mu - v'_\mu)} \right] \sqrt{M_B M_{D_0^*}} \quad (3.102)$$

- $D_1'(1^+)$

$$\langle B, v | V_\mu | D_1', v', \sigma \rangle = \left[ g_{V1} \epsilon_\mu + \boxed{(\epsilon \cdot v) (g_{V2} v_\mu + g_{V3} v'_\mu)} \right] \sqrt{M_B M_{D_1'}}, \quad (3.103)$$

$$\langle B, v | A_\mu | D_1', v', \sigma \rangle = -i \left[ \boxed{g_A \epsilon_{\mu\alpha\beta\gamma} \epsilon^\alpha v'^\beta v^\gamma} \right] \sqrt{M_B M_{D_1'}}. \quad (3.104)$$

- P wave,  $j = \frac{3}{2}$

- $D_1(1^+)$

$$\langle B, v | V_\mu | D_1, v', \sigma \rangle = \left[ f_{V1} \epsilon_\mu + \boxed{(\epsilon \cdot v) (f_{V2} v_\mu + f_{V3} v'_\mu)} \right] \sqrt{M_B M_{D_1}}, \quad (3.105)$$

$$\langle B, v | A_\mu | D_1, v', \sigma \rangle = -i \left[ \boxed{f_A \epsilon_{\mu\alpha\beta\gamma} \epsilon^\alpha v'^\beta v^\gamma} \right] \sqrt{M_B M_{D_1}}. \quad (3.106)$$

- $D_2^*(2^+)$

$$\langle B, v | V_\mu | D_2^*, v', \sigma \rangle = -i \left[ \boxed{k_V \epsilon_{\mu\alpha\beta\gamma} \epsilon^{\alpha\rho} v_\rho v'^\beta v^\gamma} \right] \sqrt{M_B M_{D_2^*}}, \quad (3.107)$$

$$\langle B, v | A_\mu | D_2^*, v', \sigma \rangle = \left[ \boxed{k_{A1} \epsilon_{\mu\rho} v^\rho + (\epsilon_{\alpha\beta} v^\alpha v^\beta) (k_{A2} v_\mu + k_{A3} v'_\mu)} \right] \sqrt{M_B M_{D_2^*}}. \quad (3.108)$$

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four-point correlators as the summations of a series of three-point correlators

three-point correlators parameterized by form factors and final-state masses/energies

four-point correlators parameterized by a series of exponentials with form factors and masses/energies of different final states as prefactors

$$\begin{aligned}
 C_{A_0 A_0} &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} 3q_k^2 \left[ \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) h_{A1} - h_{A2} - \frac{E_{D^*}}{M_{D^*}} h_{A3} \right]^2 \\
 &+ \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} \left[ g_+ (E_{D_0^*} + M_{D_0^*}) - g_- (E_{D_0^*} - M_{D_0^*}) \right]^2 \\
 &+ \frac{e^{-E_{D_2^*} t}}{4E_{D_2^*} M_{D_2^*}} \dots
 \end{aligned}$$

	S		P <sub>1/2</sub>		P <sub>1/2</sub>	
	D	D*	D <sub>0</sub> *	D' <sub>1</sub>	D <sub>1</sub>	D <sub>2</sub> *
V <sub>0</sub> V <sub>0</sub>	h <sub>+</sub> , h <sub>-</sub>			g <sub>V1</sub> , g <sub>V2</sub> , g <sub>V3</sub>	f <sub>V1</sub> , f <sub>V2</sub> , f <sub>V3</sub>	
V <sub>  </sub> V <sub>  </sub>	h <sub>+</sub> , h <sub>-</sub>			g <sub>V1</sub> , g <sub>V3</sub>	f <sub>V1</sub> , f <sub>V3</sub>	
V <sub>⊥</sub> V <sub>⊥</sub>		h <sub>V</sub>		g <sub>V1</sub>	f <sub>V1</sub>	k <sub>V</sub>
V <sub>0</sub> V <sub>  </sub>	h <sub>+</sub> , h <sub>-</sub>			g <sub>V1</sub> , g <sub>V2</sub> , g <sub>V3</sub>	f <sub>V1</sub> , f <sub>V2</sub> , f <sub>V3</sub>	
A <sub>0</sub> A <sub>0</sub>		h <sub>A1</sub> , h <sub>A2</sub> , h <sub>A3</sub>	g <sub>+</sub> , g <sub>-</sub>			...
A <sub>  </sub> A <sub>  </sub>		h <sub>A1</sub> , h <sub>A3</sub>	g <sub>+</sub> , g <sub>-</sub>			...
A <sub>⊥</sub> A <sub>⊥</sub>		h <sub>A1</sub>		g <sub>A</sub>	f <sub>A</sub>	...
A <sub>0</sub> A <sub>  </sub>		h <sub>A1</sub> , h <sub>A2</sub> , h <sub>A3</sub>	g <sub>+</sub> , g <sub>-</sub>			...

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four-point correlators as the summations of a series of three-point correlators

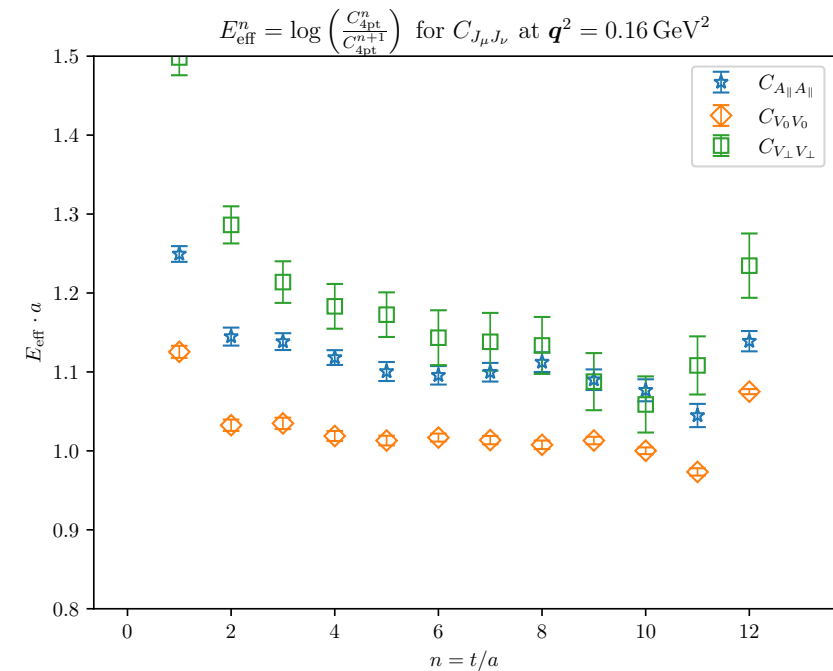
three-point correlators parameterized by form factors and final-state masses/energies

four-point correlators parameterized by a series of exponentials with form factors and masses/energies of different final states as prefactors

lattice simulation of four-point correlators

values of the masses/energies of the final states and the corresponding form factors

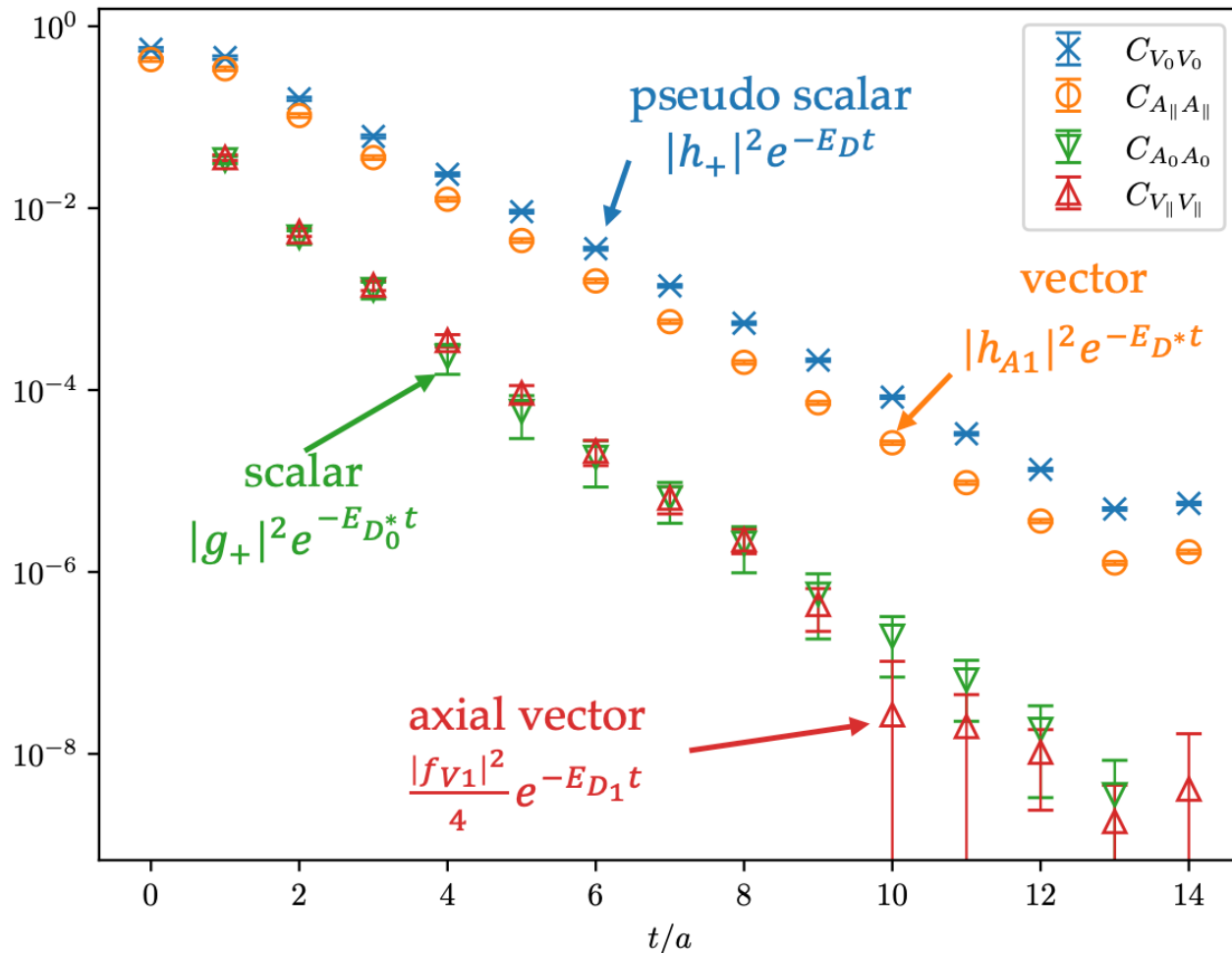
	$S$		$P_{1/2}$		$P_{1/2}$	
	$D$	$D^*$	$D_0^*$	$D_1'$	$D_1$	$D_2^*$
$V_0 V_0$	$h_+, h_-$			$g_{V1}, g_{V2}, g_{V3}$	$f_{V1}, f_{V2}, f_{V3}$	
$V_{\parallel} V_{\parallel}$	$h_+, h_-$			$g_{V1}, g_{V3}$	$f_{V1}, f_{V3}$	
$V_{\perp} V_{\perp}$		$h_V$		$g_{V1}$	$f_{V1}$	$k_V$
$V_0 V_{\parallel}$	$h_+, h_-$			$g_{V1}, g_{V2}, g_{V3}$	$f_{V1}, f_{V2}, f_{V3}$	
$A_0 A_0$		$h_{A1}, h_{A2}, h_{A3}$	$g_+, g_-$			...
$A_{\parallel} A_{\parallel}$		$h_{A1}, h_{A3}$	$g_+, g_-$			...
$A_{\perp} A_{\perp}$		$h_{A1}$		$g_A$	$f_A$	...
$A_0 A_{\parallel}$		$h_{A1}, h_{A2}, h_{A3}$	$g_+, g_-$			...



# **Zero-recoil results**

# Four-point correlators at zero recoil

at zero recoil limit,  $\vec{q}^2 = 0$ ,  $\omega = 1$ ,  
 parity is well defined, thus parity symmetry dictates the isolation of final states

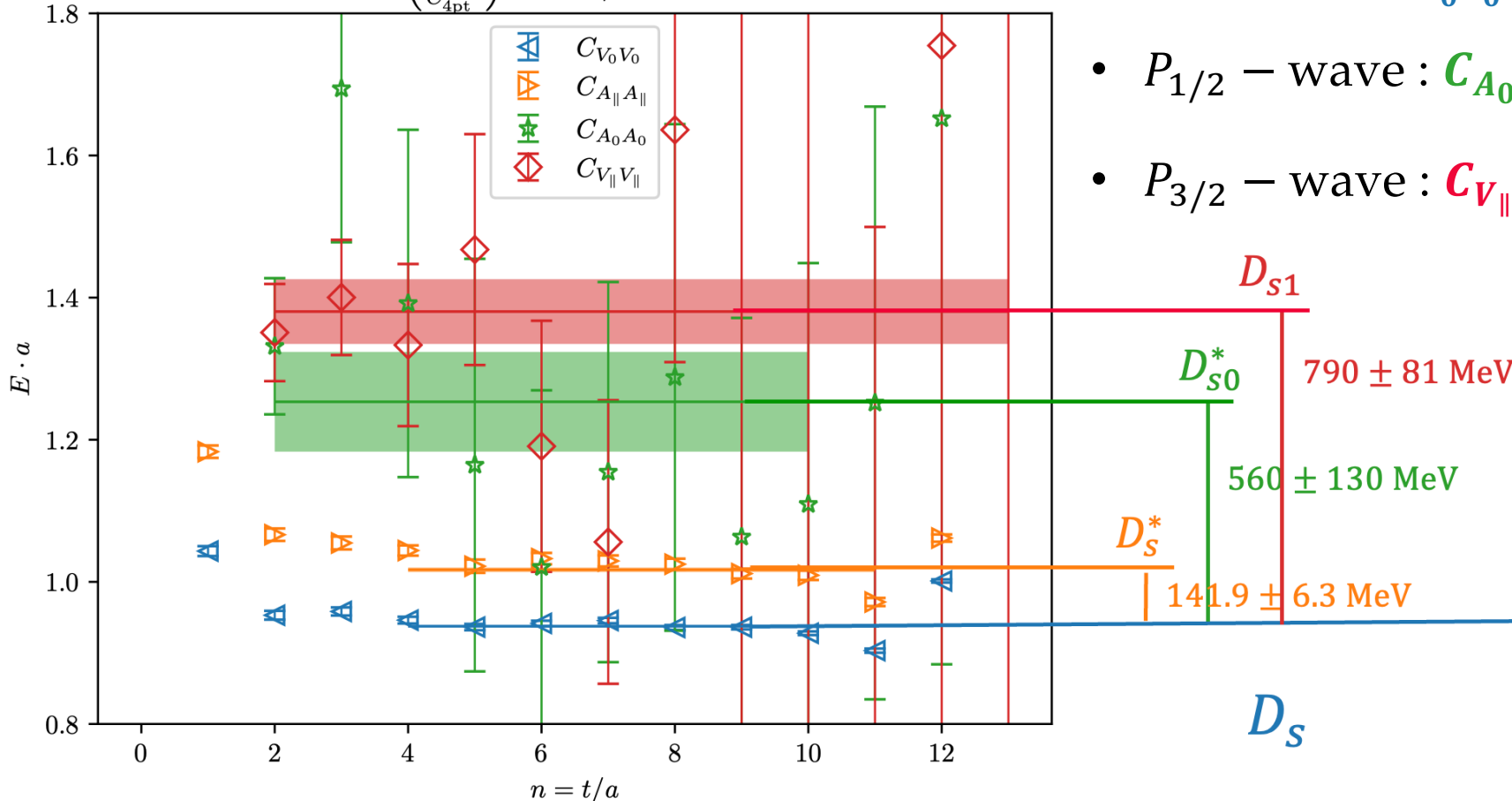


- $S$  – wave :  $C_{V_0 V_0} \approx h_+^2 e^{-M_{D_s} t}$ ,  $C_{A_{\parallel} A_{\parallel}} \approx h_{A1}^2 e^{-M_{D_s^*} t}$
- $P_{1/2}$  – wave :  $C_{A_0 A_0} \approx g_+^2 e^{-M_{D_{s0}^*} t}$
- $P_{3/2}$  – wave :  $C_{V_{\parallel} V_{\parallel}} \approx \frac{f_{V1}^2}{4} e^{-M_{D1} t}$

the magnitudes of  $P$ -wave contributions are around 1/10 smaller than those of the  $S$ -wave contributions, but, information can still be extracted from the lattice simulation

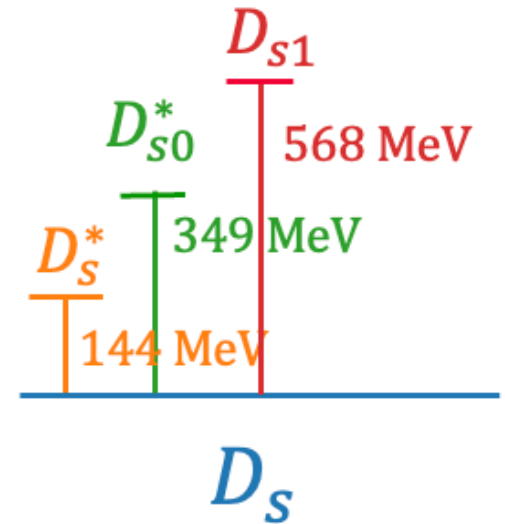
# Comparison of the effective mass and the fitted mass

$$E_{\text{eff}}^n = \log \left( \frac{C_{4\text{pt}}^n}{C_{4\text{pt}}^{n+1}} \right) \text{ for } C_{J_\mu J_\nu} \text{ at } q^2 = 0.0 \text{ GeV}^2$$



- $S$  – wave :  $C_{V_0V_0} \approx h_+^2 e^{-M_{D_S}t}$  ,  $C_{A_{||}A_{||}} \approx h_{A1}^2 e^{-M_{D_S^*}t}$
- $P_{1/2}$  – wave :  $C_{A_0A_0} \approx g_+^2 e^{-M_{D_{S0}^*}t}$
- $P_{3/2}$  – wave :  $C_{V_{||}V_{||}} \approx \frac{f_{V1}^2}{4} e^{-M_{D_{S1}}t}$

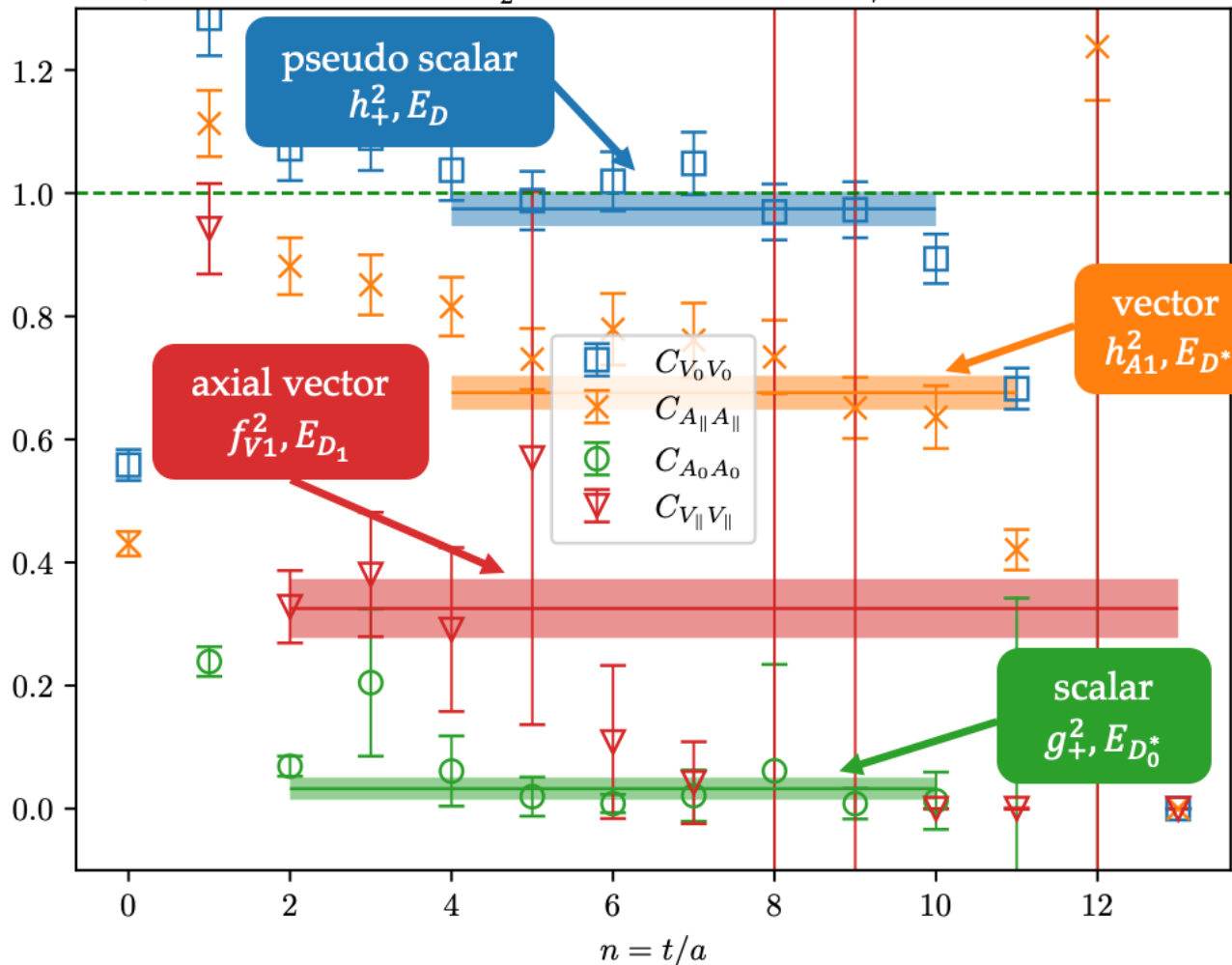
**PDG values of  $D_S$  mass differences**



•  $M_\pi \approx 330 \text{ MeV}$   
 •  $D_{S1}/D_{S0^*}$  and  $D^{(*)}K$  } we obtain reasonable results for the masses

# Comparison of the fitted and effective form factor

$$(A_{\text{eff}}^2)^n = \frac{C_{4\text{pt}}^n \exp(E_{\text{eff}}^n \cdot n) + C_{4\text{pt}}^{n+1} \exp(E_{\text{eff}}^n \cdot (n+1))}{2} \text{ for } C_{J_\mu J_\nu} \text{ at } \mathbf{q}^2 = 0.0 \text{ GeV}^2$$



- $S$ -wave form factors at zero recoil ( $\omega=1$ )

$$\langle B_S | V_\mu | D_S \rangle \propto h_+(\omega)(v_\mu + v'_\mu)$$

$$\langle B_S | A_\mu | D_S^*, \epsilon_\mu \rangle \propto (\omega + 1)h_{A1}(\omega)\epsilon_\mu$$

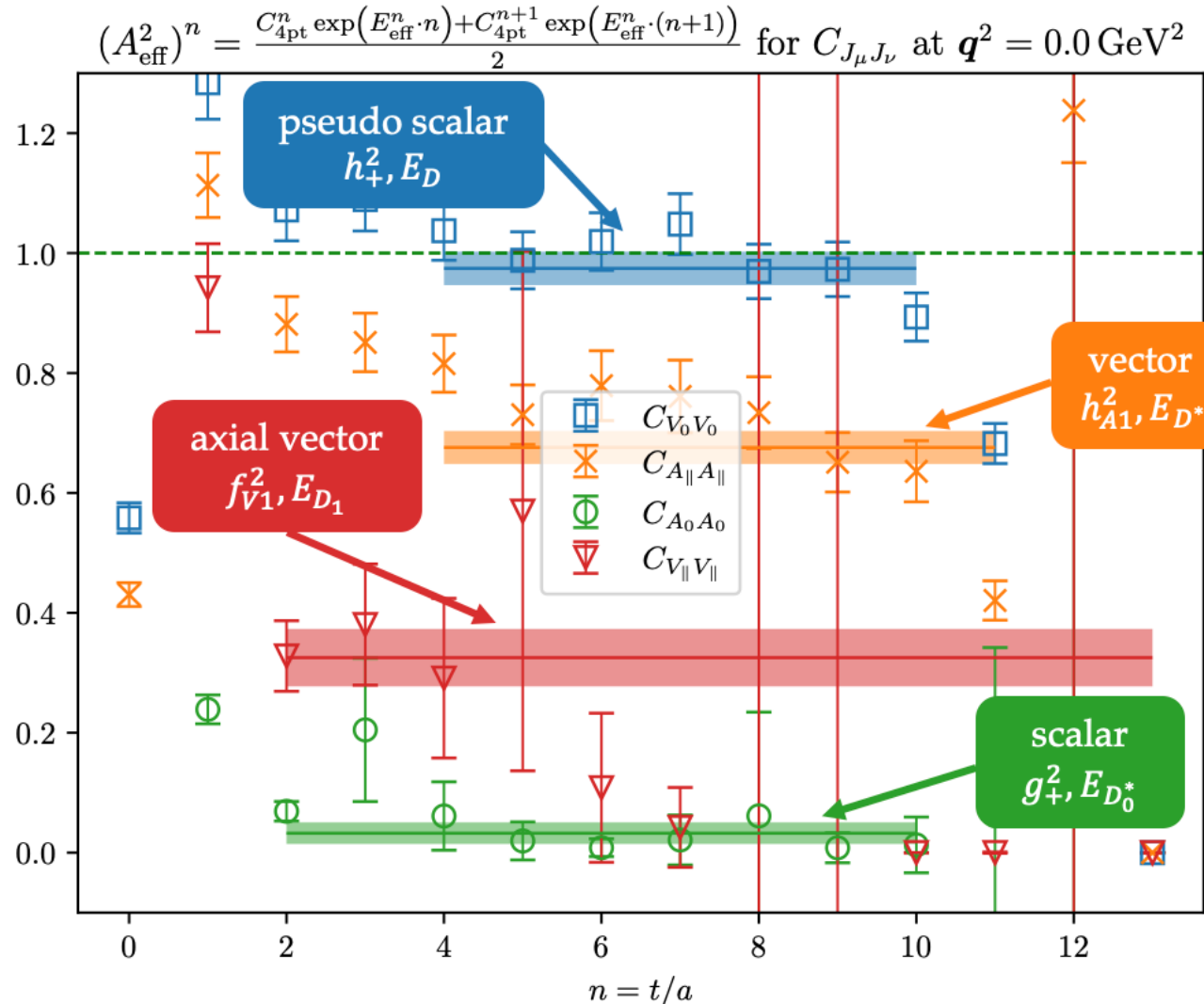
$$h_+(\omega = 1) = 0.987(14)$$

$$h_{A1}(\omega = 1) = 0.822(17)$$

compatible with the zeroth order calculations from the heavy quark effective theory (HQET)

$$h_+(\omega = 1) \approx h_{A1}(\omega = 1) \approx 1$$

# Comparison of the fitted and effective form factor



- $P$ -wave form factors at zero recoil

$$\langle B_S | A_\mu | D_{S0}^* \rangle \propto g_+(\omega) (v_\mu + v'_\mu)$$

$$\langle B_S | V_\mu | D_{S1} \rangle \propto f_{V1}(\omega) \epsilon_\mu$$

- according to HQET [Leibovich et. al., [PRD.57.1](#)], at lowest non-zero order

- $|g_+(1)| = 3(\epsilon_c + \epsilon_b)(\bar{\Lambda}^* - \bar{\Lambda})\tau_{1/2}(1)$
- $|f_{V1}(1)| = \frac{8}{\sqrt{2}}(\epsilon_c)(\bar{\Lambda}' - \bar{\Lambda})\tau_{3/2}(1)$

heavy quark mass

$$\epsilon_c = \frac{1}{2m_c} \approx 0.801$$

$$\epsilon_b = \frac{1}{2m_b} \approx 0.12$$

difference of spin-averaged hadron mass

$$P_{1/2} - S : \bar{\Lambda}^* - \bar{\Lambda}$$

$$P_{3/2} - S : \bar{\Lambda}' - \bar{\Lambda}$$

[Bernlochner et al., [PRD95.1\(2017\)](#)]



# Comments about $\tau_{1/2} \ll \tau_{3/2}$

$$\tau_{1/2} = 0.181(50)$$

$$\tau_{3/2} = 0.315(42)$$

$$\tau_{1/2} \lesssim \tau_{3/2}$$

- it is valid at **infinite heavy quark mass**, or zeroth order of HQET
- we perform calculations at finite heavy quark mass, thus **consistent but not exactly the same** results
- it is a conclusion valid in the **zero-recoil limit**

 **what about non-zero  $\vec{q}^2$ ?**

- heavy quark limit  $m_Q \rightarrow \infty$
- OPE+HQET



- $\tau_{1/2}(\omega = 1) \ll \tau_{3/2}(\omega = 1)$
- transition form factors from  $B$  to  $D_{3/2}^{**}$  and  $D_{1/2}^{**}$ , and thus their decay ratios, can be expressed by **Isgur-Wise form factor  $\tau_{1/2}$  and  $\tau_{3/2}$**



$$\Gamma_{1/2} \ll \Gamma_{3/2}$$

[Uraltsev, [PLB501.1-2\(2001\)](#)]

**Non-zero recoil**

# Multi-state (?) $E = \cosh^{-1} (\cosh M + 3 - 3 \cos q_k)$

	S		$P_{1/2}$		$P_{1/2}$	
	D	$D^*$	$D_0^*$	$D_1'$	$D_1$	$D_2^*$
$V_0 V_0$	$h_+, h_-$			$g_{V1}, g_{V2}, g_{V3}$	$f_{V1}, f_{V2}, f_{V3}$	
$V_{\parallel} V_{\parallel}$	$h_+, h_-$			$g_{V1}, g_{V3}$	$f_{V1}, f_{V3}$	
$V_{\perp} V_{\perp}$		$h_V$		$g_{V1}$	$f_{V1}$	$k_V$
$V_0 V_{\parallel}$	$h_+, h_-$			$g_{V1}, g_{V2}, g_{V3}$	$f_{V1}, f_{V2}, f_{V3}$	
$A_0 A_0$		$h_{A1}, h_{A2}, h_{A3}$	$g_+, g_-$			...
$A_{\parallel} A_{\parallel}$		$h_{A1}, h_{A3}$	$g_+, g_-$			...
$A_{\perp} A_{\perp}$		$h_{A1}$		$g_A$	$f_A$	...
$A_0 A_{\parallel}$		$h_{A1}, h_{A2}, h_{A3}$	$g_+, g_-$			...

## excited-state contribution estimations

- ignoring the  $\omega/\vec{q}^2$  dependence of form factors  
 $f(\omega) \approx f(1)$
- use the lowest order relation from HQET  
 $h_{A1} \approx h_{A3}, h_{A2} \approx 0$   
 $g_+ \approx -\frac{3}{2}(\epsilon_c + \epsilon_b)(\bar{\Lambda}^* - \bar{\Lambda})g_-$
- we only need the values of  $h_{A1}(1)$  and  $g_+(1)$  to estimate the prefactors and thus the contributions from different states

$$C_{A_0 A_0} = \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} 3q_k^2 \zeta(1)^2 + \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} (3E_{D_0^*} - M_{D_0^*})^2 g_+^2(1)$$

$$C_{A_{\parallel} A_{\parallel}} = \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} (E_{D^*} + M_{D^*})^2 \zeta^2(1) + \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} 27q_k^2 g_+^2(1)$$

$$C_{A_0 A_{\parallel}} = \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} \sqrt{3} q_k (E_{D^*} + M_{D^*}) \zeta^2(1) + \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} \sqrt{3} q_k (9E_{D_0^*} - 3M_{D_0^*}) g_+^2(1)$$

$$\vec{q}^2 = 0.08, 0.16, 0.25 \text{ GeV}^2$$

## contributions from excited state

$$A_0 A_0 (\sim 50\%) > A_0 A_{\parallel} (\sim 10\%) > A_{\parallel} A_{\parallel} (< 10\%)$$

# Let the data talk

- we fit  $A_0A_0$ ,  $A_0A_{\parallel}$  and  $A_{\parallel}A_{\parallel}$  separately with multiple exponentials **without assuming the functional forms of the prefactors**

$$C_{J_{\mu}J_{\nu}} = \sum_{i=1}^{N_{\text{fit}}} A_{i \text{ in } J_{\mu}J_{\nu}}^2 \exp(-E_{i \text{ in } J_{\mu}J_{\nu}} \times t)$$

- $N_{\text{fit}} = 1, 2, 3, \dots$  step-by-step fitting, with prior from the outcomes of the previous fit
- **full loop** over  $t_{\text{min}}$  and  $t_{\text{max}}$ , the **fitting range**
- chose the best fit to be the fit with **smallest**  $\left| \frac{\chi^2}{\text{d.o.f.}} - 1 \right|$  and
  - $t_{\text{max}}$  to be **fairly large** for all fits
  - $t_{\text{min}}$  to be **fairly large for  $N_{\text{fit}} = 1$  fits**
  - $t_{\text{min}}$  to be **fairly small for  $N_{\text{fit}} > 1$  fits**
- look at the fitting results to manually the physics of the fitting results are correct

# Clear sign of non-existence of excited states

- The fitted parameters are occupied by error and/or the central values are extremely small

$$\chi^2/\text{d.o.f.} = 3.229, \quad t_{\text{fitted}} = [4, 11]$$

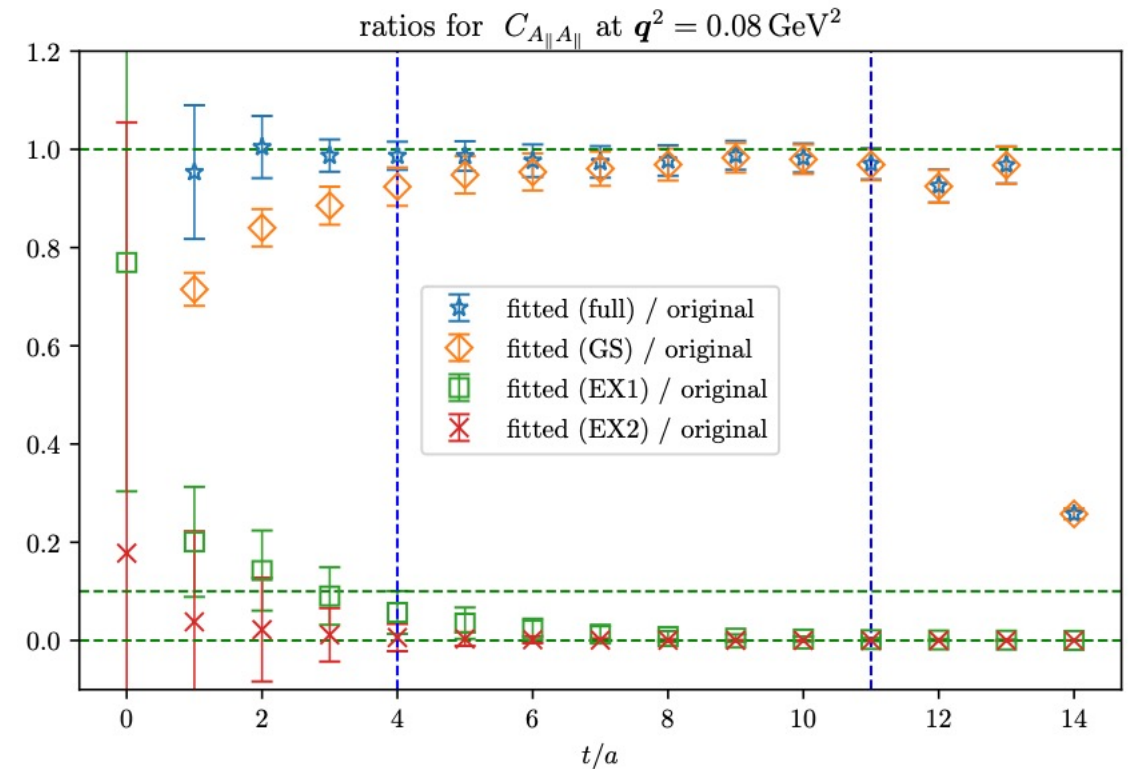
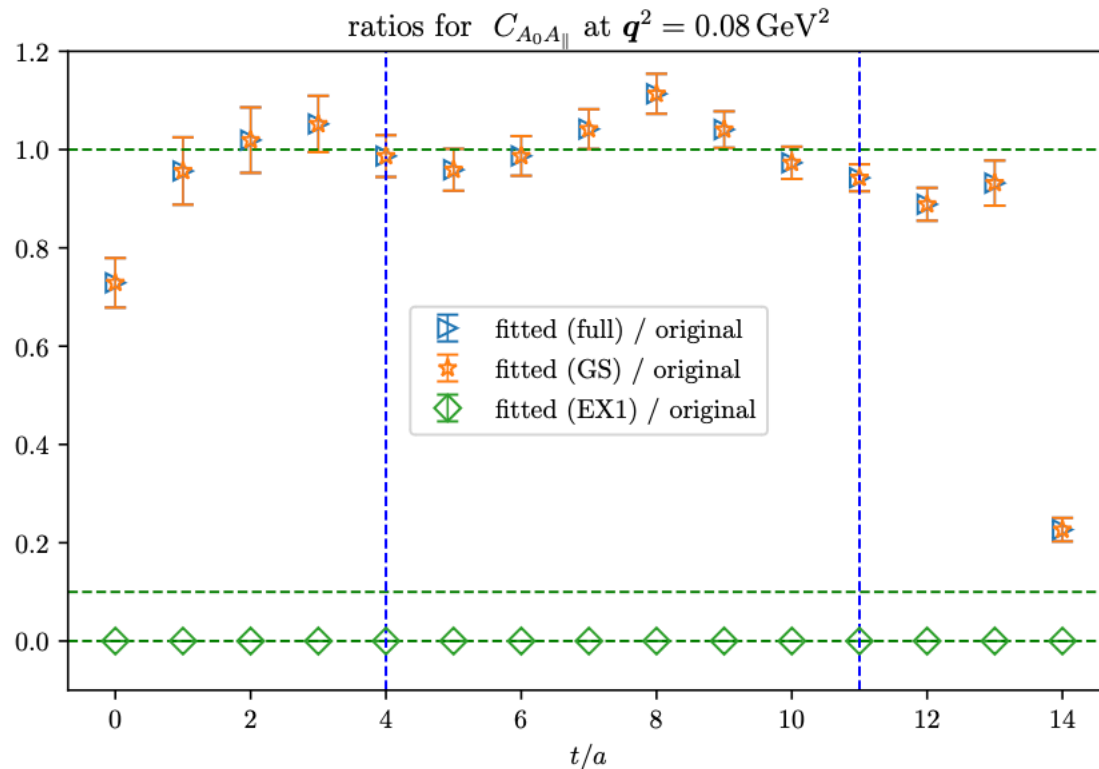
$$\Delta E_{\text{fitted}} = \{1.0711(74), 0.20(20)\}$$

$$A_{\text{fitted}}^2 = \{0.1340(92), 3.37254e - 111.2e - 05\}$$

$$\chi^2/\text{d.o.f.} = 0.496, \quad t_{\text{fitted}} = [4, 11]$$

$$\Delta E_{\text{fitted}} = \{1.0563(34), 0.51(19), 0.20(20)\}$$

$$A_{\text{fitted}}^2 = \{0.637(24), 0.30(18), 0.07(34)\}$$



# Positive sign for the existence of excited states

- the turn in the correlators at small time slice and clear portion of contributions from the excited states

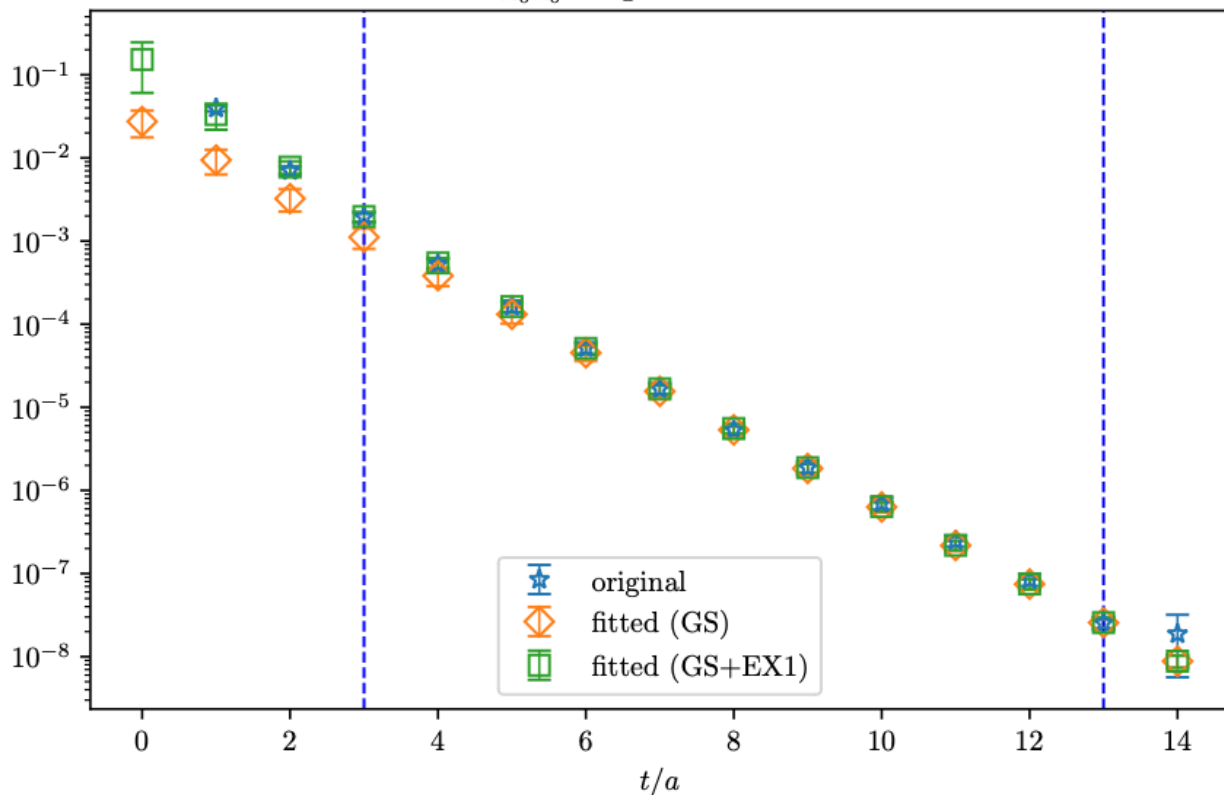
$$C_{A_0 A_0} \text{ at } \vec{q}^2 = 0.08 \text{ GeV}^2$$

$$\chi^2/\text{d.o.f.} = 0.472, \quad t_{\text{fitted}} = [3, 13]$$

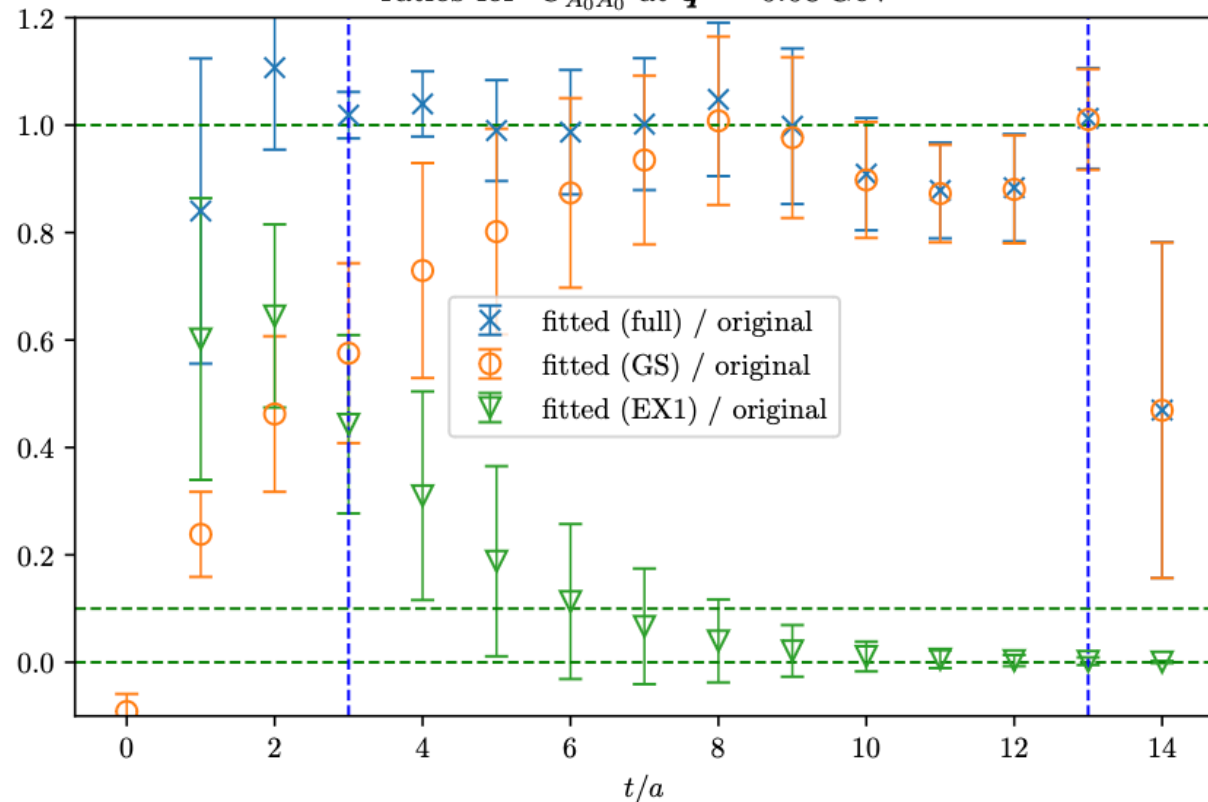
$$\Delta E_{\text{fitted}} = \{1.068(30), 0.59(30)\}$$

$$A_{\text{fitted}}^2 = \{0.0274(98), 0.125(88)\}$$

$C_{A_0 A_0}$  at  $q^2 = 0.08 \text{ GeV}^2$



ratios for  $C_{A_0 A_0}$  at  $q^2 = 0.08 \text{ GeV}^2$



# Positive sign for the existence of excited states

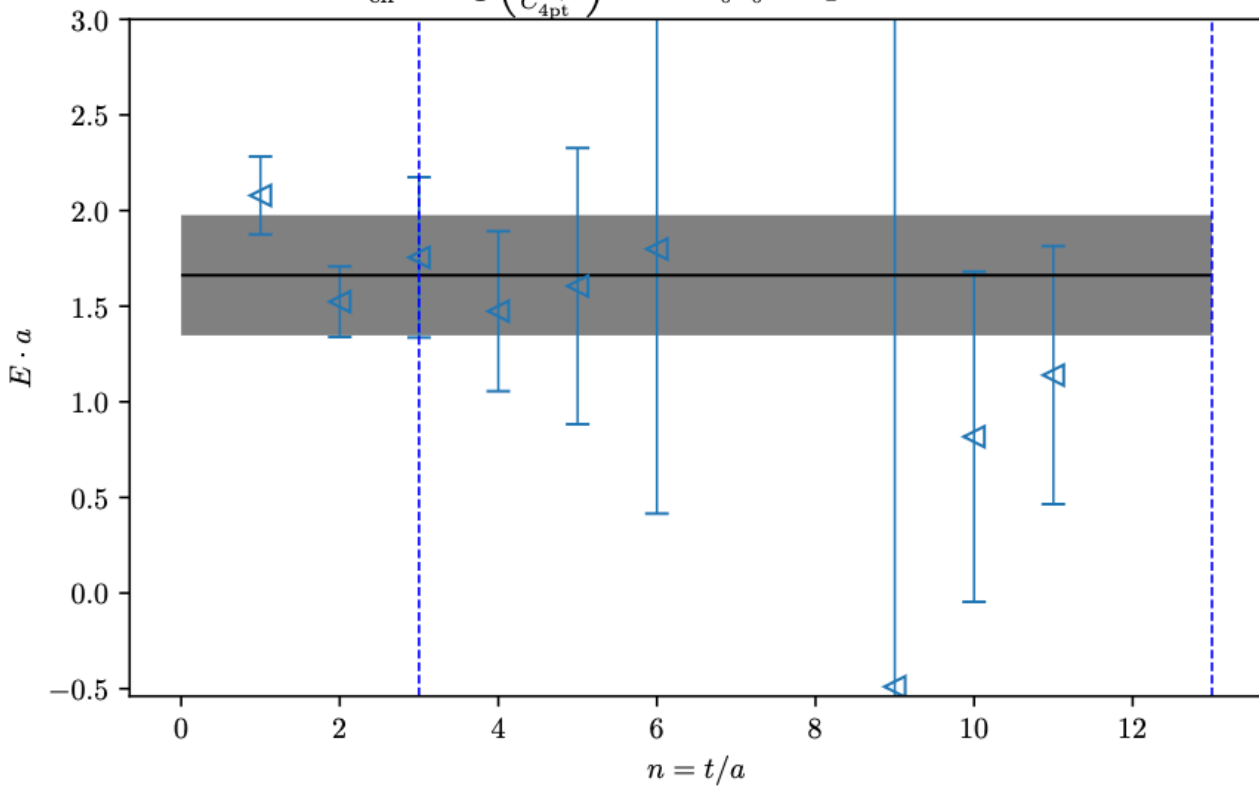
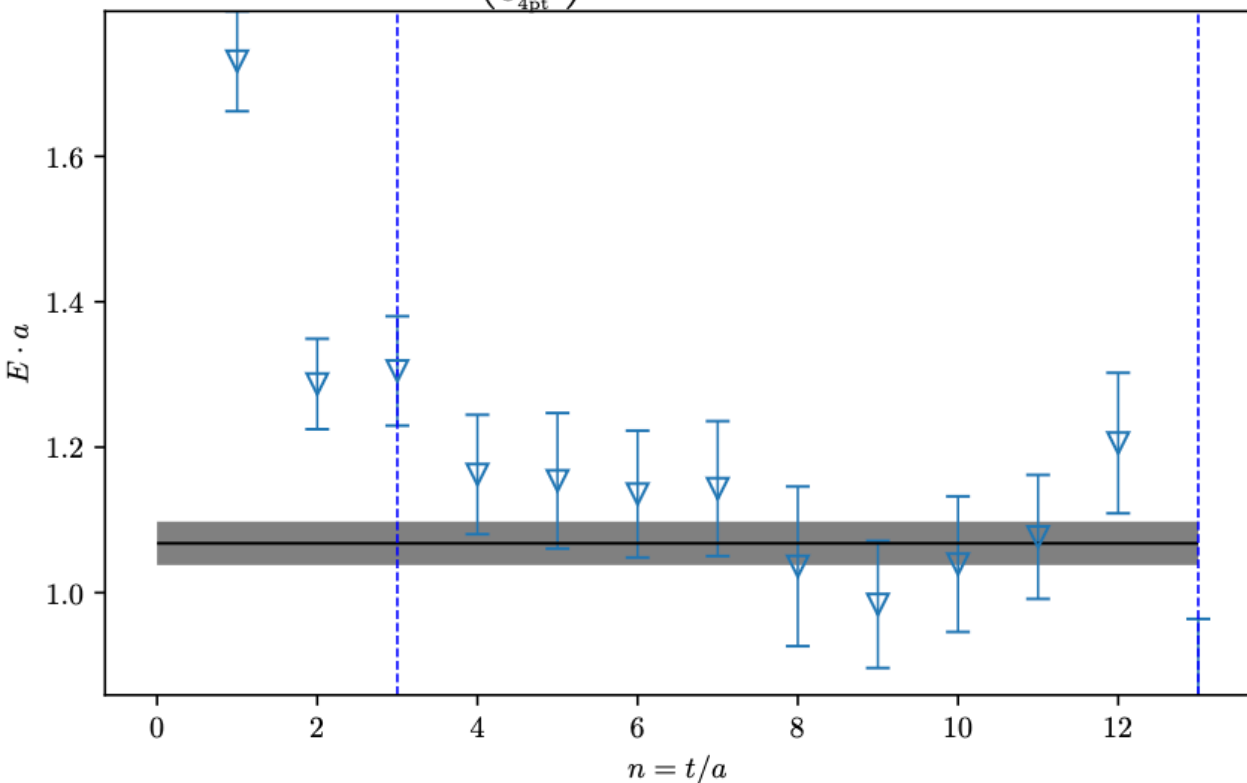
- steps in the effective energies

effective energies for the original  $C_{A_0A_0}$

effective energies for  $C_{A_0A_0}$  - the fitted ground-state contribution

$$E_{\text{eff}}^n = \log \left( \frac{C_{4\text{pt}}^n}{C_{4\text{pt}}^{n+1}} \right) \text{ for } C_{A_0A_0} \text{ at } \mathbf{q}^2 = 0.08 \text{ GeV}^2$$

$$E_{\text{eff}}^n = \log \left( \frac{C_{4\text{pt}}^n}{C_{4\text{pt}}^{n+1}} \right) \text{ for } C_{A_0A_0} \text{ at } \mathbf{q}^2 = 0.08 \text{ GeV}^2$$



# Positive sign for the existence of excited states

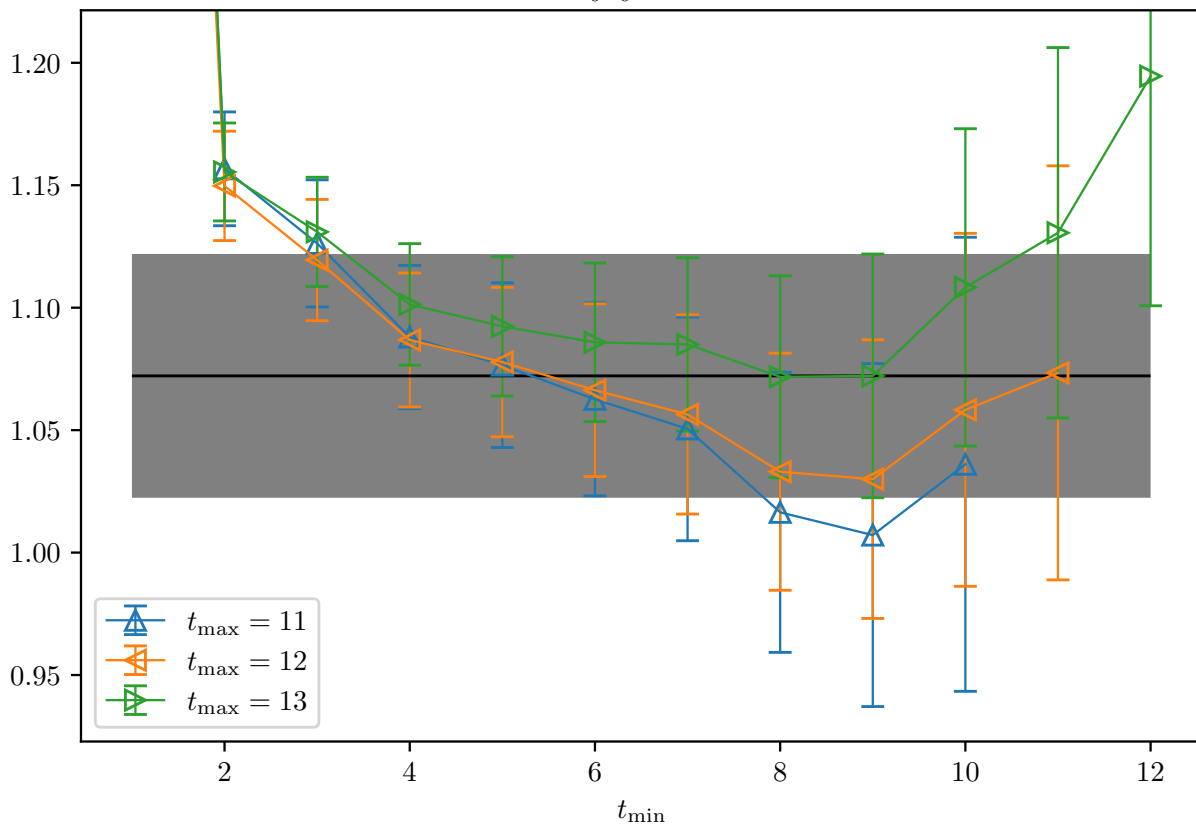
- changes of the parameters vs  $t_{\min}$  and  $t_{\max}$

$$\chi^2/\text{d.o.f.} = 0.537, \quad t_{\text{fitted}} = [9, 13]$$

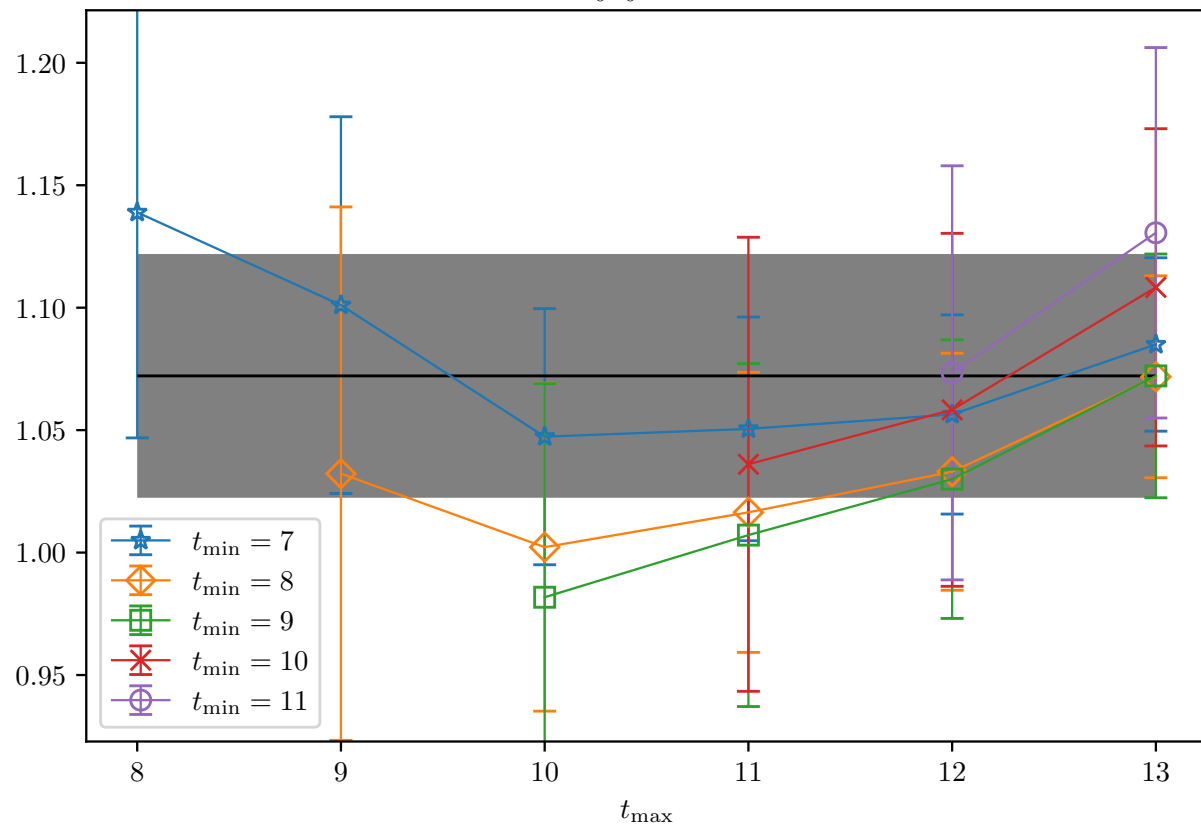
$$\Delta E_{\text{fitted}} = \{1.072(50)\}, \quad A_{\text{fitted}}^2 = \{0.029(17)\}$$

fitted value of the ground-state energy in 1-state fit for  $C_{A_0 A_0}$  at  $\vec{q}^2 = 0.08 \text{ GeV}^2$

$\Delta E_{\text{fitted}}$  for  $C_{A_0 A_0}$  at  $q^2 = 0.08 \text{ GeV}^2$



$\Delta E_{\text{fitted}}$  for  $C_{A_0 A_0}$  at  $q^2 = 0.08 \text{ GeV}^2$





# Positive sign for the existence of excited states

- changes of the parameters vs  $t_{\min}$  and  $t_{\max}$

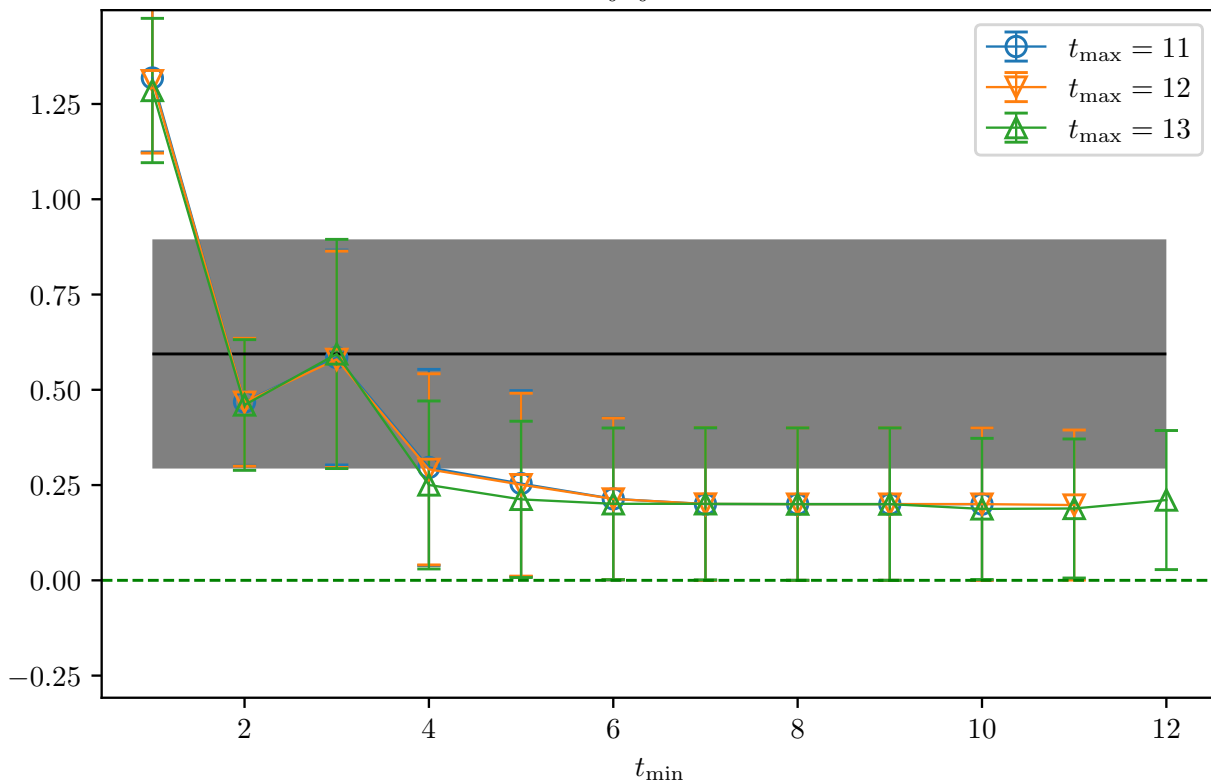
$$\chi^2/\text{d.o.f.} = 0.472, \quad t_{\text{fitted}} = [3, 13]$$

$$\Delta E_{\text{fitted}} = \{1.068(30), 0.59(30)\}$$

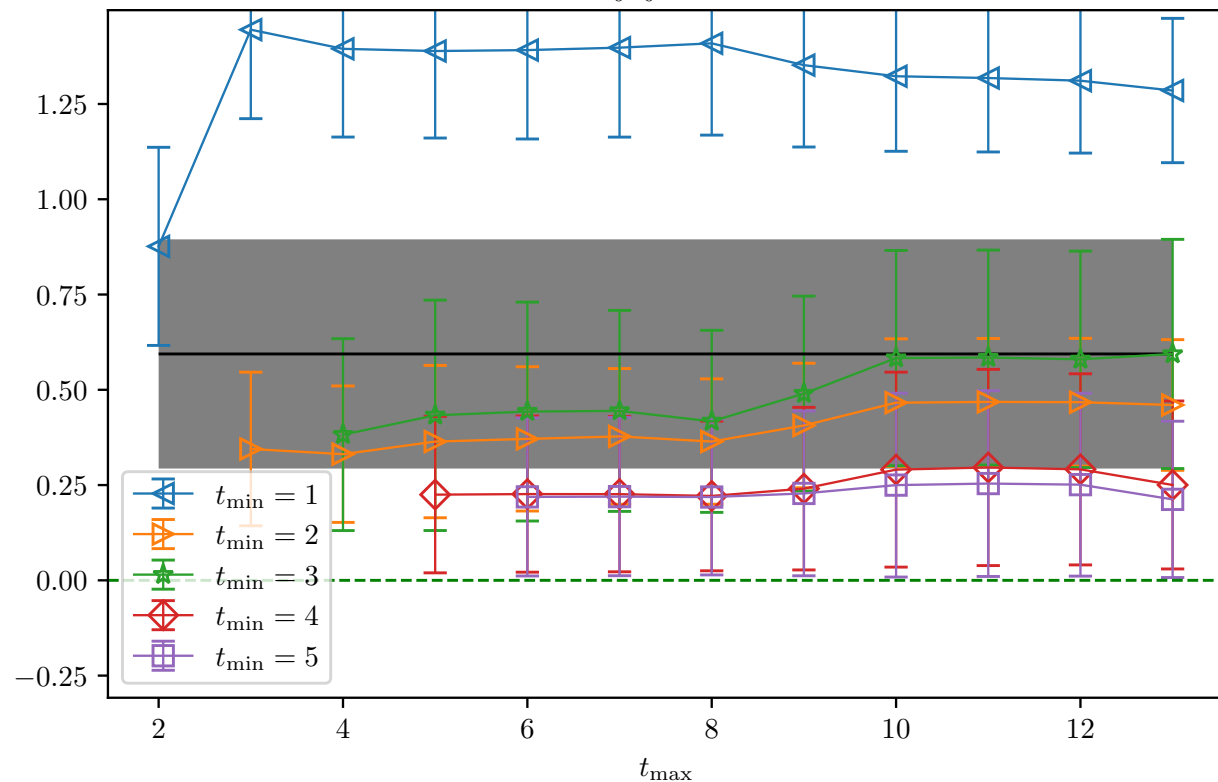
$$A_{\text{fitted}}^2 = \{0.0274(98), 0.125(88)\}$$

fitted value of the excited-state energy in 2-state fit for  $C_{A_0A_0}$  at  $\vec{q}^2 = 0.08 \text{ GeV}^2$

$\Delta E_{\text{fitted}}$  for  $C_{A_0A_0}$  at  $q^2 = 0.08 \text{ GeV}^2$



$\Delta E_{\text{fitted}}$  for  $C_{A_0A_0}$  at  $q^2 = 0.08 \text{ GeV}^2$



# Consistency check

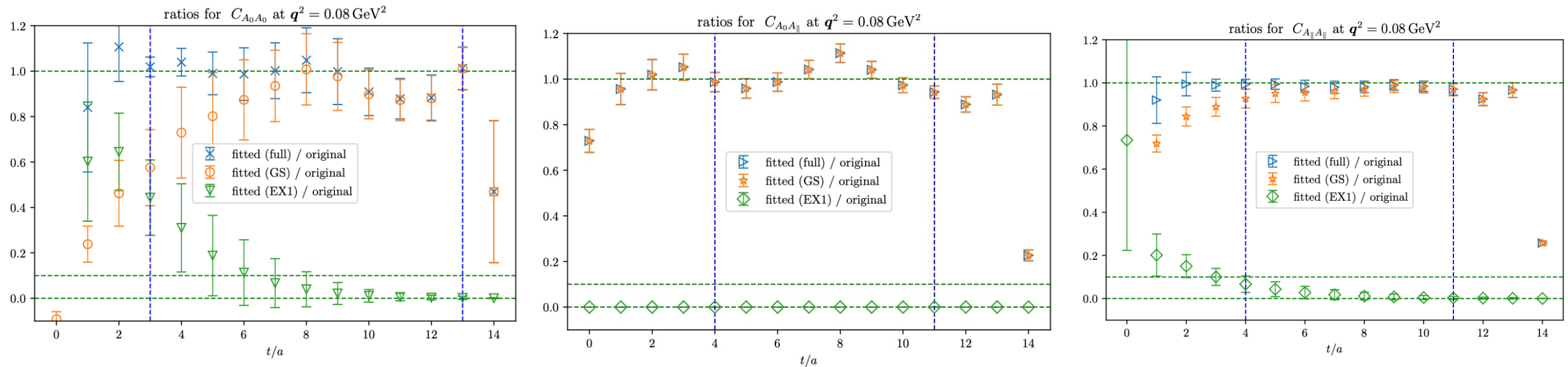
- Do we recover the estimation from the previous estimations of the contribution ratio?

estimations of the contributions from excited state

$$A_0A_0(\sim 50\%) > A_0A_{\parallel}(\sim 10\%) > A_{\parallel}A_{\parallel}(< 10\%)$$

# Consistency check

- Do we recover the estimation from the previous estimations of the contribution ratio?



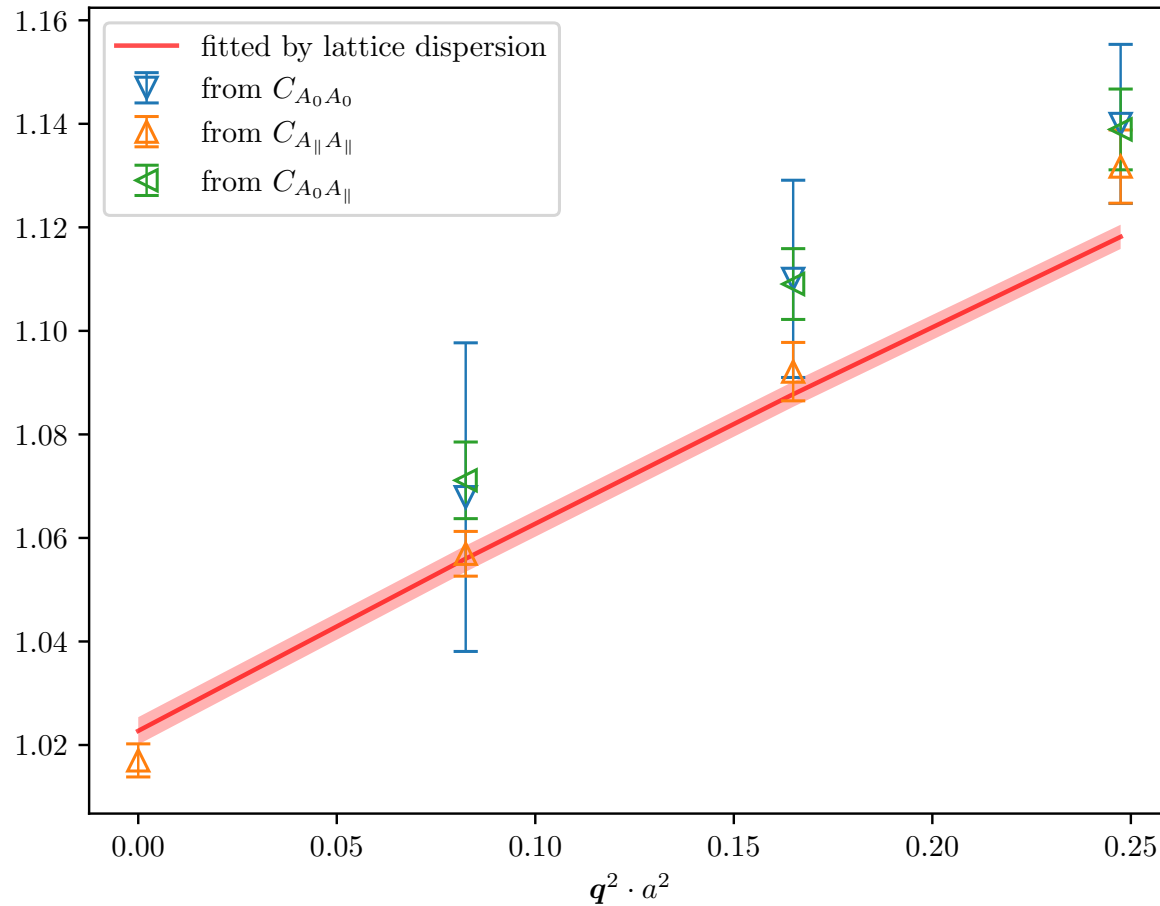
**fitted contributions from excited state**  
 $A_0A_0 (\sim 50\%) > A_{||}A_{||} (< 10\%) > A_0A_{||} (\sim 0\%)$

# Consistency check

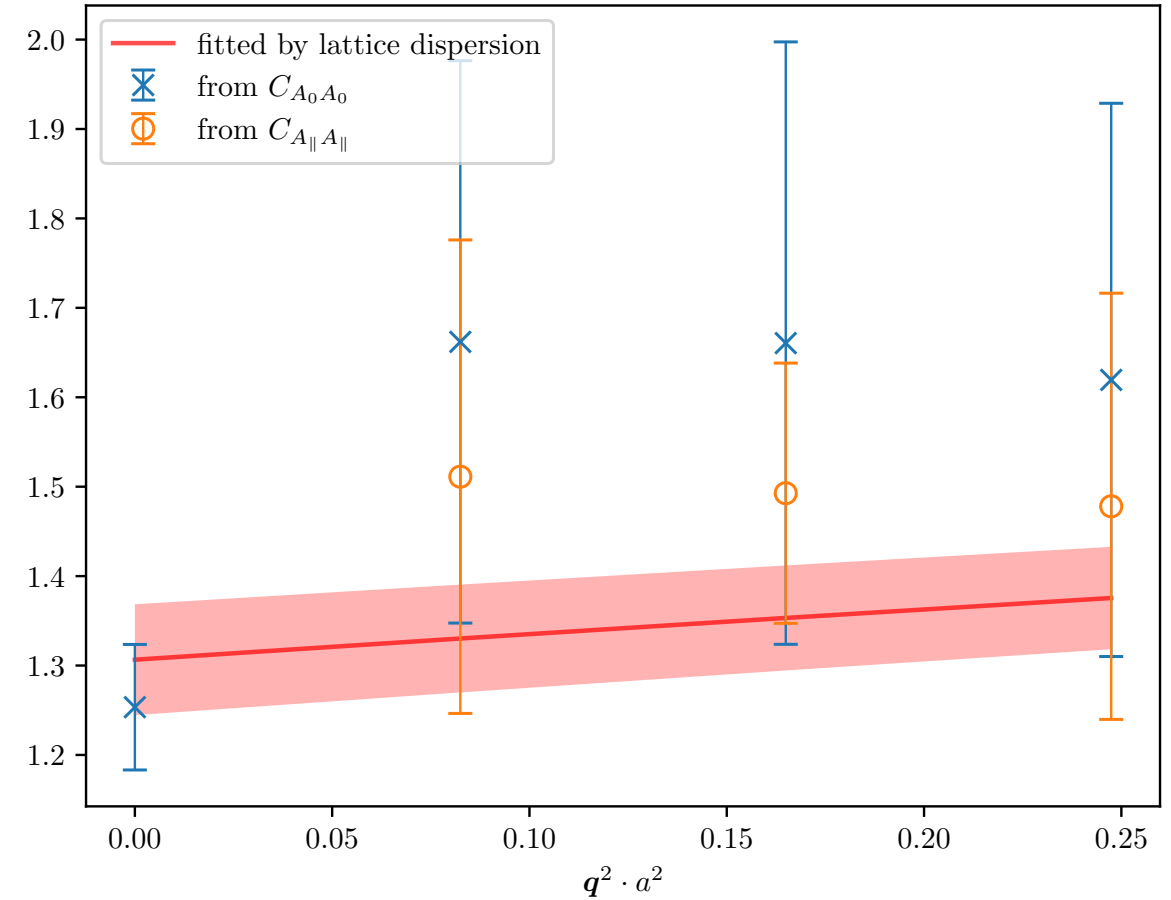
- are there the same set of states?

$$E = \cosh^{-1} (\cosh M + 3 - 3 \cos q_k)$$

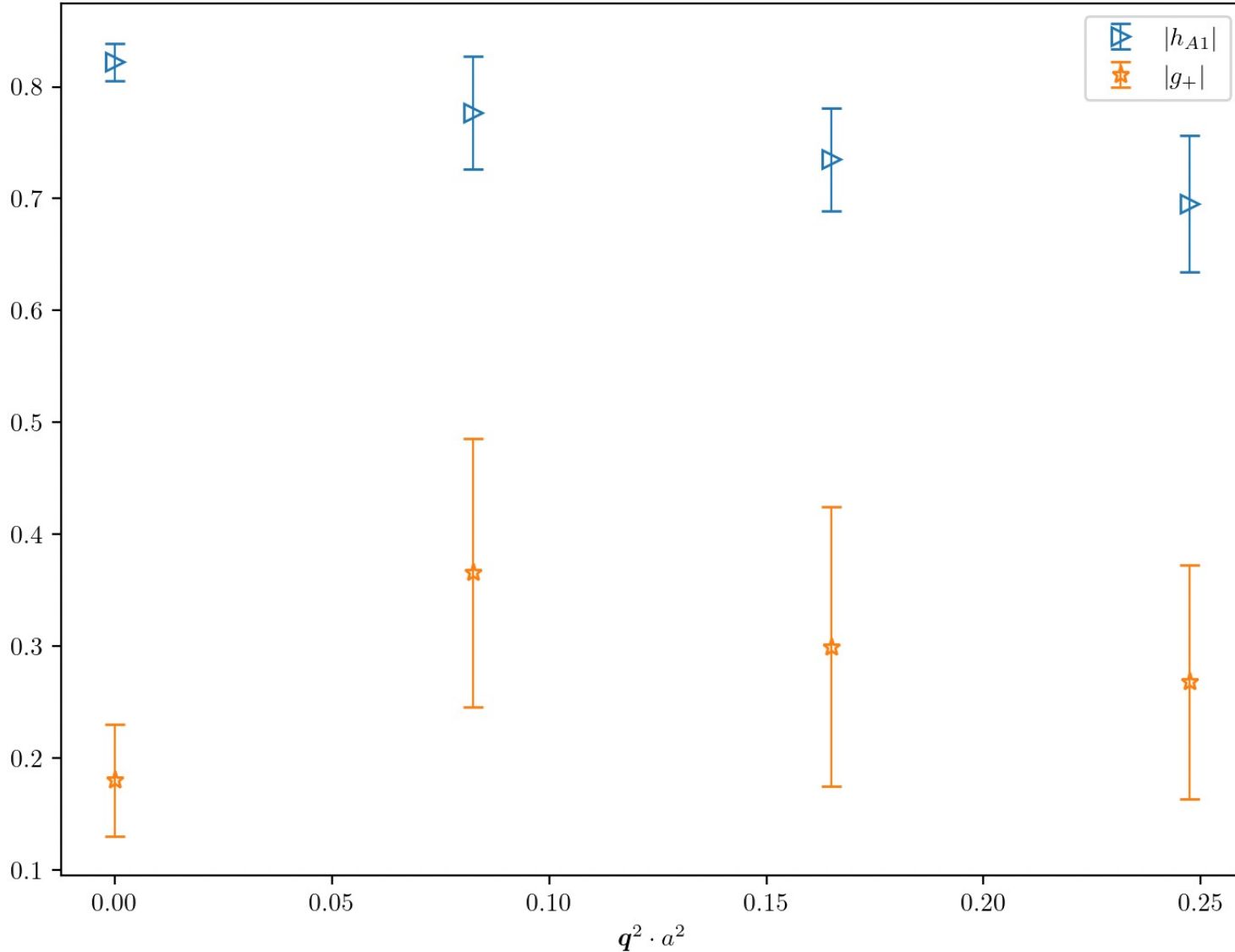
$E \cdot a$  for the ground state



$E \cdot a$  for the first excited state



# Resulting form factors



$$\begin{aligned}
 C_{A_0 A_0} &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} 3q_k^2 \left[ \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) h_{A1} - h_{A2} - \frac{E_{D^*}}{M_{D^*}} h_{A3} \right]^2 \\
 &+ \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} \left[ g_+ (E_{D_0^*} + M_{D_0^*}) - g_- (E_{D_0^*} - M_{D_0^*}) \right]^2 \\
 &+ \frac{e^{-E_{D_2^*} t}}{4E_{D_2^*} M_{D_2^*}} \dots
 \end{aligned}$$

$$\begin{aligned}
 C_{A_{||} A_{||}} &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} \left[ h_{A1} \frac{(E_{D^*} + M_{D^*}) E_{D^*}}{M_{D^*}} - h_{A3} \frac{3q_k^2}{M_{D^*}} \right]^2 \\
 &+ \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} 3q_k^2 [g_+ - g_-]^2 \\
 &+ \frac{e^{-E_{D_2^*} t}}{4E_{D_2^*} M_{D_2^*}} \dots
 \end{aligned}$$

$$\begin{aligned}
 C_{A_0 A_{||}} &= C_{A_{||} A_0} \\
 &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} \sqrt{3} q_k \left[ \left( h_{A1} \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) \right)^2 E_{D^*} + (h_{A3})^2 \frac{3q_k^2 E_{D^*}}{M_{D^*}^2} \right. \\
 &\quad \left. - h_{A1} h_{A2} \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) E_{D^*} - h_{A1} h_{A3} \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) \left( \frac{2E_{D^*}^2}{M_{D^*}} - M_{D^*} \right) \right. \\
 &\quad \left. + h_{A3} h_{A2} \frac{3q_k^2}{M_{D^*}} \right]
 \end{aligned}$$

# Summary and prospect

# Summary

- as a preliminary study, we show the feasibility to extract exclusive informations from inclusive correlator

# Prospect

- can we do the fit just blindly using the functional form?
- we should investigate the continuum and infinite volume limit

$$\begin{aligned}
 C_{A_0 A_0} &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} 3q_k^2 \left[ \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) h_{A1} - h_{A2} - \frac{E_{D^*}}{M_{D^*}} h_{A3} \right]^2 \\
 &+ \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} \left[ g_+ (E_{D_0^*} + M_{D_0^*}) - g_- (E_{D_0^*} - M_{D_0^*}) \right]^2 \\
 &+ \frac{e^{-E_{D_2^*} t}}{4E_{D_2^*} M_{D_2^*}} \dots
 \end{aligned}$$

$$\begin{aligned}
 C_{A_{\parallel} A_{\parallel}} &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} \left[ h_{A1} \frac{(E_{D^*} + M_{D^*}) E_{D^*}}{M_{D^*}} - h_{A3} \frac{3q_k^2}{M_{D^*}} \right]^2 \\
 &+ \frac{e^{-E_{D_0^*} t}}{4E_{D_0^*} M_{D_0^*}} 3q_k^2 [g_+ - g_-]^2 \\
 &+ \frac{e^{-E_{D_2^*} t}}{4E_{D_2^*} M_{D_2^*}} \dots
 \end{aligned}$$

$$\begin{aligned}
 C_{A_0 A_{\parallel}} &= C_{A_{\parallel} A_0} \\
 &= \frac{e^{-E_{D^*} t}}{4E_{D^*} M_{D^*}} \sqrt{3} q_k \left[ \left( h_{A1} \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) \right)^2 E_{D^*} + (h_{A3})^2 \frac{3q_k^2 E_{D^*}}{M_{D^*}^2} \right. \\
 &- h_{A1} h_{A2} \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) E_{D^*} - h_{A1} h_{A3} \left( \frac{E_{D^*} + M_{D^*}}{M_{D^*}} \right) \left( \frac{2E_{D^*}^2}{M_{D^*}} - M_{D^*} \right) \\
 &\left. + h_{A3} h_{A2} \frac{3q_k^2}{M_{D^*}} \right]
 \end{aligned}$$