

# Quark-Hadron Duality Violation and Higher Order $1/m_b$ corrections in inclusive $B \rightarrow X_c \ell \bar{\nu}$

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in collaboration with  
Thomas Mannel<sup>1</sup>, Rens Verkade<sup>2</sup> and K. Keri Vos<sup>2</sup>

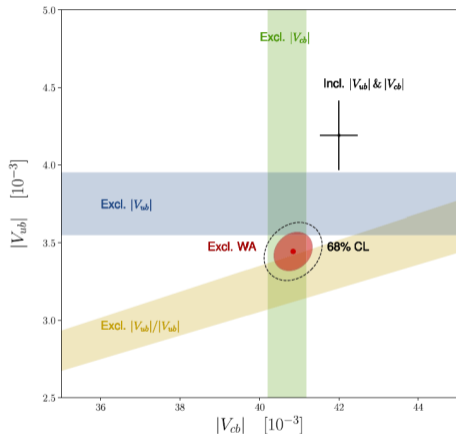
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# The $V_{cb}$ puzzle

- Inclusive  $B \rightarrow X_c \ell \bar{\nu}$  for extraction of  $V_{cb}$ 
  - See talk by Keri Vos
- Heavy Quark Expansion (HQE) → power series in  $\Lambda_{\text{QCD}}/m_b$   
Chay, Georgi, Bigi, Shifman, Uraltsev, Vainshtein, Manohar, Wise, Neubert, Mannel,...
- Split  $b$  quark momentum as  $p_b = m_b v + k$ 
  - Expand in  $k \sim iD$



Bernlochner, Prim, Vos (*Eur. Phys. J. Spec. Top.* (2024))

- Perform Operator Product Expansion (OPE)

Chay, Georgi, Bigi, Shifman, Uraltsev, Vainstein, Manohar, Wise, Neubert, Mannel,...

$$d\Gamma = d\Gamma^{(3)} + \frac{1}{m_b^2}d\Gamma^{(5)} + \frac{1}{m_b^3}d\Gamma^{(6)} + \frac{1}{m_b^4}d\Gamma^{(7)} + \dots, \quad d\Gamma^{(n)} = \sum_i C_i^{(n)} \langle B | \mathcal{O}_i^{(n)} | B \rangle$$

- $d\Gamma^{(3)}$ : Partonic result ( $d\Gamma^{(4)} = 0$  due to Heavy Quark Symmetries)
- $d\Gamma^{(5)}$ : 2 parameters

$$2m_B \mu_\pi^2 = -\langle B | \bar{b}_v (iD)^2 b_v | B \rangle$$

$$2m_B \mu_G^2 = \langle B | \bar{b}_v (-i\sigma^{\mu\nu})(iD_\mu)(iD_\nu) b_v | B \rangle$$

- $d\Gamma^{(6)}$ : 2 parameters

$$2m_B \rho_D^3 = \langle B | \bar{b}_v [iD_\mu, [ivD, iD^\mu]] b_v | B \rangle / 2$$

$$2m_B \rho_{LS}^3 = \langle B | \bar{b}_v \{iD_\mu, [ivD, iD_\nu]\} (-i\sigma^{\mu\nu}) b_v | B \rangle / 2$$

- $d\Gamma^{(7)}$ : 9 parameters (at tree level) Mannel, Turczyk, Uraltsev [1009.4622]; Kobach, Pal [1704.00008]
- $d\Gamma^{(8)}$ : 18 parameters (at tree level) Mannel, Turczyk, Uraltsev [1009.4622]; Kobach, Pal [1704.00008]

# Counting Operators with Reparametrization Invariance

- In HQE, choice of  $v_\mu$  is not unique

Dugan, Golden, Grinstein, Chen, Luke, Manohar, Hill, Solon, Heinonen,...

- Lorentz invariance of QCD  $\rightarrow$   
**Reparametrization Invariance (RPI)**

imposed by  $v_\mu \rightarrow v_\mu + \delta v_\mu$

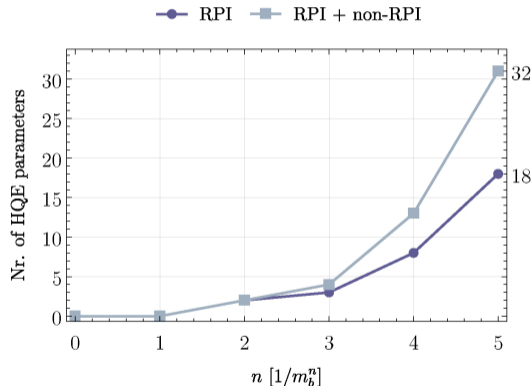
- **RPI relates different orders** in  $1/m_b$  expansion Mannel, Vos [1802.09409]

$\rightarrow$  This allows us to find combinations of operators which are RPI

- Up to  $1/m_b^4$ : total of 8 independent parameters Mannel, Vos [1802.09409]

- At  $1/m_b^5$ , we find **only 10 RPI operators**

Mannel, ISM, Vos [2311.12002]



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( $q^2$ -cut needed due to experimental setup)

Bernlochner, Welsch, Fael, Olschewsky, Persson, von Tonder, Vos [2205.10274]

$$\langle (q^2)^n \rangle_{\text{cut}} = \frac{\int_{q^2 > q_{\text{cut}}^2} dq^2 (q^2)^n \frac{d\Gamma}{dq^2}}{\int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2}}$$

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- Data  $\rightarrow$  values for reduced set of RPI parameters up to  $1/m_b^4 \rightarrow Br(\bar{B} \rightarrow X_c \ell \bar{\nu}) \rightarrow$   
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- **First determination** of  $V_{cb}$  up to  $\mathcal{O}(1/m_b^4)$  and **first extraction** of  $1/m_b^4$  matrix elements from data
- Agreement at **1 – 2 $\sigma$  level** with previous  $\mathcal{O}(1/m_b^3)$  determinations

Finauri, Gambino [2310.20324]; Bordone, Capdevila, Gambino [2107.00604]; Alberti, Gambino, Healey, Nandi [1411.6560]; Gambino, Schwanda [1307.4551]



# Where do we currently stand?

$\Gamma$	tree	$\alpha_s$	$\alpha_s^2$	$\alpha_s^3$
Partonic	✓	✓	✓	✓
$1/m_b^2$	✓	✓		
$1/m_b^3$	✓	✓		
$1/m_b^4$	✓			
$m_b^{\text{kin}}/\bar{m}_c$		✓	✓	✓

$\langle (q^2)^n \rangle$	tree	$\alpha_s$	$\alpha_s^2$	$\alpha_s^3$
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$1/m_b^4$	✓			

- **Green:** known perturbative corrections Jezabek, Kuhn (1989); Melnikov (2008); Pak, Czarnecki (2008); Becher, Boos, Lunghi (2007); Alberti, Gambino, Nandi (2014); Mannel, Pivovarov, Rosenthal (2015); Gambino, Healey, Turczyk (2016); Mannel, Pivovarov (2020); Fael, Schonwald, Steinhauser (2020, 2021); Fael, Herren (2024)

- Next step for higher precision:

→  $1/m_b^5$

→ Quark-Hadron Duality Violation

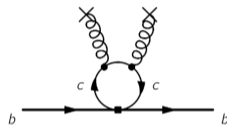
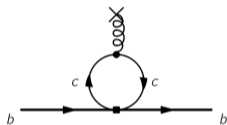
# Going higher in the $1/m_b$ expansion

- Dimension 8 contains: Bigi, Mannel, Turczyk, Uraltsev [0911.3322]

“genuine”  $\frac{1}{m_b^5}$

&

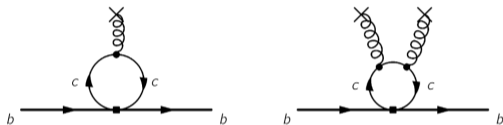
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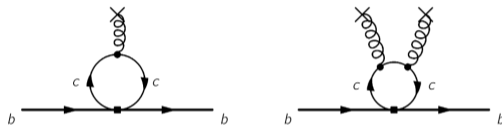


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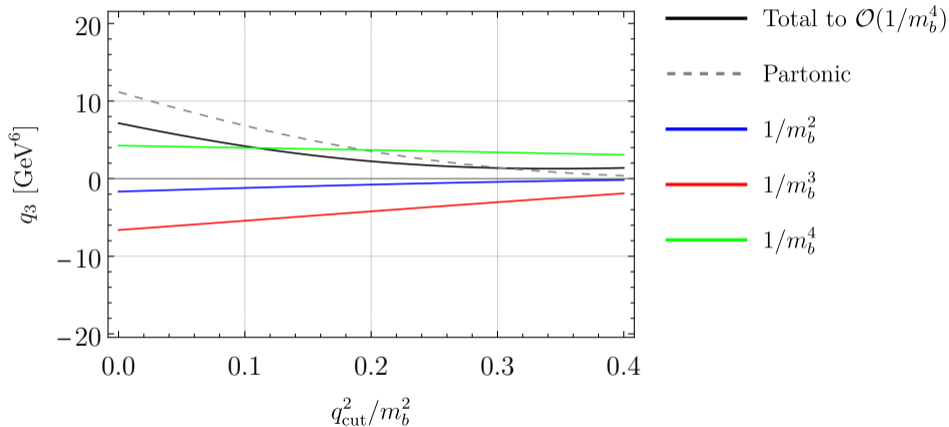
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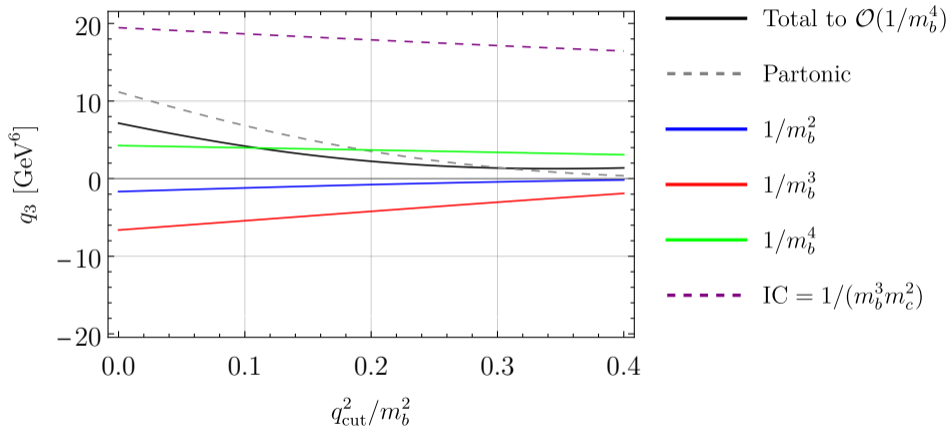


- Numerically:  $m_c^2 \sim m_b \Lambda_{\text{QCD}}$
- Dimension 8 contains enhanced terms which contribute like  $1/m_b^4$  terms
- We need  $1/m_b^3 \times 1/m_c^2$  contributions to complete the calculation at  $1/m_b^4$ 
  - We calculate the full dimension 8 contributions
  - We extract the **Intrinsic Charm** contribution
  - We find that only **1 combination of parameters** describes the IC in the  $q^2$ -moments

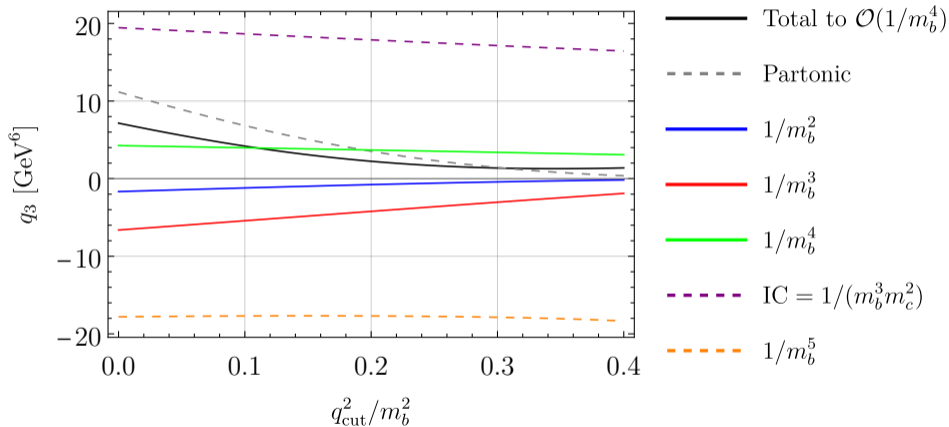
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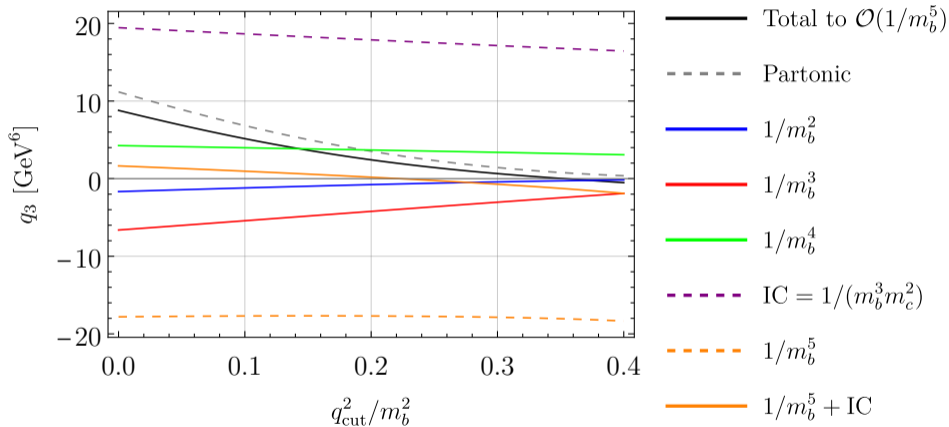
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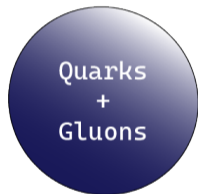
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- Work in progress: Full  $|V_{cb}|^{\text{incl}}$  fit to  $1/m_b^5$

# Quark-Hadron Duality (QHD)

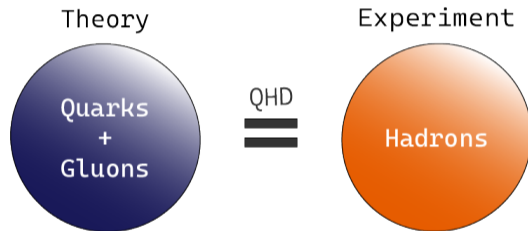
Theory



Experiment

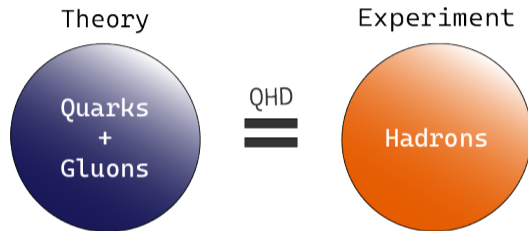


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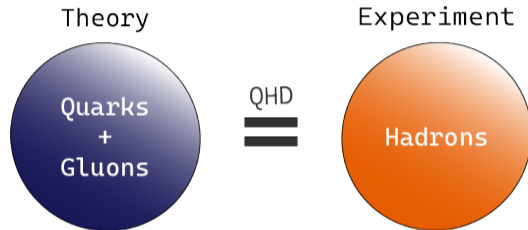


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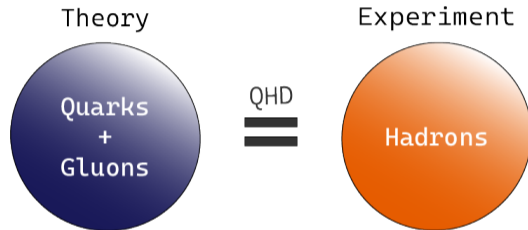
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- QHD in OPE: well behaved Taylor series and analytic in  $\Lambda_{\text{QCD}}/Q$  where  $Q = m_b v - q$ 
  - data currently shows no indications of failure of HQE
  - but factorially increasing number of HQE parameters hint for **QHD Violation**

- Optical theorem:

$$d\Gamma \propto L^{\mu\nu} \text{Im} [T_{\mu\nu}(vQ, Q^2)]$$

→ leptonic tensor  $L^{\mu\nu}$

→ hadronic tensor as imaginary part of time-ordered product:

$$T_{\mu\nu}(Q) = \int d^4x e^{-iQ \cdot x} \langle B(p) | T \{ \bar{b}_\nu(x) \Gamma_\mu c(x) \bar{c}(0) \bar{\Gamma}_\nu b_\nu(0) \} | B(p) \rangle$$

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- Expand external field propagator for the charm quark:

$$\begin{aligned} -iS_{\text{BGF}} &= \frac{1}{\not{Q} + i\not{D} - m_c} \\ &= \frac{1}{\not{Q} - m_c} - \frac{1}{\not{Q} - m_c} (i\not{D}) \frac{1}{\not{Q} - m_c} + \dots \\ &= \sum_{k=0}^{\infty} \left( \frac{1}{Q^2} \right)^{k+1} \not{Q} [ - (i\not{D}) \not{Q} ]^k \quad (m_c = 0) \end{aligned}$$

- Taking forward matrix element with  $B$  meson with velocity  $v$ :

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# Modelling QHDV continued

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- Order by order (schematically, all Lorentz indices suppressed):

$$\langle B(v) | \bar{b}_v \Gamma \not{Q} \bar{\Gamma} b_v | B(v) \rangle = a_0^{(i,0)}(vQ) ,$$

$$\langle B(v) | \bar{b}_v (-1) \Gamma \not{Q} (i\not{D}) \not{Q} \bar{\Gamma} b_v | B(v) \rangle = \Lambda_{\text{HQE}} \left( a_0^{(i,1)}(vQ)^2 + a_1^{(i,1)} Q^2 \right) ,$$

$$\langle B(v) | \bar{b}_v \Gamma \not{Q} (i\not{D}) \not{Q} (i\not{D}) \not{Q} \bar{\Gamma} b_v | B(v) \rangle = \Lambda_{\text{HQE}}^2 \left( a_0^{(i,2)}(vQ)^3 + a_1^{(i,2)}(vQ) Q^2 \right) ,$$

...

→ the index  $i = 1, \dots, 5$  denotes the five scalar components of  $T_{\mu\nu}$

- Introduce dimensionless variables  $r^2 = Q^2/\Lambda_{\text{HQE}}^2$  and  $t = vQ/\Lambda_{\text{HQE}}$ :

$$T_i(t, r^2) = \frac{1}{\Lambda_{\text{HQE}}} \sum_{l=0}^{\infty} \left(\frac{1}{r^2}\right)^{l+1} P_l^{(i)}(t), \quad P_l^{(i)}(t) = \sum_{k=0}^{l+1} t^{l+1-k} a_k^{(i, k+l)}$$



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  - **model factorial growth** as  $P_l(t) = (2l)!p_l(t)$
  - use known contributions to make **ansatz for polynomials**  $p_l(t)$

$$p_l^{(1,4)}(t) = t^{l+1} + t^l + \dots + t$$

$$p_l^{(2,3)}(t) = t^l + t^{l-1} + \dots + t + 1$$

$$p_l^{(5)}(t) = t^{l+1} + t^l + \dots + t^2$$

# Borel Transform

- Now perform **Borel Transform** of  $T_{\mu\nu}(r^2, t)$  w.r.t.  $\lambda = 1/r$  and transform back
- Schematically:

$$F(\lambda) = \sum_n (2n)! \lambda^{2n}$$

$$B(M) = \sum_n M^{2n} = \frac{1}{1 - M^2} = \frac{1}{1 + M} \frac{1}{1 - M}$$

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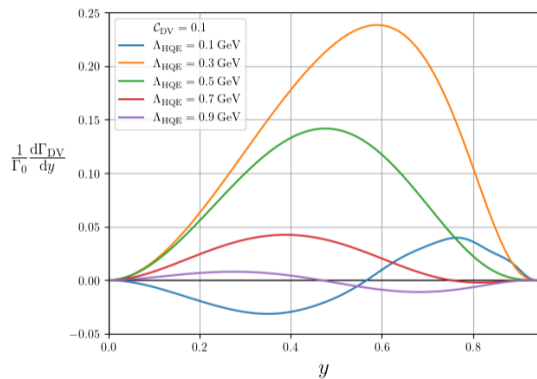
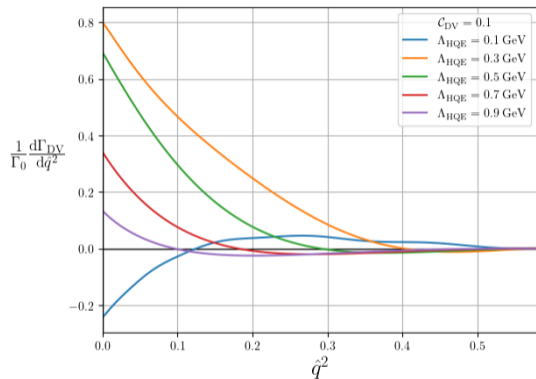
- Finally, **analytically continue** to the Minkowskian case by  $\lambda \rightarrow i\kappa = i\Lambda_{\text{HQE}}/\sqrt{Q^2}$

- This will lead to: Mannel, ISM, Verkade, Vos [WIP]

$$-\frac{1}{\pi} \hat{\Delta}_{DV} \text{Im} [T_{1,4}(vQ, Q^2)] = \mathcal{C}_{DV} \frac{N}{\Lambda_{\text{HQE}} - vQ} \frac{vQ}{\sqrt{Q^2}} \left( \sin \left( \frac{\sqrt{Q^2}}{\Lambda_{\text{HQE}}} \right) - \sqrt{\frac{vQ}{\Lambda_{\text{HQE}}}} \sin \left( \frac{1}{\sqrt{\Lambda_{\text{HQE}}}} \sqrt{\frac{Q^2}{vQ}} \right) \right)$$

- similar expressions for  $T_{2,3,5}$
- $N$  is a normalisation and  $\mathcal{C}_{DV}$  characterises “strength” of QHDV
- $\Lambda_{\text{HQE}} = 0.5 \text{ GeV}$  as default (based on HQE parameters)

# Differential rates for QHDV



- $\Gamma_0$ : partonic rate,  $\hat{q}^2 = q^2/m_b^2$ ,  $y = 2E_\ell/m_b$



# Conclusions and outlook

- We identified the **10 RPI operators** at  $1/m_b^5$
  - The  **$1/m_b^3 \times 1/m_c^2$  contributions** are (partially) cancelled by the strict  **$1/m_b^5$  contributions**
  - We find an **unexpectedly small** overall contribution of the dimension-8 operators
  - $q^2$ ,  $E_\ell$ ,  $M_X^2$  moments to  $1/m_b^5$  available in open-source library **kolya**
  - We provide so-called **trace formulae** for determinations of observables to  $\mathcal{O}(1/m_b^5)$  for  $B \rightarrow X_c \ell \bar{\nu}$  Mannel, ISM, Vos [2311.12002]
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# Conclusions and outlook

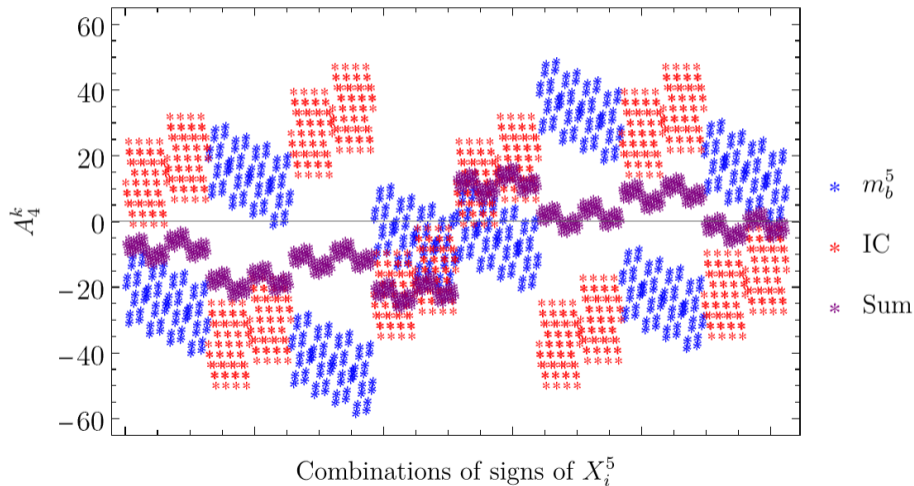
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  - We define the QHDV using the ambiguity arising from the inverse Borel transform
- Work in progress:
  - Full  $|V_{cb}|^{\text{incl}}$  fit to  $1/m_b^5$
  - Effect of QHDV on kinematic moments
  - Constructing observables sensitive to QHDV  $\rightarrow$  test our model on data

# Back-up 1: Cancellation without LLSA

- Assume for all dimension 8 operators that  $X_i^5 \sim \Lambda_{\text{QCD}}^5$  and vary signs:



- **Step 1:** expand charm propagator ( $Q^\mu = m_b v^\mu$ )

$$\begin{aligned} -iS_{\text{BGF}} &= \frac{1}{\not{Q} + i\not{D} - m_c} \\ &= \frac{1}{\not{Q} - m_c} - \frac{1}{\not{Q} - m_c} (i\not{D}) \frac{1}{\not{Q} - m_c} \\ &\quad + \frac{1}{\not{Q} - m_c} (i\not{D}) \frac{1}{\not{Q} - m_c} (i\not{D}) \frac{1}{\not{Q} - m_c} + \dots \end{aligned}$$

## Back-up 2: Calculation of forward matrix element

- **Step 2:** insert in forward matrix element

$$\begin{aligned} T = & \left[ \Gamma \frac{1}{\not{Q} - m_c} \Gamma^\dagger \right]_{\alpha\beta} \langle \bar{b}_\alpha b_\beta \rangle \\ & - \left[ \Gamma \frac{1}{\not{Q} - m_c} \gamma^\mu \frac{1}{\not{Q} - m_c} \Gamma^\dagger \right]_{\alpha\beta} \langle \bar{b}_\alpha (iD_\mu) b_\beta \rangle \\ & + \left[ \Gamma \frac{1}{\not{Q} - m_c} \gamma^\mu \frac{1}{\not{Q} - m_c} \gamma^\nu \frac{1}{\not{Q} - m_c} \Gamma^\dagger \right]_{\alpha\beta} \langle \bar{b}_\alpha (iD_\mu) (iD_\nu) b_\beta \rangle \\ & + \dots \end{aligned}$$

## Back-up 2: Calculation of forward matrix element

- **Step 3:** Determine **Trace formula**

- Start at dimension 8  $\rightarrow$  lengthy, but systemically calculable
- Compute dim-7, including  $1/m_b$  correction through e.o.m.

$$(ivD)b_v = -\frac{1}{2m_b}(i\cancel{D})(i\cancel{D})b_v$$

- ...
- Compute dim-3, including corrections up to  $1/m_b^5$

$$\langle \bar{b}_\alpha b_\beta \rangle = 2m_B \left( \frac{1 + \psi}{4} + \frac{1}{8m_b^2}(\mu_G^2 - \mu_\pi^2) + \mathcal{O}(1/m_b^6) \right)_{\beta\alpha}$$

- Need full (non-RPI) set of **basic parameters** up to  $1/m_b^5$
- **Step 4:** Compute the trace with the geometric series

- At  $1/m_b^5 \rightarrow$  too many HQE parameters to fit to data
- **LLSA**: Lowest-Lying-State Approximation to estimate through known parameters  $\mu_\pi^2$ ,  $\mu_G^2$ ,  $\rho_D^3$  and  $\rho_{LS}^3$

Mannel, Turczyk, Uraltsev [1009.4622]

$$\langle B|\bar{b} A \Gamma b(0)|B\rangle = \frac{1}{2m_B} \sum_n \langle B|\bar{b} A b(0)|n\rangle \cdot \langle n|\bar{b} C \Gamma b(0)|B\rangle$$

- $A = iD_{\mu_1} \dots iD_{\mu_k}$ ,  $C = iD_{\mu_{k+1}} \dots iD_{\mu_n}$



$$-\frac{1}{\pi} \hat{\Delta}_{DV} \text{Im} [T_{2,3}(vQ, Q^2)] =$$

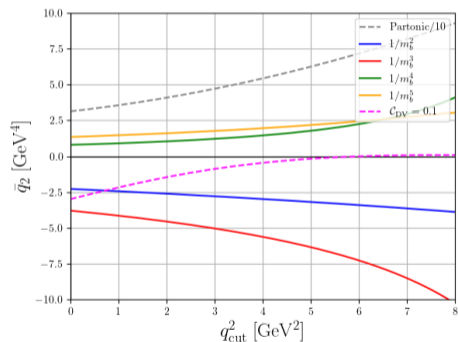
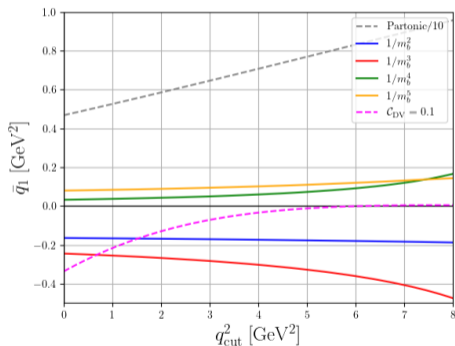
$$C_{DV} \frac{N}{\Lambda_{\text{HQE}} - vQ} \frac{\Lambda_{\text{HQE}}}{\sqrt{Q^2}} \left( \sin \left( \frac{\sqrt{Q^2}}{\Lambda_{\text{HQE}}} \right) - \sqrt{\frac{vQ}{\Lambda_{\text{HQE}}}} \sin \left( \frac{1}{\sqrt{\Lambda_{\text{HQE}}}} \sqrt{\frac{Q^2}{vQ}} \right) \right)$$

$$-\frac{1}{\pi} \hat{\Delta}_{DV} \text{Im} [T_5(vQ, Q^2)] =$$

$$C_{DV} \frac{N}{\Lambda_{\text{HQE}} - vQ} \frac{(vQ)^2}{\Lambda_{\text{HQE}} \sqrt{Q^2}} \left( \sin \left( \frac{\sqrt{Q^2}}{\Lambda_{\text{HQE}}} \right) - \sqrt{\frac{\Lambda_{\text{HQE}}}{vQ}} \sin \left( \frac{1}{\sqrt{\Lambda_{\text{HQE}}}} \sqrt{\frac{Q^2}{vQ}} \right) \right)$$

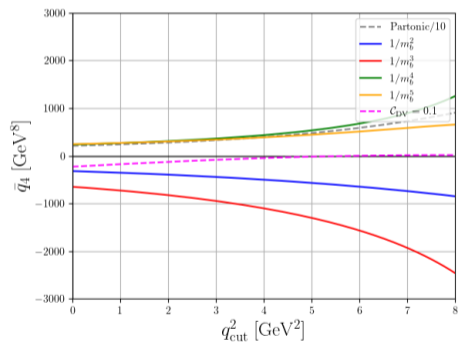
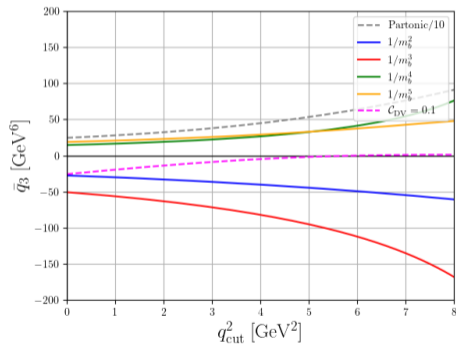
# Back-up 5: QHDV in $q^2$ moments

- Preliminary



# Back-up 5: QHDV in $q^2$ moments

- Preliminary



# Back-up 6: Dependence on $\Lambda_{\text{HQE}}$

- Preliminary

