

Hadronic charm decays and direct CP-violation at LHCb

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on behalf of the LHCb collaboration

11th International workshop on Charm physics (CHARM 2023),
Siegen, Germany,
17-21 July 2023

Outline

- Direct CP violation
- Searches in two body decays at LHCb
 - Brief recap of ΔA_{CP}
 - Individual asymmetries in $D^0 \rightarrow hh$ decays
 - CP violation in $D^+_{(s)} \rightarrow \eta^{(')}\pi^+$
- Searches in multibody decays at LHCb
 - Energy test results with $D^0 \rightarrow \pi^+\pi^-\pi^0$ and $D^0 \rightarrow K^0_s K^\mp \pi^\pm$ decays
 - CP violation searches with $D^+_{(s)} \rightarrow KKK$ decays with model-independent binned methods

Direct CP violation

- Condition for direct CP violation: $|A/\bar{A}| \neq 1$
- Need A and \bar{A} to consist of (at least) two parts: with different weak (ϕ) and strong (δ) phases
- Divide amplitudes into leading and sub-leading parts:

$$A(D \rightarrow f) = C(1 + r e^{i(\delta + \phi)})$$
$$\bar{A}(\bar{D} \rightarrow \bar{f}) = C(1 + r e^{i(\delta - \phi)})$$

- C is the leading amplitude
- r is the ratio of sub-leading over leading amplitude

- CP violation requires difference in strong (δ) and weak phase (ϕ):

$$a_{CP} \equiv \frac{|A|^2 - |\bar{A}|^2}{|A|^2 + |\bar{A}|^2} = 2r \sin(\delta) \sin(\phi)$$

CP violation in decay: example $D^0 \rightarrow h^+h^-$

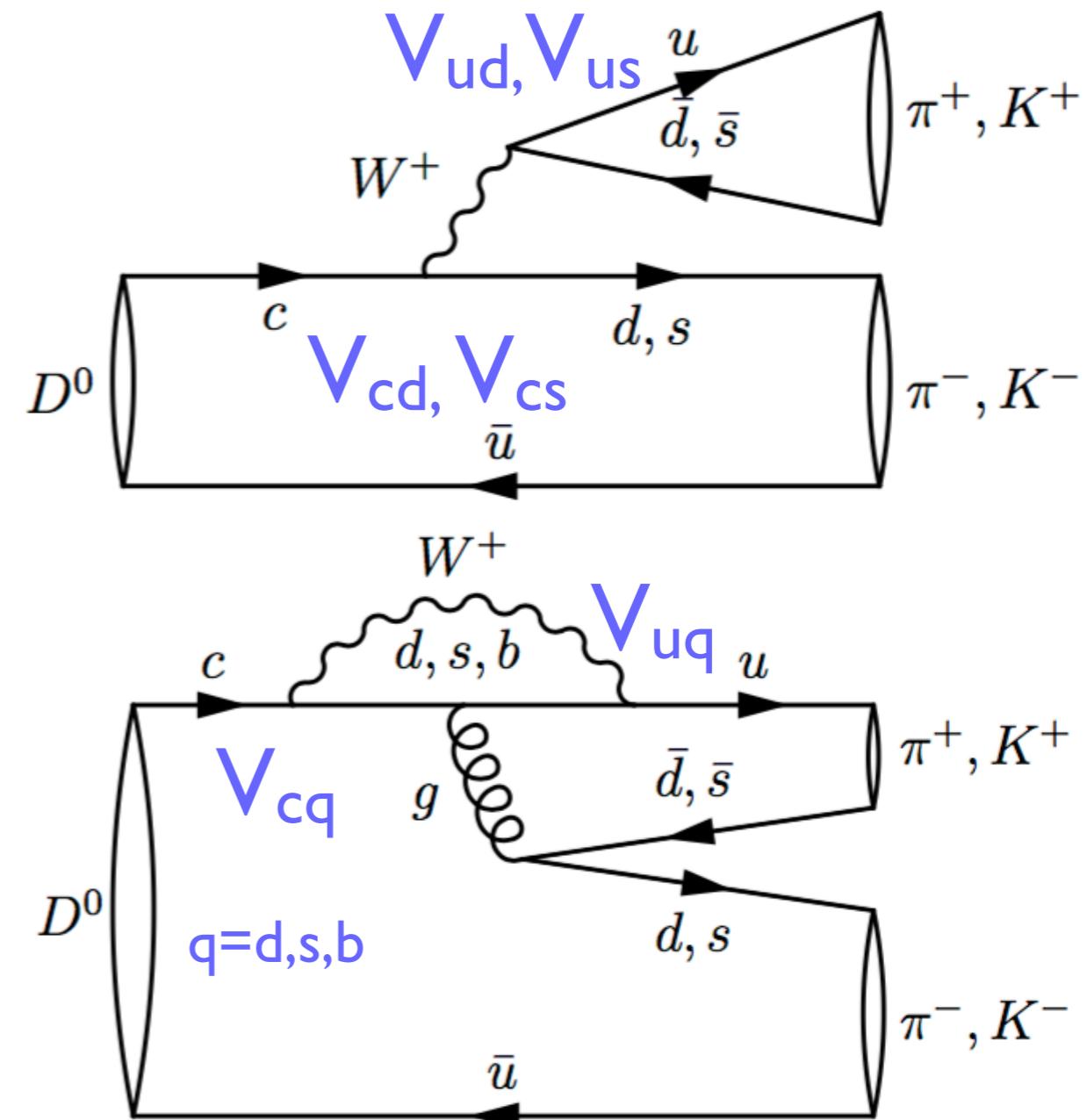
Often realised by “tree” and “penguin” diagrams

Tree-level weak decay amplitude.

- involves the CKM matrix elements
 - V_{us} and V_{cs} for $D^0 \rightarrow K^+K^-$
 - V_{ud} and V_{cd} for $D^0 \rightarrow \pi^+\pi^-$

One-loop amplitude (“penguin”)

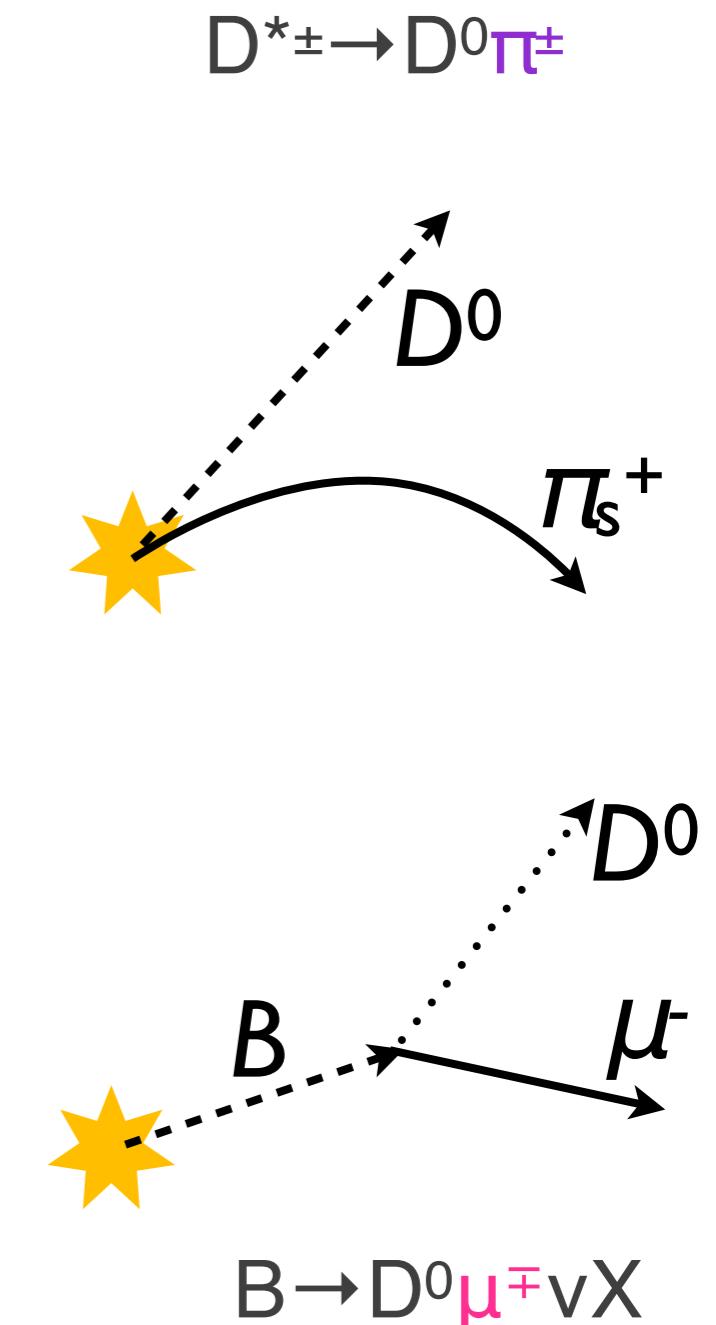
- **b-loop** involves $V_{ub} V_{cb}^*$: tiny
- **s and d loops**: similar magnitude, opposite sign



$V_{us} \approx -V_{cd} \approx 0.22$ gives the Cabibbo suppression

Flavour tagging at LHCb

- Need to know flavour at production
- Prompt D^* -tagged
 - Larger yields
 - Background from D-from-B
- Muon-tagged
 - Lower BF but efficient trigger
 - Larger level of combinatorial background
- Doubly-tagged $B \rightarrow D^{*\pm}(\rightarrow D^0\pi^\pm)\mu^\mp\nu$
 - Very clean signature
 - Smallest samples



Independent complementary samples with independent systematics

Example of yields with $D^0 \rightarrow KK$ decays:

44×10^6 π tagged vs 3×10^6 μ tagged samples

The CP asymmetries

Measure the time integrated asymmetry in the SCS decays $D^0 \rightarrow hh$

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow \bar{f})}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow \bar{f})}$$

$f = \bar{f} = K^+K^-$
or
 $f = \bar{f} = \pi^+\pi^-$

But A_{CP} is not what we measure. We measure

$$A_{\text{raw}}(f) = \frac{N(D^{*+} \rightarrow D^0(f)\pi_s^+) - N(D^{*-} \rightarrow \bar{D}^0(\bar{f})\pi_s^-)}{N(D^{*+} \rightarrow D^0(f)\pi_s^+) + N(D^{*-} \rightarrow \bar{D}^0(\bar{f})\pi_s^-)}$$

where $N(X)$ refers to the number of reconstructed events of decay X after background subtraction

We measure the physical CP asymmetry plus asymmetries due to detection effects and production

$$A_{\text{raw}} = A_{CP} + A_{\text{production}} + A_{\text{detection}}$$

Nuisance asymmetries ~1%

- Production asymmetry: production rates of D^0 and \bar{D}^0 (or B and \bar{B} for secondary charm) are not the same
 - gluon fusion, quarks combine with valence quark from the beam protons, valence quark scattering, etc.

$$A_P = \frac{\sigma(pp \rightarrow D) - \sigma(pp \rightarrow \bar{D})}{\sigma(pp \rightarrow D) + \sigma(pp \rightarrow \bar{D})}$$

- Detection asymmetries
 - **Detector asymmetries:** left-right asymmetries can be cancelled by swapping dipole magnet field
 - **Interaction asymmetries:** e.g. K^+ cross-section for interaction with matter differs from K^- cross-section

$$A_D = \frac{\epsilon(f) - \epsilon(\bar{f})}{\epsilon(f) + \epsilon(\bar{f})}$$

ΔA_{CP} cancellations

Main experimental challenge: separate the asymmetries

$$A_{\text{raw}} = A_{CP} + A_{\text{production}} + A_{\text{detection}}$$

Take the raw asymmetry difference:
experimentally more robust

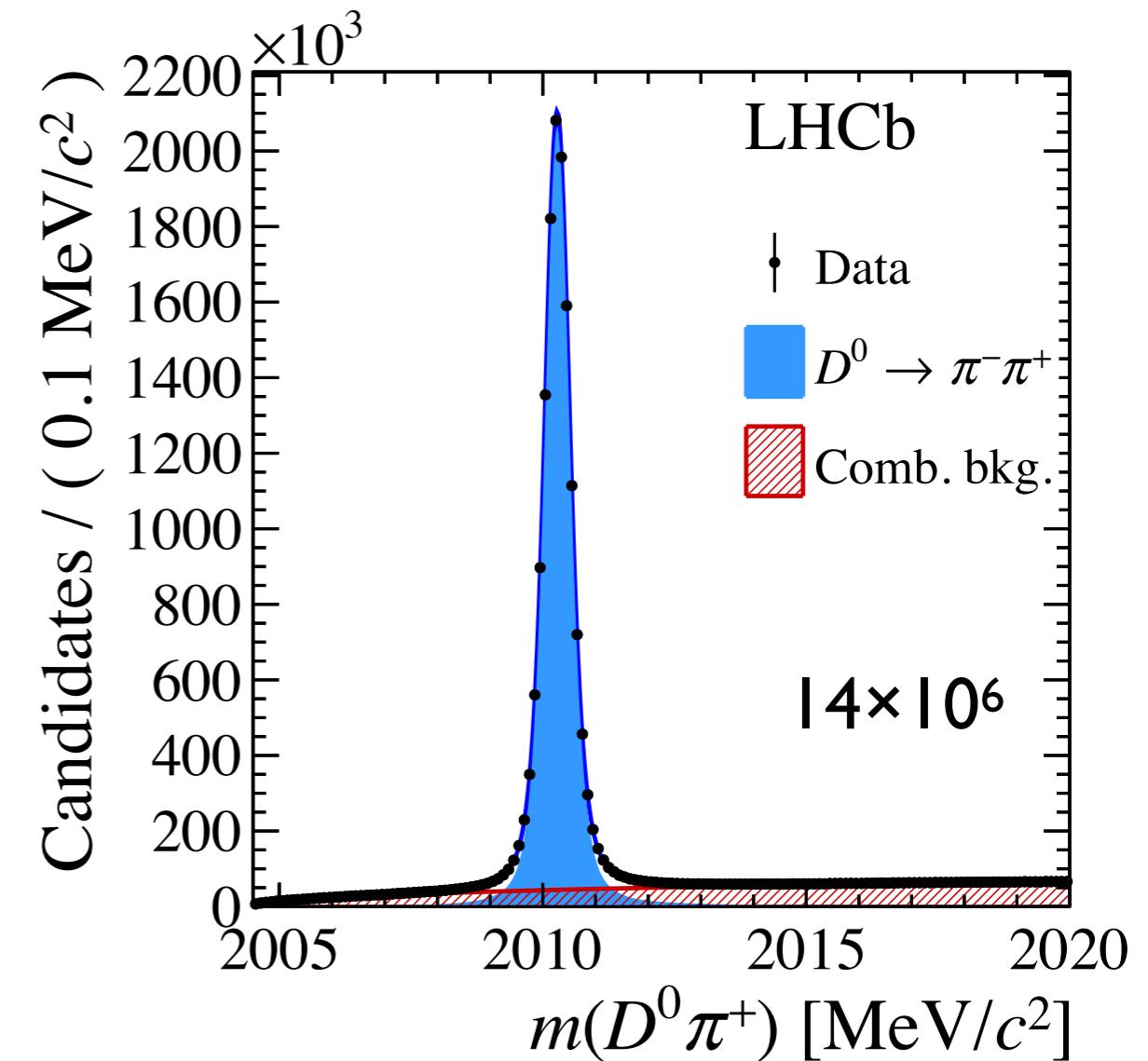
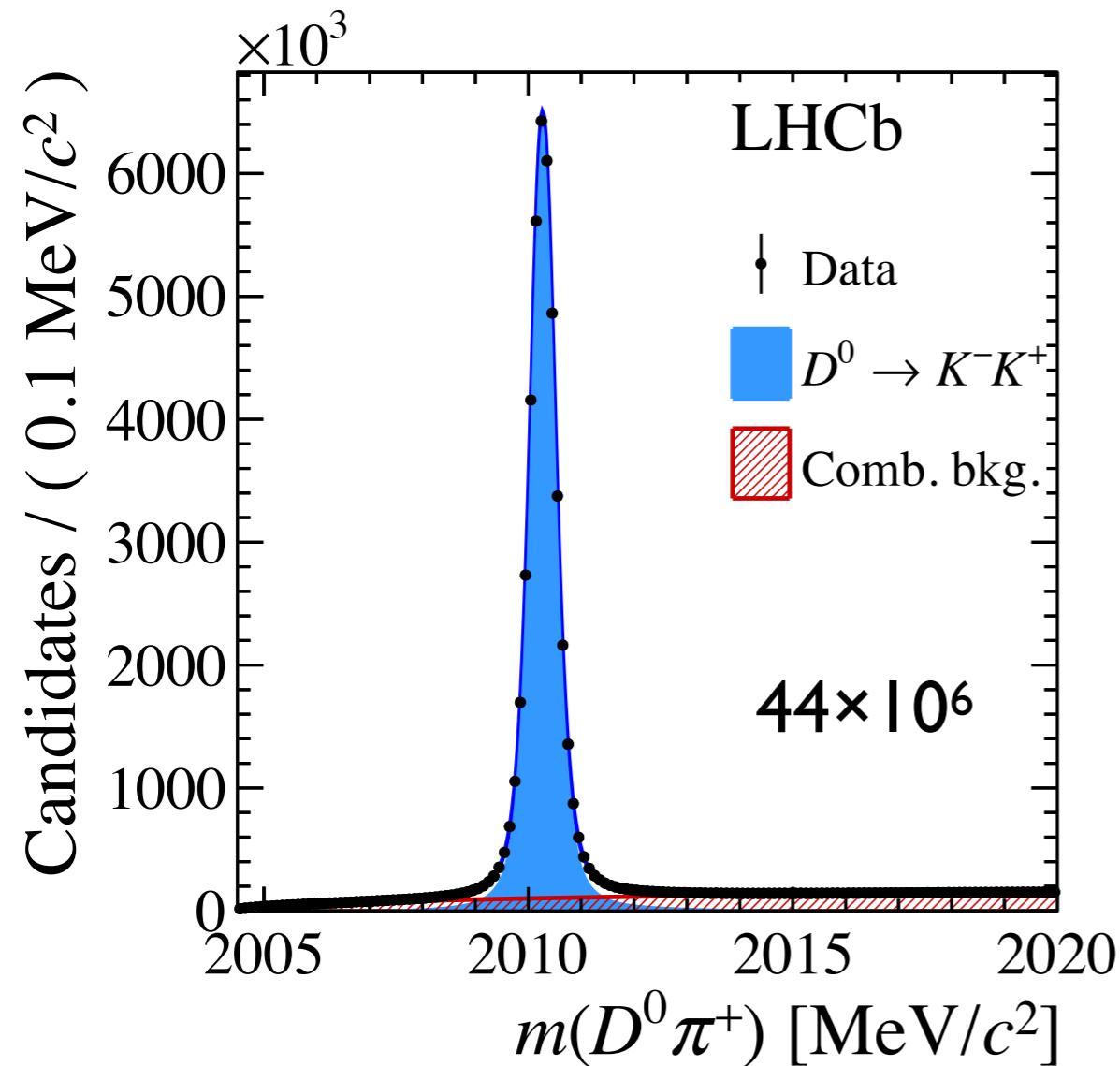
|st
order

$$\Delta A_{CP} \equiv A_{\text{raw}}(K^+K^-) - A_{\text{raw}}(\pi^+\pi^-) \approx A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-)$$

Second order effects reduced by kinematic weighting:
the nuisance asymmetries depend on the kinematics,
A_{CP} does not

Signal $D^0 \rightarrow hh$ decays

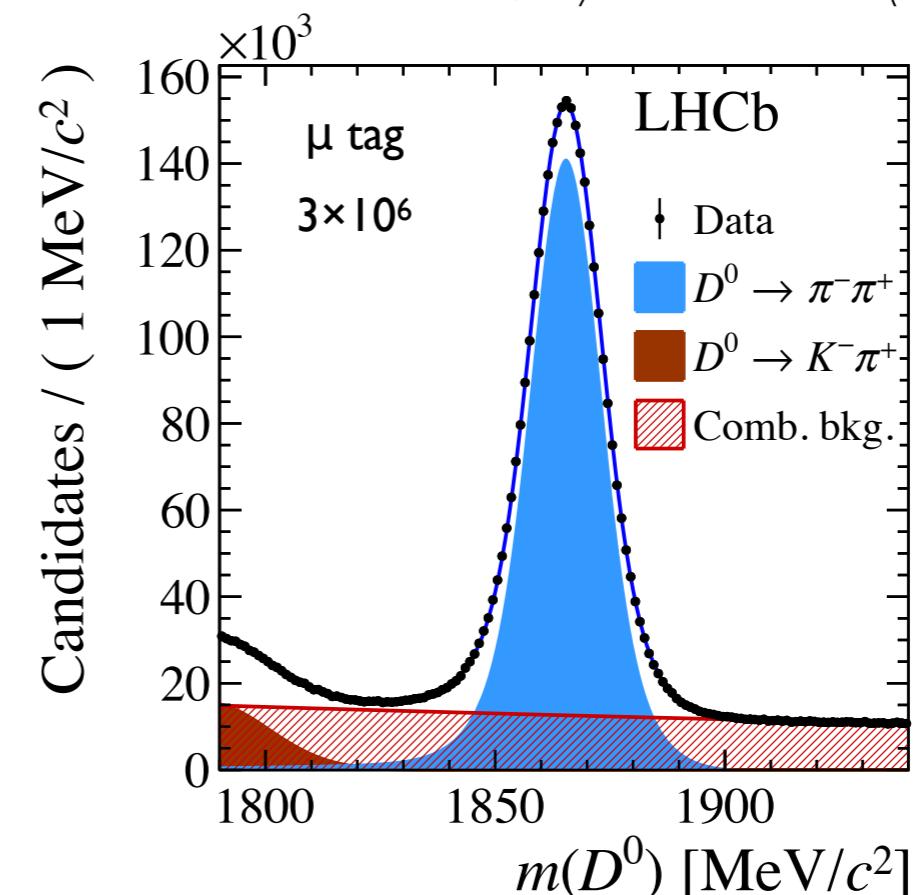
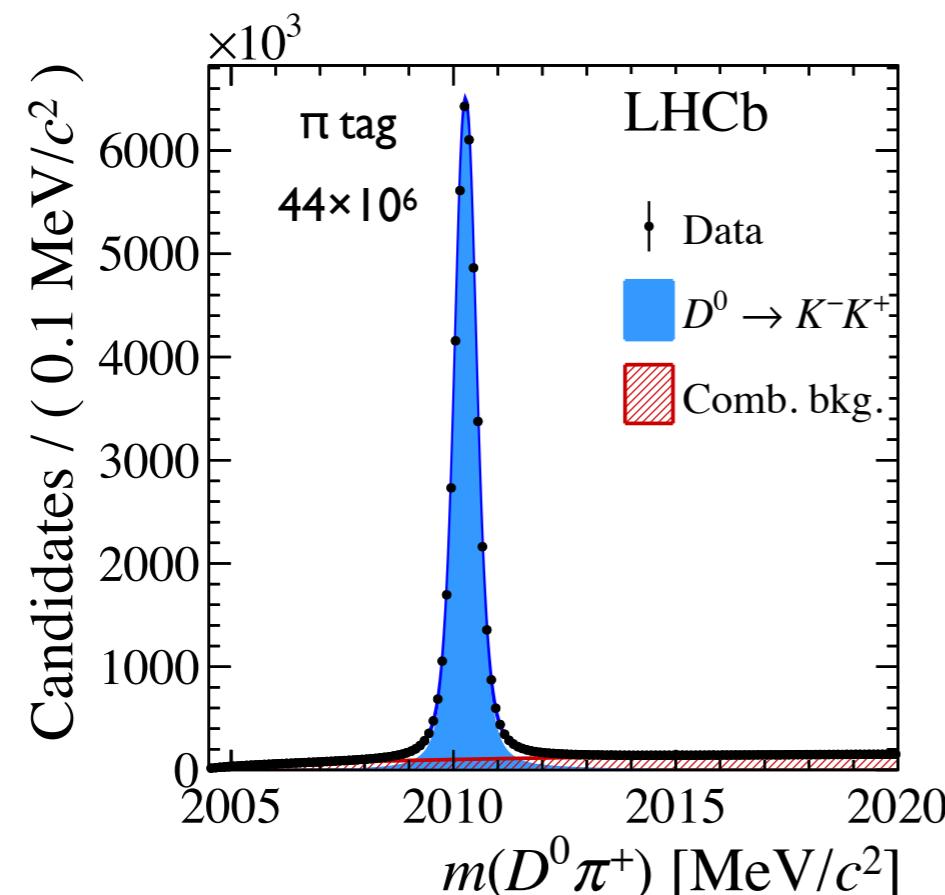
LHCb, Phys. Rev. Lett. 122 (2019) 211803



ΔA_{CP} combined results

- Full Run 1+2 result (9 fb^{-1}) determined from prompt charm (π tag) and charm from B decays (μ tag)

LHCb, Phys. Rev. Lett. 122 (2019) 211803



- $\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$
- First observation of CPV in charm decays

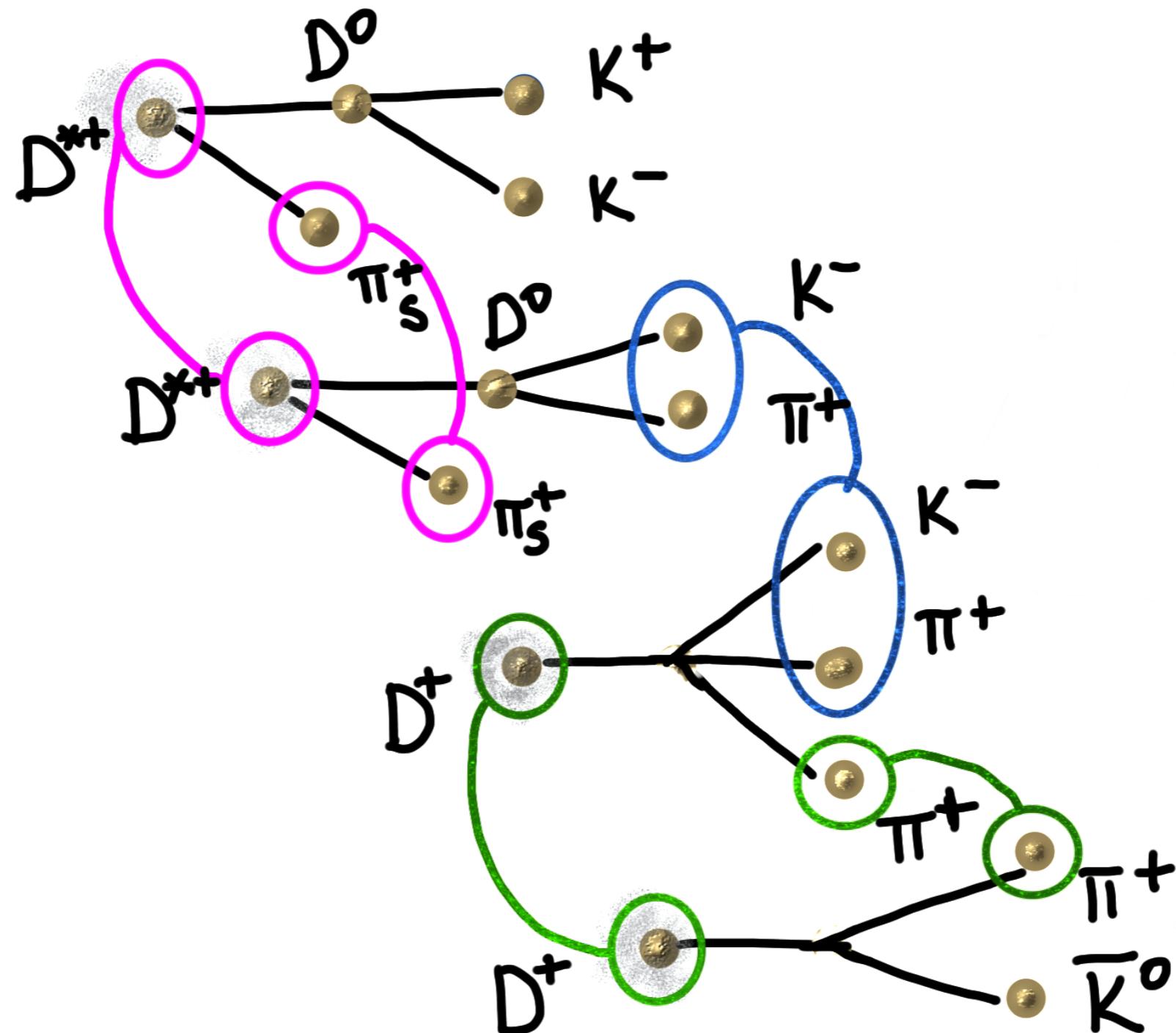
The individual asymmetries in $D^0 \rightarrow hh$ decays

Strategy

- Use control samples, Cabibbo favoured decays, where no CP violation is expected
- Two different sets of control samples (statistically independent):
 - D^+ decays
 - D_s^+ decays
- Reweight the relevant kinematic distribution so second order effects cancel

Cancelation of detection and production asymmetries

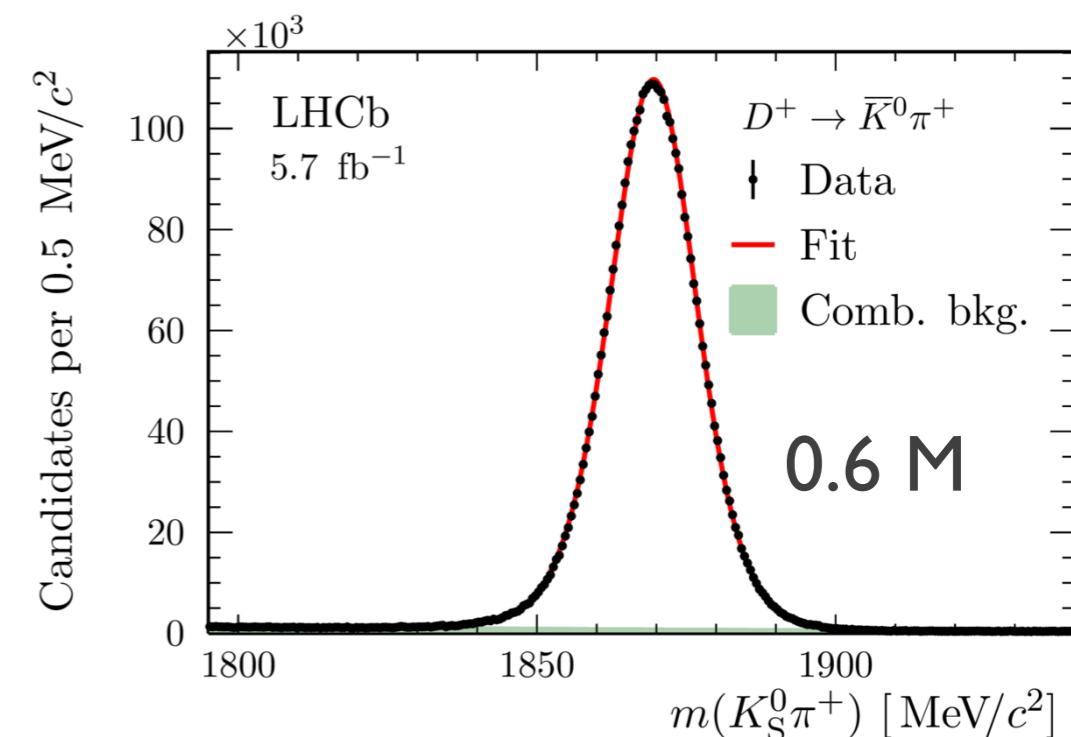
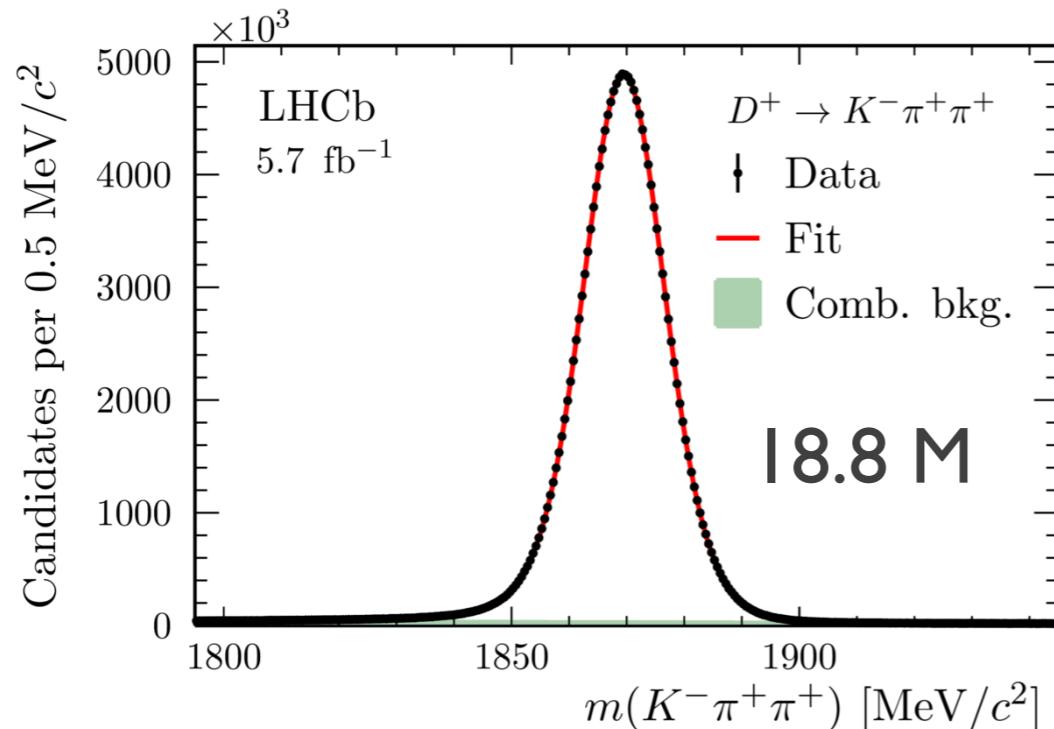
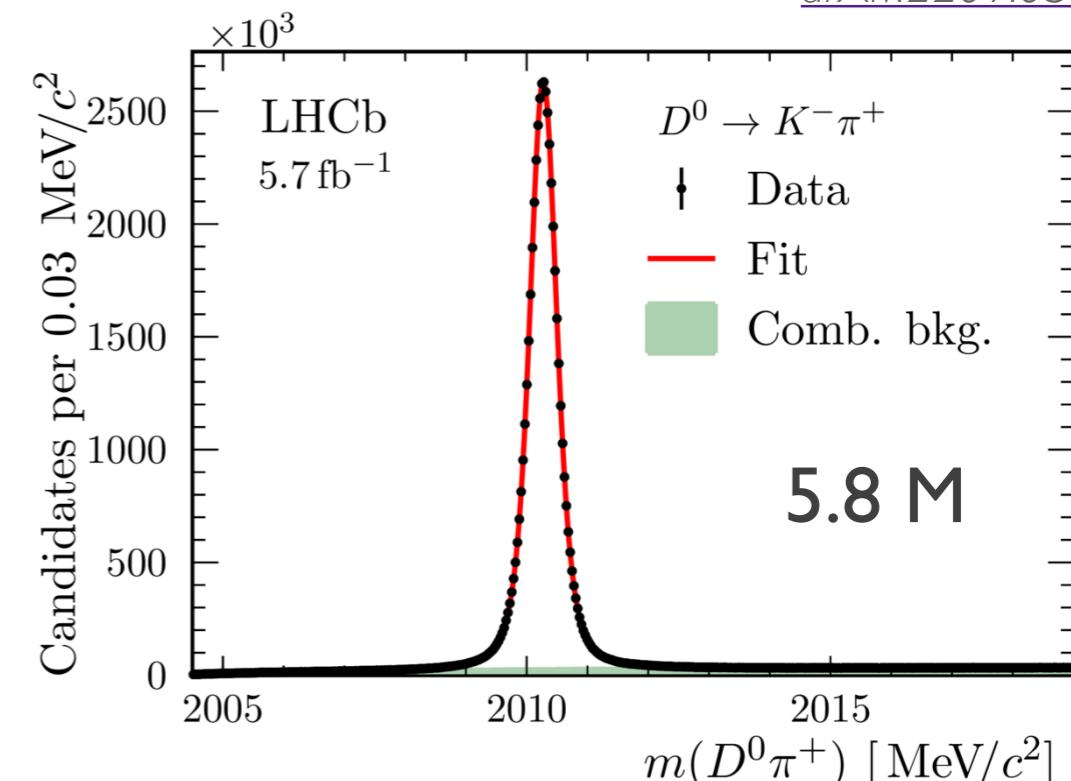
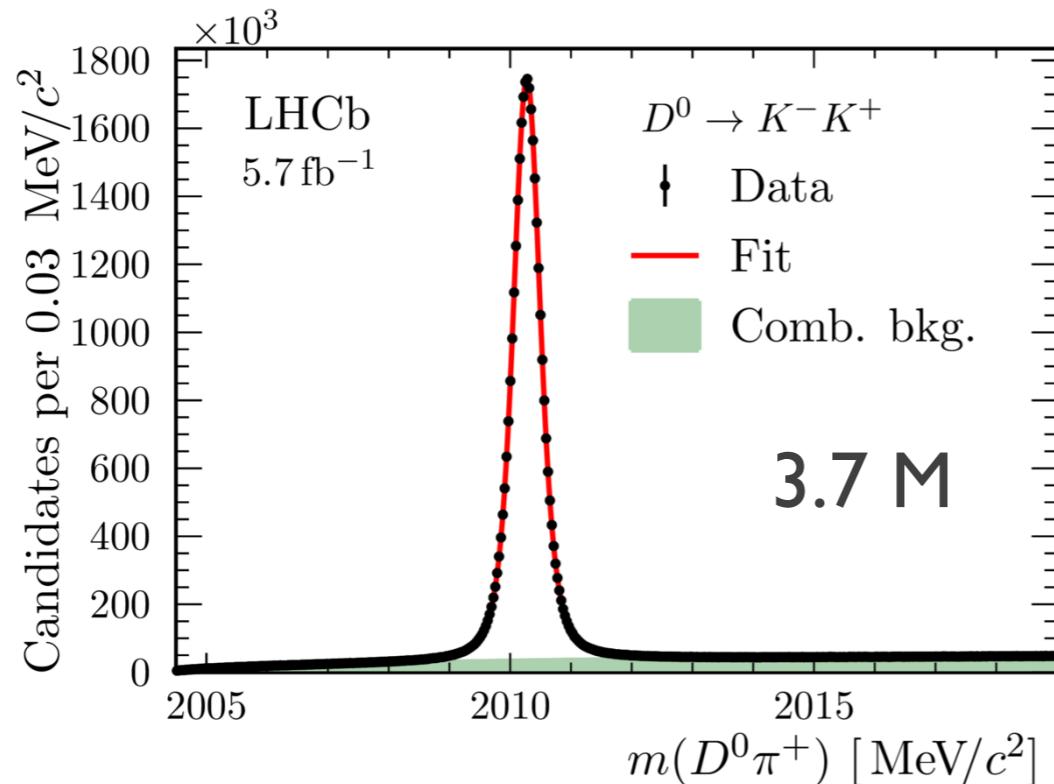
[arXiv:2209.03179](https://arxiv.org/abs/2209.03179)



*similar scheme exists for $D_s^+ \rightarrow D^+ \pi^+$

Signal and control samples (D⁺ modes)

[arXiv:2209.03179](https://arxiv.org/abs/2209.03179)

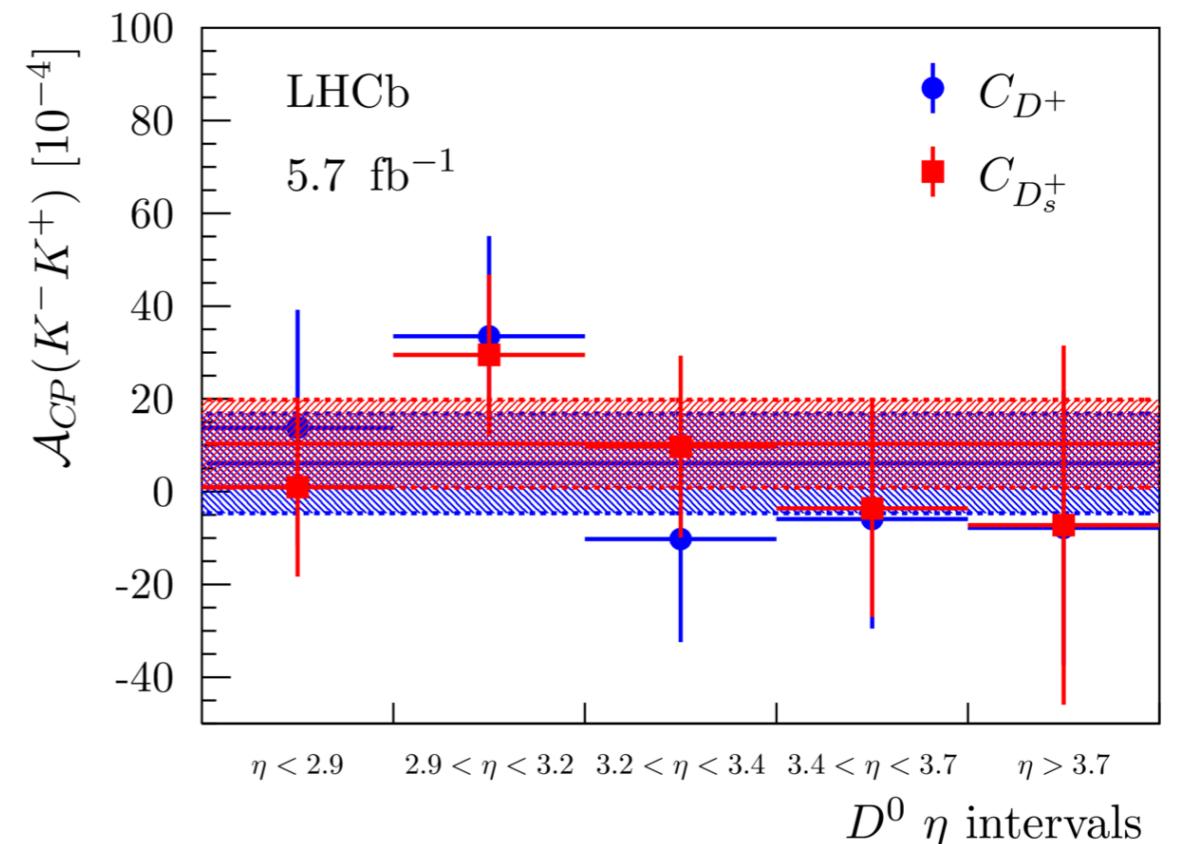
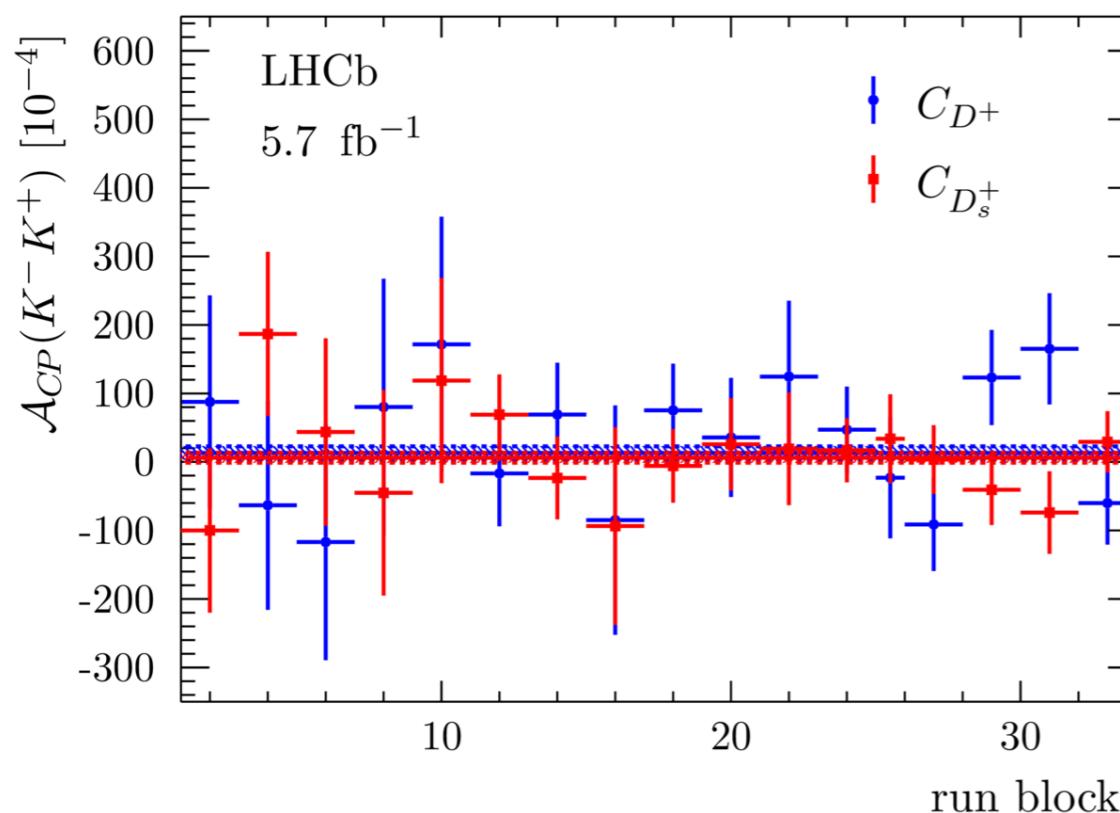


*similar plots for D_s⁺ decays

Cross checks

[arXiv:2209.03179](https://arxiv.org/abs/2209.03179)

- Various stability and cross checks performed
 - As a function of run number block
 - Year and magnet polarity split
 - Kinematics
 - Decay time



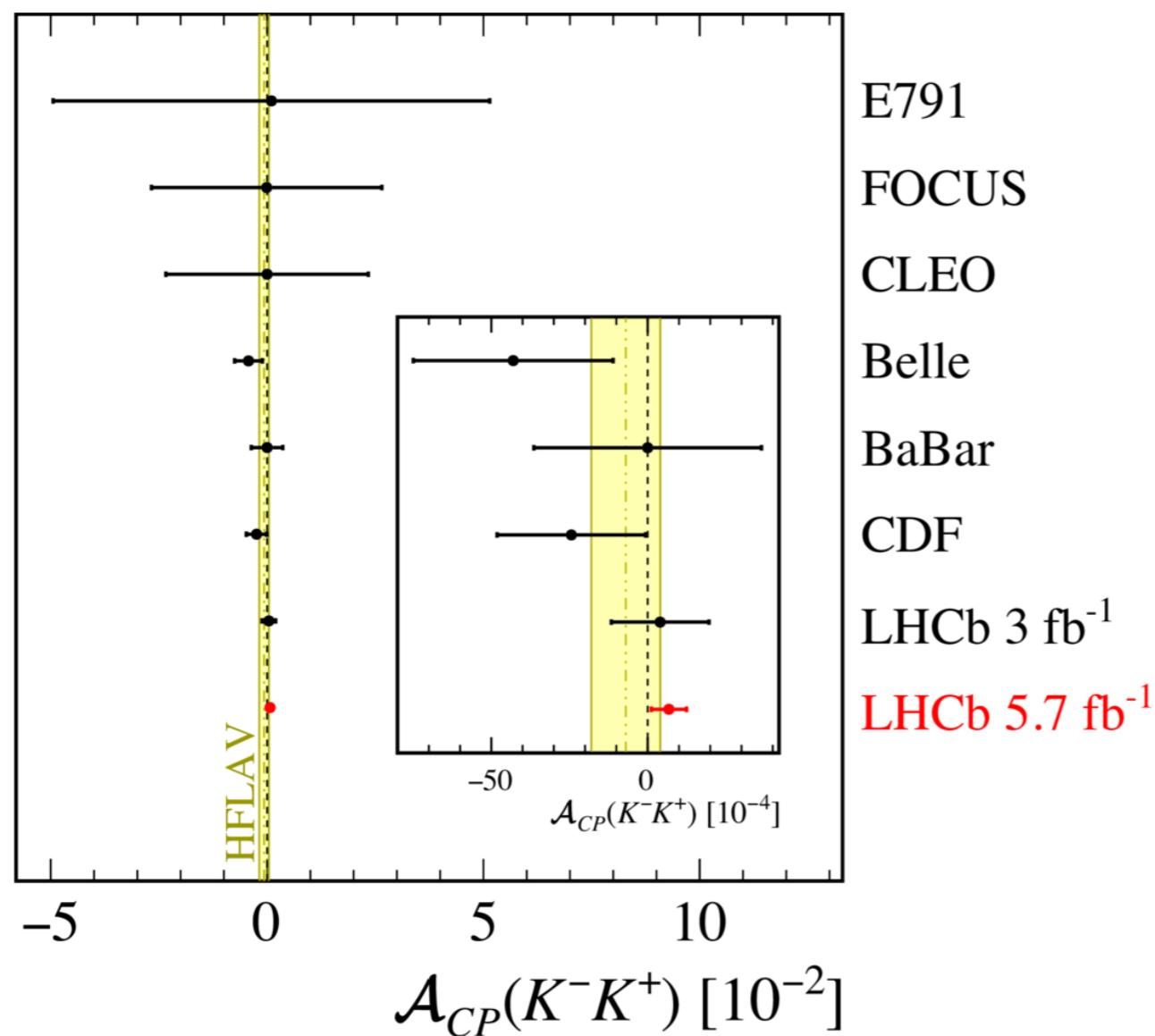
First evidence for CP violation in $D^0 \rightarrow \pi^-\pi^+$

[arXiv:2209.03179](https://arxiv.org/abs/2209.03179)

$$A_{CP}(K^-K^+) = (6.8 \pm 5.4 \pm 1.6) \times 10^{-4}$$

ΔA_{CP} mostly a measure of direct CP violation

$$\begin{aligned} \Delta A_{CP} &\equiv A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-) \\ &\approx a_{CP}^d \left(1 + \frac{\langle t \rangle}{\tau} \Delta Y_f \right) \end{aligned}$$



$$a_{K^-K^+}^d = (7.7 \pm 5.7) \times 10^{-4}$$

$$a_{\pi^-\pi^+}^d = (23.2 \pm 6.1) \times 10^{-4}$$

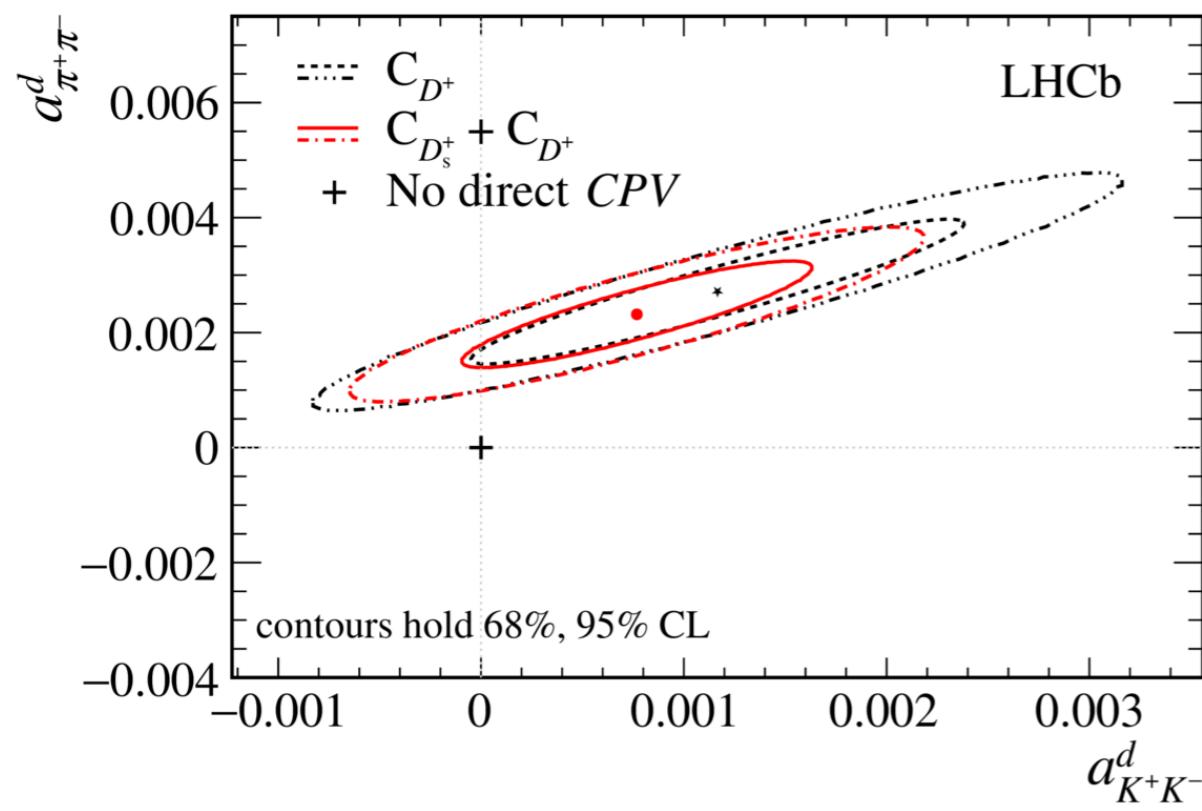
Inconsistent with the CP symmetry hypothesis (3.8σ)

First evidence for direct CP violation in a specific charm decay,
 $D^0 \rightarrow \pi^-\pi^+$

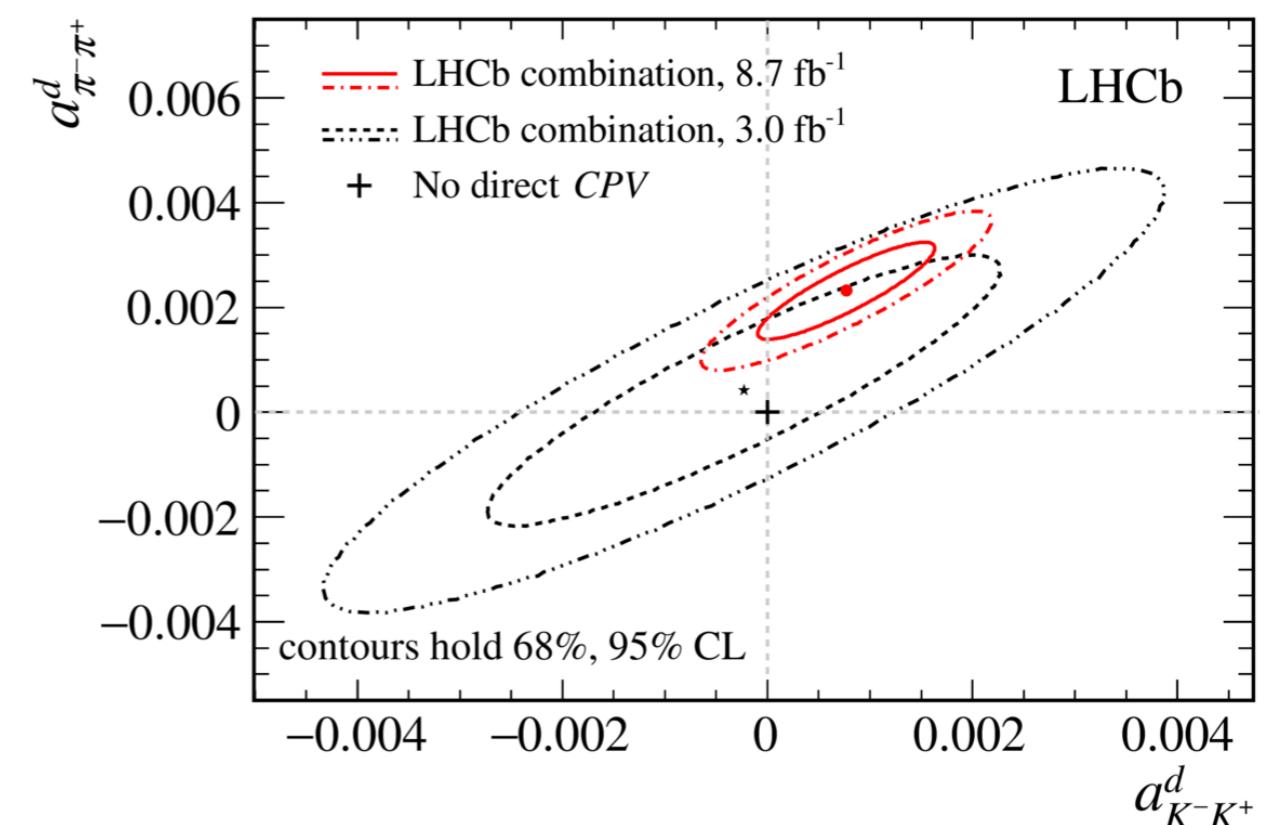
Combined results

[arXiv:2209.03179](https://arxiv.org/abs/2209.03179)

Improved precision thanks to the inclusion of D_s^+ modes



Combination of Run 1
and Run 2 results



Other two-body decays

Search for CP violation in $D_{(s)}^+ \rightarrow \eta\pi^+$ and $D_{(s)}^+ \rightarrow \eta'\pi^+$ decays

JHEP 04 (2023) 081

- Cabibbo favoured $D_s^+ \rightarrow \eta^{(\prime)}\pi^+$
- Singly Cabibbo suppressed $D^+ \rightarrow \eta^{(\prime)}\pi^+$
- Run 2 data, 6 fb^{-1}
- Follow a similar strategy:
 - Measure raw asymmetry $A_{\text{raw}} = A_{CP} + A_{\text{production}} + A_{\text{detection}}$
 - Cancelation of detection and production asymmetries with control samples $D_{(s)}^+ \rightarrow \phi\pi^+$

$$A_{\text{raw}}(D^+ \rightarrow \eta^{(\prime)}\pi^+) - A_{\text{raw}}(D^+ \rightarrow \phi\pi^+) = A_{CP}(D^+ \rightarrow \eta^{(\prime)}\pi^+) - A_{CP}(D^+ \rightarrow \phi\pi^+) \quad \text{known}$$

$$A_{\text{raw}}(D_s^+ \rightarrow \eta^{(\prime)}\pi^+) - A_{\text{raw}}(D_s^+ \rightarrow \phi\pi^+) = A_{CP}(D_s^+ \rightarrow \eta^{(\prime)}\pi^+)$$

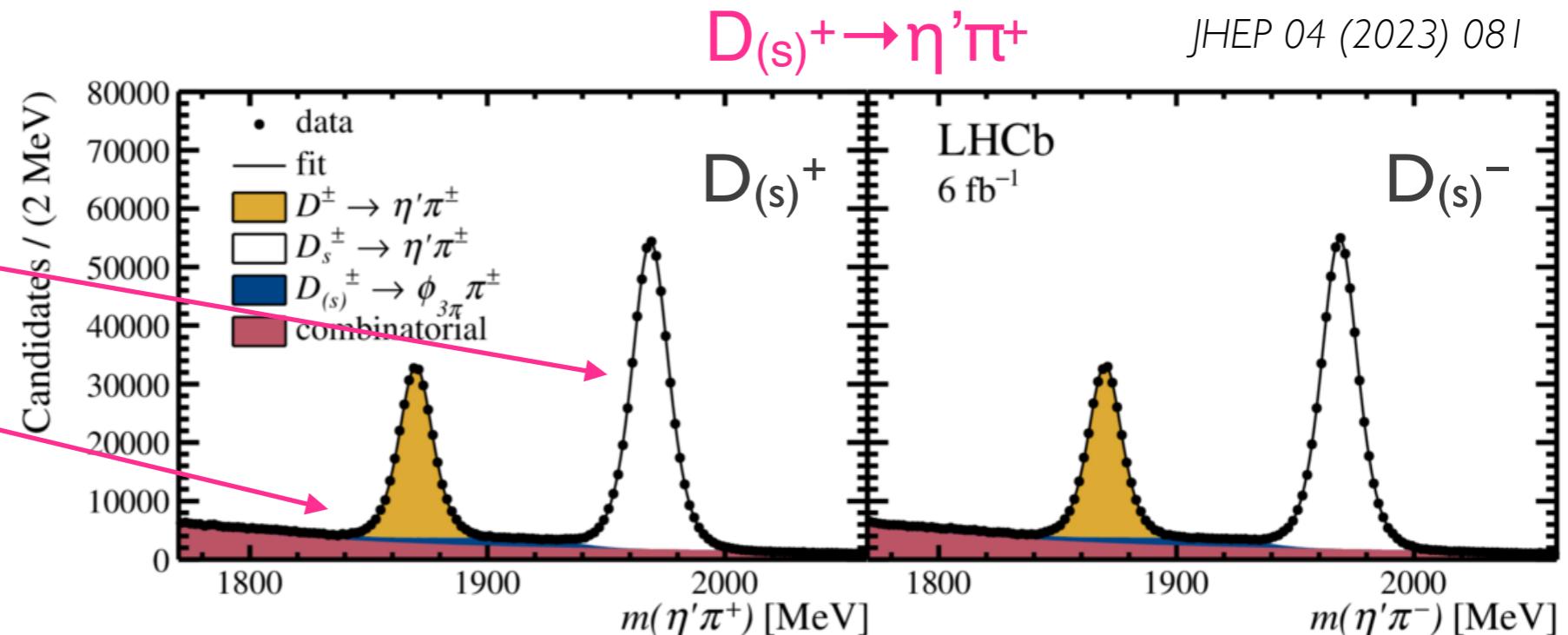
Signal samples

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- Yields for

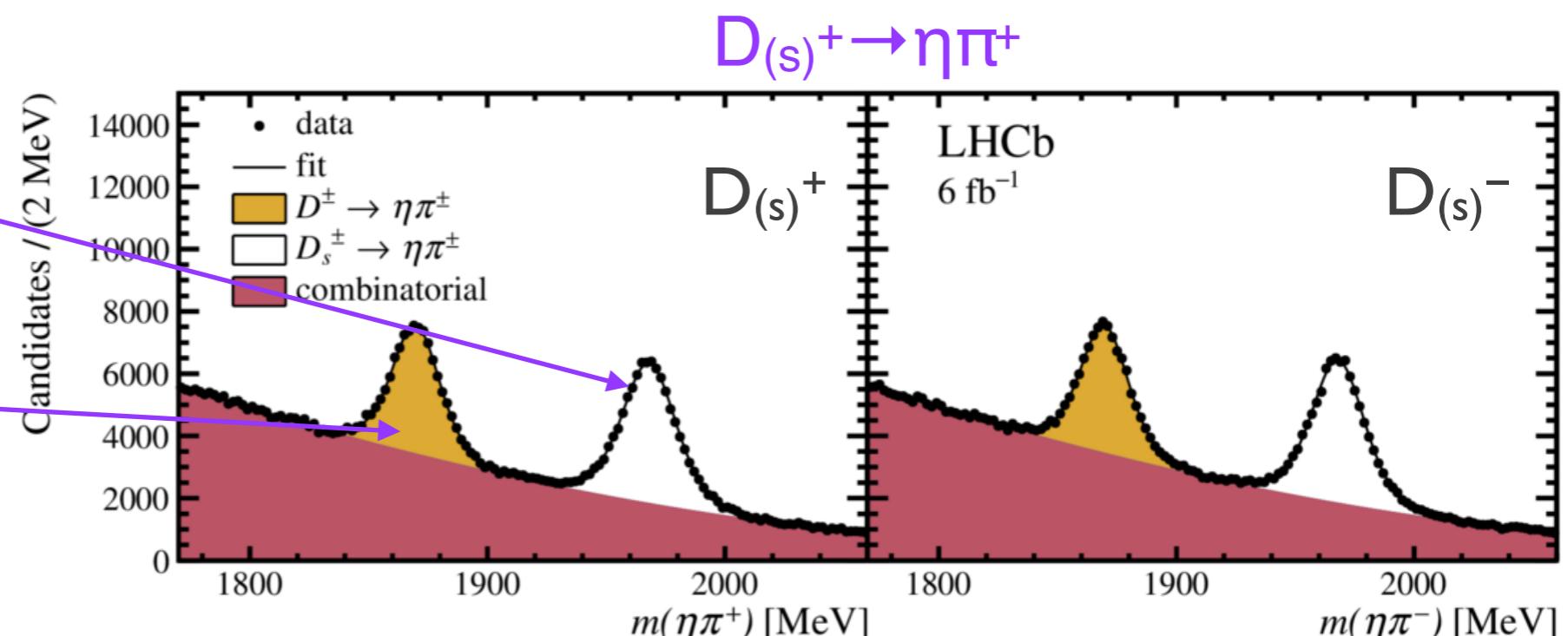
• $D_s^+ \rightarrow \eta' \pi^+$ **1.1 M**

• $D^+ \rightarrow \eta' \pi^+$ **0.6 M**



• $D_s^+ \rightarrow \eta \pi^+$ **0.1 M**

• $D^+ \rightarrow \eta \pi^+$ **0.1 M**



Results

- Combination of Run 1 and Run 2 results

JHEP 04 (2023) 081

$$\begin{aligned}\mathcal{A}^{CP}(D^+ \rightarrow \eta\pi^+) &= (0.13 \pm 0.50 \pm 0.18)\%, \\ \mathcal{A}^{CP}(D_s^+ \rightarrow \eta\pi^+) &= (0.48 \pm 0.42 \pm 0.17)\%, \\ \mathcal{A}^{CP}(D^+ \rightarrow \eta'\pi^+) &= (0.43 \pm 0.17 \pm 0.10)\%, \\ \mathcal{A}^{CP}(D_s^+ \rightarrow \eta'\pi^+) &= (-0.04 \pm 0.11 \pm 0.09)\%,\end{aligned}$$

- Statistically dominated
- Compatible with CP symmetry

Multibody decays

Multibody decays

- Multibody decays: final states are reached mainly through resonances
- Unique sensitivity to phases
- Excellent environment for CP violation: strong-phase differences varying across the Dalitz plot enhance the sensitivity

$$a_{CP} \equiv \frac{|A|^2 - |\bar{A}|^2}{|A|^2 + |\bar{A}|^2} = 2r \sin(\delta) \sin(\phi)$$

- Can use model-dependent (amplitude analyses) and model independent methods (binned, unbinned)
- Huge samples: a bless and a curse for model-dependent methods

Why go model independent?

- Fast discovery tools
- Binned or unbinned methods
- Can be used for direct and indirect CP violation tests
 - Will cover direct CP violation today
 - By design sensitive to local asymmetries rather than to global asymmetries

More details in the talk “Model-independent searches for direct CP violation in charm decays” by M. Gersabeck

The Energy test

Energy test

- The Energy test uses a distance function Ψ_{ij} to compute a T value
- T compares the average distance between pairs of events in the phase space

$$T = \sum_{i,j>1}^n \frac{\Psi_{ij}}{2n(n-1)} + \sum_{i,j>1}^{\bar{n}} \frac{\Psi_{ij}}{2\bar{n}(\bar{n}-1)} - \sum_{i,j}^{n,\bar{n}} \frac{\Psi_{ij}}{n\bar{n}}$$

Average distance in
the first sample

Average distance in the
second sample

Average distance
between the two
samples

- The distance function

$$\psi(d_{ij}) = e^{-d_{ij}^2/2\delta}$$

Phase space distance

$$d_{ij}^2 = \sum_{k=1}^D (x_{k,i} - x_{k,j})^2$$

Our case

$$x_{k,i} = m_{k,i}^2$$

Optimising the sensitivity

- δ is a tunable distance parameter describing the effective phase-space radius where a local asymmetry is measured
- δ is analogous to the bin size in a binned approach
- It must be:
 - Larger than the resolution of d_{ij}
 - Small enough not to dilute local asymmetries
 - Optimised value from sensitivity studies

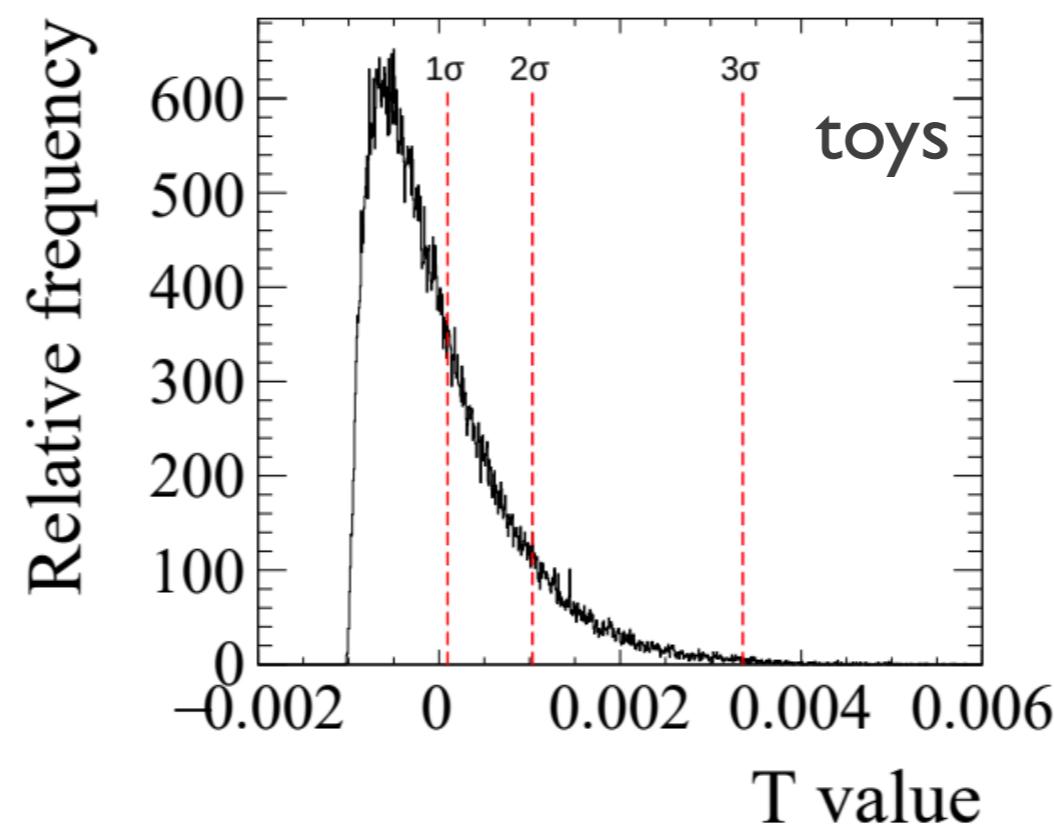
The Energy test in a nutshell

- Split sample is D^0 and \bar{D}^0 decays
- Compute reference T value
- Compute T values from permuted samples using random flavour tags (null hypothesis)
- Compute P-value = fraction of permuted T values > reference T value

Used in: *Phys. Rev. D* 102 (2020) 051101

Phys. Lett. B 740 (2015) 158

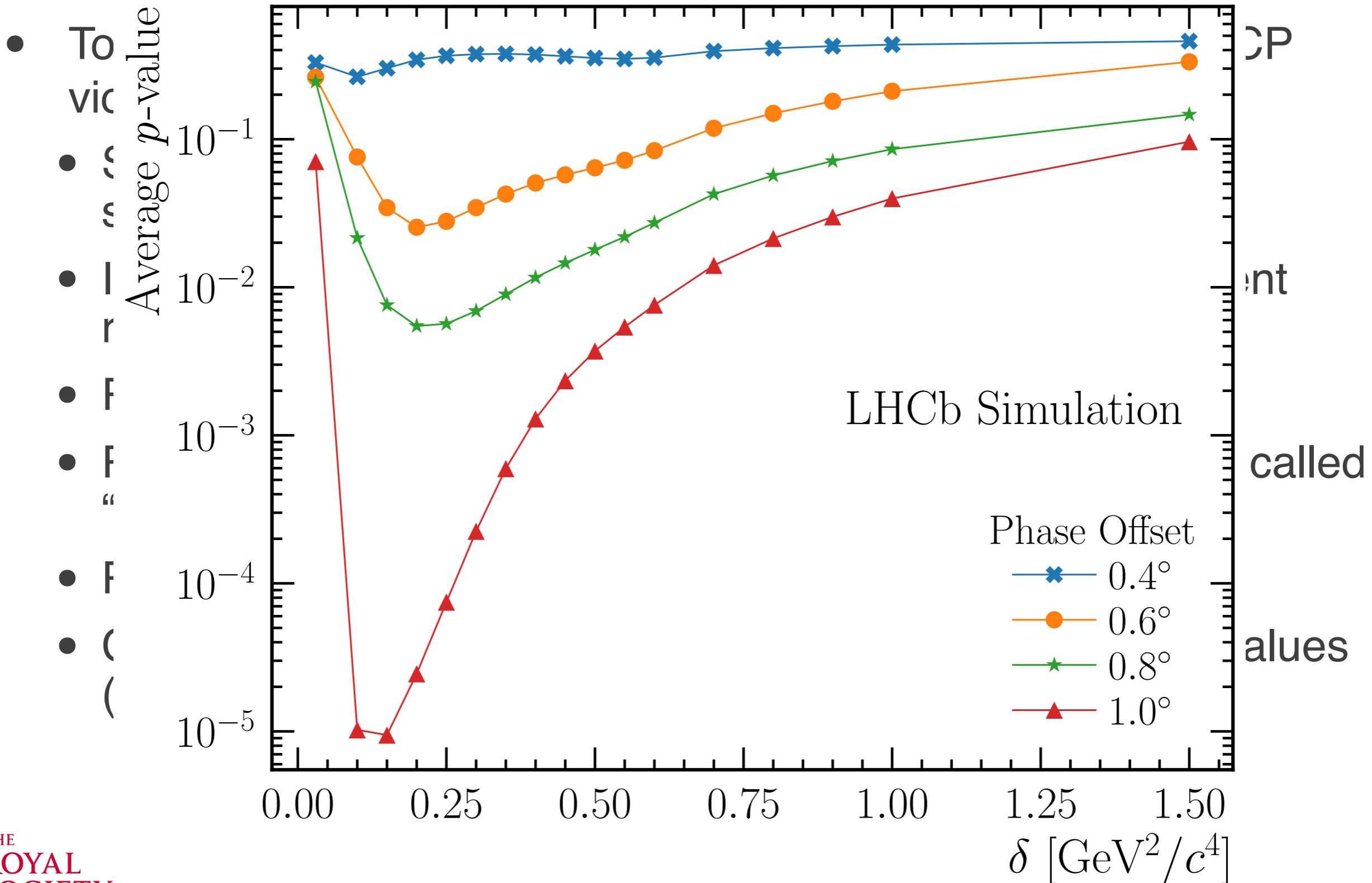
Phys. Lett. B 769 (2017) 345



*plot credit
Gianluca Zunica

Sensitivity studies

- To verify that and how sensitive the Energy test is to CP violation:
 - Simulate samples with comparable size to the Run 2 data samples
 - Simulation inspired by model
 - Input different amplitude and phase asymmetries in different resonances (e.g. 1%, 2%, 5%, 10% or $1^\circ, 2^\circ, 5^\circ, \dots$)
 - Run the Energy test
 - Reset and repeat for a set of δ values (i.e. perform a so called “ δ -scan”)
 - Plot the P-value distributions
 - Choose the δ value (or values) that ensures the lowest P-values (i.e. the best sensitivity)

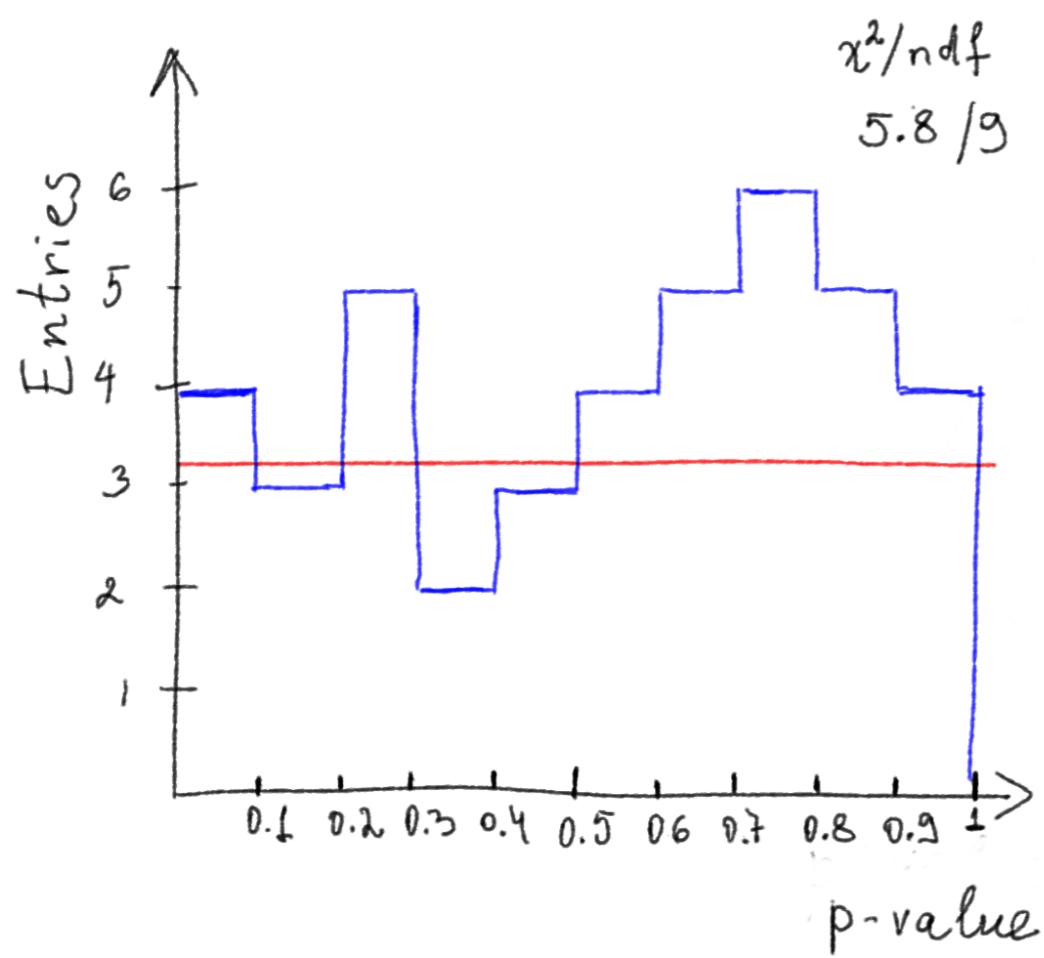


Validation of the Energy test

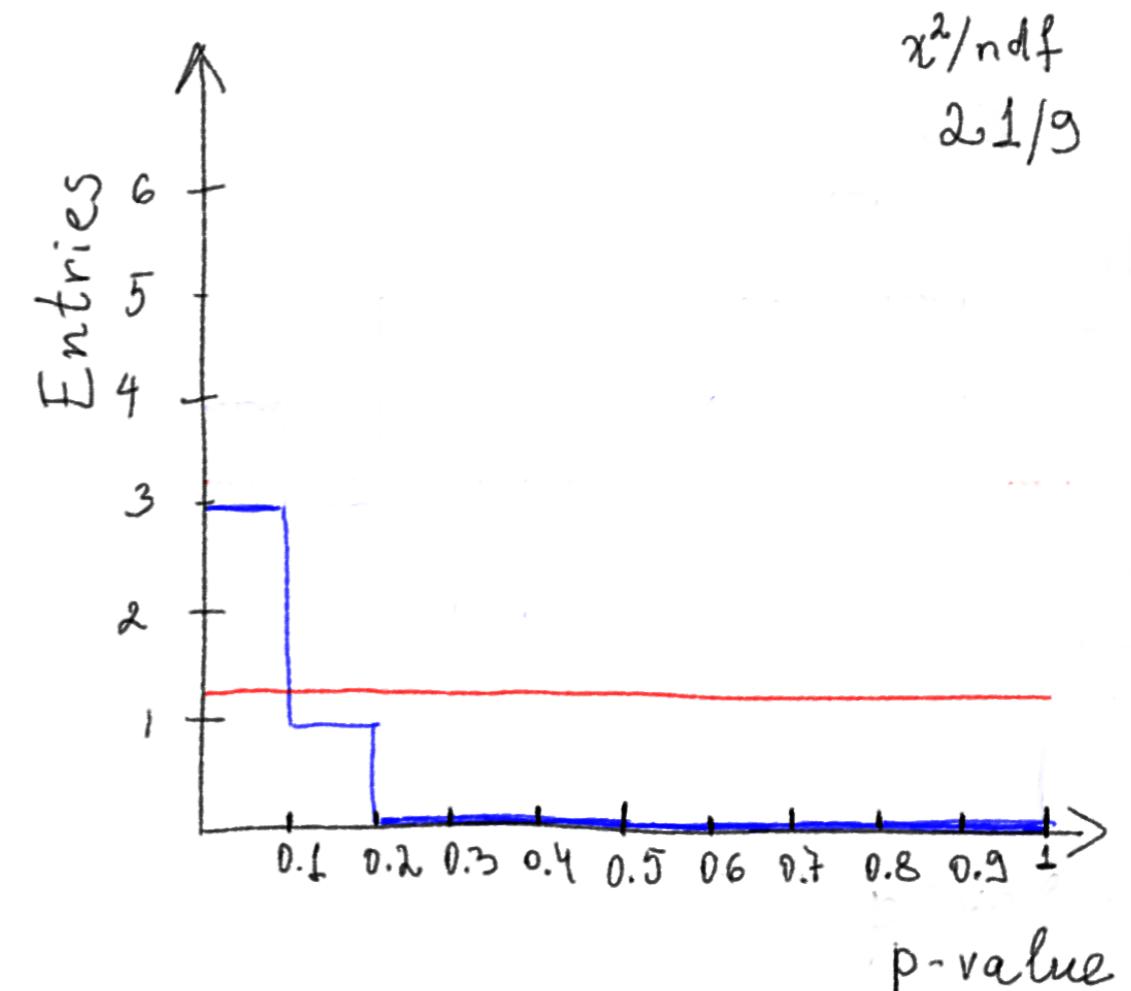
- To validate the Energy test is insensitive to instrumentation asymmetries a control channel is needed:
 - Same/ similar final state particles
 - No CP violation expected: Cabibbo favoured decays are great control samples
 - High statistics
- Apply signal requirement to control channels
 - Split into n subsamples with signal sample statistics
 - Run Energy test with optimised δ value
 - Compute and plot the P-values

Symmetric or not?

- For visualisation only:



Symmetric: flat distribution
of the p-values

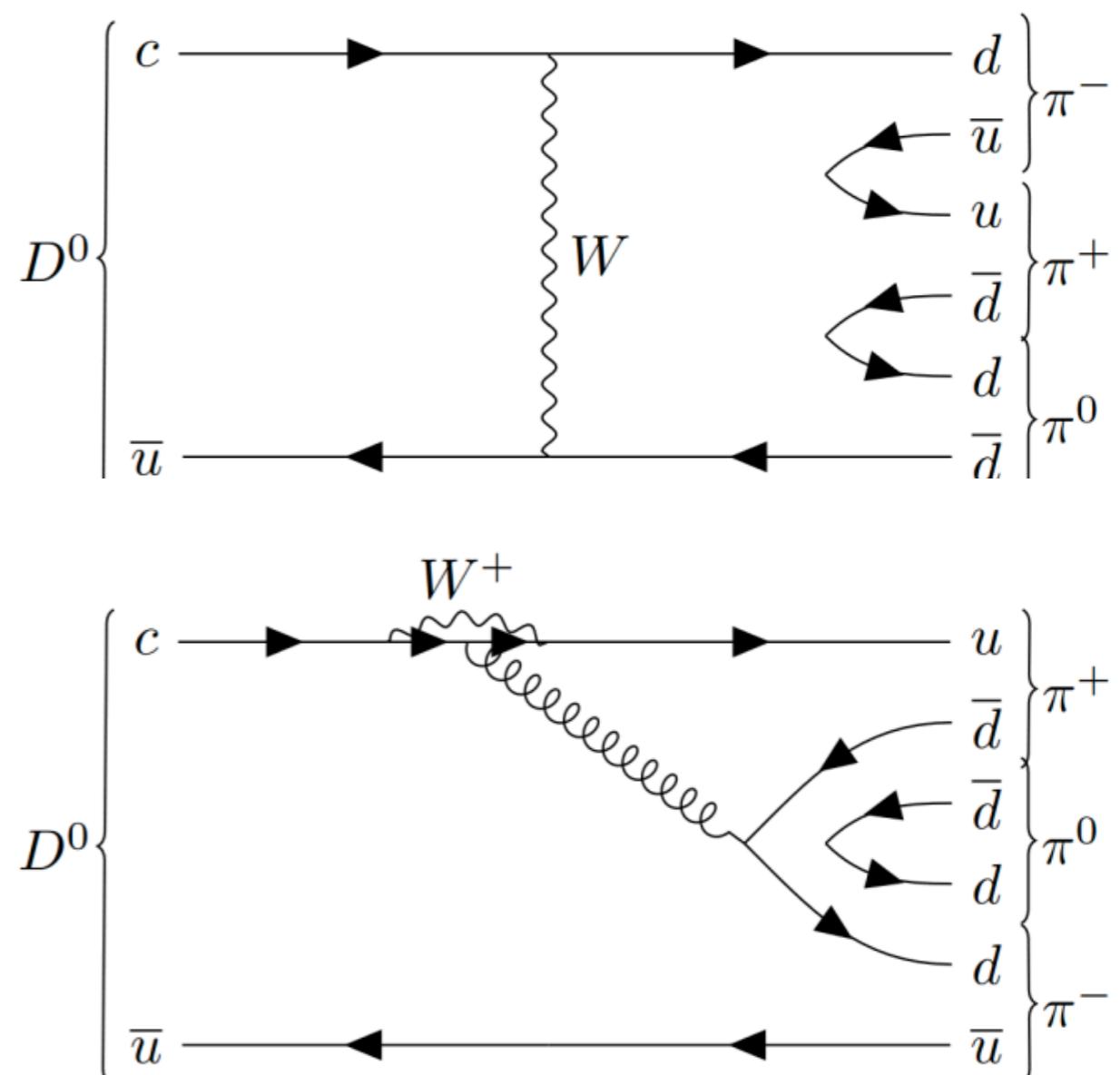


Asymmetric: p-values
accumulate in the first bin

Search for CP violation in $D^0 \rightarrow \pi^+ \pi^- \pi^0$

- Singly Cabibbo suppressed D^0 decays
- Prompt sample tagged by $D^{*+} \rightarrow D^0 \pi^+$
- Run 1 result [PLB 740 (2015) 158]: p-value = 2.6%
- LHCb Run 2 data (6 fb^{-1}): four times larger than the Run 1 sample
- Control sample: $D^0 \rightarrow K^- \pi^+ \pi^0$
- π^0 reconstructed from two photons: merged or resolved

[arXiv:2306.12746]

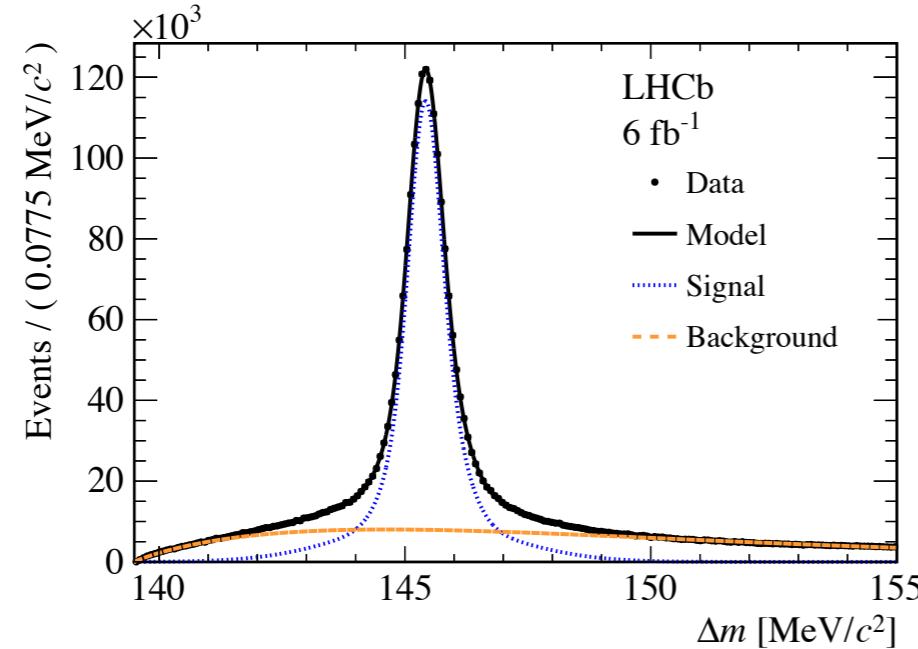


*image credit Lanxing Li

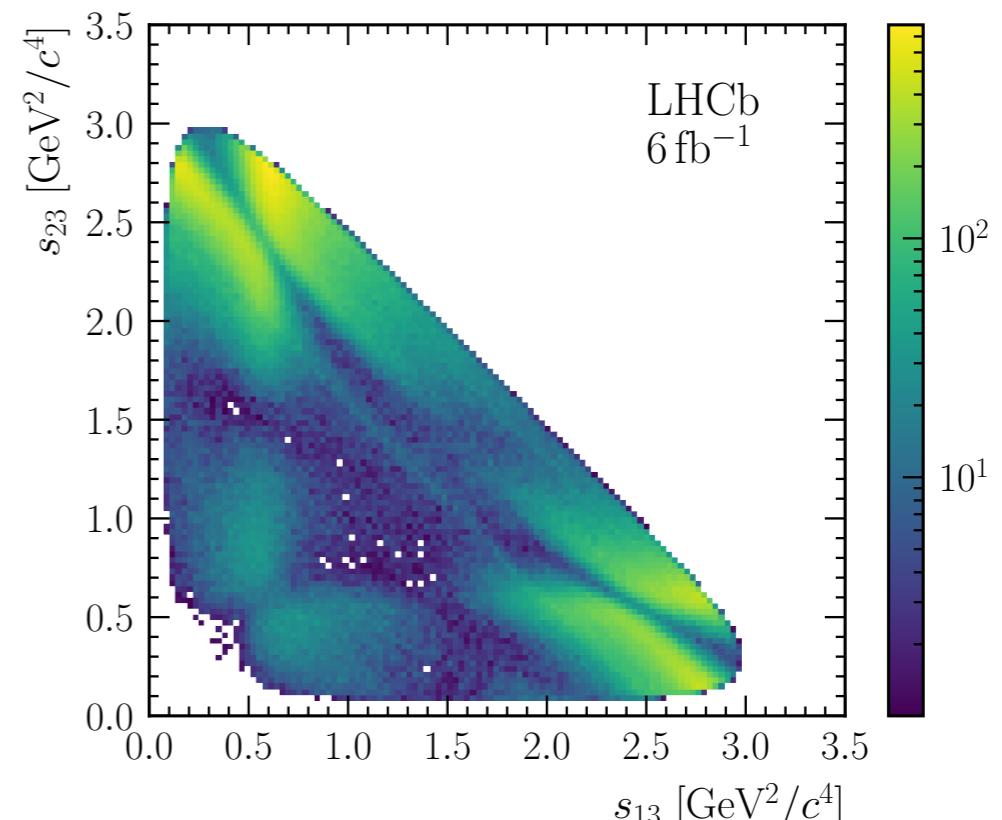
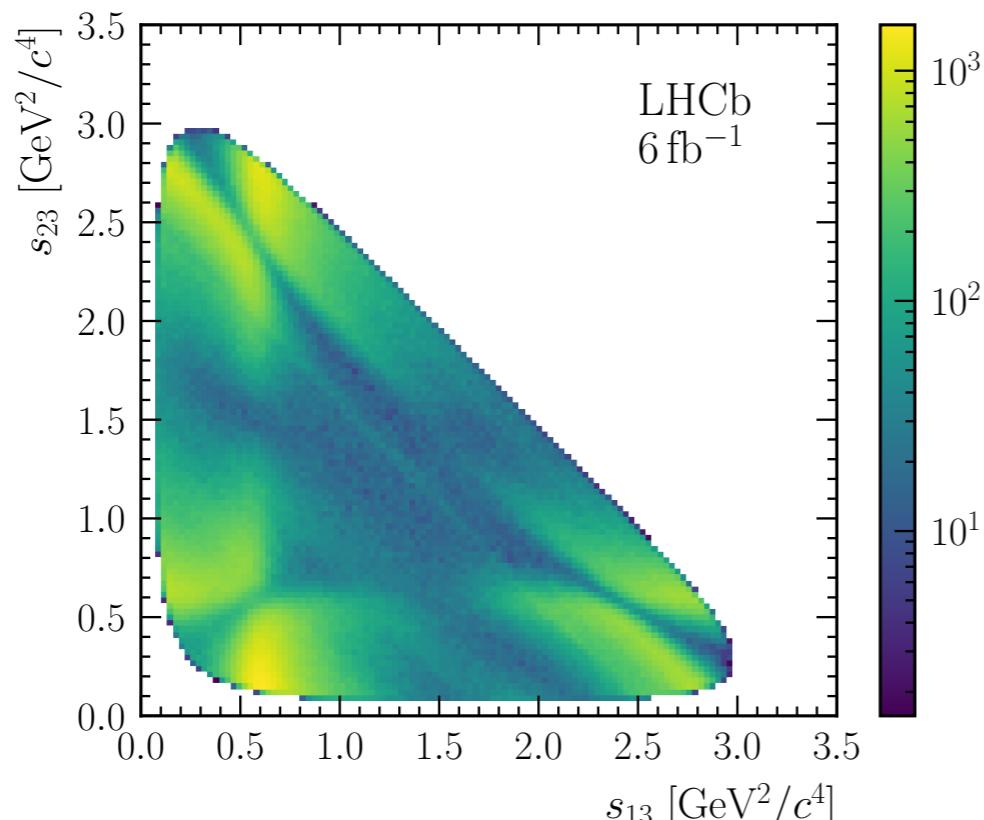
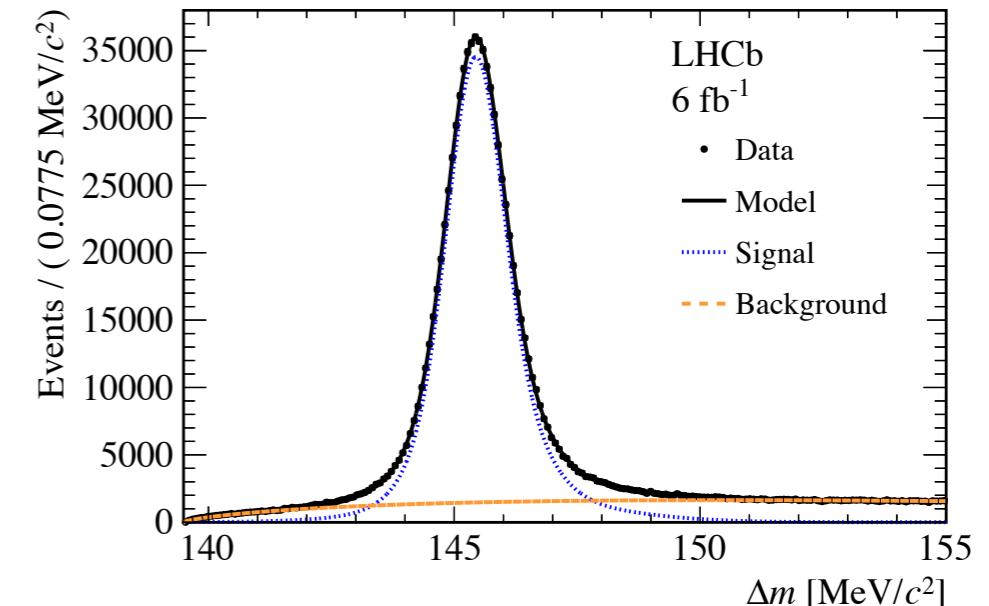
Signal distributions

[arXiv:2306.12746]

Resolved π^0 : 1.7 M, Purity 81%

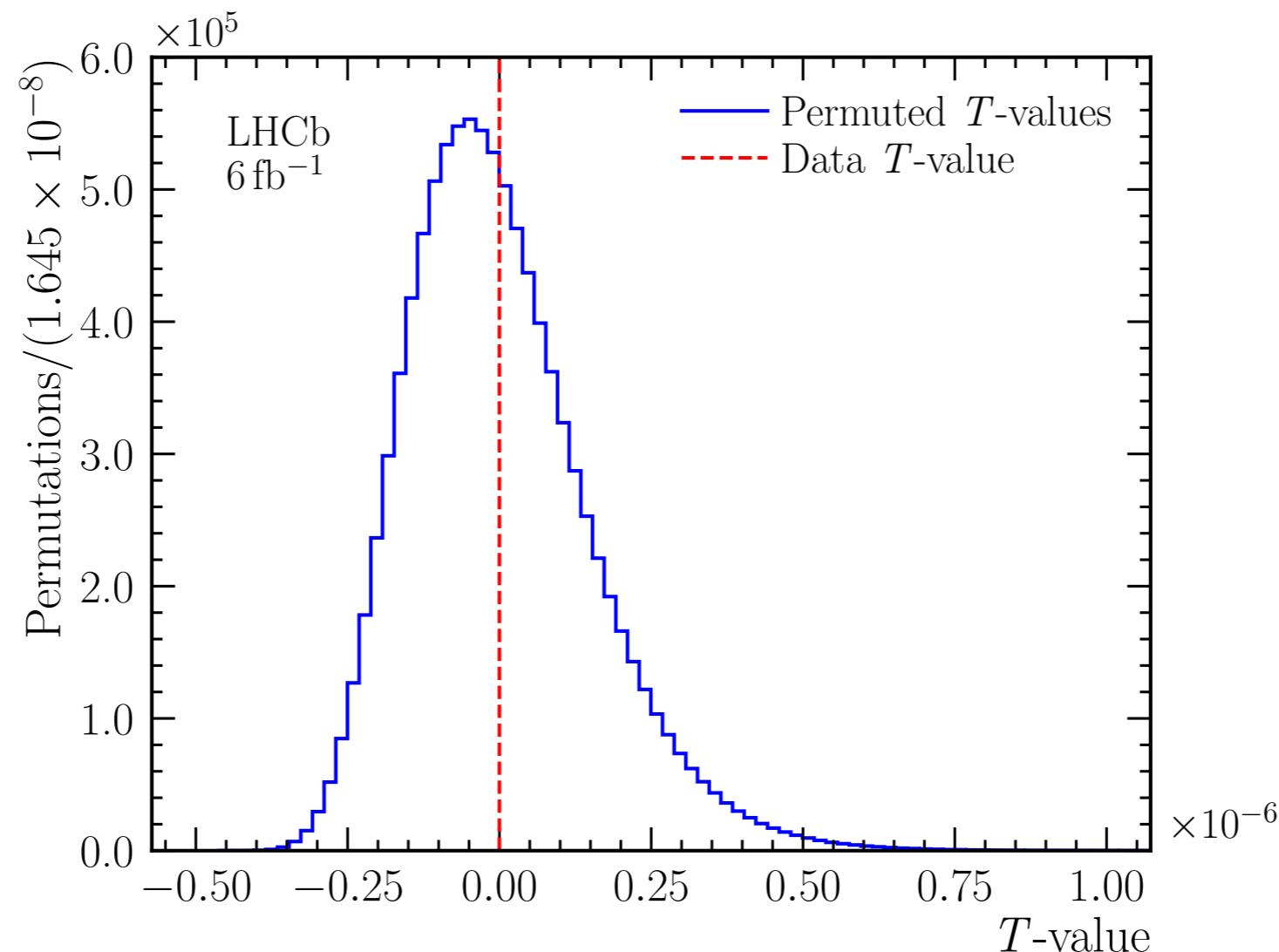


Merged π^0 : 0.8 M, Purity 91%



[arXiv:2306.12746]

- No evidence for local CP violation
- p-value = 61%



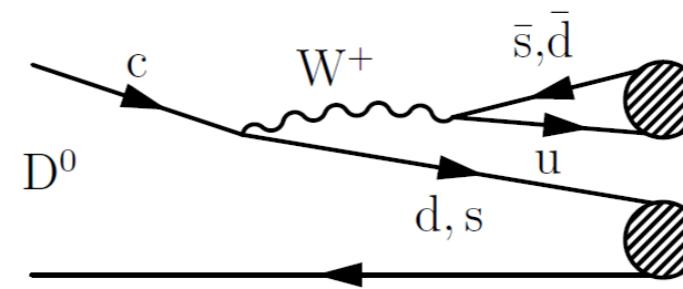
Search for CP violation in $D^0 \rightarrow K^0_S K^\mp \pi^\pm$

- Prompt sample tagged by $D^{*+} \rightarrow D^0 \pi^+$

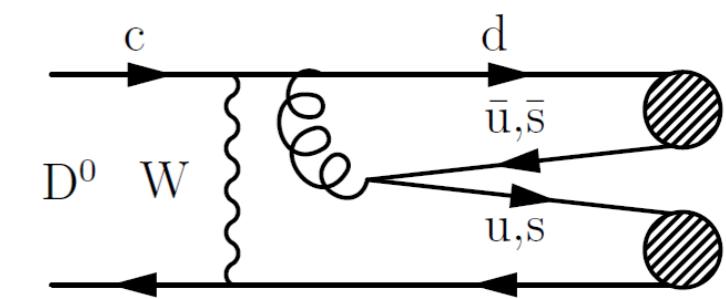
Singly Cabibbo suppressed decays

$D^0 \rightarrow K^0_S K^- \pi^+$, $D^0 \rightarrow K^0_S K^+ \pi^-$,

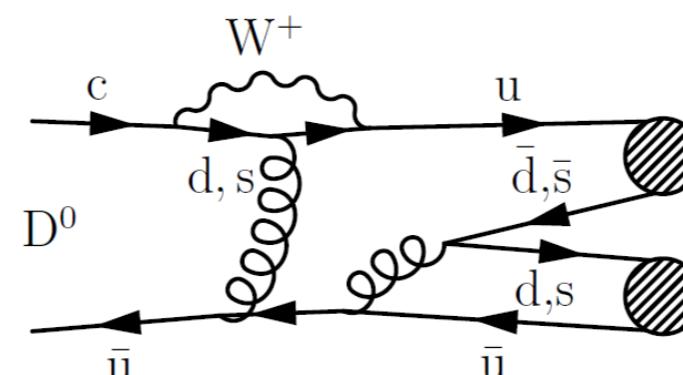
$\bar{D}^0 \rightarrow K^0_S K^- \pi^+$, $\bar{D}^0 \rightarrow K^0_S K^+ \pi^-$



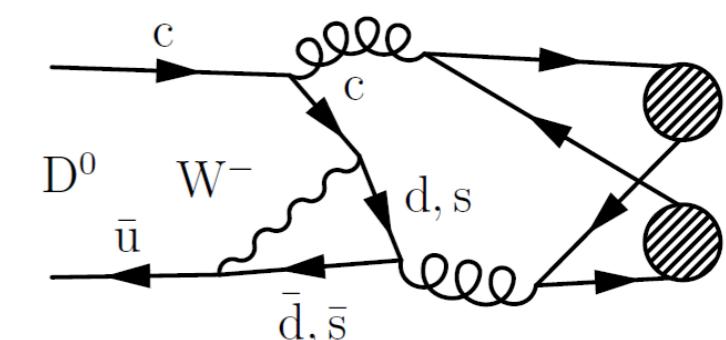
(a) Tree diagrams.



(b) Exchange diagrams.



(c) Penguin diagrams.

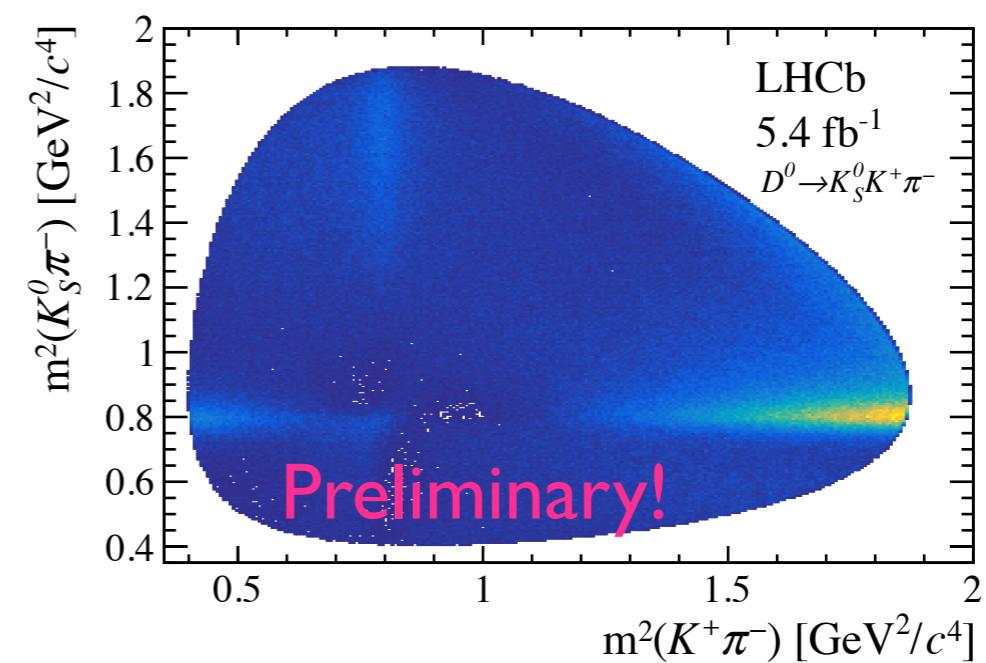
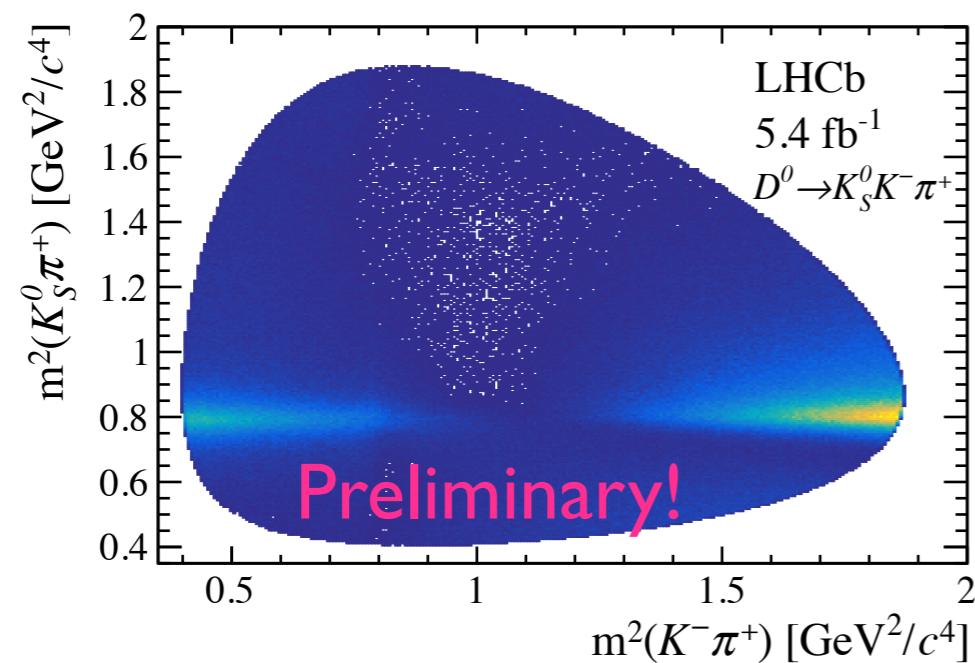
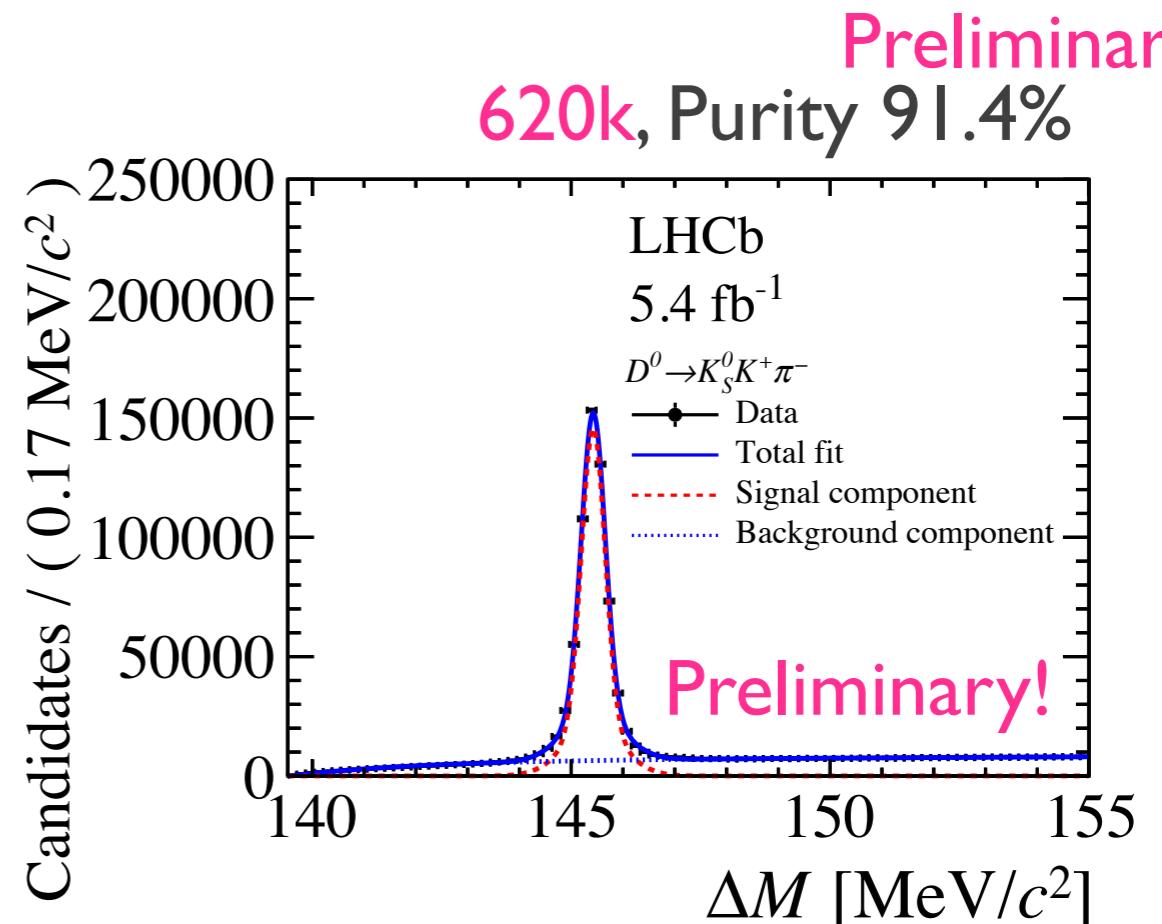
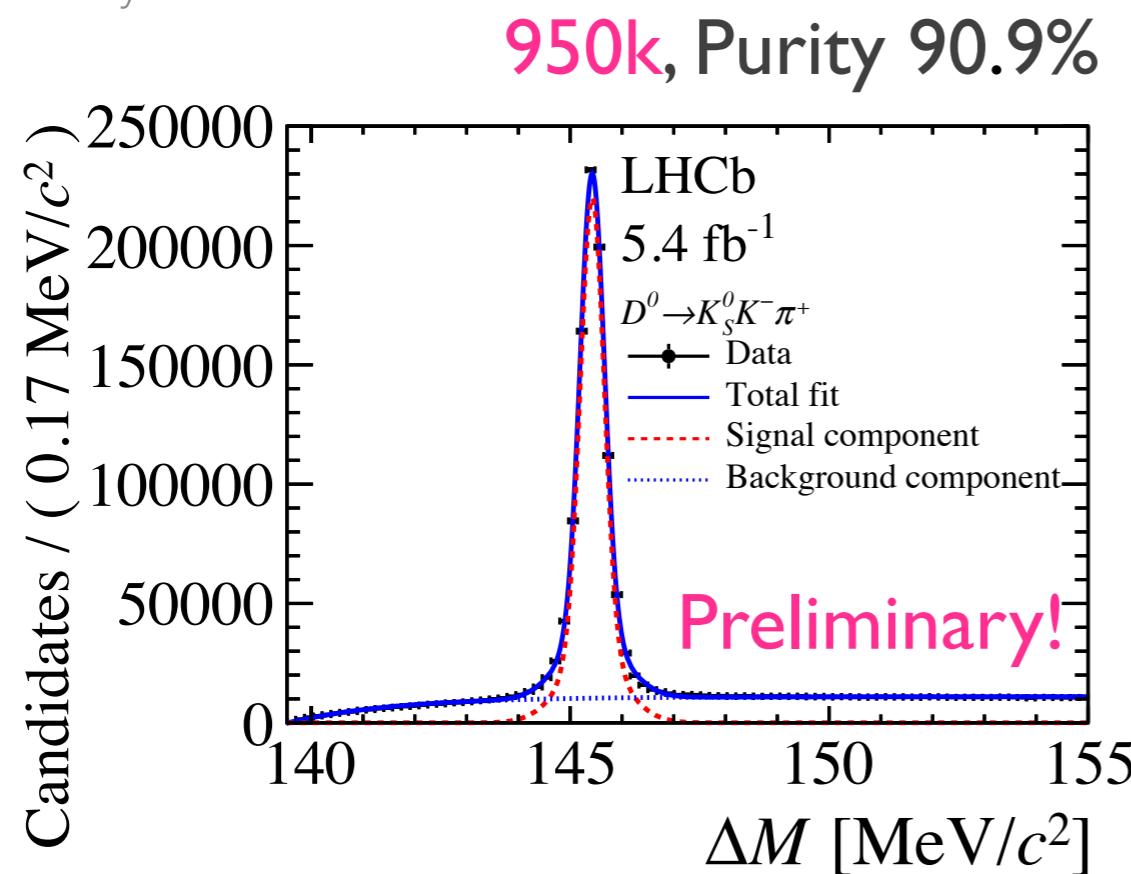


(d) OZI-suppressed diagrams.

Phys. Rev. D 93 (2016) 052018,

- Control samples $D^0 \rightarrow K^0_S \pi^+ \pi^-$ and $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$

Signal samples

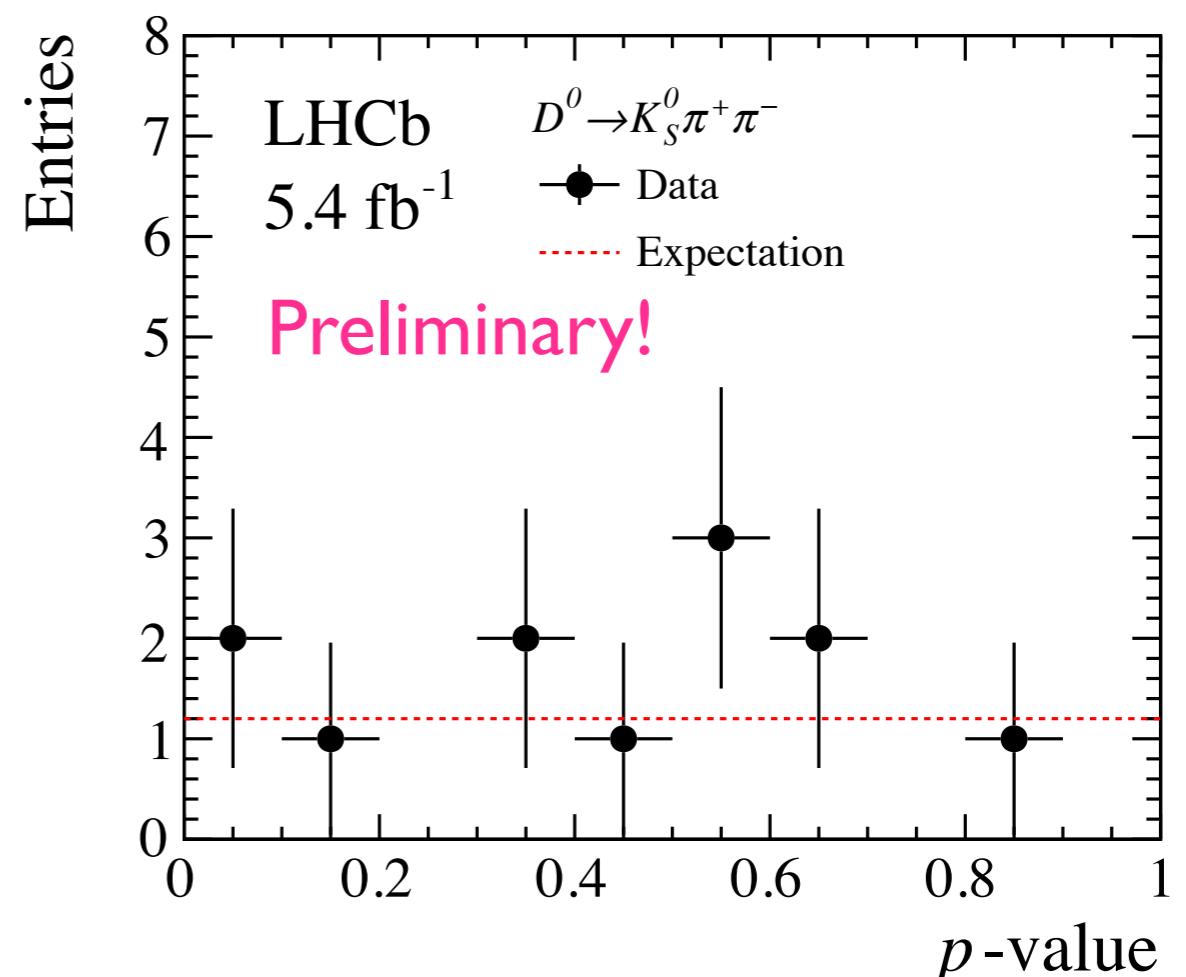
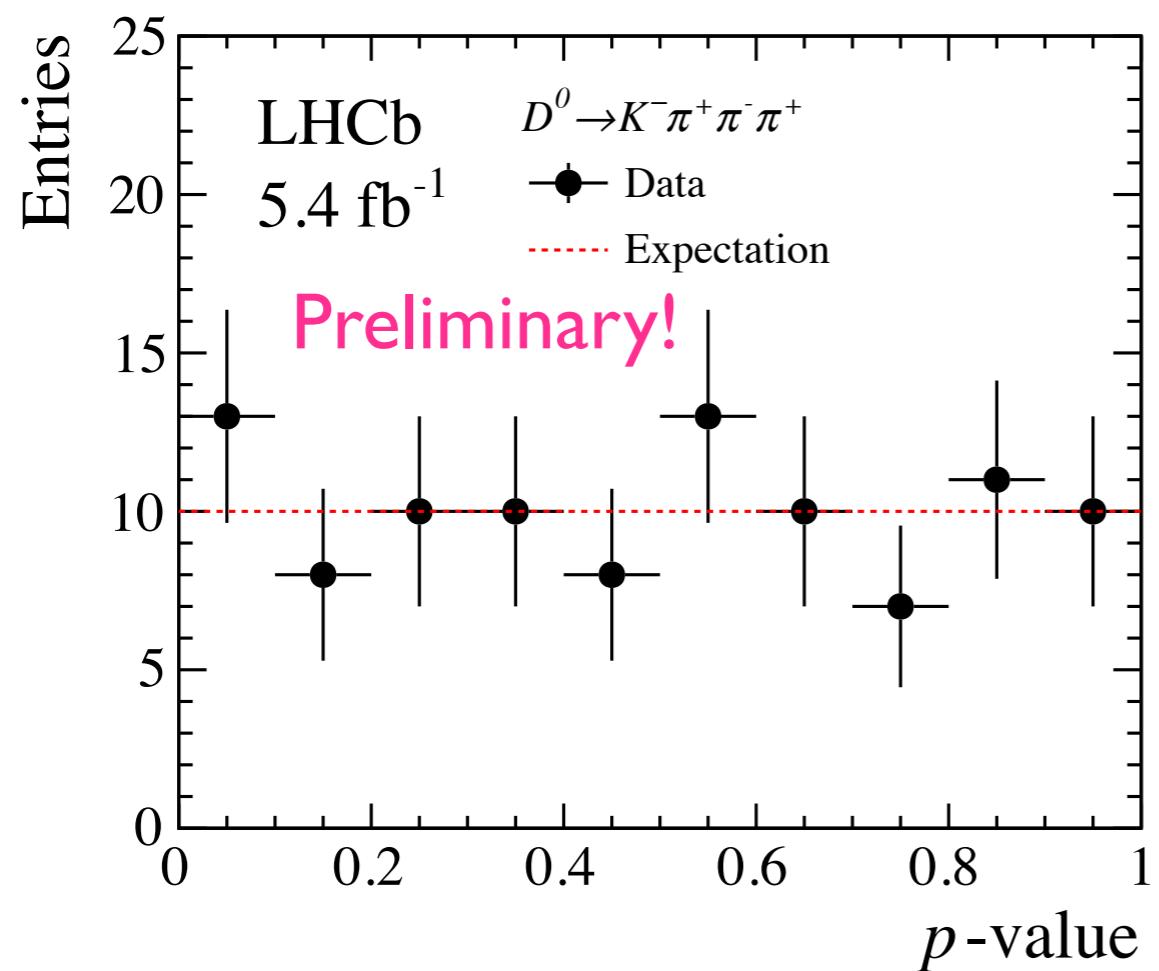


No nuisance asymmetries

LHCb-PAPER-2023-019

Preliminary!

- Control samples: symmetric distributions

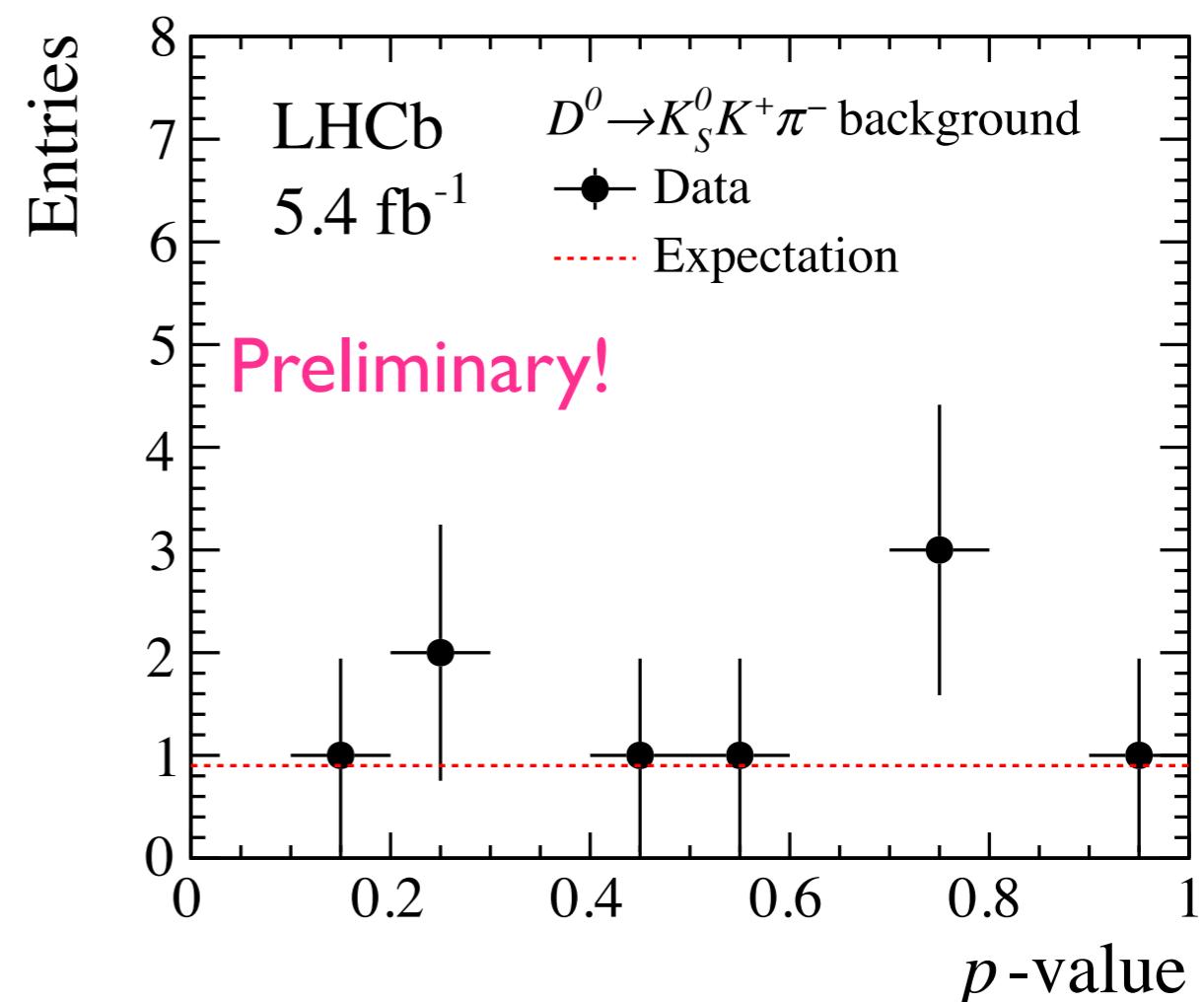
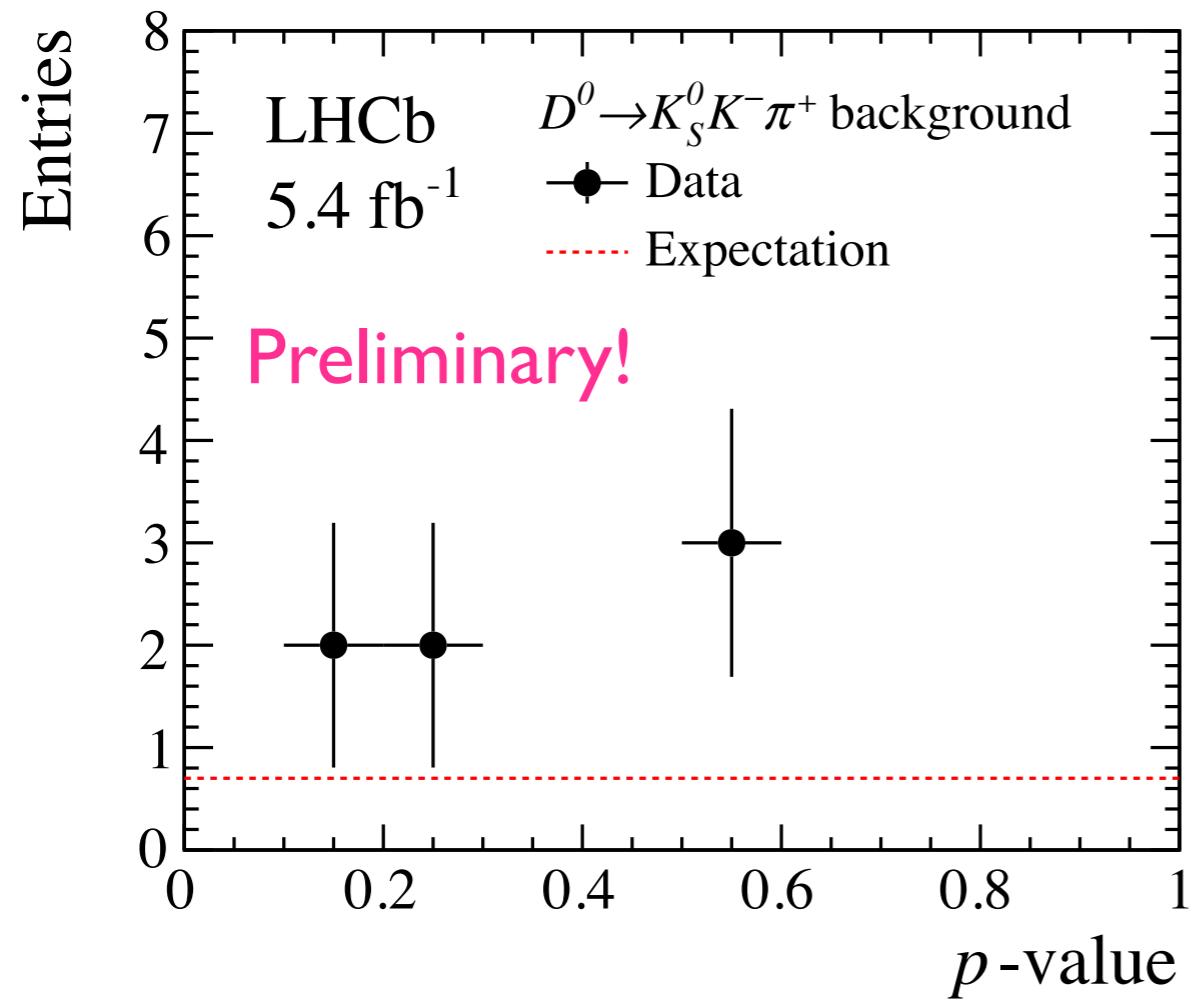


No background asymmetries

LHCb-PAPER-2023-019

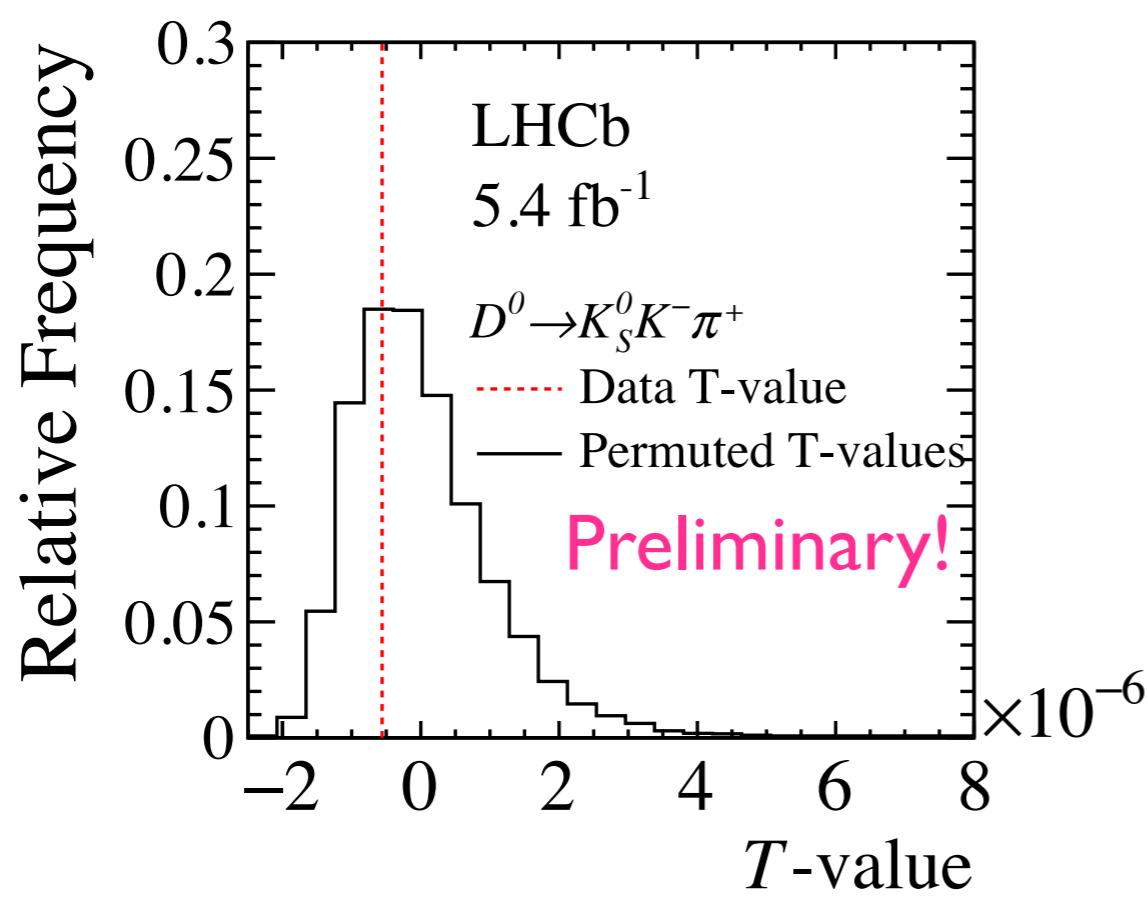
Preliminary!

- No background asymmetries

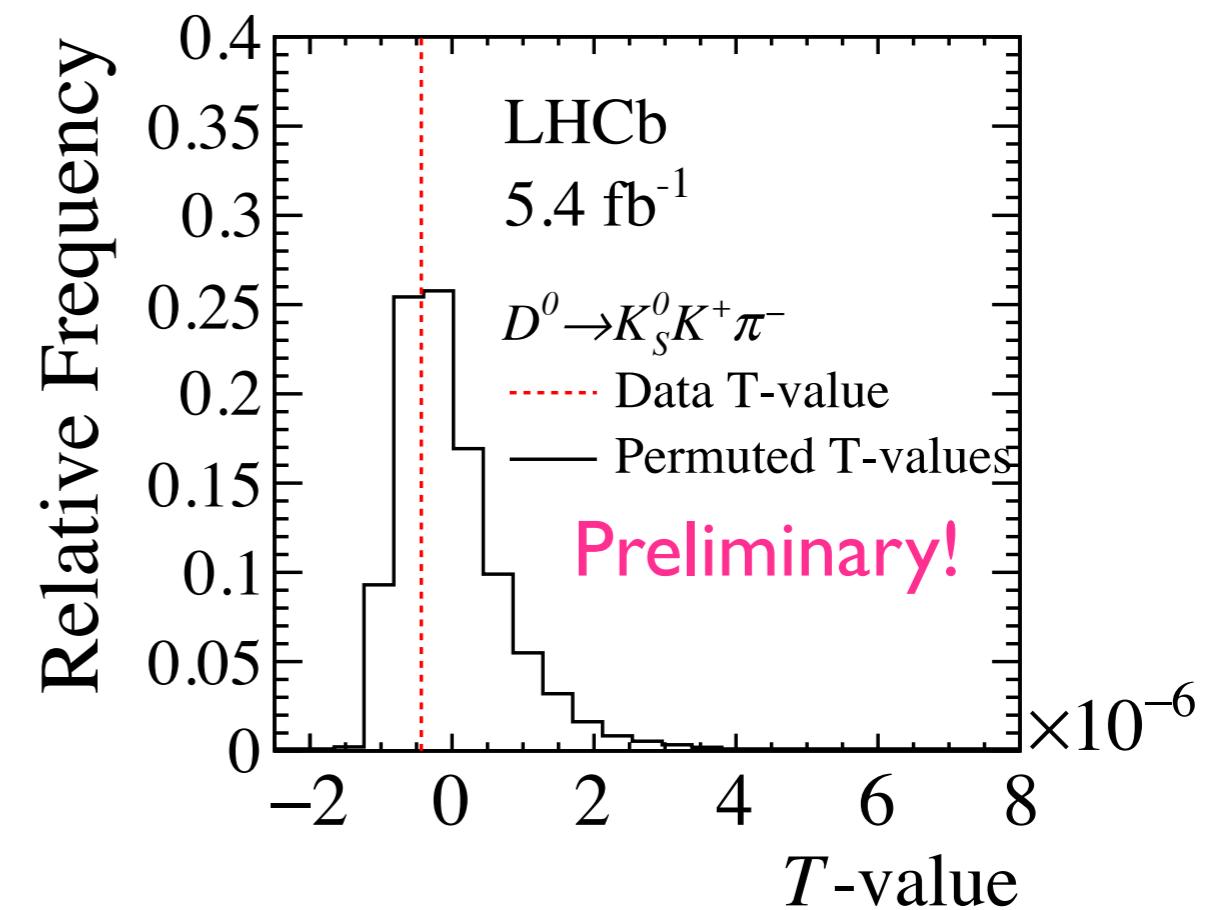


Results

- Results consistent with CP symmetry



p-value 70%



p-value 66%

Comparing binned Dalitz plots

The Miranda method

Introduced by BaBar: PRD78, 051102 (2008). Developed further in PRD 80, 096006 (2009), PRD86, 036005 (2012)

- Divide the Dalitz plot in two-dimensional bins
- Compute, for each bin, the significance of the difference in the numbers of $D_{(s)}^+$ candidates and $D_{(s)}^-$ candidates, where the latter is corrected for global charge asymmetry (e.g. from production and detection).

JHEP 2023, 67 (2023)

Modified: Fit in each bin, no background (fit per bin)

$$S_{CP}^i = \frac{N^i(D_{(s)}^+) - \alpha N^i(D_{(s)}^-)}{\sqrt{\alpha(\delta_{N^i(D_{(s)}^+)}^2 + \delta_{N^i(D_{(s)}^-)}^2)}}$$

$$\alpha = \frac{\sum_i N^i(D_{(s)}^+)}{\sum_i N^i(D_{(s)}^-)}$$

- Two-sample χ^2 test: calculate p-value for no-CPV hypothesis based on $\chi^2(\mathcal{S}_{CP}) = \sum (\mathcal{S}_{CP})^2$

Applied also to:

LHCb $D \rightarrow K\bar{K}\pi$ PRD 84, 112008 (2011)

LHCb $D \rightarrow 3\pi$ PLB 728 (2014) 585-595

CDF $D \rightarrow K\bar{S}\pi\pi$ PRD 86, 032007 (2012)

LHCb $D \rightarrow \varphi\pi, D \rightarrow K\bar{S}\pi$ JHEP 1306 (2013) 112

BaBar $D \rightarrow K\bar{K}\pi$: PRD 87 (2013) 052010 (check)

LHCb $D^\circ \rightarrow \pi\pi\pi^\circ$ PLB 740, 158 (2015).

LHCb $D \rightarrow K\bar{K}\pi\pi, D \rightarrow 4\pi$ PLB 726 (2013) 623-633 (5D bins)

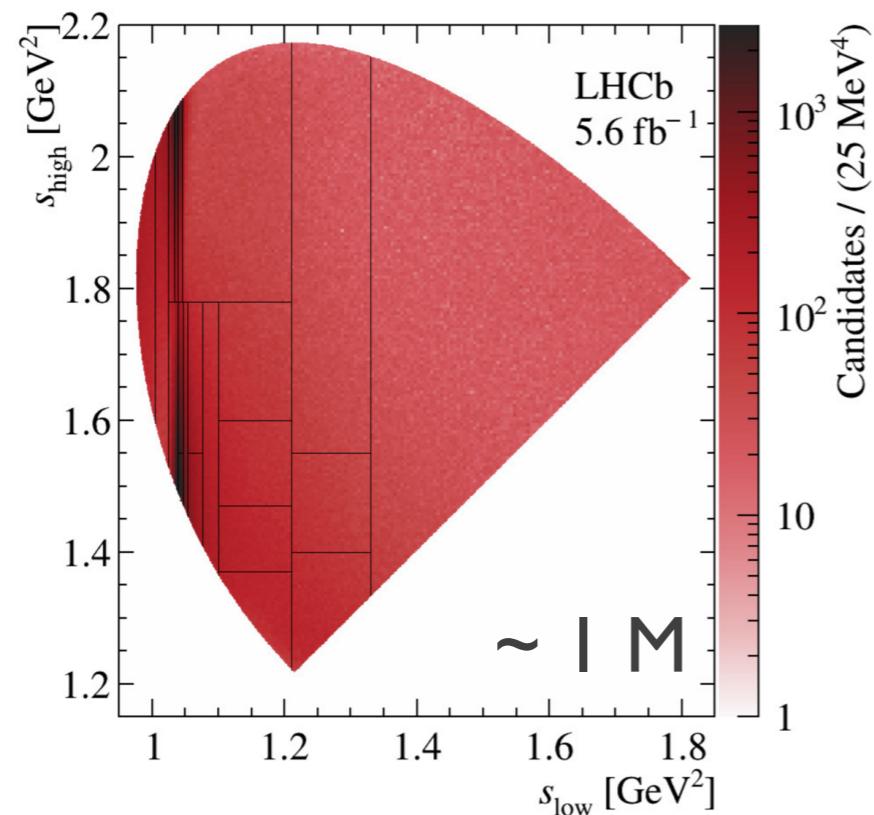
Search for CP violation in $D_{(s)}^+ \rightarrow K^- K^+ K^+$

JHEP 2023, 67 (2023)

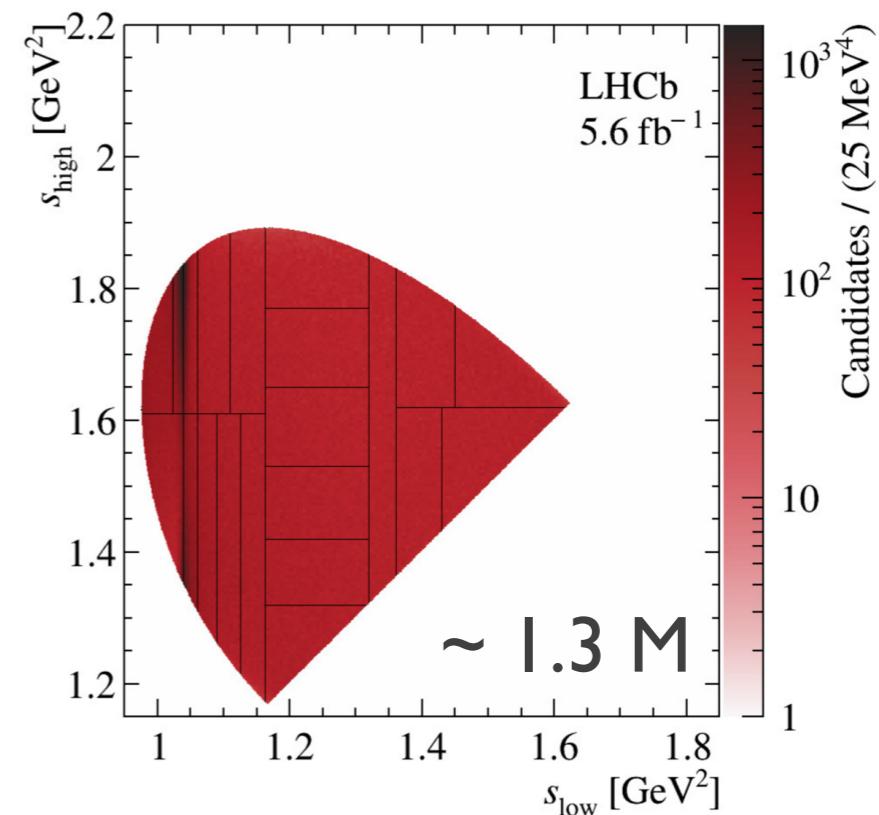
- Singly Cabibbo suppressed $D_s^+ \rightarrow K^- K^+ K^+$; doubly Cabibbo suppressed $D^+ \rightarrow K^- K^+ K^+$

- Signal purity 64% (D_s^+) and 78% (D^+)

- LHCb Run 2 data (5.6 fb^{-1})

 $D_s^+ \rightarrow K^- K^+ K^+$ 

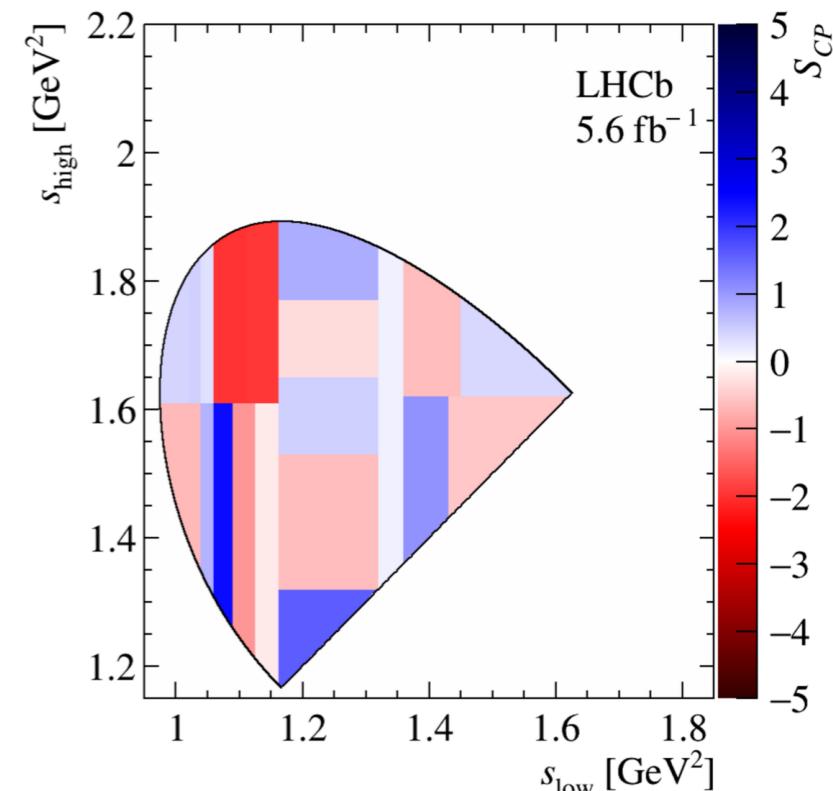
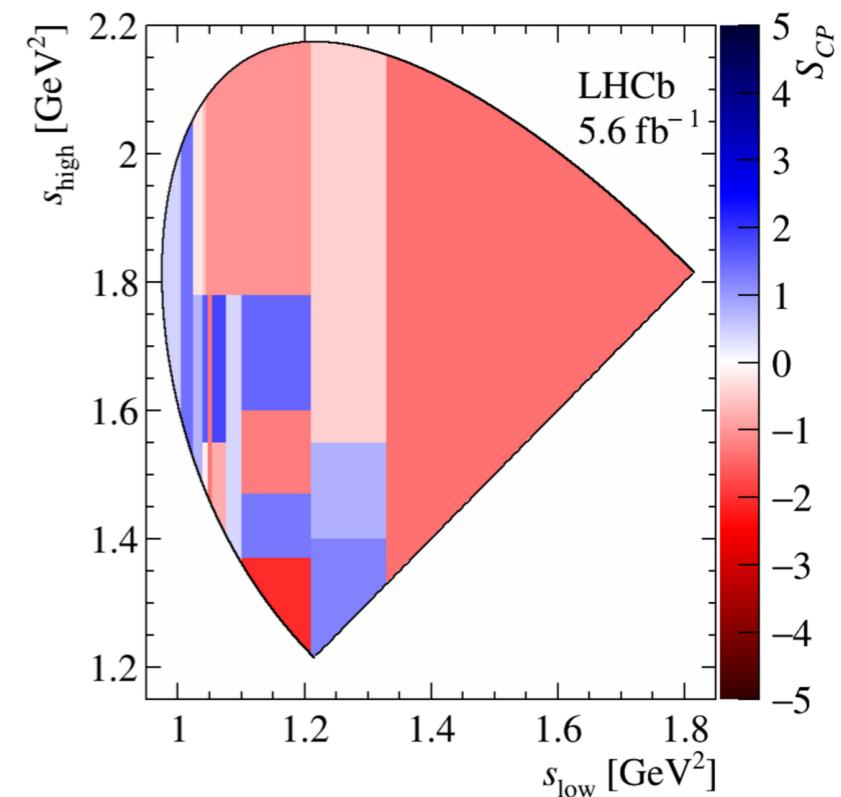
21 bins in total overlaid

 $D^+ \rightarrow K^- K^+ K^+$ 

Modified Miranda: Fit in each bin, no background (fit per bin)

Results

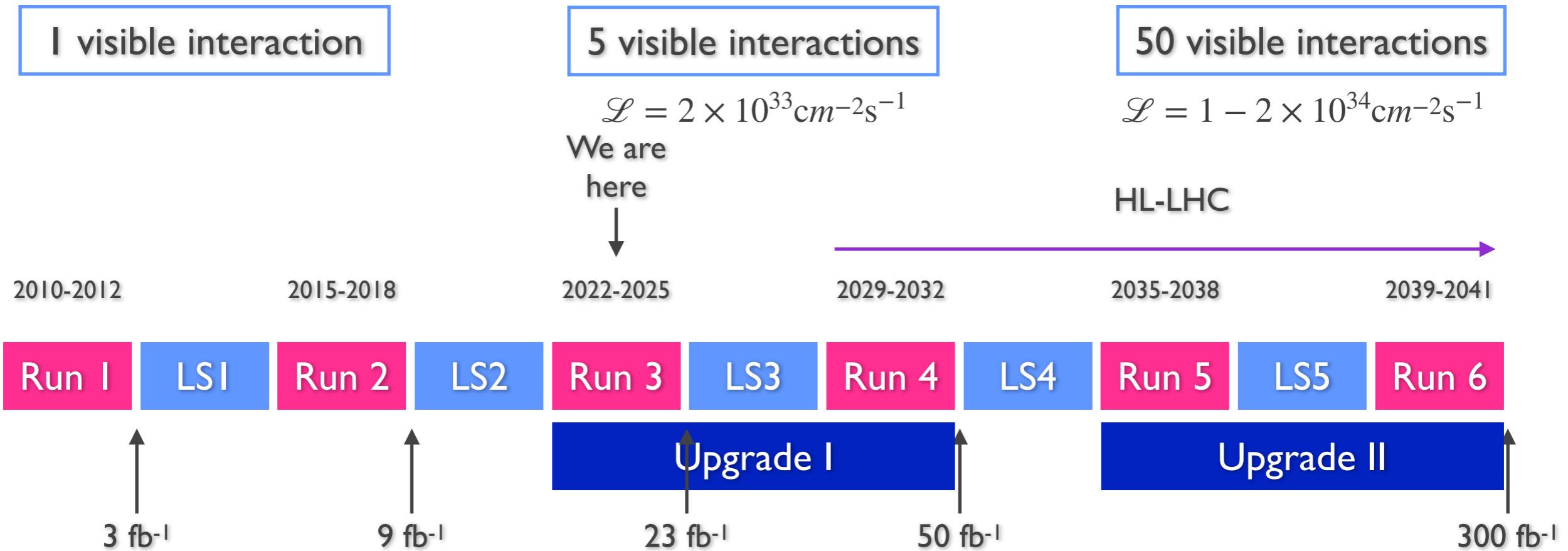
- Control samples:
 - Phase space simulation
 - Background samples
 - $D_s^+ \rightarrow K^- K^+ \pi^+$ and $D^+ \rightarrow K^- \pi^+ \pi^+$ (CF)
- Stability checks:
 - different invariant mass fit models
 - different binning schemes
- No evidence for CP violation
 - p-value ($D_s^+ \rightarrow K^- K^+ K^+$) = 13.3%
 - p-value ($D^+ \rightarrow K^- K^+ K^+$) = 31.6%





The future

Timeline for the LHCb upgrades



Sensitivity and yields projections

ΔA_{CP} & co

Sample	$\sigma(\Delta A_{CP}) [\%]$	$\sigma(A_{CP}(hh)) [\%]$
run 1-3 (23 / fb)	0.013	0.003
run 1-4 (50 / fb)	0.007	0.015
run 1-5 (300 / fb)	0.003	0.007

Other two-body decays

Mode	$\sigma(A_{CP}) [\%]$ for Upgrade II
$D^+_s \rightarrow K^0_S \pi$	0.032
$D^+ \rightarrow K^0_S K^+$	0.012
$D^+ \rightarrow \phi \pi$	0.006
$D^+ \rightarrow \eta' \pi$	0.0032
$D^+_s \rightarrow \eta' \pi$	0.032
$D^0 \rightarrow K^0_S K^0_S$	0.28
$D^0 \rightarrow K^0_S \bar{K}^{*0}$	0.006
$D^0 \rightarrow K^0_S K^{*0}$	0.008

Multibody decays yields

$D^0 \rightarrow KKK$	Yields, 10^6
run 1-3 (23 / fb)	70
run 1-4 (50 / fb)	182
run 1-5 (300 / fb)	1,219

More projections here: [arXiv:1808.08865](https://arxiv.org/abs/1808.08865) and in the backup slides

Summary

- LHCb has collected an unprecedented sample of hadronic charm decays: **unique opportunities for CP violation searches**
- Evidence for CP violation in $D^0 \rightarrow \pi\pi$ decays
- No CP violation in $D^0 \rightarrow K\bar{K}$ or $D_{(s)}^+ \rightarrow \eta^{(\prime)}\pi^+$ decays
- Multibody decays:
 - unique sensitivity to local CP violation effects
 - various methods used
- No evidence for CP violation in multibody decays
 - $D^0 \rightarrow \pi^+\pi^-\pi^0$
 - $D^0 \rightarrow K^0_S K^\mp \pi^\pm$
 - $D_{(s)}^+ \rightarrow K^- K^+ K^+$

BACKUP

The LHCb upgrade I

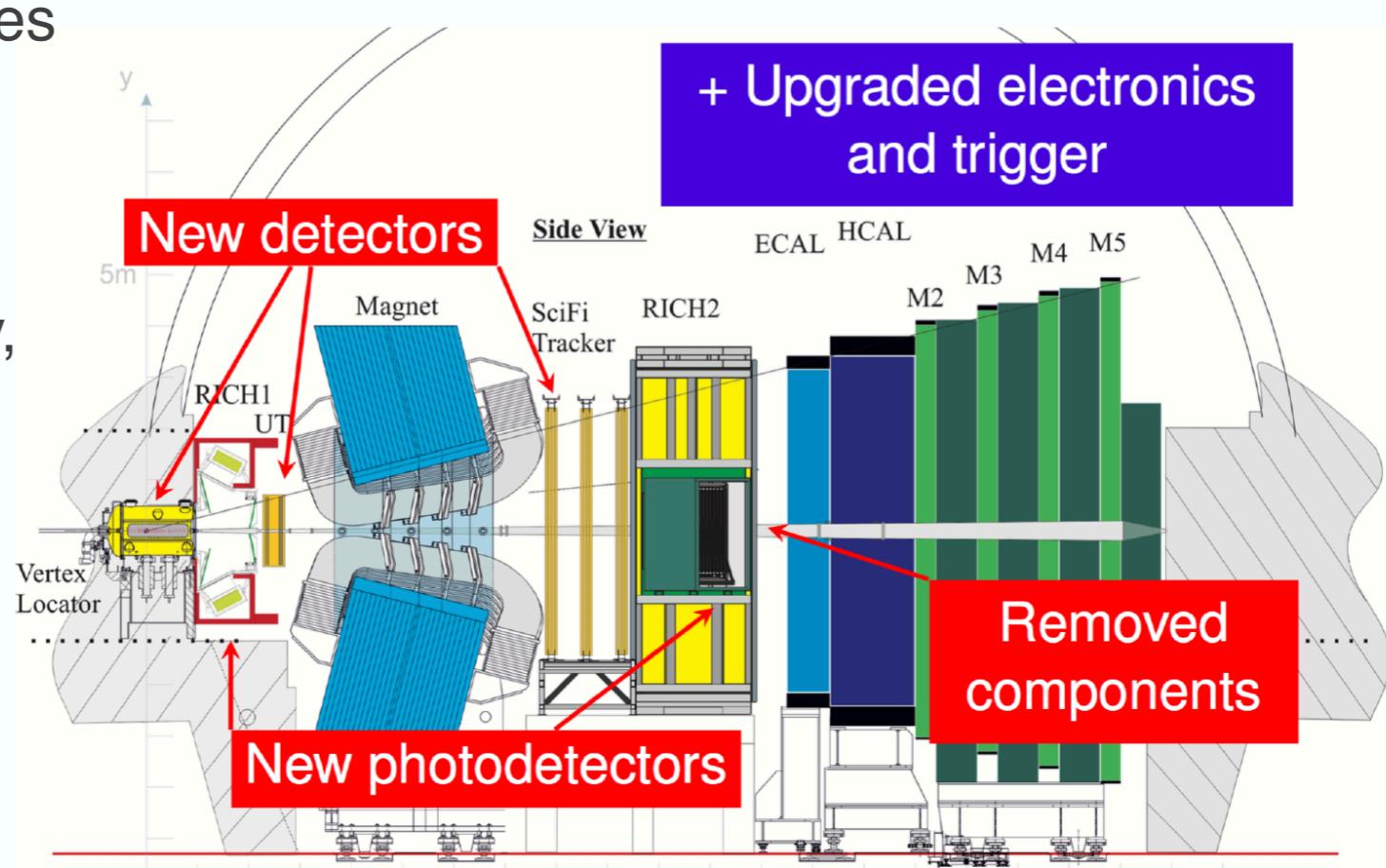
- **LHCb upgrade I:** **50 fb⁻¹** in Runs-3,4 (2022-2024, 2027-2030).

- Strategy & challenges

- Instantaneous Luminosity \mathcal{L} increasing by factor 5 up to $2 \times 10^{33} \text{cm}^{-2}\text{s}^{-1}$
- Increase readout rate to 40 MHz
- Remove L0 hardware trigger
- Full software trigger with first stage on GPUs
 - Huge boost to signal efficiencies

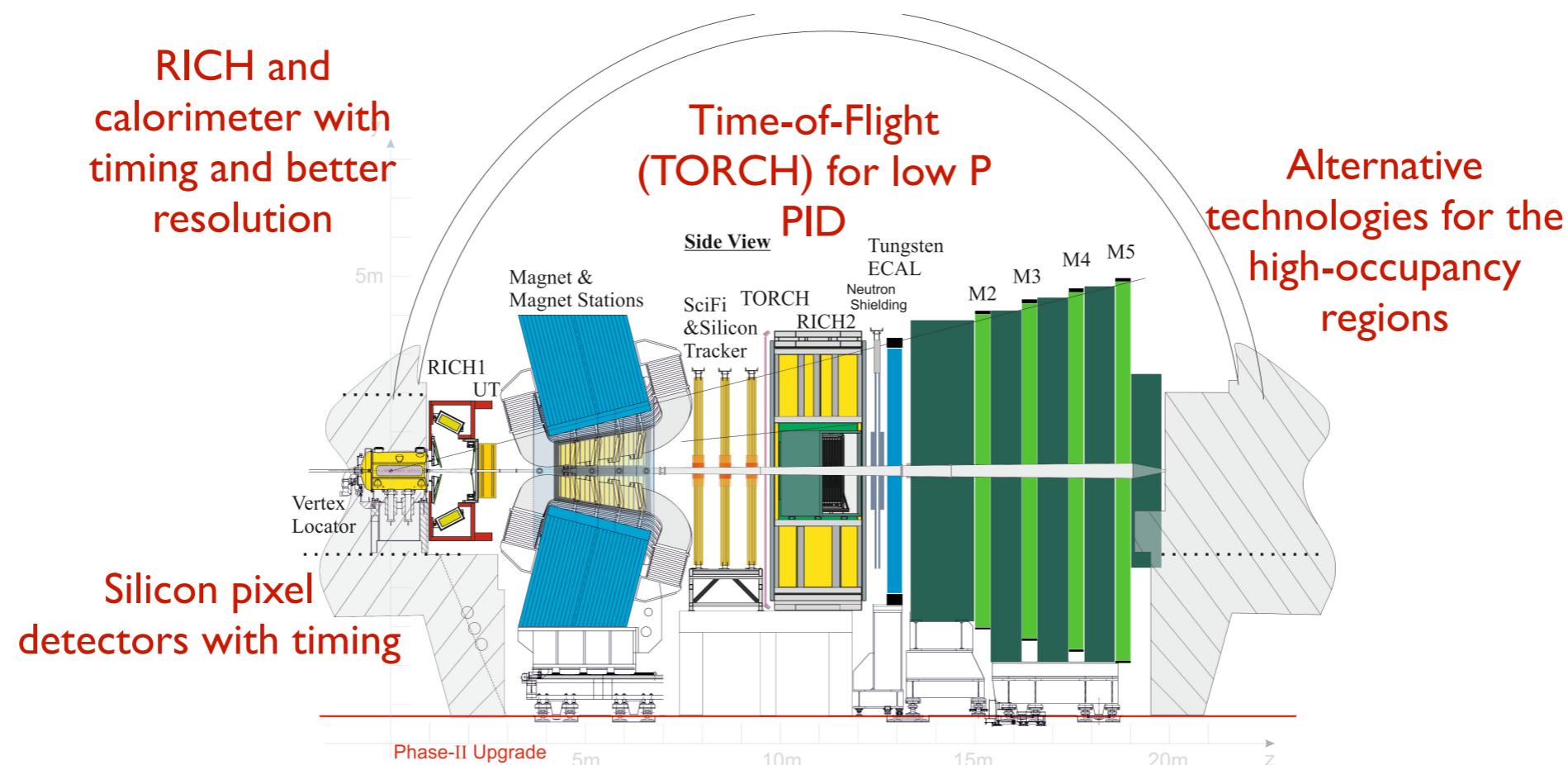
- Higher pile-up, occupancy and radiation levels

- New detectors: higher granularity, radiation hardness,...
- New front end electronics

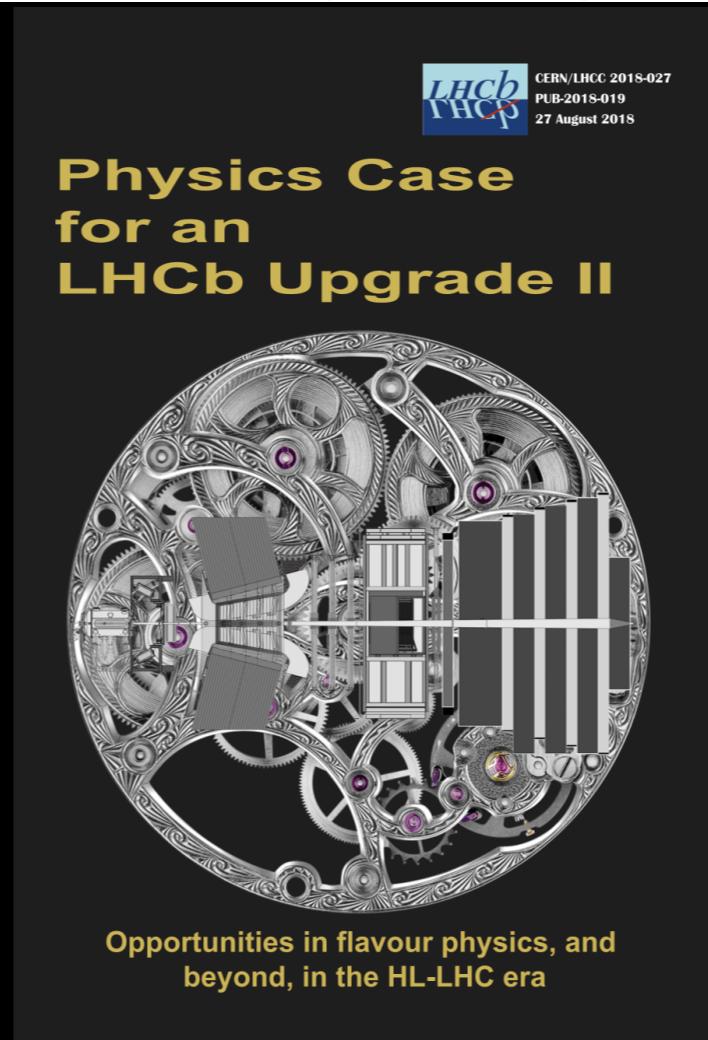


The LHCb upgrade II

- **LHCb upgrade II:** **300 fb⁻¹** in Runs-5,6 (2032-2034, 2036→).
- Run at a 10x higher luminosity: major challenge
 - Retain the performance under much harsher conditions
 - Requirements: ~50 ps timing (VELO), radiation hardness, & high granularity
- Extensive R&D underway (hardware and software)



Strong flavour physics case but also covering EW physics, dark sector, spectroscopy, heavy ions, fixed-target mode (SMOG) etc.



- * projections assume similar or better performance
- * trigger efficiencies are expected to be higher but will vary from channel to channel

Projections

Sample (\mathcal{L})	$D^+ \rightarrow K^- K^+ \pi^+$	$D^+ \rightarrow \pi^- \pi^+ \pi^+$	$D^+ \rightarrow K^- K^+ K^+$	$D^+ \rightarrow \pi^- K^+ \pi^+$
Run 1–2 (9 fb^{-1})	200	100	14	8
Run 1–4 (23 fb^{-1})	1,000	500	70	40
Run 1–4 (50 fb^{-1})	2,600	1,300	182	104
Run 1–6 (300 fb^{-1})	17,420	8,710	1,219	697

resonant channel	9 fb^{-1}	23 fb^{-1}	50 fb^{-1}	300 fb^{-1}
$f_0(500)\pi$	0.30	0.13	0.083	0.032
$\rho^0(770)\pi$	0.50	0.22	0.14	0.054
$f_2(1270)\pi$	1.0	0.45	0.28	0.11

Sample (\mathcal{L})	$D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$		$D^0 \rightarrow K^+ K^- \pi^+ \pi^-$	
	Yield ($\times 10^6$)	$\sigma(a_{CP}^{\widehat{T}\text{-odd}})$	Yield ($\times 10^6$)	$\sigma(a_{CP}^{\widehat{T}\text{-odd}})$
Run 1–2 (9 fb^{-1})	13.5	2.4×10^{-4}	4.7	5.4×10^{-4}
Run 1–3 (23 fb^{-1})	69	1.1×10^{-4}	12	3.4×10^{-4}
Run 1–4 (50 fb^{-1})	150	7.5×10^{-5}	26	2.3×10^{-4}
Run 1–5 (300 fb^{-1})	900	2.9×10^{-5}	156	9.4×10^{-5}

Projections

Sample (\mathcal{L})	Tag	Yield		$\sigma(\Delta A_{CP})$ [%]	$\sigma(A_{CP}(hh))$ [%]
		$D^0 \rightarrow K^- K^+$	$D^0 \rightarrow \pi^- \pi^+$		
Run 1–2 (9 fb^{-1})	Prompt	52M	17M	0.03	0.07
Run 1–3 (23 fb^{-1})	Prompt	280M	94M	0.013	0.03
Run 1–4 (50 fb^{-1})	Prompt	1G	305M	0.007	0.015
Run 1–5 (300 fb^{-1})	Prompt	4.9G	1.6G	0.003	0.007

Theory perspective*

$$\Delta A_{CP}^{\text{Exp.}} = (-15.6 \pm 2.9) \times 10^{-4}$$

Physics Letters B 774 (2017) 235–242

$$|\Delta a_{CP}^{dir}| < 0.020 \pm 0.003\%$$



Direct CP asymmetry in $D \rightarrow \pi^-\pi^+$ and $D \rightarrow K^-K^+$ in QCD-based approach

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^b Department of Physics and Astronomy, Wayne State University, Detroit, MI 48201, USA

^c Michigan Center for Theoretical Physics, University of Michigan, Ann Arbor, MI 48196, USA

BSM!

ΔA_{CP} within the Standard Model and beyond

Mikael Chala, Alexander Lenz, Aleksey V. Rusov and Jakub Scholtz

BSM!

$$|\Delta A_{CP}^{\text{SM}}| \leq 3 \times 10^{-4}$$

Z'?

Implications on the first observation of charm CPV at LHCb

The Emergence of the $\Delta U = 0$ Rule in Charm Physics



Yuval Grossman^{*} and Stefan Schacht[†]

Department of Physics, LEPP, Cornell University, Ithaca, NY 14853, USA

“in SM requires mild non-perturbative enhancement due to rescattering amplitudes”

Hsiang-nan Li^{1*}, Cai-Dian Lü^{2†}, Fu-Sheng Yu^{3‡}

¹Institute of Physics, Academia Sinica,
Taipei, Taiwan 11529, Republic of China



$$\Delta A_{CP}^{\text{SM}} = (-0.57 \sim -1.87) \times 10^{-3}$$

Lanzhou 730000, People's Republic of China

Stability checks and systematics

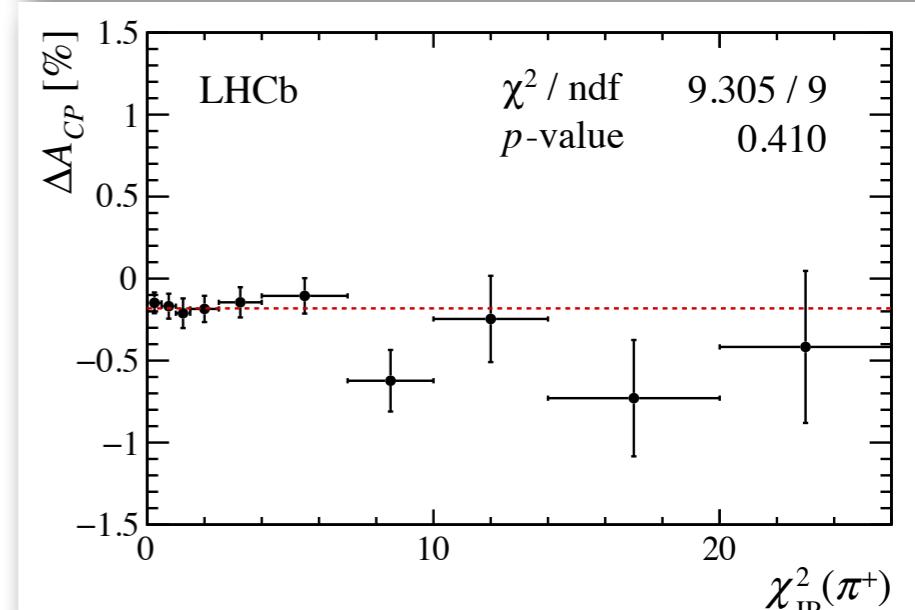
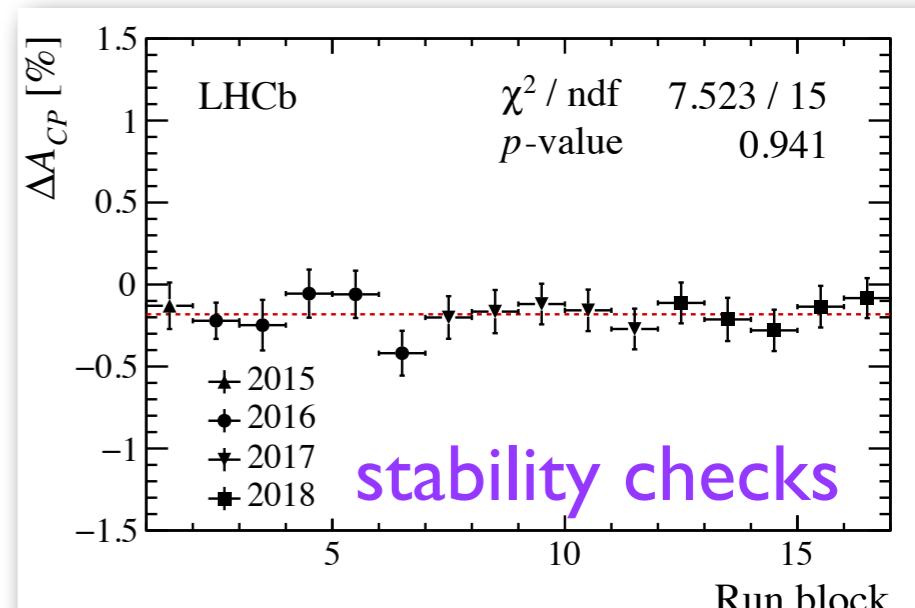
LHCb, Phys. Rev. Lett. 122 (2019) 211803

- New analysis based on Run 2 data, corresponds to 6 fb^{-1}
- Systematic uncertainties sub-dominant and determined by data-driven methods

Source	π -tagged	μ -tagged
Fit model	0.6	2
Mistag	—	4
Weighting	0.2	1
Secondary decays	0.3	—
B fractions	—	1
B reco. efficiency	—	2
Peaking background	0.5	—
Total	0.9	5

$$\Delta A_{CP}^{\pi\text{-tagged}} = [-18.2 \pm 3.2 \text{ (stat.)} \pm 0.9 \text{ (syst.)}] \times 10^{-4},$$

$$\Delta A_{CP}^{\mu\text{-tagged}} = [-9 \pm 8 \text{ (stat.)} \pm 5 \text{ (syst.)}] \times 10^{-4}.$$



Results

- Run 2 results (statistically dominated)

JHEP 04 (2023) 081

$$\mathcal{A}^{CP}(D^+ \rightarrow \eta\pi^+) = (0.34 \pm 0.66 \pm 0.16 \pm 0.05)\%,$$

$$\mathcal{A}^{CP}(D_s^+ \rightarrow \eta\pi^+) = (0.32 \pm 0.51 \pm 0.12)\%,$$

$$\mathcal{A}^{CP}(D^+ \rightarrow \eta'\pi^+) = (0.49 \pm 0.18 \pm 0.06 \pm 0.05)\%,$$

$$\mathcal{A}^{CP}(D_s^+ \rightarrow \eta'\pi^+) = (0.01 \pm 0.12 \pm 0.08)\%,$$

- Combined with the Run 1 results

$$\mathcal{A}^{CP}(D^+ \rightarrow \eta\pi^+) = (0.13 \pm 0.50 \pm 0.18)\%,$$

$$\mathcal{A}^{CP}(D_s^+ \rightarrow \eta\pi^+) = (0.48 \pm 0.42 \pm 0.17)\%,$$

$$\mathcal{A}^{CP}(D^+ \rightarrow \eta'\pi^+) = (0.43 \pm 0.17 \pm 0.10)\%,$$

$$\mathcal{A}^{CP}(D_s^+ \rightarrow \eta'\pi^+) = (-0.04 \pm 0.11 \pm 0.09)\%,$$

ΔA_{CP} : mostly direct CPV

Individual asymmetries are expected to have opposite sign due to the CKM structure

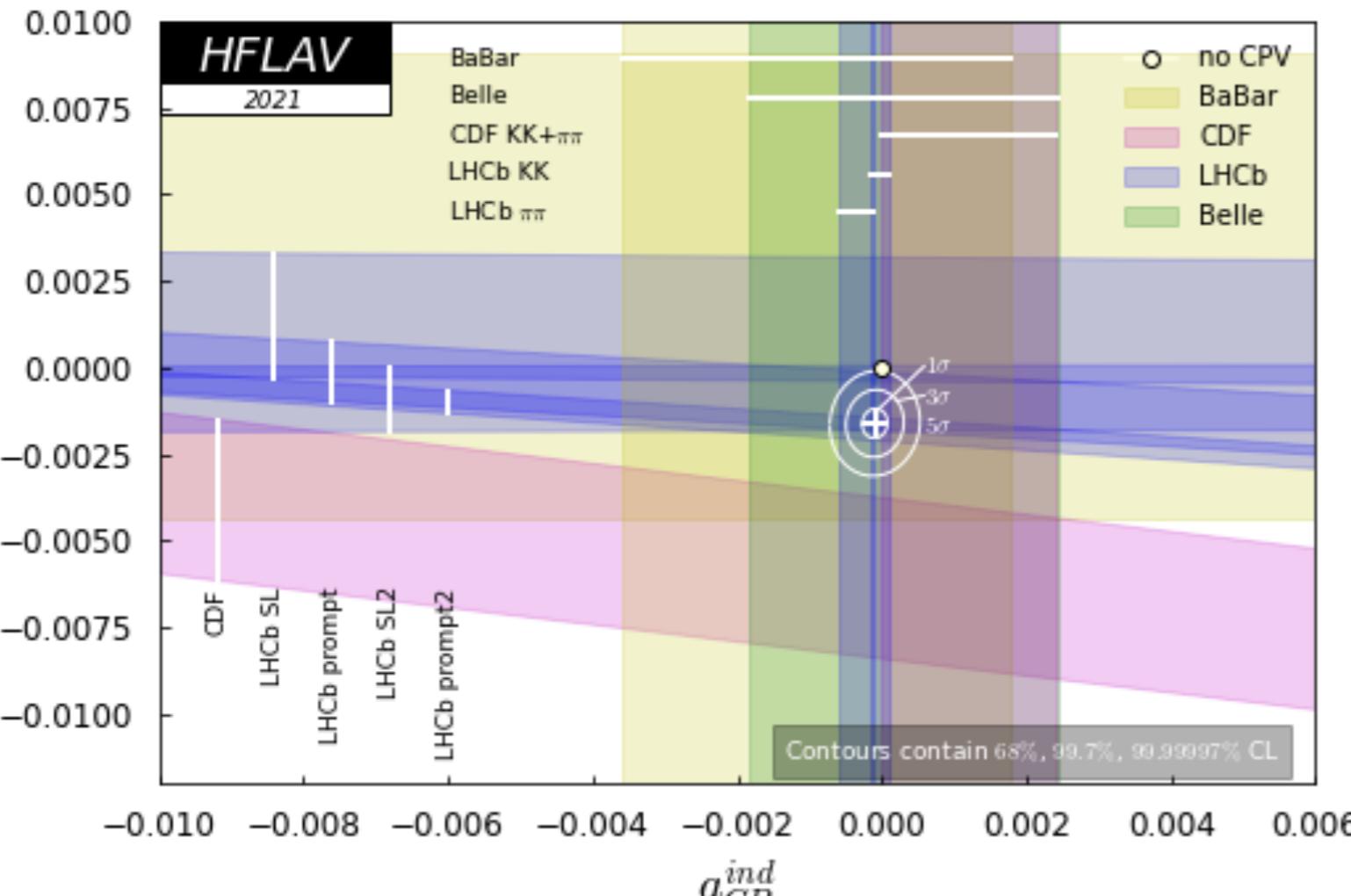
$$\begin{aligned}\Delta A_{CP} &\equiv A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-) \\ &\approx \Delta a_{CP}^{\text{dir}} \left(1 + \frac{\langle \bar{t} \rangle}{\tau} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{\text{ind}}\end{aligned}$$

$$\text{where } y_{CP} \equiv \frac{\Gamma_{CP^\pm}}{\Gamma} - 1$$

Mostly a measure of direct CPV

The indirect CPV is expected to cancel but a small amount could be present due to the different decay time acceptance of the two decays

CP violated in charm



$$a_{CP}^{ind} = (-0.010 \pm 0.012) \%$$

$$\Delta a_{CP}^{dir} = (-0.161 \pm 0.028) \%$$

- Direct CPV in charm
- No hint for indirect CPV
- SM or BSM?
 - Open question for now
- Need theoretical advances and more measurements

MODEL DEPENDENT METHODS

Dalitz plot analysis features

- Interference plays a significant role in the phase space distributions and in the physics sensitivity
- Amplitude analysis can explore several features of multibody decays
 - Relative phases between states
 - Sensitivity to CP violating effects
 - Resolve ambiguities in weak phases
 - Hadron spectroscopy

Amplitude analysis

- Amplitude: sum of contributions

$$\mathcal{A}(m_{12}^2, m_{23}^2) = \sum_{j=1}^N A_j(m_{12}^2, m_{23}^2) = \sum_{j=1}^N c_j F_j(m_{12}^2, m_{23}^2)$$

c_j : complex coefficients describing the relative magnitude and phase of the different isobars

F_j : dynamical amplitudes that contain the lineshape and spin-dependence of the hadronic part

Resonance mass term
(e.g. Breit–Wigner)

Barrier factors - p, q : momenta
of bachelor and resonance

Angular probability
distribution

- S-wave (non-resonant component) description difficult, increasingly turning to multiple approaches
- Isobar: Each contribution has clear physical meaning
- K-matrix: Experimental interface scattering results that enforce 2-body unitarity
- Quasi-model-independent: Binned amplitude determined directly from data