

# Making friends with flavor

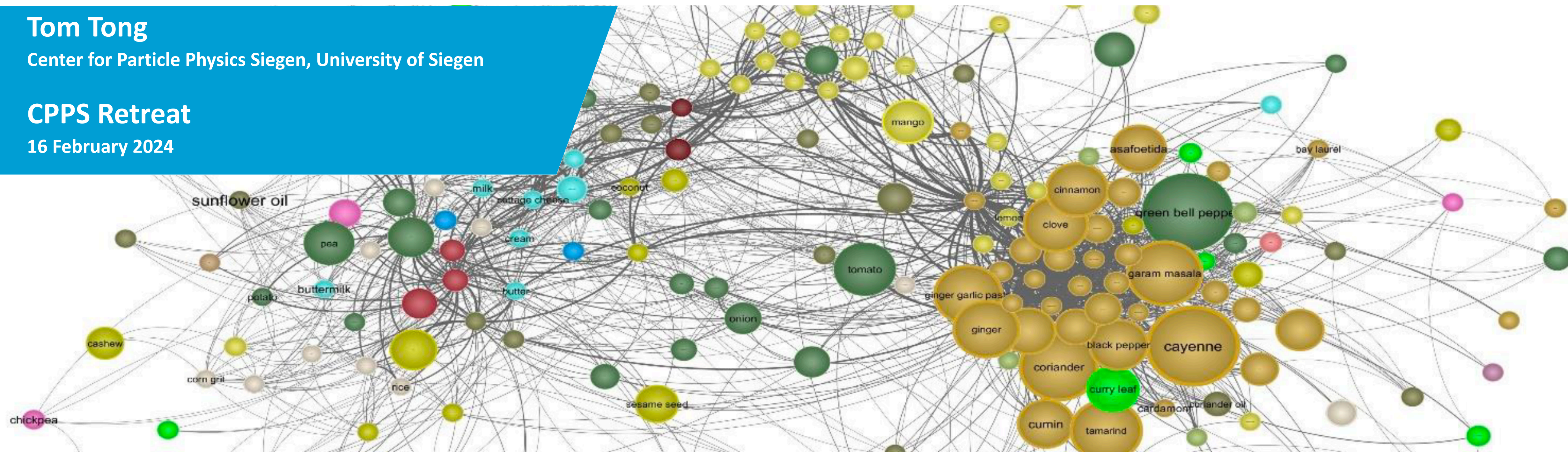
1502.03815

Tom Tong

Center for Particle Physics Siegen, University of Siegen

CPPS Retreat

16 February 2024



# Freeze out Freeze in and the Flavon

One model working at two scales



TP1 Theoretical  
Particle Physics  
CPPPS Center for Particle  
Physics Siegen

Tom Tong

arXiv: 2307.14972, published in *JCAP*

Co-directed by Rusa Mandal

50<sup>th</sup> ANNIVERSARY EDITION

CLINT EASTWOOD



THE  
GOOD



THE  
BAD



and THE  
UGLY

co-starring  
**LEE VAN CLEEF**

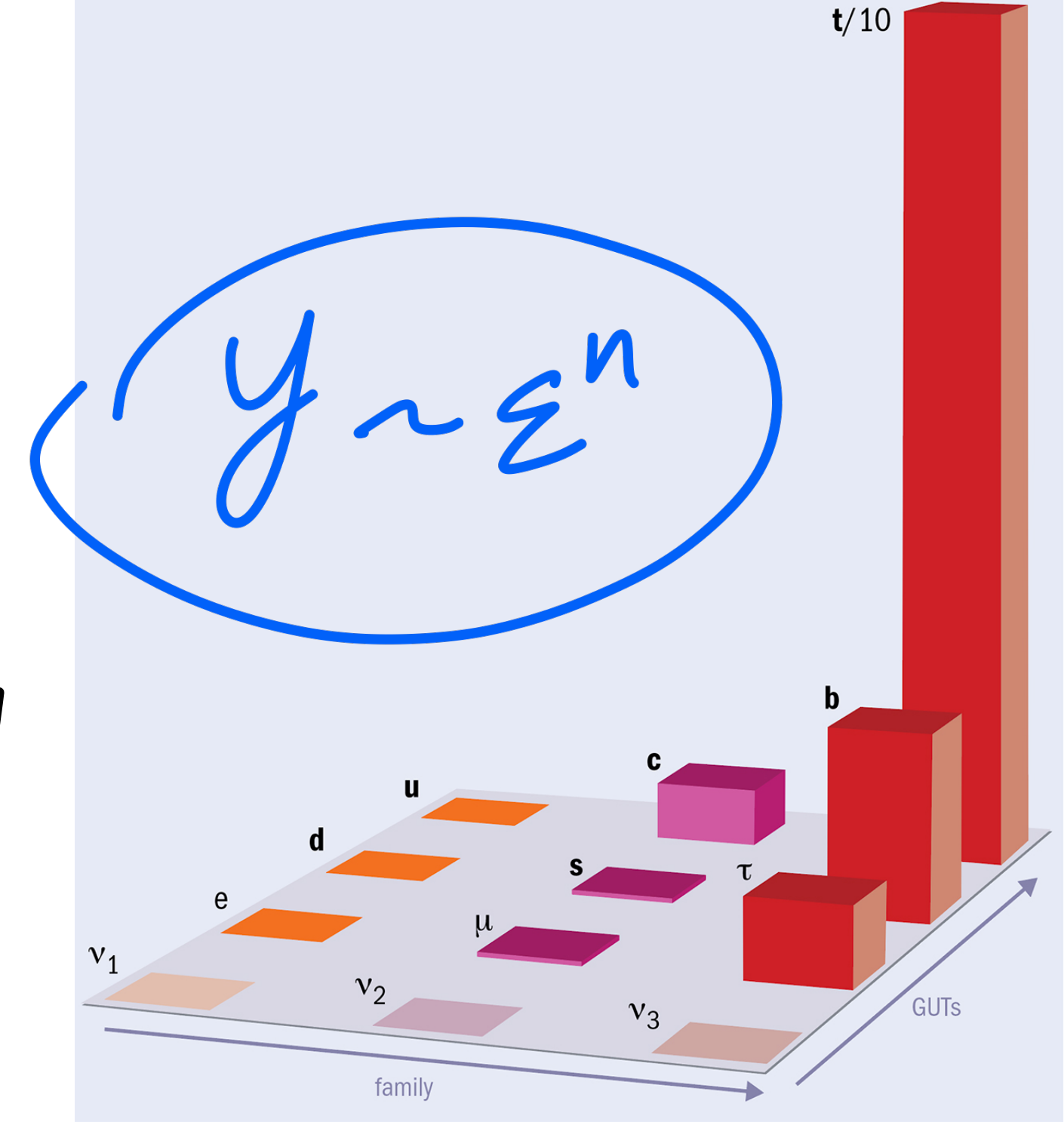
also starring  
**ELI WALLACH**  
in the role of TUCO

directed by  
**SERGIO LEONE**

# Hierarchy in the fermion mass

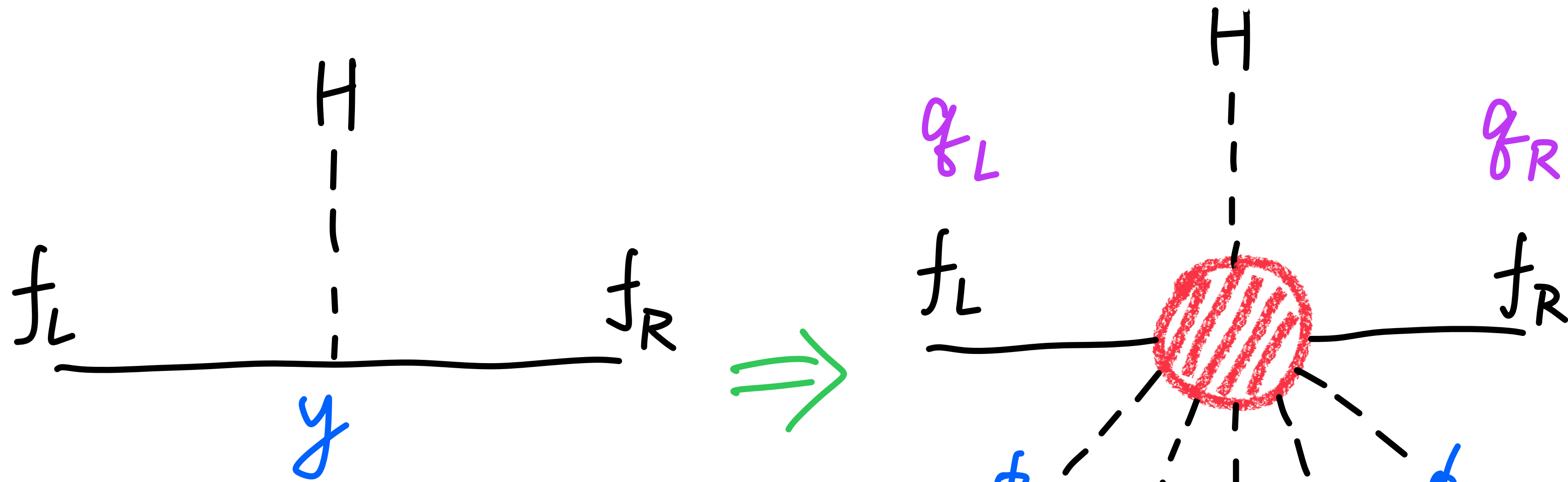
$$\frac{m_e}{m_t} \approx 3 \times 10^{-6}$$

Top Yukawa  $y_t \approx 1$



$$\text{Log}_{10} \left( \frac{\text{Mass} \begin{pmatrix} e & u & \tau \\ d & s & b \\ u & c & t \end{pmatrix}}{m_t} \right) \approx - \begin{pmatrix} 5.5 & 3.2 & 2 \\ 4.5 & 3.2 & 1.6 \\ 4.8 & 2.1 & 0 \end{pmatrix}$$

# Global U(1) Froggatt-Nielsen symmetry

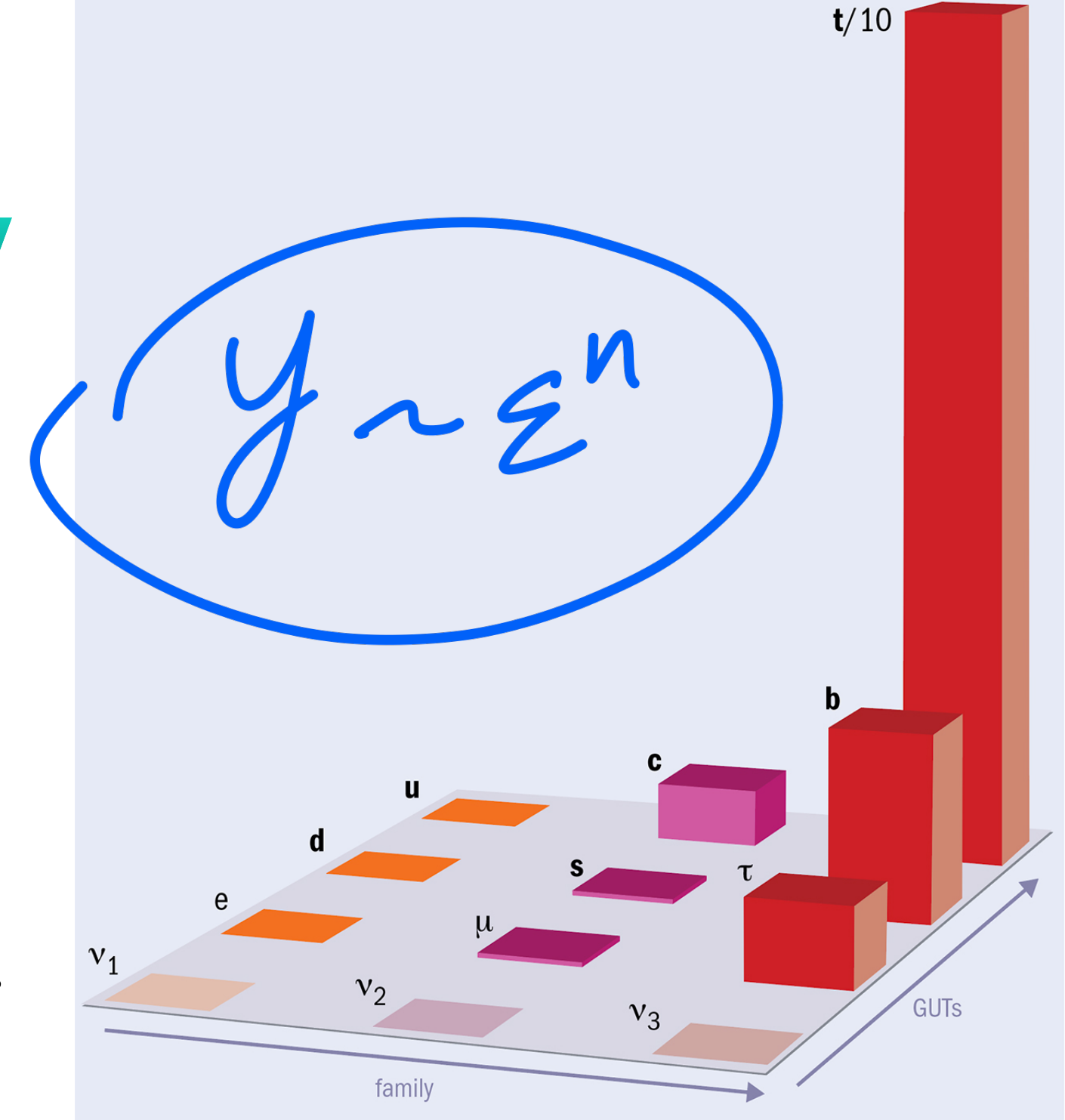


Flavon

$$y \bar{f}_L H f_R$$



$$C \left( \frac{\phi}{M} \right)^n \bar{f}_L H f_R$$

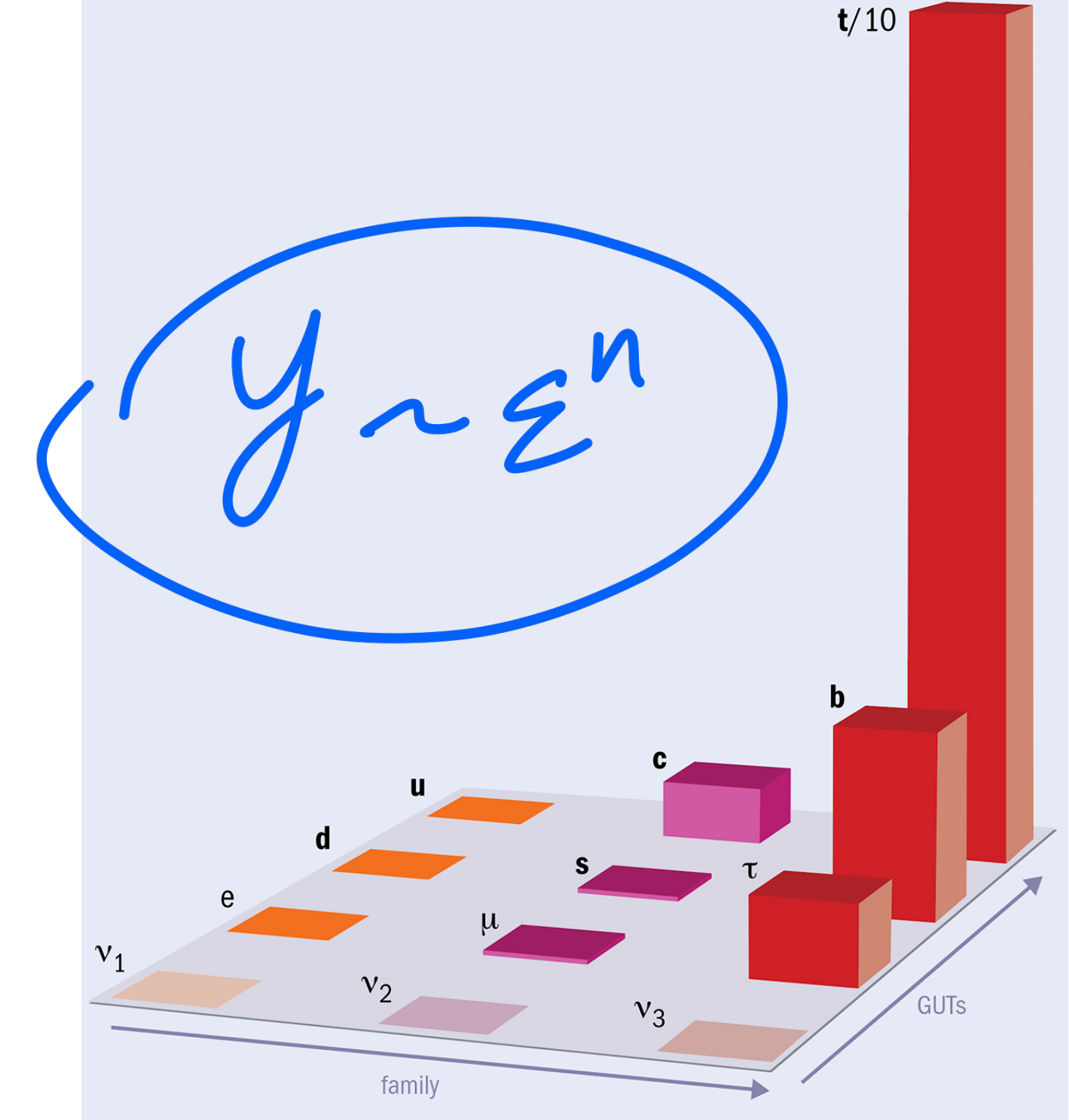


$$\left\{ \begin{array}{l} C \sim \mathcal{O}(1) \\ \frac{\phi}{M} \rightarrow v_\phi = \epsilon \\ q_L - q_R = n \end{array} \right.$$

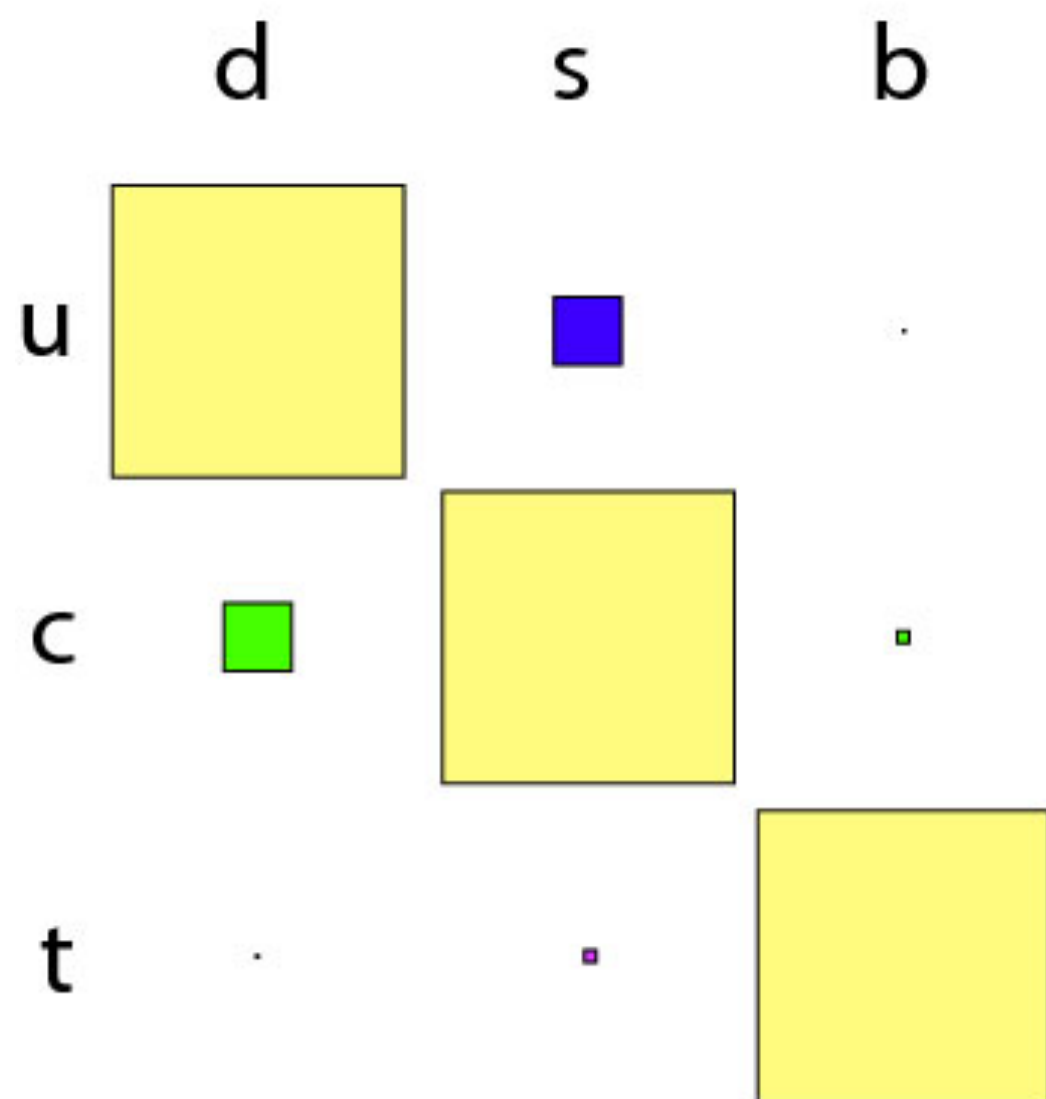
# Froggatt-Nielsen charges

$$\xi = \frac{V_\phi}{M} \approx V_{us} \approx 0.23$$

$$n_u^{ij} = \begin{pmatrix} 8 & 4 & 3 \\ 7 & 3 & 2 \\ 5 & 1 & 0 \end{pmatrix}, \quad n_d^{ij} = \begin{pmatrix} 7 & 6 & 6 \\ 6 & 5 & 5 \\ 4 & 3 & 3 \end{pmatrix}, \quad n_e^{ij} = \begin{pmatrix} 9 & 6 & 4 \\ 8 & 5 & 3 \\ 8 & 5 & 3 \end{pmatrix}$$



CKM



$$\begin{pmatrix} q_{Q_1} & q_{Q_2} & q_{Q_3} \\ q_u & q_c & q_t \\ q_d & q_s & q_b \end{pmatrix} = \begin{pmatrix} 3 & 2 & 0 \\ -5 & -1 & 0 \\ -4 & -3 & -3 \end{pmatrix}$$

$$\begin{pmatrix} q_{L_1} & q_{L_2} & q_{L_3} \\ q_e & q_\mu & q_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ -8 & -5 & -3 \end{pmatrix}$$

$q_L$  (orange arrow) and  $q_R$  (green arrow) labels point to the respective charge matrices.

$$C \sim O(1)$$

$$\frac{\phi \rightarrow V_\phi}{M} = \xi$$

$$q_L - q_R = n$$

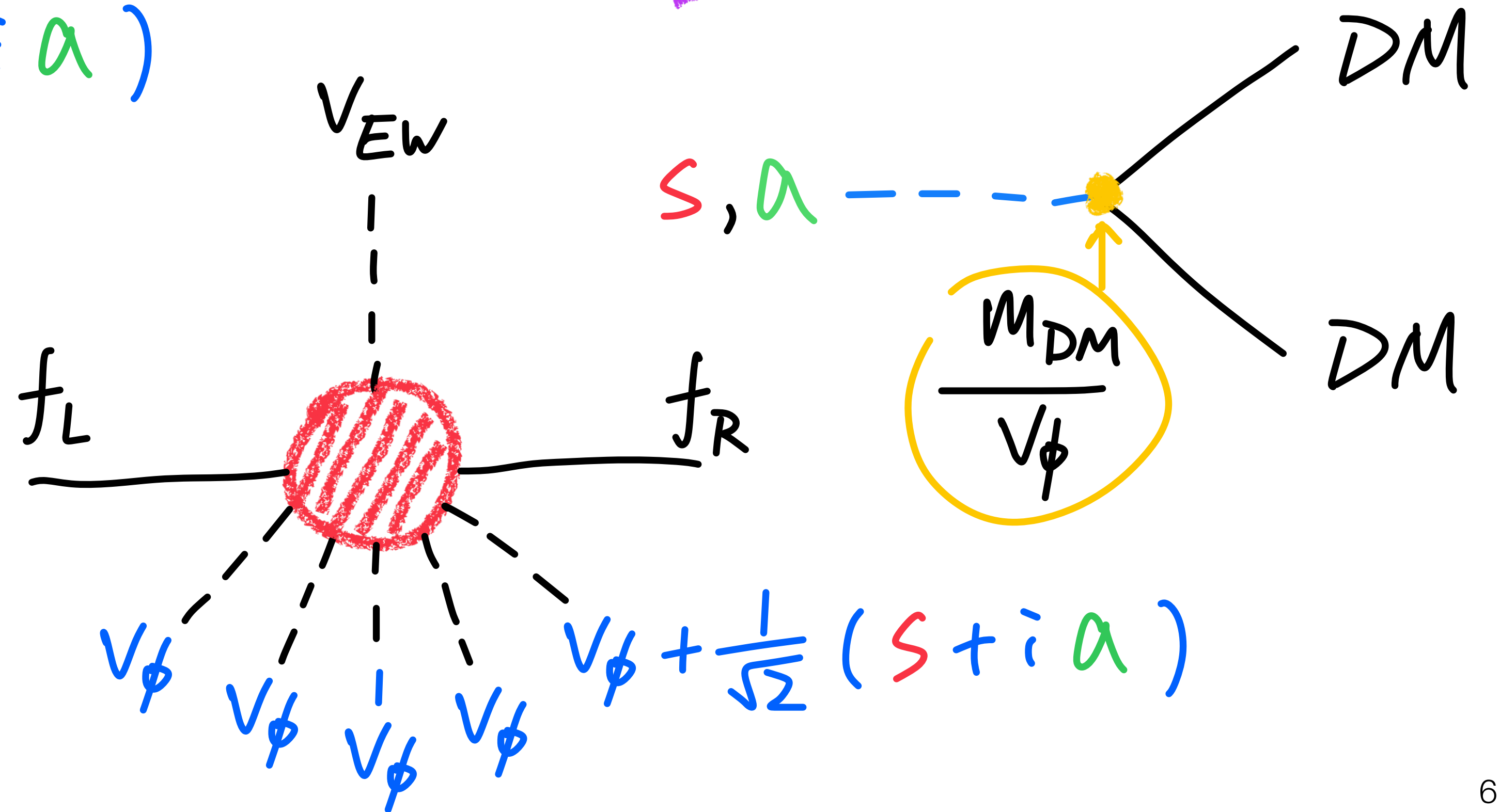
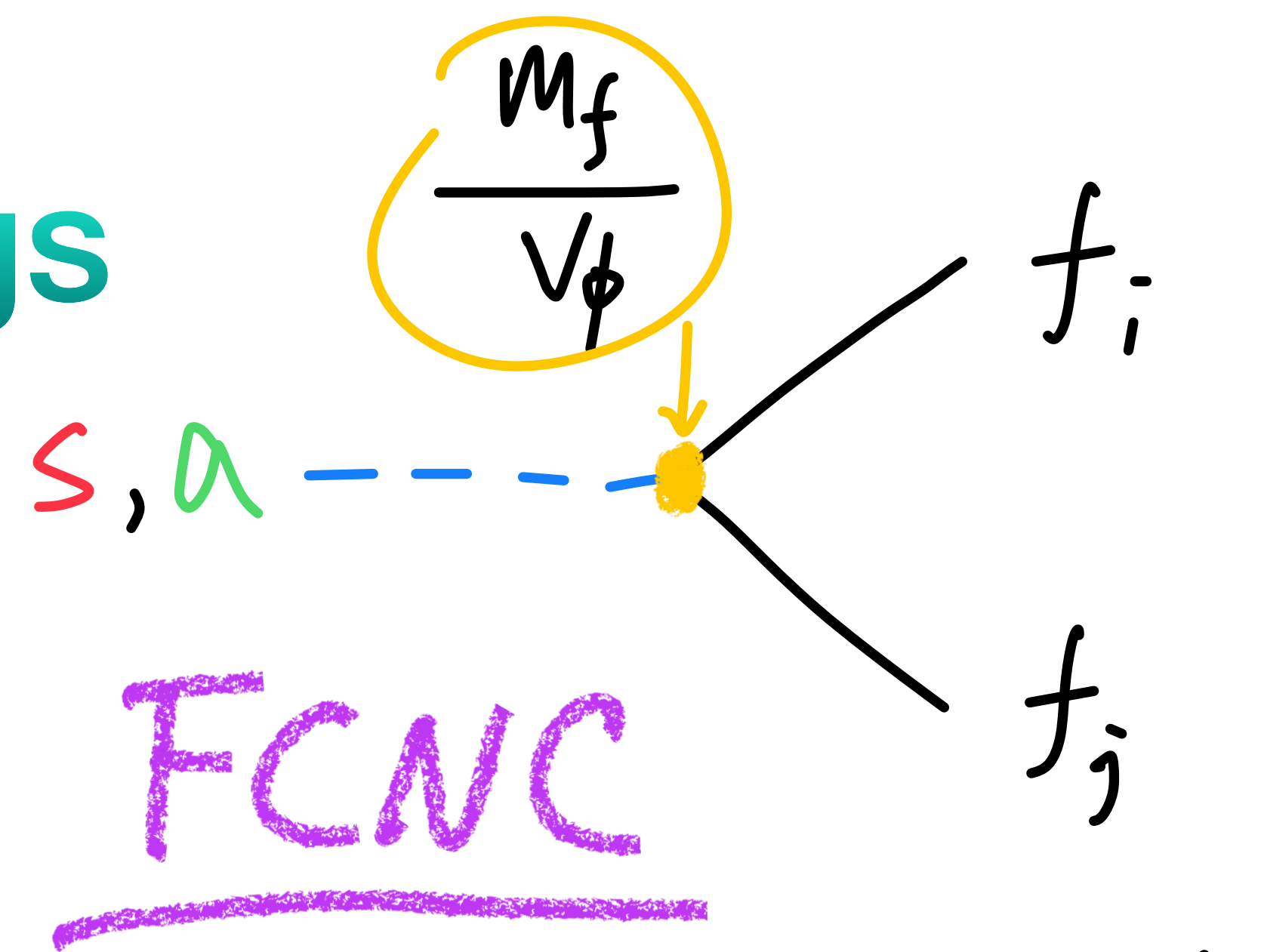
# Flavon couplings

$$H \rightarrow v_{EW} + \frac{1}{\sqrt{2}} (h + iG_0)$$

$$\phi \rightarrow v_\phi + \frac{1}{\sqrt{2}} (S + iA)$$

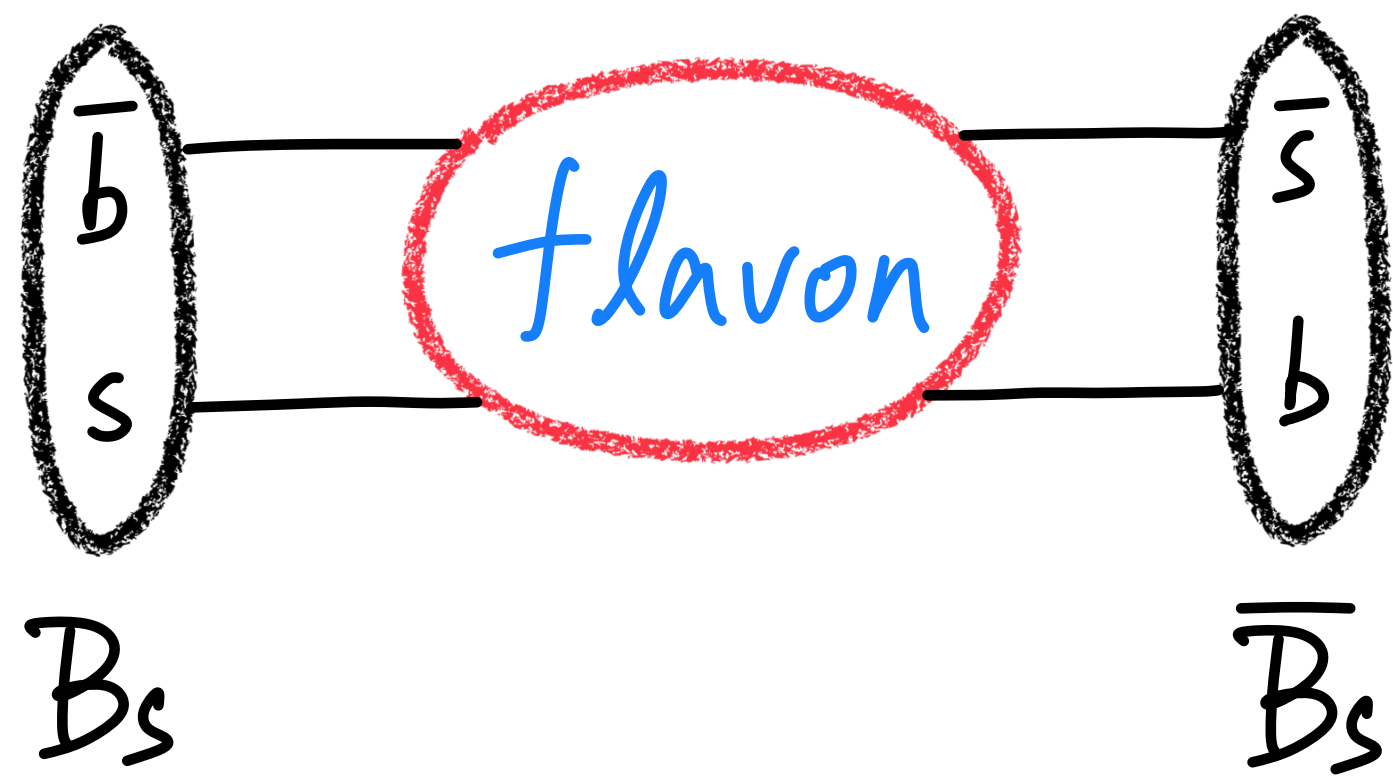
$$M_S \sim v_\phi$$

$$10 \text{ GeV} \leq M_a \ll M_S$$



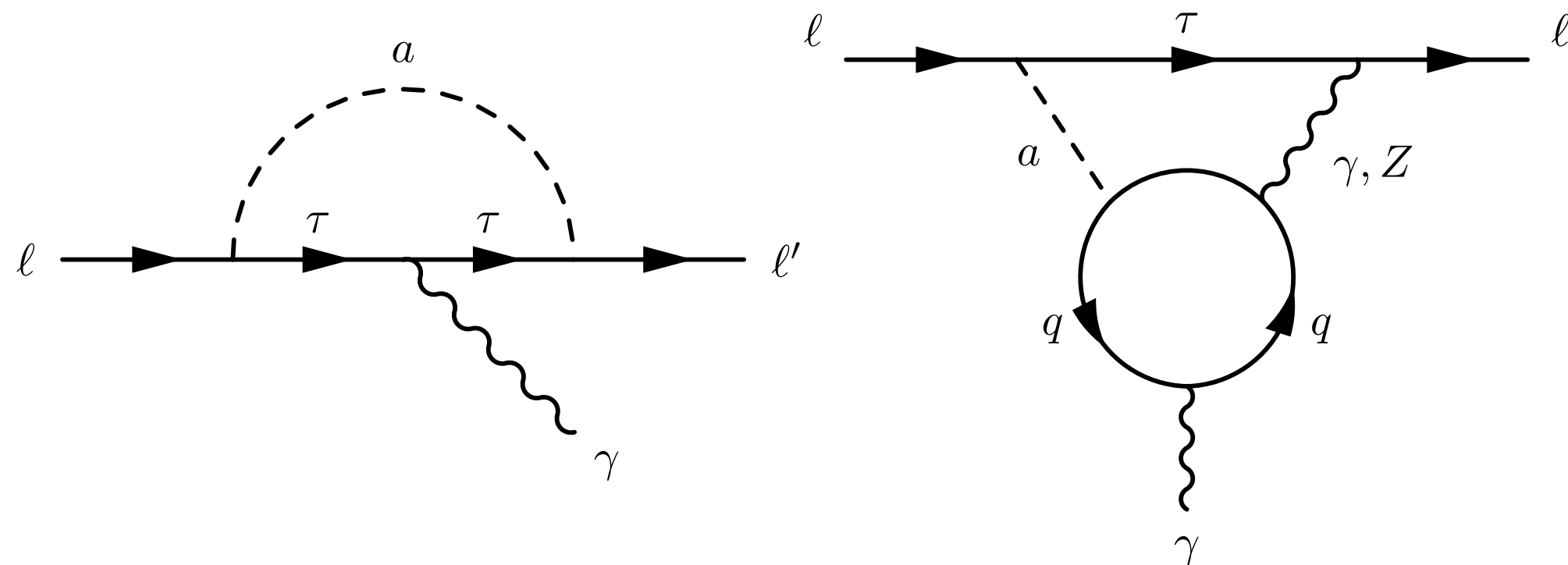
# Experimental constraints

B-meson mixing



$$\left(\frac{Ma}{100 \text{ GeV}}\right) \times \left(\frac{V_\phi}{\text{TeV}}\right) > 1.8$$

$\mu \rightarrow e \gamma$  (MEG)

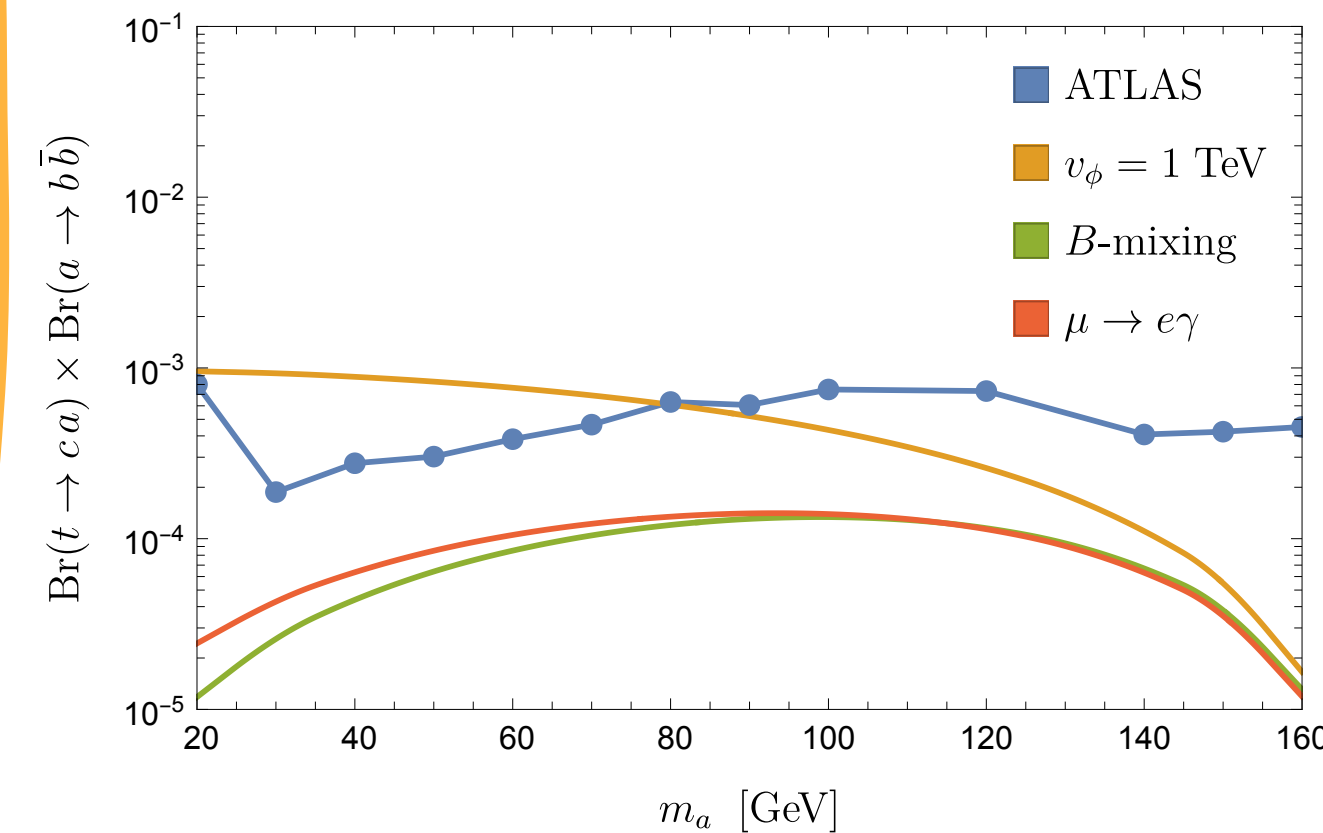


$$\left(\frac{Ma}{100 \text{ GeV}}\right) \times \left(\frac{V_\phi}{\text{TeV}}\right) > 1.9$$

\* For  $Ma \lesssim 100 \text{ GeV}$

Top decay  
ATLAS

$$\text{Br}(t \rightarrow c a) \times \text{Br}(a \rightarrow b \bar{b})$$

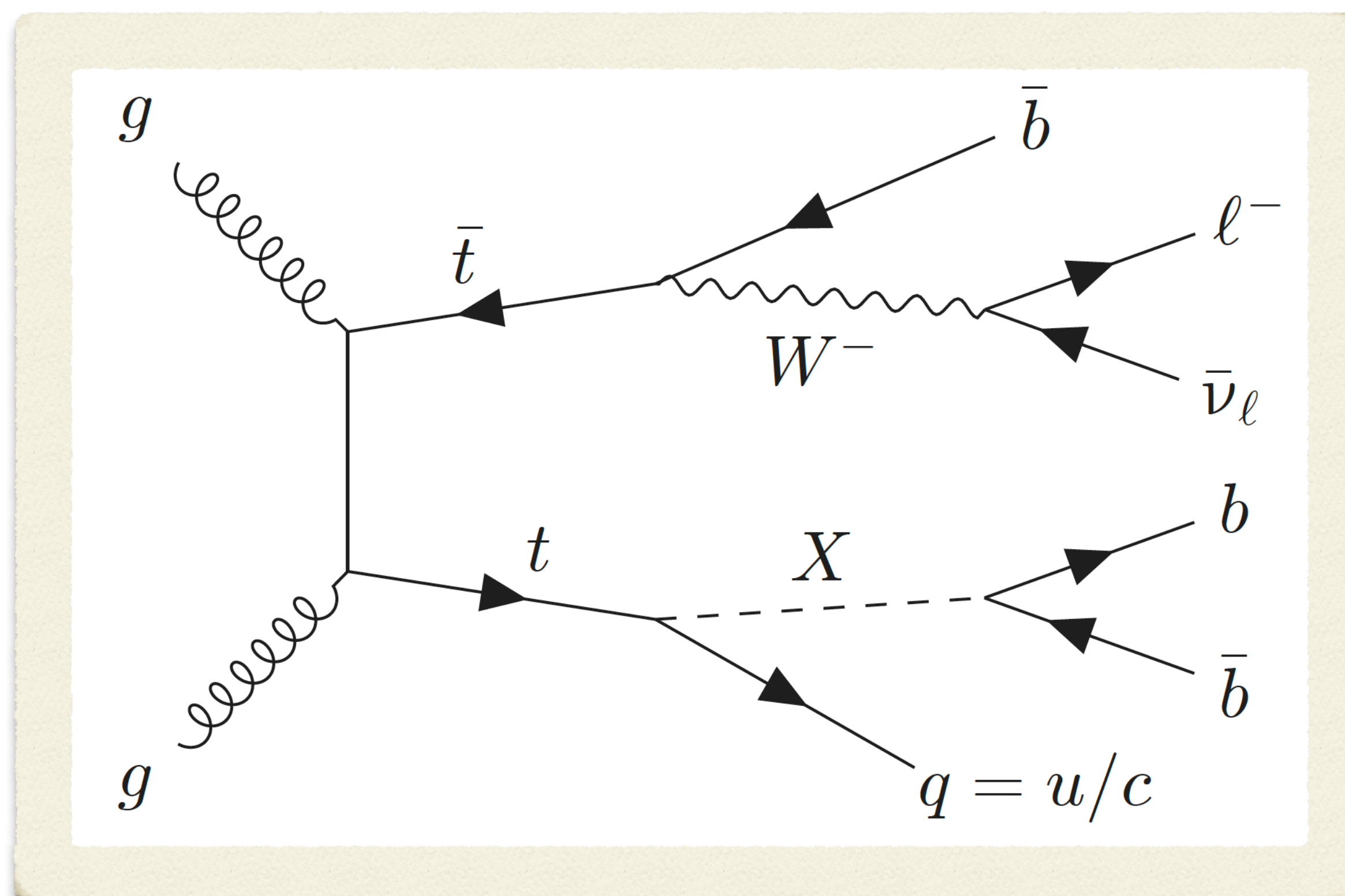


## High Energy Physics – Experiment

[Submitted on 10 Jan 2023 (v1), last revised 8 Sep 2023 (this version, v2)]

# Search for a new scalar resonance in flavour-changing neutral-current top-quark decays $t \rightarrow qX$ ( $q = u, c$ ), with $X \rightarrow b\bar{b}$ , in proton-proton collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector

ATLAS Collaboration





# One-loop analysis of $\beta$ decays in SMEFT

Maria Dawid, Vincenzo Cirigliano, Wouter Dekens

*Institute for Nuclear Theory,  
University of Washington, Seattle WA 98195-1550, USA*

*One at a time*

While Eq. (3.7) provides the general contributions, we explore below the simplified scenario in which only one coefficient is nonzero at the high energy scale  $\Lambda$ . This leads to conservative constraints on the  $C_x$  operators, as long as there are no significant cancellations between different SMEFT operators. The most stringent constraints (corresponding  $\delta C_x \leq 5 \times 10^{-2}$ ) are graphically illustrated in Fig. 2 and reported in Table 1, along with a comparison to limits obtained from other observables.

$C_x(\Lambda = 5 \text{ TeV})$	Constraint from $\beta$ decays	Strongest constraints from other processes	Process
$C_{1133}^{(3)qq}$	$0.004 \pm 0.0013$	$-0.0073 \pm 0.006^*$ $\pm 0.0009$	Top production [47] $\Delta m_K$ [48]
$C_{2233}^{(3)lq}$	$-0.008 \pm 0.0025$	$-0.00024 \pm 0.00021$	B decays [49]
$C_{1122}^{\mu\mu}$	$-0.018 \pm 0.005$	$-0.0018 \pm 0.0029$	Muon pair production [50]
$C_{1331}^{(1)qq}$	$-0.031 \pm 0.009$	$-0.035 \pm 0.027^*$ $\pm 0.0009$	Top production [47] $\Delta m_K$ [48]
$C_{1331}^{(3)qq}$	$-0.03 \pm 0.009$	$-0.042 \pm 0.024^*$ $\pm 0.0009$	Top production [47] $\Delta m_K$ [48]

# Fitting SMEFT with a **CLEW**

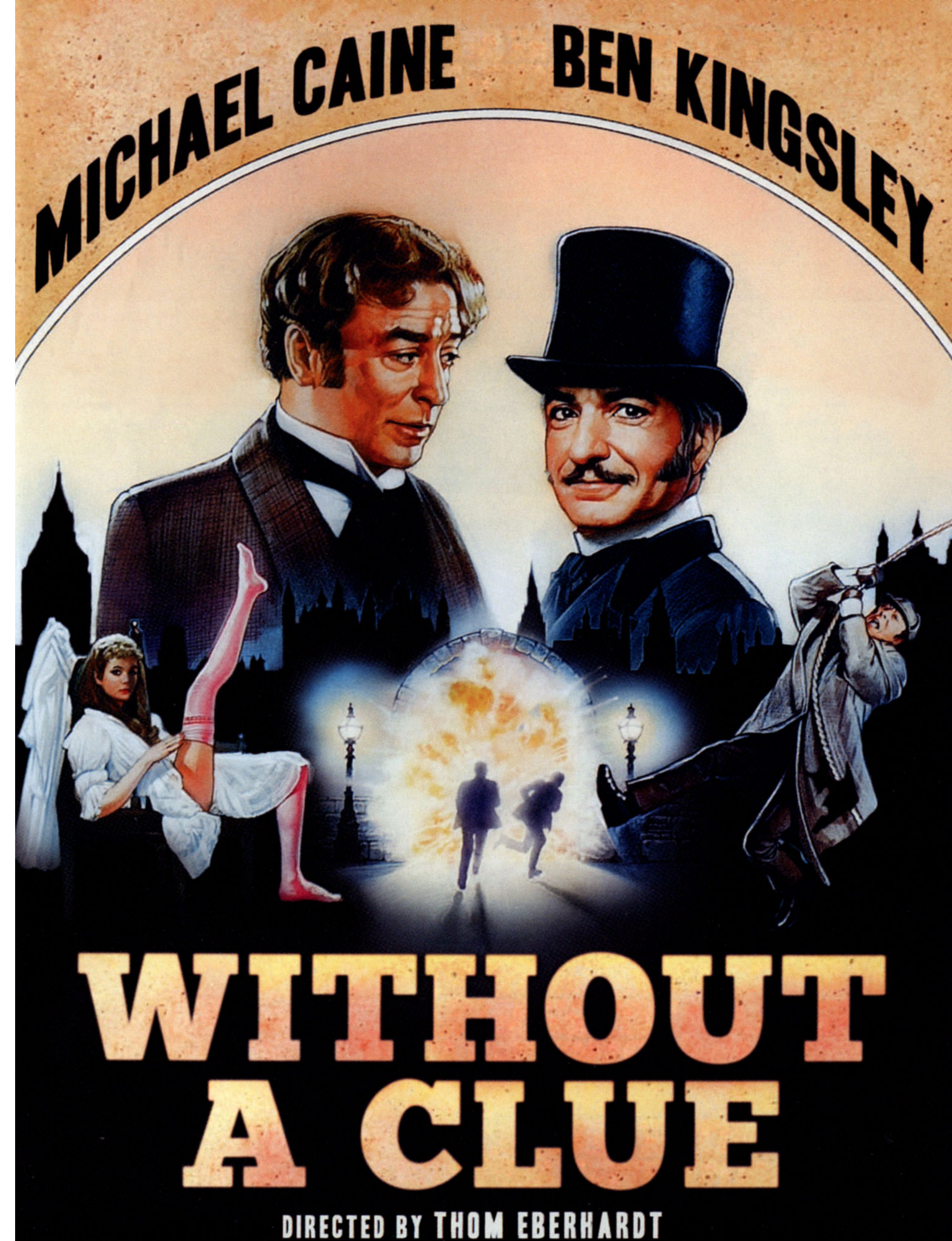
Towards a true model-independent  
global analysis



**TP1** Theoretical  
Particle Physics  
**CPPS** Center for Particle  
Physics Siegen

Tom Tong

arXiv: 2311.00021, published in *JHEP*



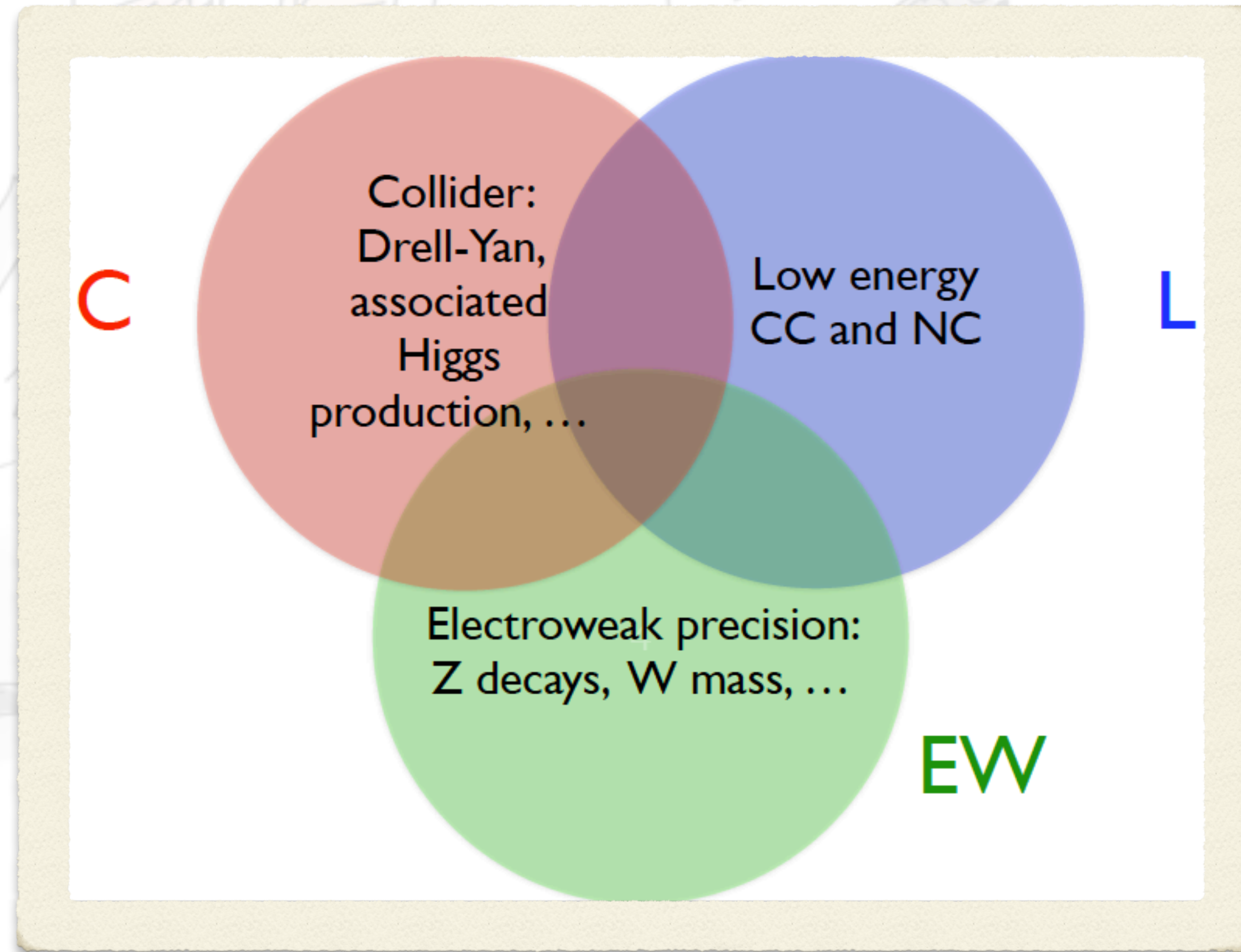
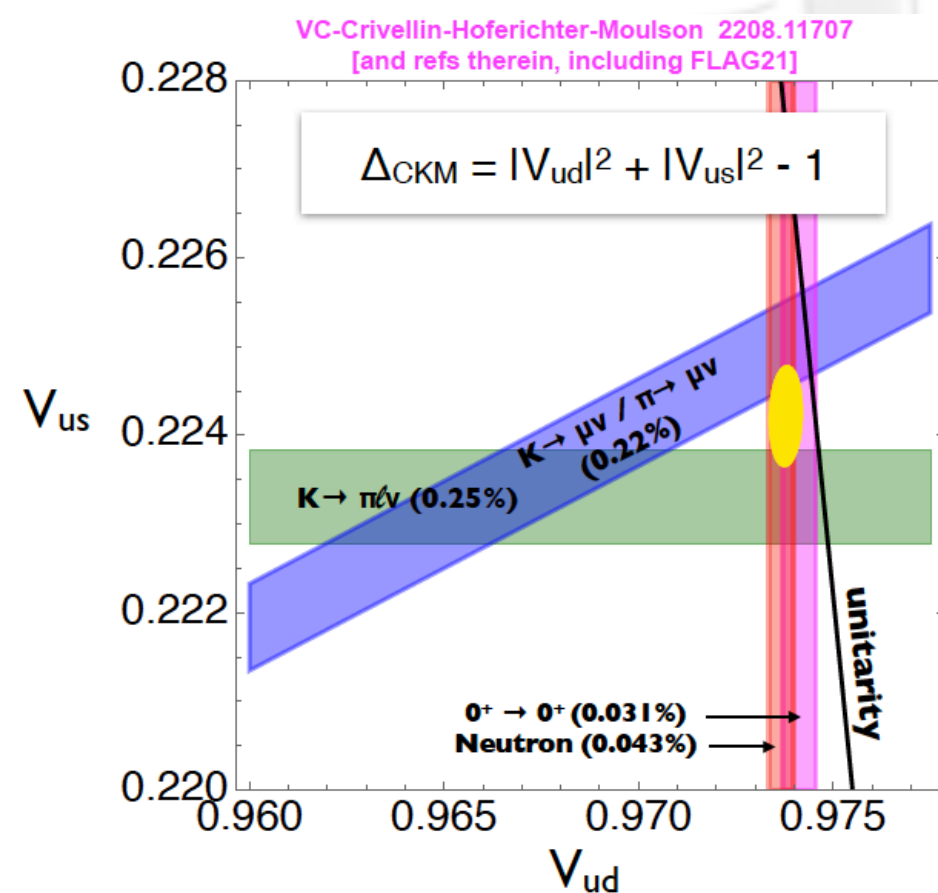
# Abstract for the impatient

SMEFT global-fits: two major challenges

## The CLEW framework



Flavor assumptions

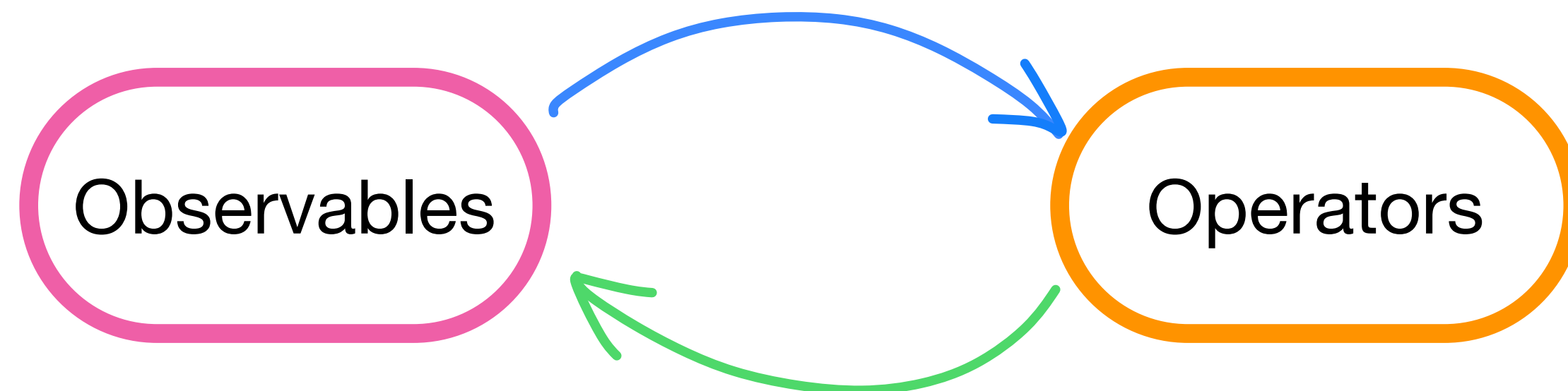
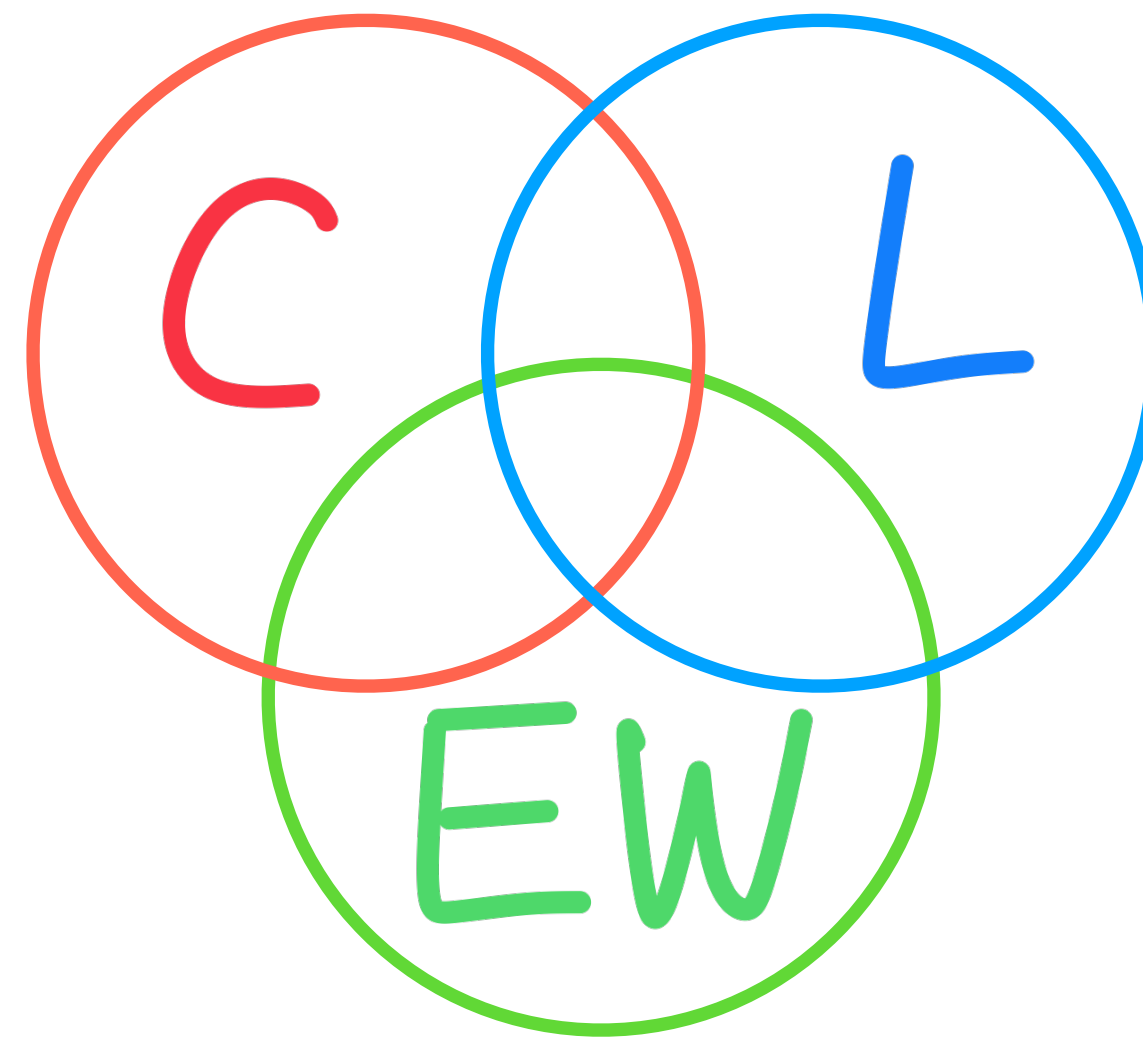
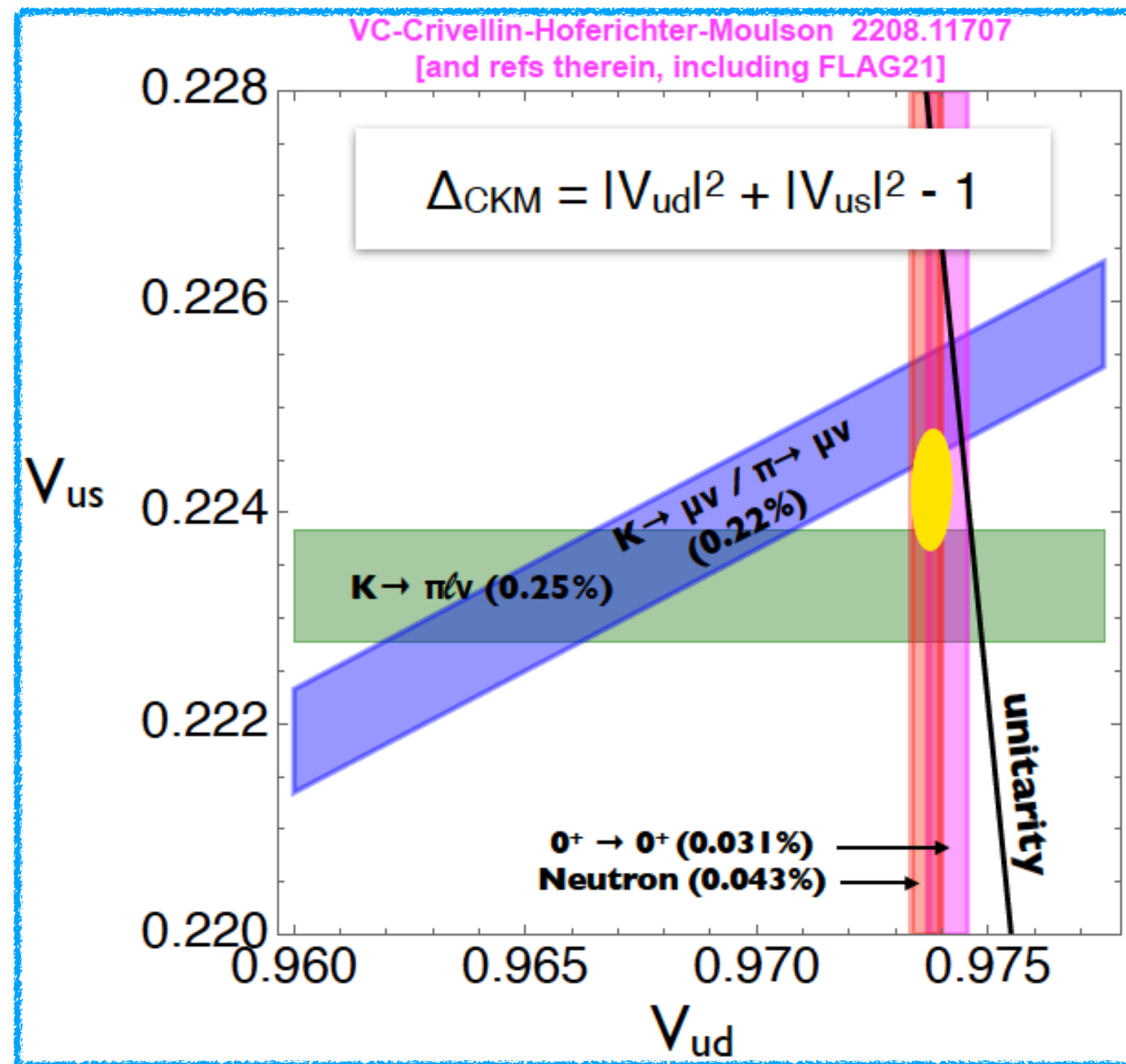


Incomplete observables



Flavor-symmetry-independent analysis

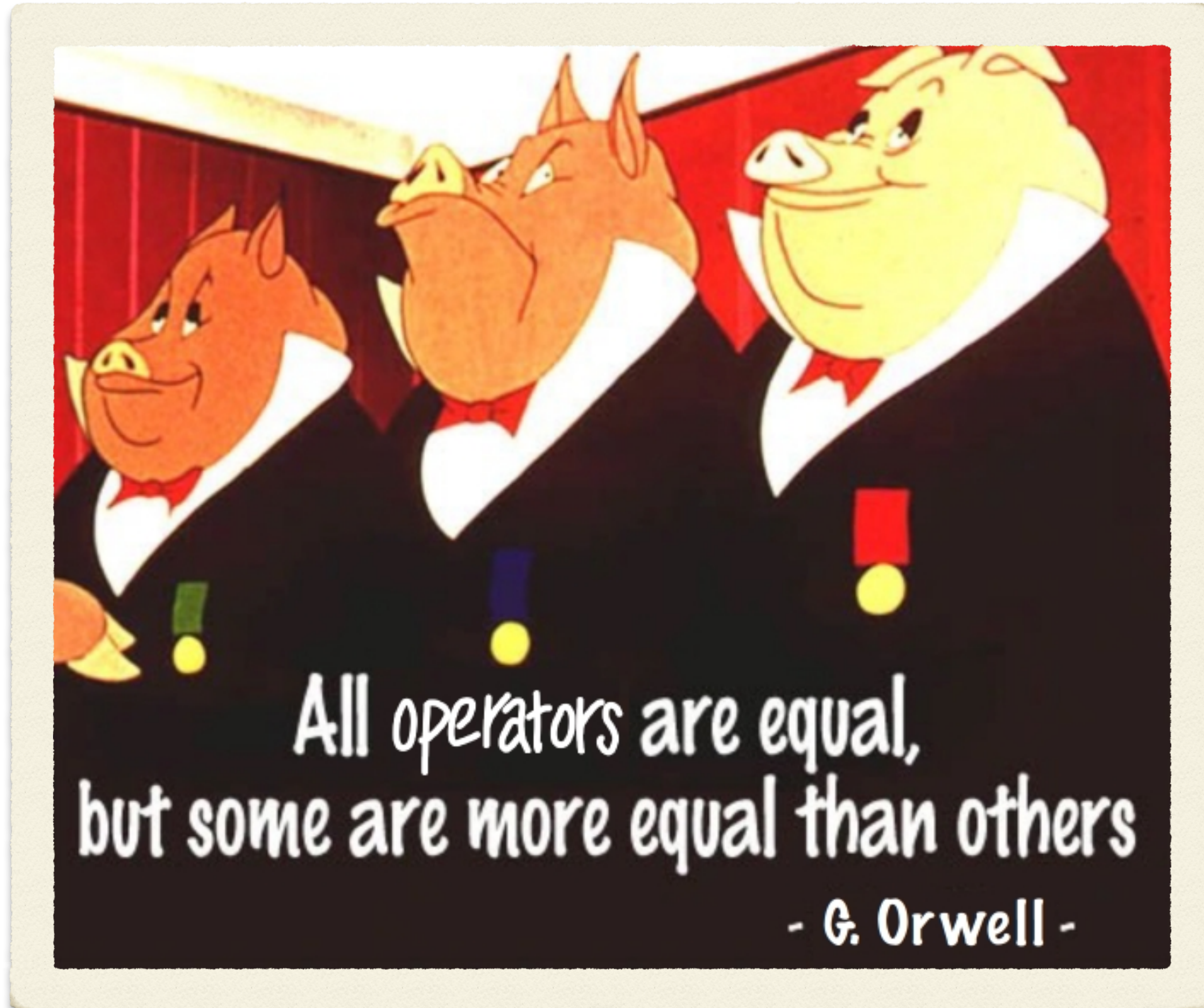
# List all the relevant operators



Operators		Low energy CC	EWPO	LHC
$H^4 D^2$				
$Q_{HD}$	$(H^\dagger D^\mu H)^* (H^\dagger D_\mu H)$	parameter shift ( $m_Z$ )		
$X^2 H^2$				
$Q_{HWB}$	$H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$			
		x	✓	✓
	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \tau^I \gamma^\mu l_r)$	✓	✓	✓
$Q_{He}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$	x	✓	✓
$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$	x	✓	✓
$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$	✓	✓	✓
$Q_{Hu}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$	x	✓	✓
$Q_{Hd}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$	x	✓	✓
$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$	✓	x	✓
$(\bar{L}L)(\bar{L}L)$				
$Q_u$	$(\bar{l}_p \gamma^\mu l_r)(\bar{l}_s \gamma_\mu l_t)$	parameter shift ( $G_F^{(\mu)}$ )		
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma^\mu l_r)(\bar{q}_s \gamma_\mu q_t)$	x	x	✓
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma^\mu \tau^I l_r)(\bar{q}_s \gamma_\mu \tau^I q_t)$	✓	x	✓
$(\bar{L}R)(\bar{R}L) + \text{h.c.}$				
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s q_t^j)$	✓	x	✓
$(\bar{L}R)(\bar{L}R) + \text{h.c.}$				
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$	✓	x	✓
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$	✓	x	✓

With all flavor indices

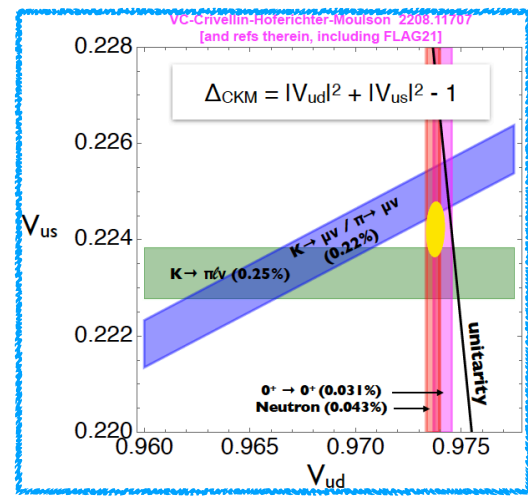
# All operators are equal, but...



Operators		Low energy CC	EWPO	LHC
$H^4 D^2$				
$Q_{HD}$	$(H^\dagger D^\mu H)^* (H^\dagger D_\mu H)$	parameter shift ( $m_Z$ )		
$X^2 H^2$				
$Q_{HWB}$	$H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$			
		x	✓	✓
	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \tau^I \gamma^\mu l_r)$	✓	✓	✓
$Q_{He}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$	x	✓	✓
$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$	x	✓	✓
$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$	✓	✓	✓
$Q_{Hu}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$	x	✓	✓
$Q_{Hd}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$	x	✓	✓
$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$	✓	x	✓
$(\bar{L}L)(\bar{L}L)$				
$Q_u$	$(\bar{l}_p \gamma^\mu l_r)(\bar{l}_s \gamma_\mu l_t)$	parameter shift ( $G_F^{(\mu)}$ )		
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma^\mu l_r)(\bar{q}_s \gamma_\mu q_t)$	x	x	✓
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma^\mu \tau^I l_r)(\bar{q}_s \gamma_\mu \tau^I q_t)$	✓	x	✓
$(\bar{L}R)(\bar{R}L) + \text{h.c.}$				
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$	✓	x	✓
$(\bar{L}R)(\bar{L}R) + \text{h.c.}$				
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$	✓	x	✓
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$	✓	x	✓

With all flavor indices

# All operators are equal, but...



**Basis rotation**

$$C_{Hq}^{(u)} = V \left[ C_{Hq}^{(1)} - C_{Hq}^{(3)} \right] V^\dagger, \quad C_{Hq}^{(d)} = C_{Hq}^{(1)} + C_{Hq}^{(3)}$$

**Relative contribution**

Suppressed by  $|V_{us}|^2$  or  $(V_{ts}/V_{us})$

**Pheno constraints**

FCNC decays of  $B$ ,  $D$  and  $K$  mesons



"More-equal" operators



$C_{Hq}^{(1)}$   
3x3


$C_{Hq}^{(3)}$   
3x3


$C_{Hq}^{(u)}$   
3x3

		X

$C_{Hq}^{(d)}$   
3x3


$C_{Hq}^{(u)}$   
3x3

		X
X		X
X	X	X

$C_{Hq}^{(d)}$   
3x3

		X
		X

$C_{Hq}^{(u)}$   
3x3

✓	X	X
X	✓	X
X	X	X

$C_{Hq}^{(d)}$   
3x3

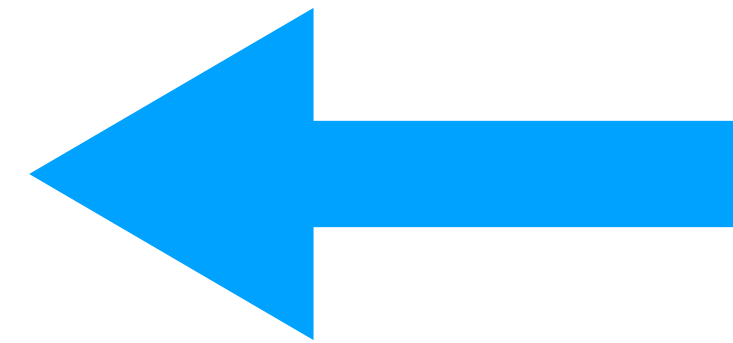
✓	X	X
X	✓	X
X	X	✓

# 37 operators are "more equal"

Global analysis	Indices
$C_{Hl}^{(1,3)}_{pr}, C_{He}_{pr}$	$pr \in \{ee, \mu\mu, \tau\tau\}$
$C_{Hq}^{(d)}_{pr}, C_{Hd}_{pr}$	$pr \in \{11, 22, 33\}$
$C_{Hq}^{(u)}_{pr}, C_{Hu}_{pr}$	$pr \in \{11, 22\}$
$C_{Hud}_{pr}$	$pr \in \{11, 12\}$
$C_{lq}^{(d)}_{llpr}, C_{ledq}_{llpr}$	$l \in \{e, \mu\}, pr \in \{11, 22\}$
$C_{lq}^{(u)}_{ll11}, \bar{C}_{lequ}_{ll11}^{(1,3)}$	$l \in \{e, \mu\}$
$C_{HD}, C_{HWB}$	
$C_{ll}^{2112}$	



**37 in total**



Operators		Low energy CC	EWPO	LHC
$H^4 D^2$				
$Q_{HD}$	$(H^\dagger D^\mu H)^* (H^\dagger D_\mu H)$	parameter shift ( $m_Z$ )		
$X^2 H^2$				
$Q_{HWB}$	$H^\dagger \tau^I H W_{\mu\nu}^{I, B\mu\nu}$			
$(\bar{L}L)(\bar{L}L)$				
	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \tau^I \gamma^\mu l_r)$	x	✓	✓
$Q_{He}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$	x	✓	✓
$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$	x	✓	✓
$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$	✓	✓	✓
$Q_{Hu}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$	x	✓	✓
$Q_{Hd}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$	x	✓	✓
$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$	✓	x	✓
$(\bar{L}L)(\bar{L}L)$				
$Qu$	$(\bar{l}_p \gamma^\mu l_r)(\bar{l}_s \gamma_\mu l_t)$	parameter shift ( $G_F^{(\mu)}$ )		
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma^\mu l_r)(\bar{q}_s \gamma_\mu q_t)$	x	x	✓
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma^\mu \tau^I l_r)(\bar{q}_s \gamma_\mu \tau^I q_t)$	✓	x	✓
$(\bar{L}R)(\bar{R}L) + \text{h.c.}$				
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s^k q_t^j)$	✓	x	✓
$(\bar{L}R)(\bar{L}R) + \text{h.c.}$				
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$	✓	x	✓
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$	✓	x	✓

**With all flavor indices**

# Group them into 10 categories

Global analysis	Indices
$C_{Hl\ pr}^{(1,3)}$ , $C_{He\ pr}$	$pr \in \{ee, \mu\mu, \tau\tau\}$
$C_{Hq\ pr}^{(d)}$ , $C_{Hd\ pr}$	$pr \in \{11, 22, 33\}$
$C_{Hq\ pr}^{(u)}$ , $C_{Hu\ pr}$	$pr \in \{11, 22\}$
$C_{Hud\ pr}$	$pr \in \{11, 12\}$
$C_{lq\ llpr}^{(d)}$ , $C_{ledq\ llpr}$	$l \in \{e, \mu\}$ , $pr \in \{11, 22\}$
$C_{lq\ ll11}^{(u)}$ , $\bar{C}_{lequ\ ll11}^{(1,3)}$	$l \in \{e, \mu\}$
$C_{HD}$ , $C_{HWB}$	
$C_{2112\ ll}$	

Category	Operators	Description	# of Ops.
I.	$C_{ST}$	Oblique corrections	1
II.	$C_{Hud}$	RH charged currents	2
III.	$C_{Hl}^{(1)}$ , $C_{Hl}^{(3)}$	LH lepton vertices	6
IV.	$C_{He}$	RH lepton vertices	3
V.	$C_{Hq}^{(u)}$ , $C_{Hq}^{(d)}$	LH quark vertices	5
VI.	$C_{Hu}$ , $C_{Hd}$	RH quark vertices	5
VII.	$C_{ll}$	Lepton 4-fermion	1
VIII.	$C_{lq}^{(u)}$ , $C_{lq}^{(d)}$	Semilepton 4-fermion	6
IX.	$C_{ledq}$ , $C_{lequ}^{(1)}$	Scalar 4-fermion	6
X.	$C_{lequ}^{(3)}$	Tensor 4-fermion	2

~~Let's do  $2^{37} \approx 10^{11}$  fits!~~

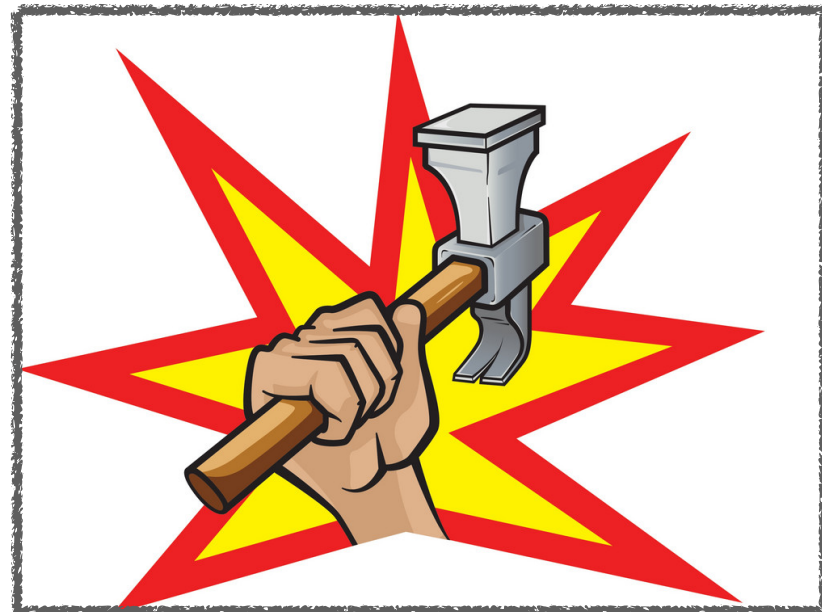


# AIC and one thousand fits



## Akaike Information Criterion

$$\text{AIC} = \chi^2 + 2 \times (\text{number of Ops.})$$



Category	Operators	Description	# of Ops.
I.	$C_{ST}$	Oblique corrections	1
II.	$C_{Hud}$	RH charged currents	2
III.	$C_{Hl}^{(1)}$ $C_{Hl}^{(3)}$	LH lepton vertices	6
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IX.	$C_{ledq}$ $C_{lequ}^{(1)}$	Scalar 4-fermion	6
X.	$C_{lequ}^{(3)}$	Tensor 4-fermion	2

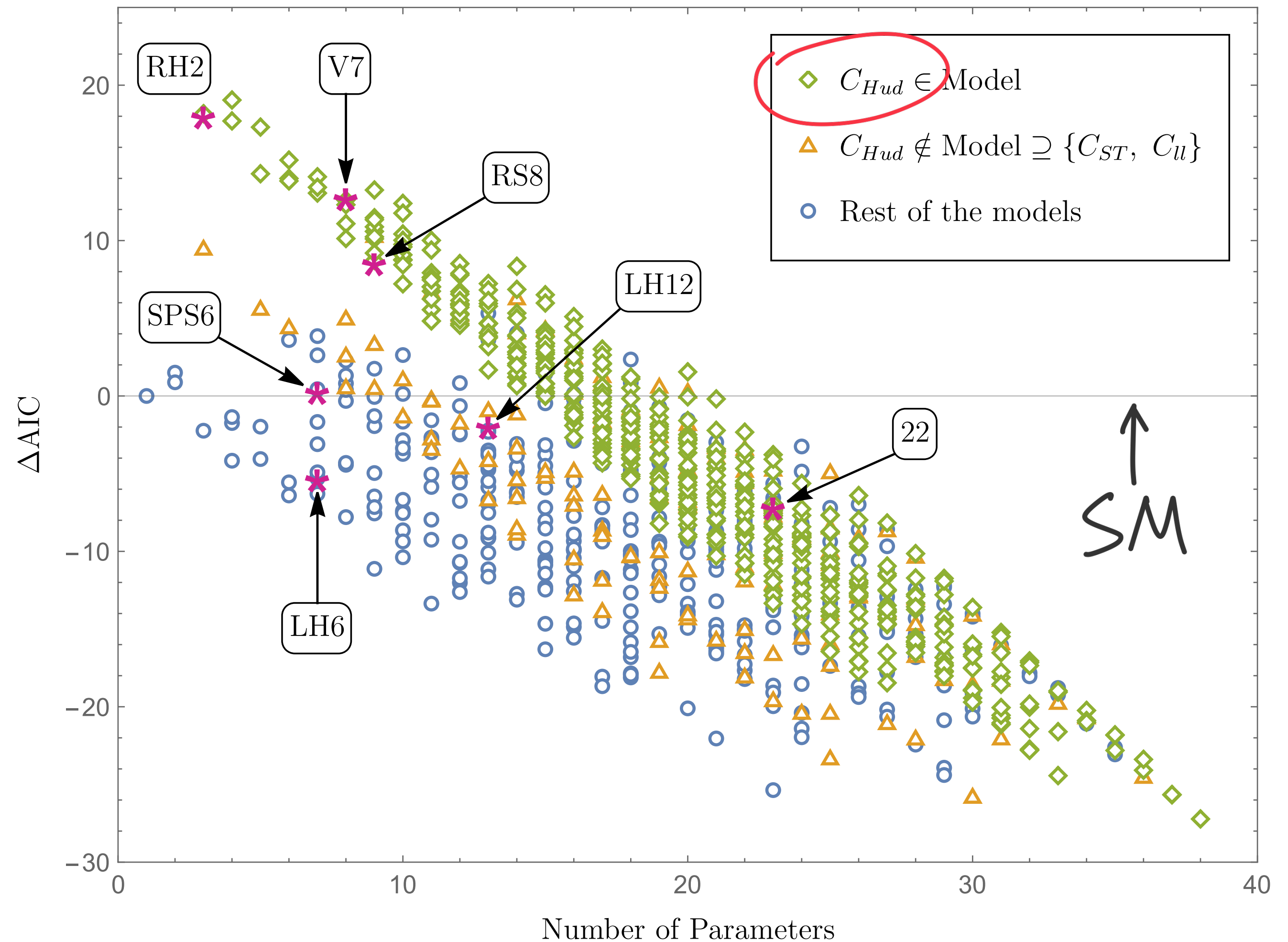
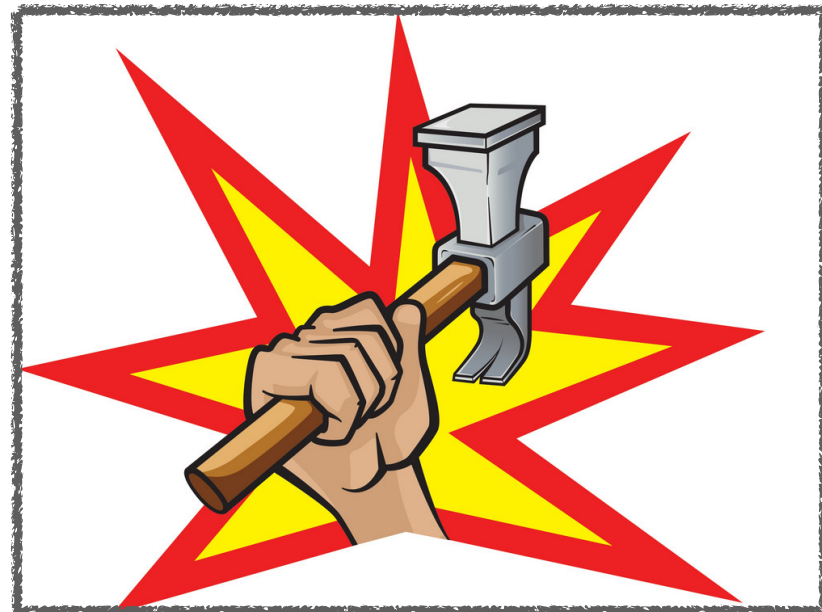
**Let's do  $2^{10} = 1024$  fits!**

# AIC and one thousand fits



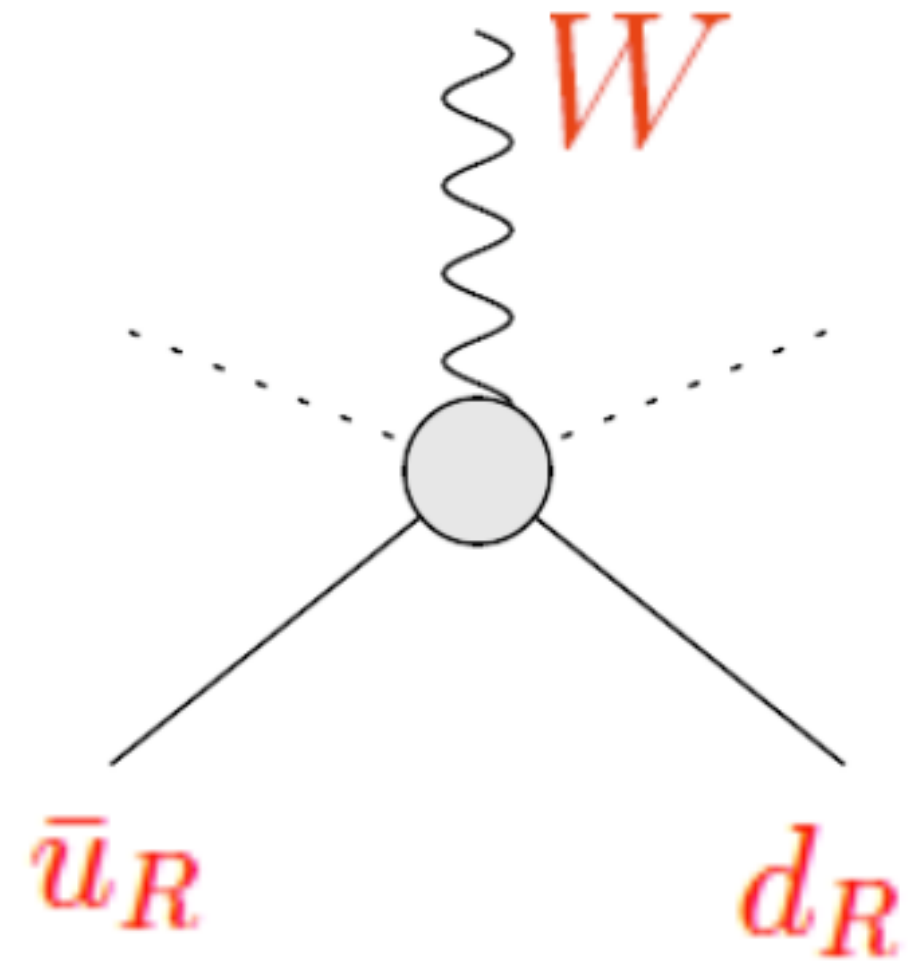
## Akaike Information Criterion

$$AIC = \chi^2 + 2 \times (\text{number of Ops.})$$



Higher the  $\Delta AIC$ , better the model

# Who is $C_{Hud}$ ?



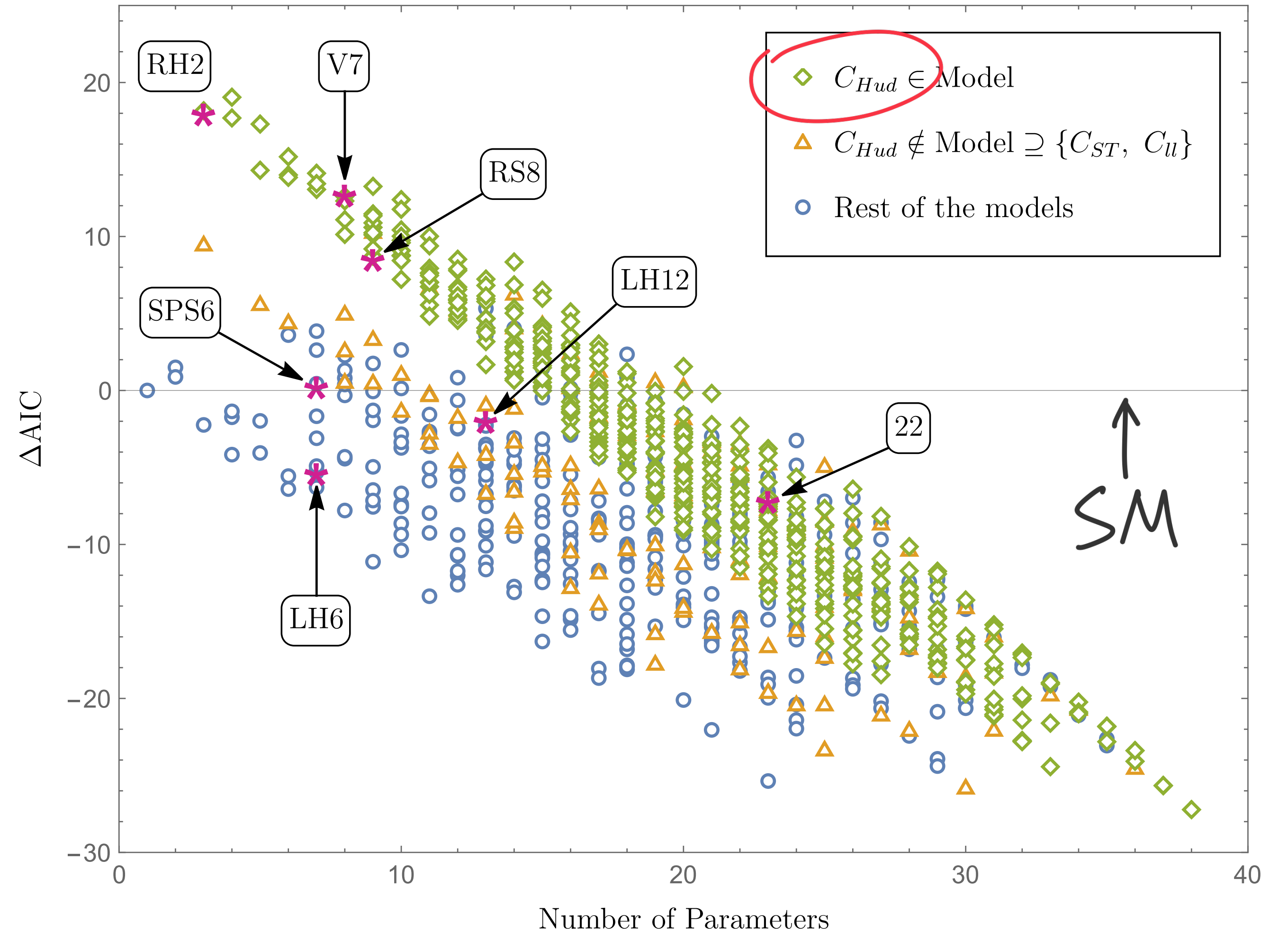
$$Q_{Hud} = i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$$

- Left-Right Symmetric Models

2107.10852

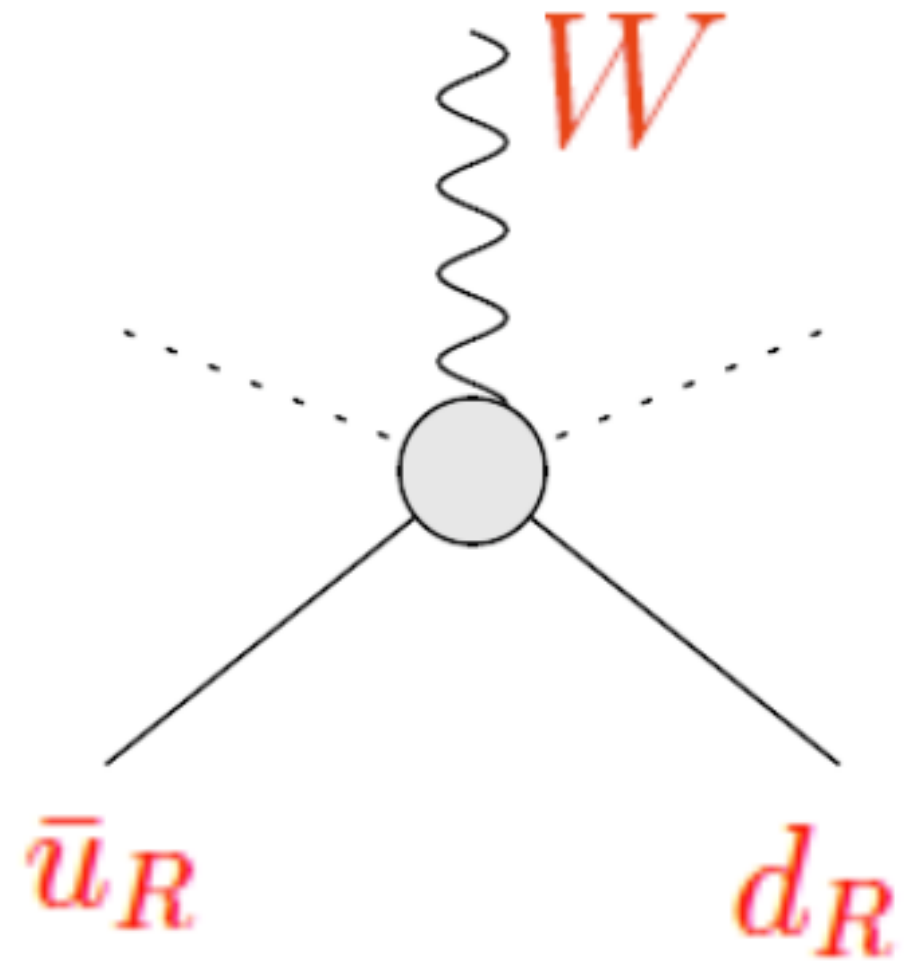
- Vector-Like Quarks  $\sim \mathcal{O}(\text{TeV})$

2212.06862, 2302.14097



Higher the  $\Delta AIC$ , better the model

# Falsifying $C_{Hud}$



$C_{Hud}$

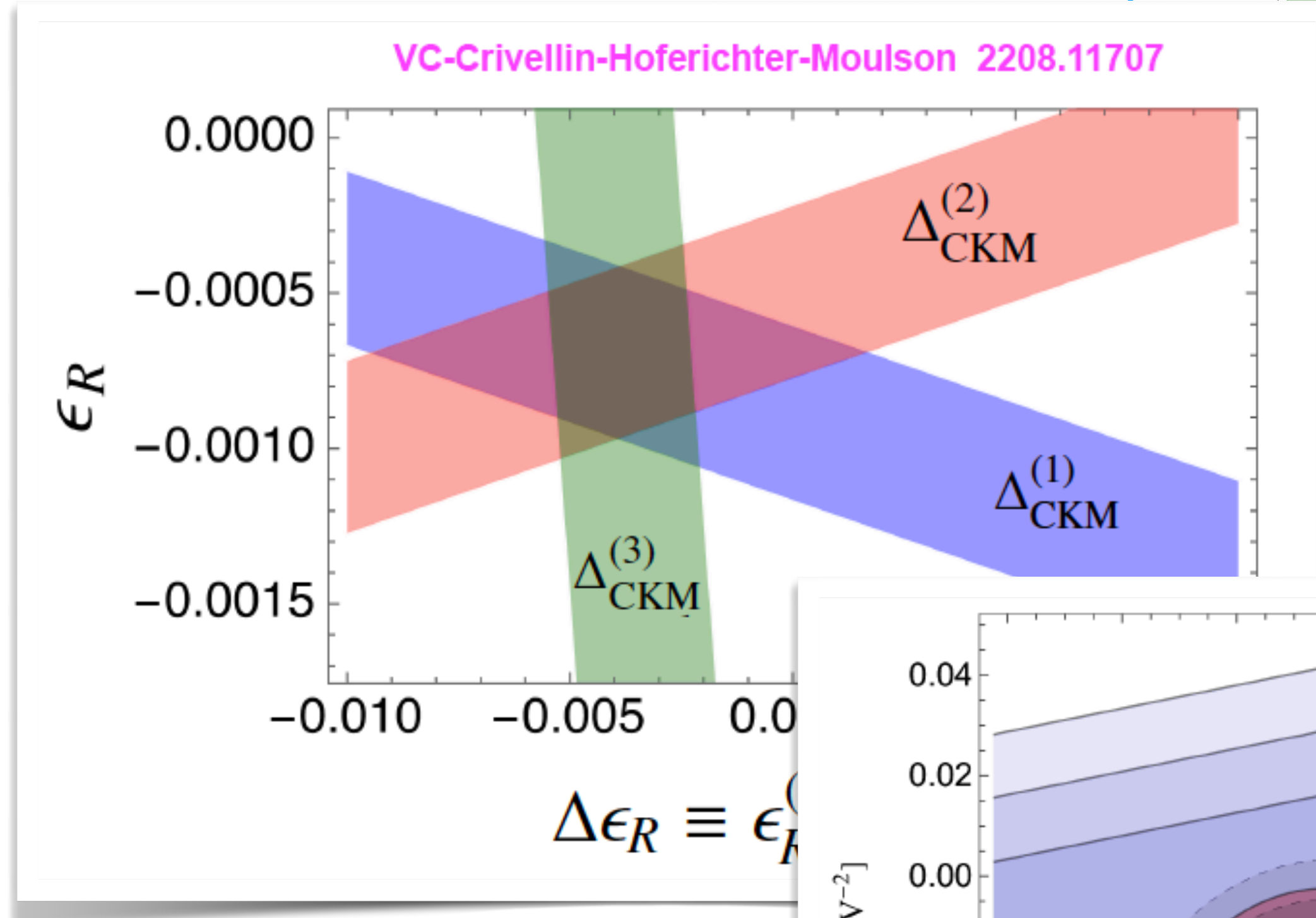
$$Q_{Hud} = i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$$

- Left-Right Symmetric Models

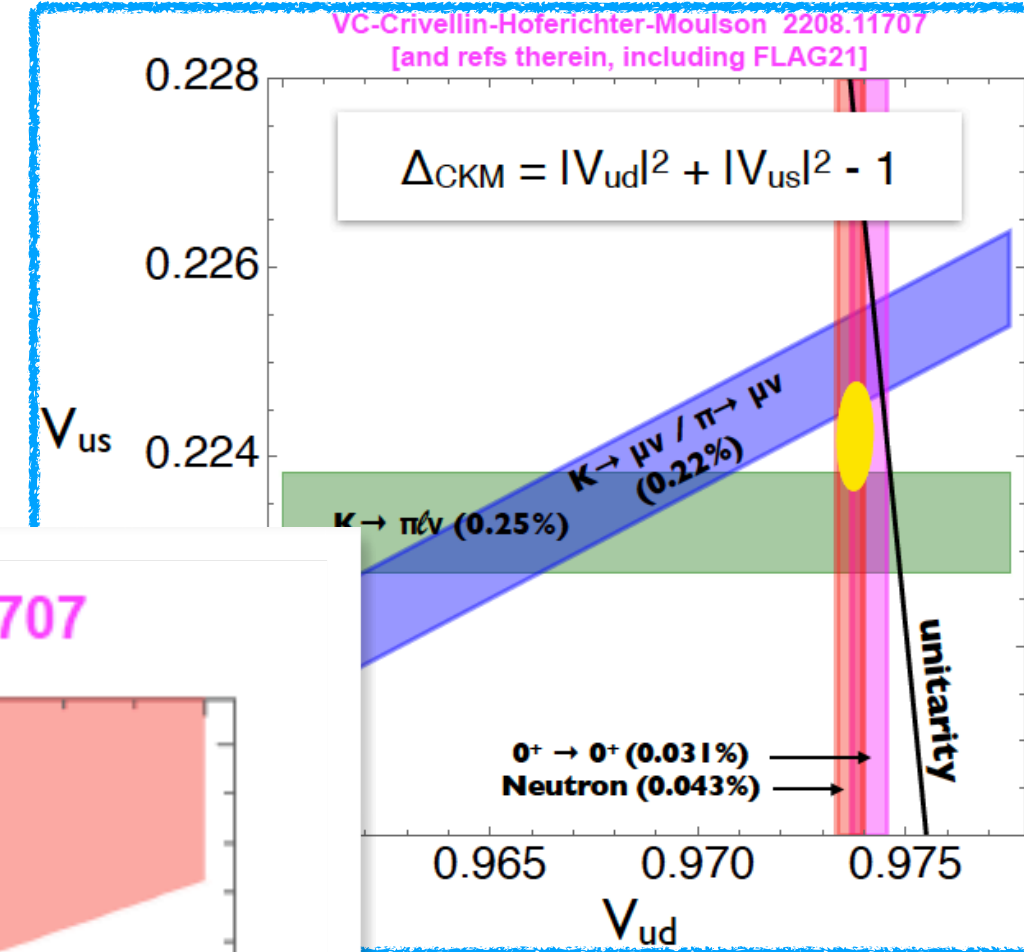
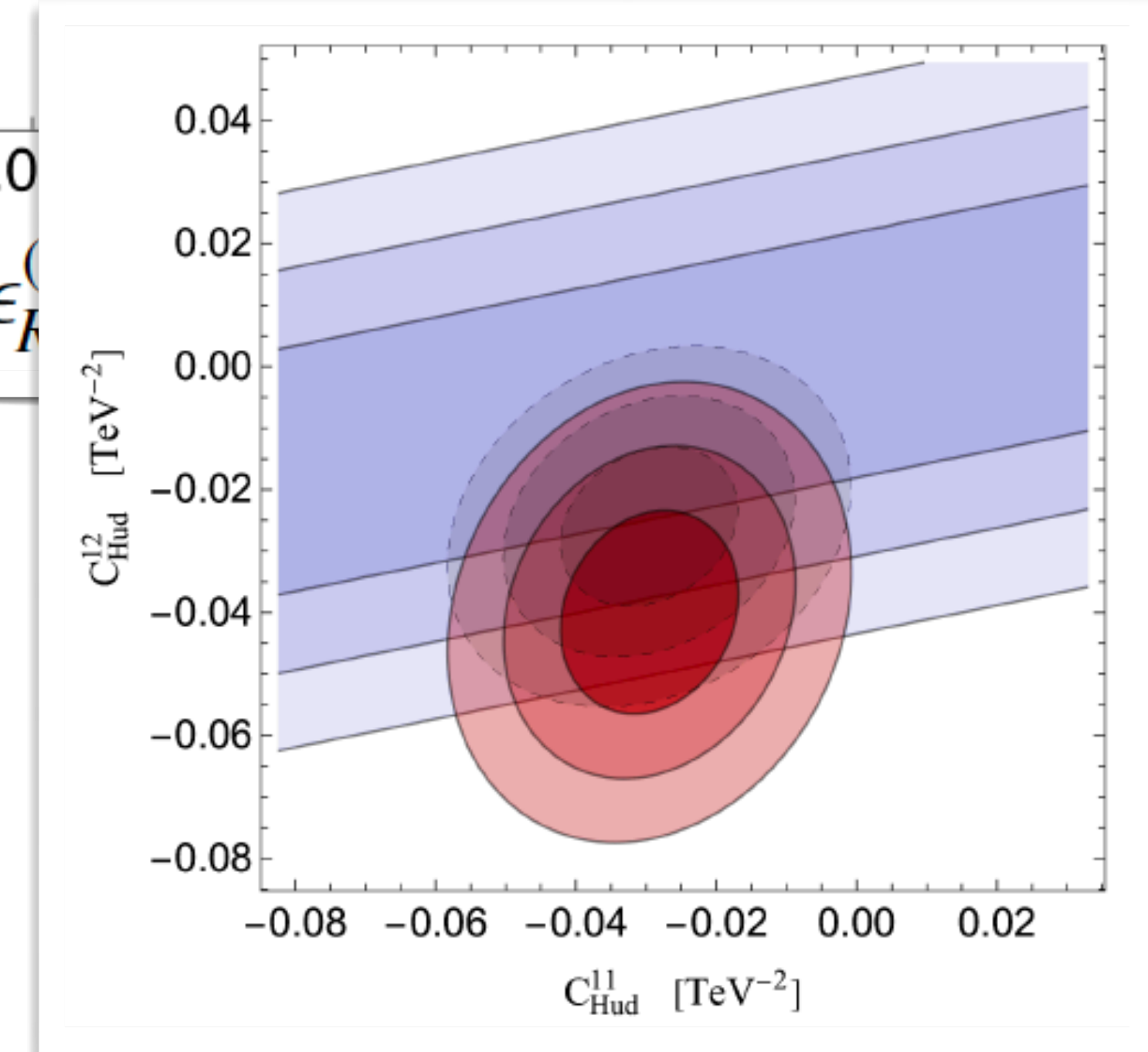
2107.10852

- Vector-Like Quarks  $\sim \mathcal{O}(\text{TeV})$

2212.06862, 2302.14097



$K \rightarrow \pi\pi$



# Summary: the CLEW framework



Category	Operators	Description	# of Ops.
I.	$C_{ST}$	Oblique corrections	1
II.	$C_{Hud}$	RH charged currents	2
III.	$C_{Hl}^{(1)}$ $C_{Hl}^{(3)}$	LH lepton vertices	6
IV.	$C_{He}$	RH lepton vertices	3
V.	$C_{Hq}^{(u)}$ $C_{Hq}^{(d)}$	LH quark vertices	5
VI.	$C_{Hu}$ $C_{Hd}$	RH quark vertices	5
VII.	$C_{ll}$	Lepton 4-fermion	1
VIII.	$C_{lq}^{(u)}$ $C_{lq}^{(d)}$	Semilepton 4-fermion	6
IX.	$C_{ledq}$ $C_{lequ}^{(1)}$	Scalar 4-fermion	6
X.	$C_{lequ}^{(3)}$	Tensor 4-fermion	2

