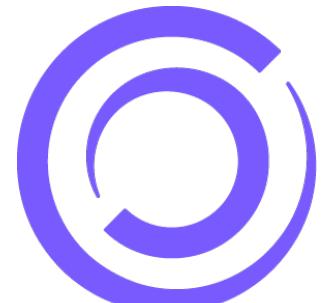


Rare leptonic and semileptonic charm decays at LHCb

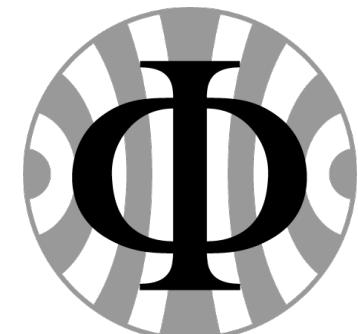
Daniel Unverzagt* on behalf of the LHCb collaboration

*Physikalisches Institut Heidelberg

July 17-21, 2023, Charm



FSP LHCb
Erforschung von
Universum und Materie



Rare (semi)leptonic charm decays

- Rare decays: Branching ratios $\leq \mathcal{O}(10^{-6})$ and decays able to test Flavour Changing Neutral Currents (FCNC)

Semileptonic transitions (FCNC)

down-type	up-type
$b \rightarrow sl^+l^-$	$t \rightarrow cl^+l^-$
$b \rightarrow dl^+l^-$	$t \rightarrow ul^+l^-$
$s \rightarrow dl^+l^-$	$c \rightarrow ul^+l^-$

Rare (semi)leptonic charm decays

- Rare decays: Branching ratios $\leq \mathcal{O}(10^{-6})$ and decays able to test Flavour Changing Neutral Currents (FCNC)
- Charm decays provide a unique probe, only bound system to study up-type FCNC
- Some New Physics (NP) models predict enhancements in decay rates, CP asymmetries or angular observables

Semileptonic transitions (FCNC)

down-type	up-type
$b \rightarrow sl^+l^-$	$t \rightarrow cl^+l^-$
$b \rightarrow dl^+l^-$	$t \rightarrow ul^+l^-$
$s \rightarrow dl^+l^-$	$c \rightarrow ul^+l^-$

Landscape of rare and forbidden charm decays

LFV, LNV, BNV	FCNC	VMD	Radiative
$D^0 \rightarrow \mu^+ e^-$	$D_{(s)}^+ \rightarrow \pi^+ l^+ l^-$	$D^0 \rightarrow \pi^- \pi^+ V(\rightarrow ll)$	$D^0 \rightarrow K^{*0} \gamma$
$D^0 \rightarrow p e^-$	$D_{(s)}^+ \rightarrow K^+ l^+ l^-$	$D^0 \rightarrow \rho^- V(\rightarrow ll)$	$D^0 \rightarrow (\phi, \rho, \omega) \gamma$
$D_{(s)}^+ \rightarrow h^+ \mu^+ e^-$	$D^0 \rightarrow K^- \pi^+ l^+ l^-$	$D^0 \rightarrow K^+ K^- V(\rightarrow ll)$	$D_s^+ \rightarrow \pi^+ \phi(\rightarrow ll)$
	$D^0 \rightarrow K^{*0} l^+ l^-$	$D^0 \rightarrow \phi^- V(\rightarrow ll)$	
$D_{(s)}^+ \rightarrow h^- l^+ l^+$	$D^0 \rightarrow \mu\mu$	$D^0 \rightarrow \pi^- \pi^+ l^+ l^-$	$D^0 \rightarrow K^+ \pi^- V(\rightarrow ll)$
$D^0 \rightarrow X^0 \mu^+ e^-$	$D^0 \rightarrow ee$	$D^0 \rightarrow \rho^- l^+ l^-$	$D^+ \rightarrow \pi^+ \phi(\rightarrow ll)$
$D^0 \rightarrow X^{--} l^+ l^+$		$D^0 \rightarrow K^+ K^- l^+ l^-$	$D^0 \rightarrow \bar{K}^{*0} V(\rightarrow ll)$
		$D^0 \rightarrow \gamma\gamma$	$D^0 \rightarrow K^- \pi^+ V(\rightarrow ll)$
		$D^0 \rightarrow \phi^- l^+ l^-$	$D^0 \rightarrow K^{*0} V(\rightarrow ll)$

Search for new physics

- Test new amplitudes
- Test new phases
- Test Lorentz structure

Search for new physics

- Test new amplitudes

$$\mathcal{A} = \mathcal{A}_0 \left(\frac{c_{SM}}{m_W^2} + \frac{c_{NP}}{\Lambda_{NP}^2} \right)$$

- Test new phases

$$\sim |\mathcal{A}_{SM}| |\mathcal{A}_{NP}| \sin \Delta\phi_{NP}$$

- Test Lorentz structure

$$\sim \bar{\Psi} \Gamma_{NP} \Psi$$

Search for new physics

- Test new amplitudes

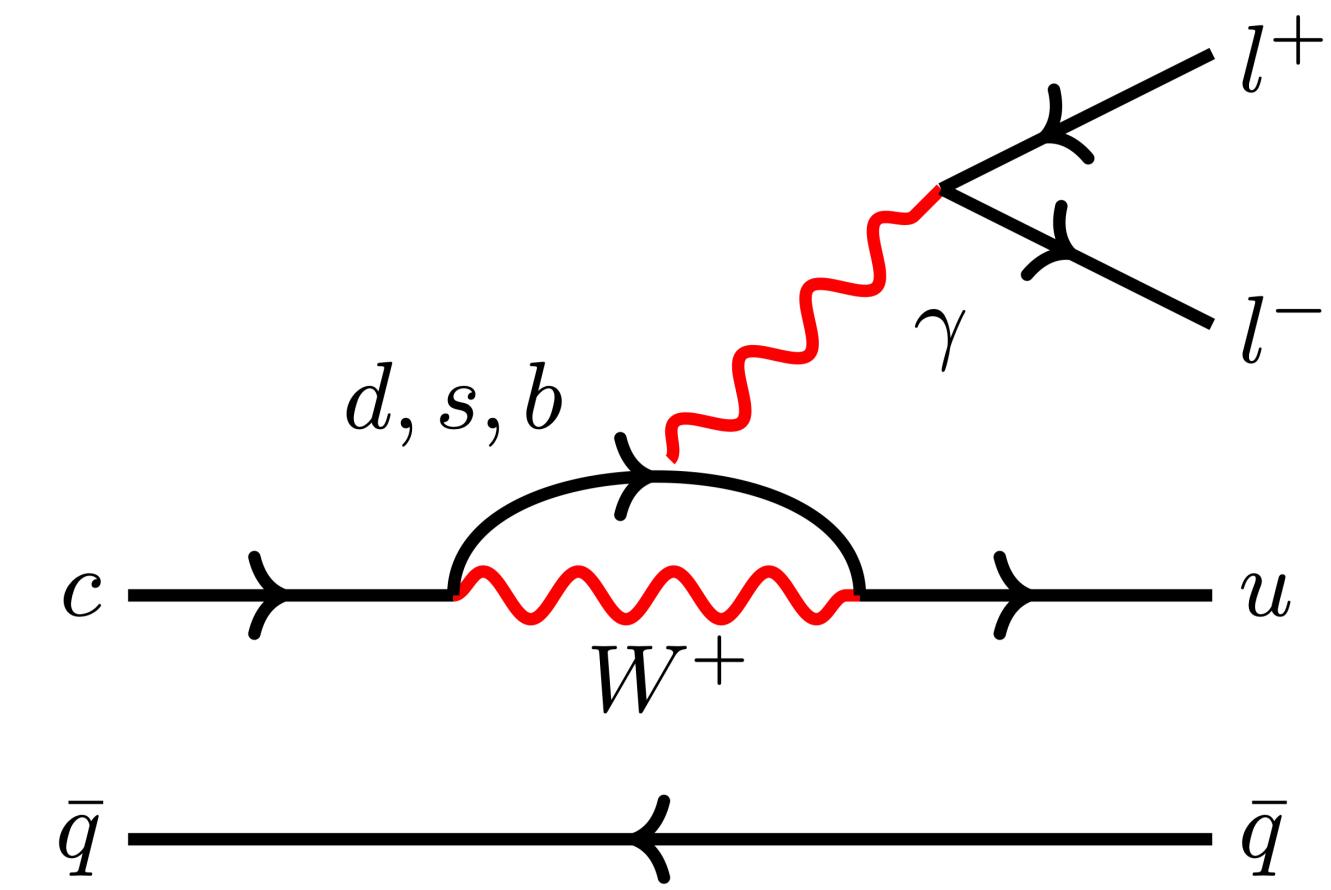
extremely suppressed due to GIM \Rightarrow below experimental sensitivity
 $\mathcal{B} < \mathcal{O}(10^{-10})$

- Test new phases

$$\text{Im}\left(\frac{V_{cb}^* V_{ub}}{V_{cd}^* V_{ud}}\right) \sim 10^{-3} \Rightarrow A_{CP} \sim 0$$

- Test Lorentz structure

no lepton axial vector coupling due to GIM suppression
parity conservation



Search for new physics

- Test new amplitudes

$$\mathcal{A} = \mathcal{A}_0 \left(\frac{c_{SM}}{m_W^2} + \frac{c_{NP}}{\Lambda_{NP}^2} \right) \Rightarrow \text{Enhancements possible up to } \mathcal{O}(10^{-7})$$

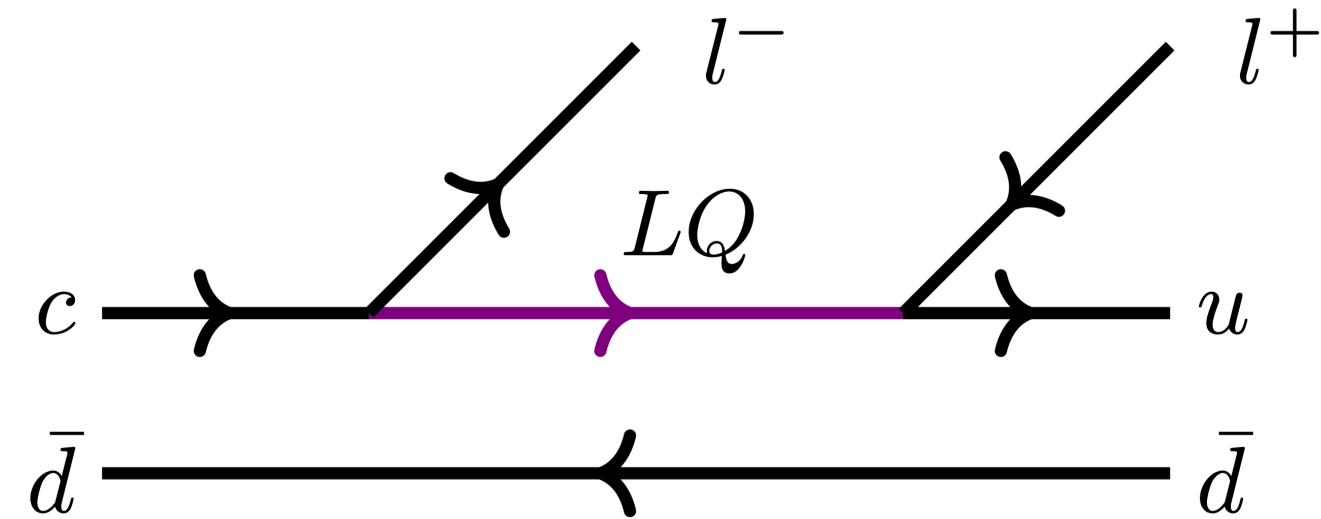
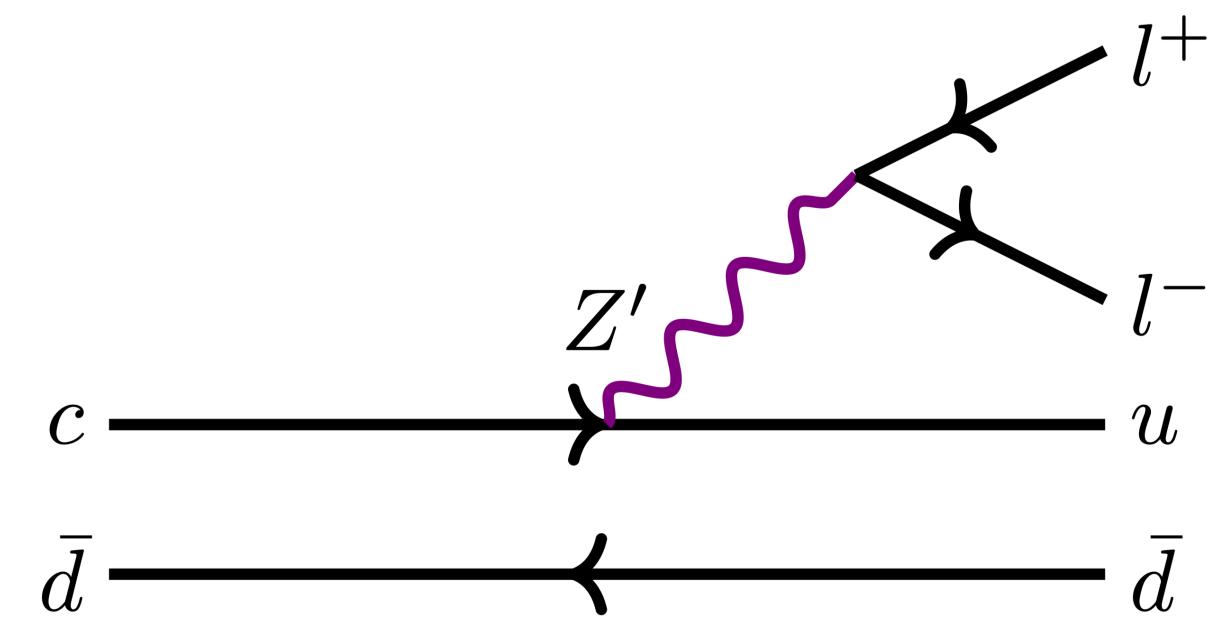
- Test new phases

$$\sim |\mathcal{A}_{SM}| |\mathcal{A}_{NP}| \sin \Delta\phi_{NP} \Rightarrow \text{CPV effects up to a few \%}$$

- Test Lorentz structure

$$\sim \bar{\Psi} \Gamma_{NP} \Psi \Rightarrow \text{modified or enhanced}$$

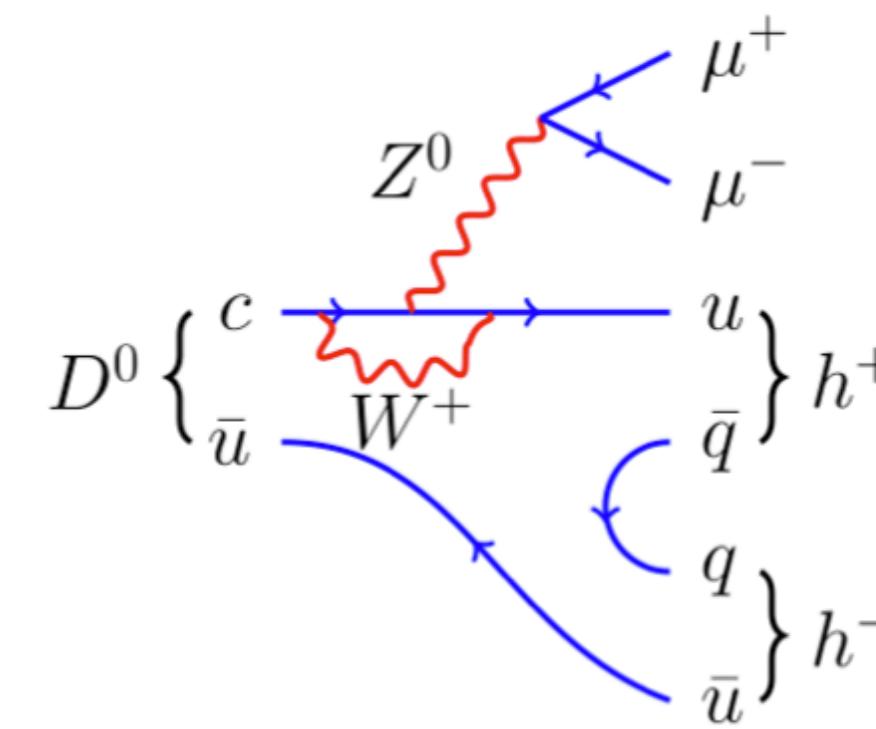
New Physics contributions examples:



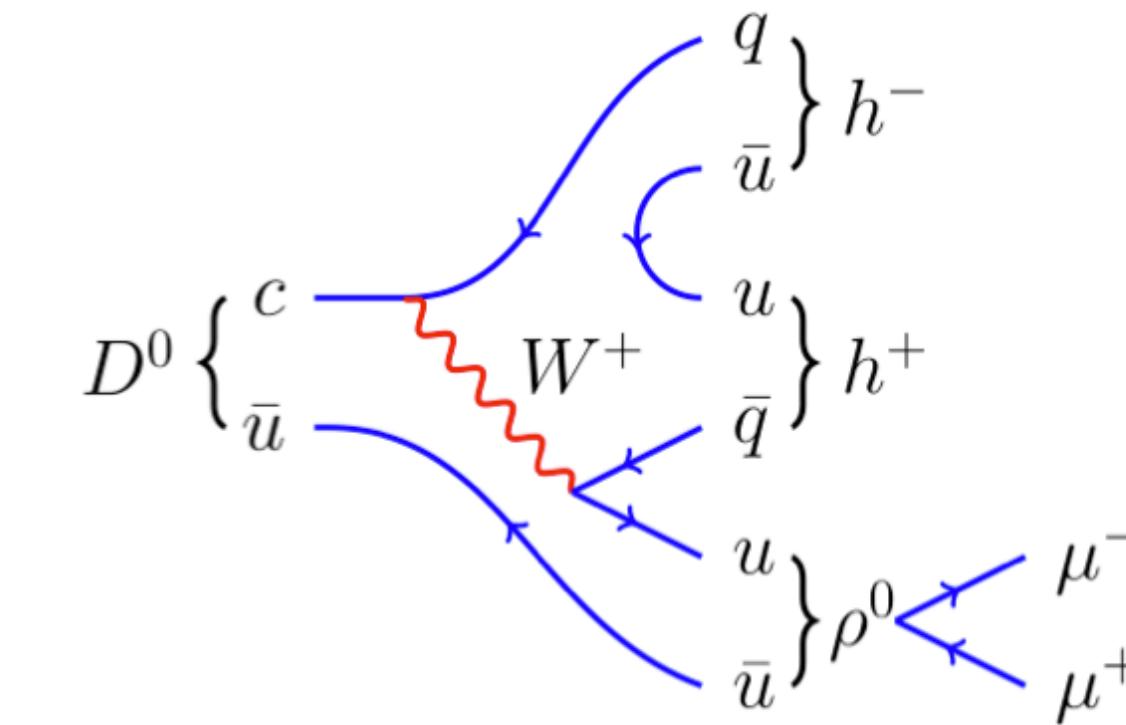
QCD effects

- Rare Charm decays are often dominated by Long Distance interactions (mesonic vector resonances) with tree-level dynamics competing with loop-diagrams.

Example Short Distance (SD) contribution:
Phys. Rev. Lett. 119, 181805 (2017)



Example Long Distance (LD) contribution:
Phys. Rev. Lett. 119, 181805 (2017)



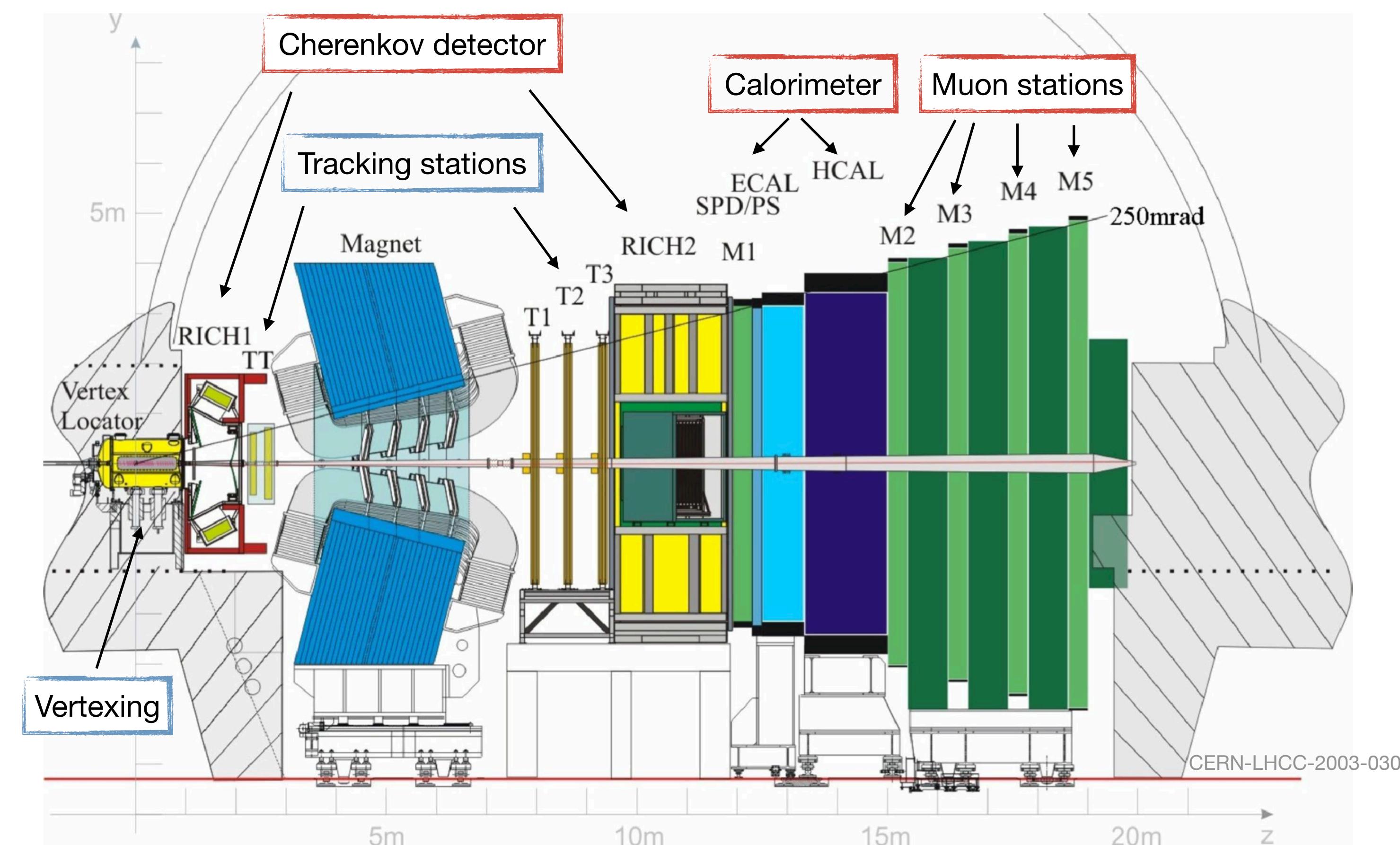
- Precise theoretical predictions are difficult for the branching fractions ($m_c \sim \Lambda_{QCD}$) resulting in predictions with high uncertainty.
- Task is to find ways to look for NP despite LD dominance:
 - Searches in certain regions of the phase space
 - Null tests based on (approximate) symmetries

The LHCb detector run 1&2 (2009-2018)

Int.J.Mod.Phys. A 30, 1530022 (2015)

- LHCb is a forward spectrometer at the LHC, optimised to study b- and c-hadrons
- Excellent **vertex resolution**, momentum resolution $\sigma_p/p \sim 0.5\%$
- **Particle identification** with calorimeter, muon stations and Cherenkov detectors (RICH), particle misidentification rate $\sim 1\%$
- Worlds largest sample of charm decays:
More than $10 \times 10^{12} c\bar{c}$ pairs produced within the LHCb acceptance between 2015 and 2018
 - The charm cross section is ~ 20 times larger than the b cross section

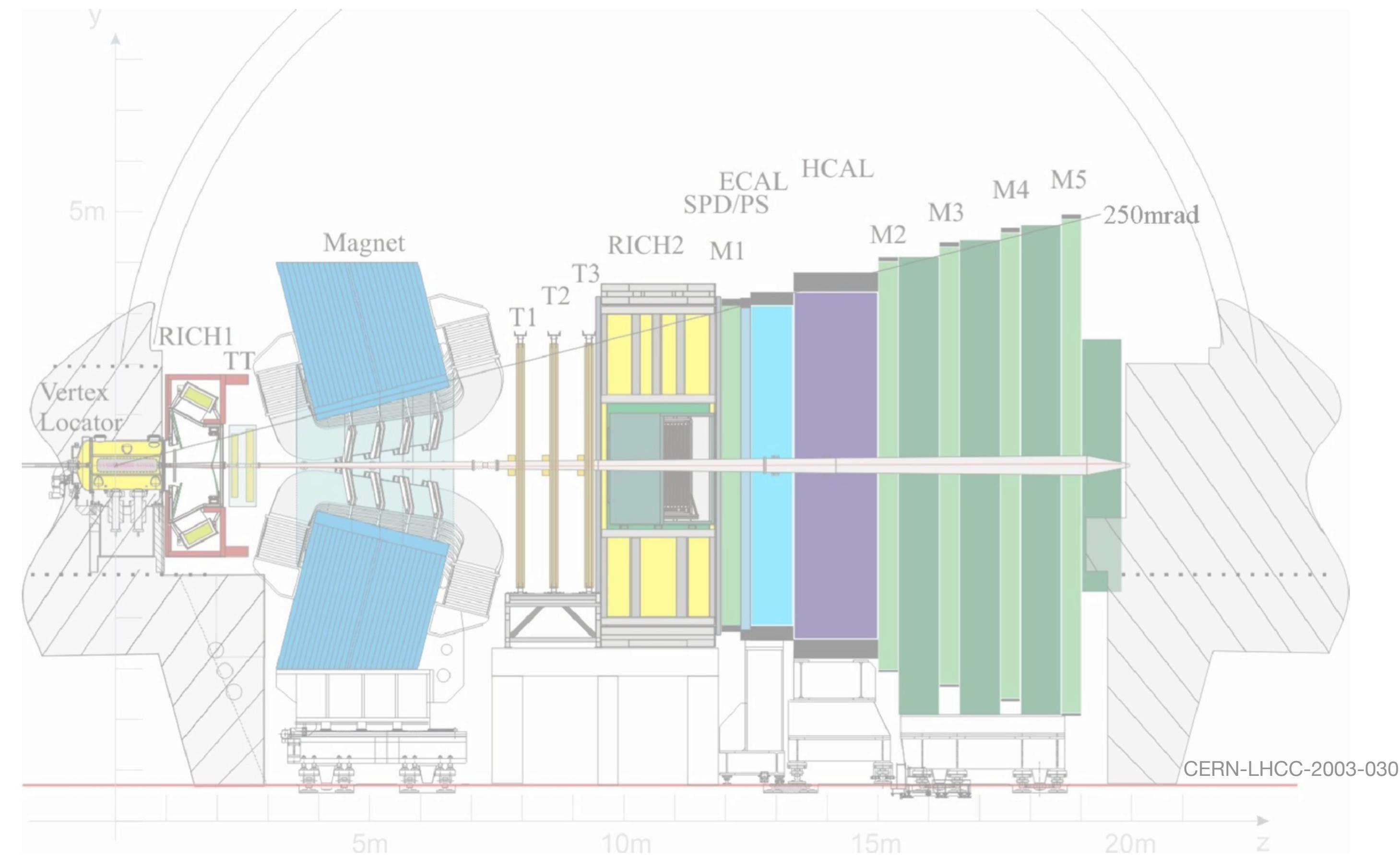
[JHEP03(2016)159]



Detection of Leptons at LHCb

In general:

- Small transverse momentum → hard to trigger on (difficult but LHCb is build for this)



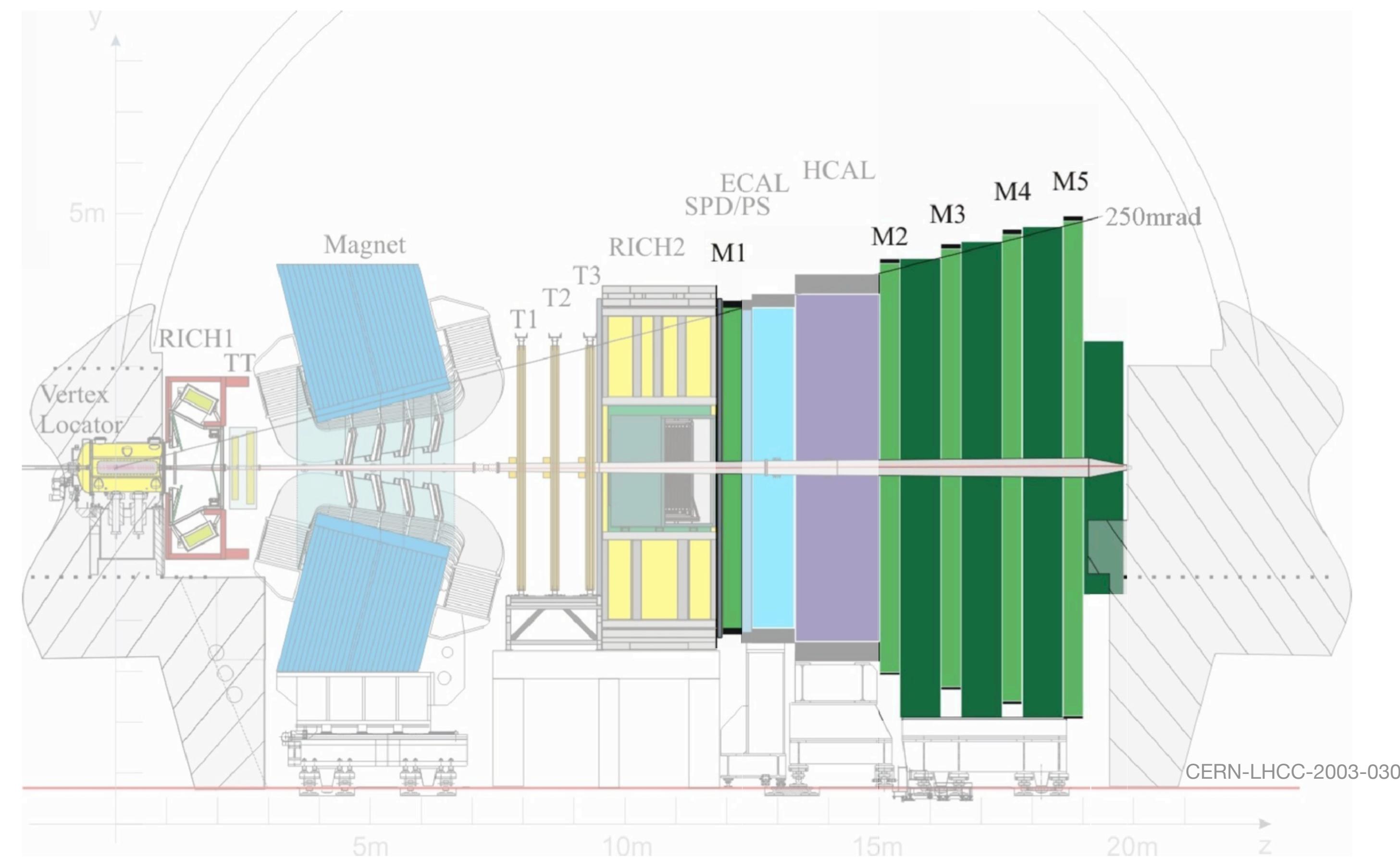
Detection of Leptons at LHCb

In general:

- Small transverse momentum → hard to trigger on (difficult but LHCb is build for this)

Muons:

- Dedicated muon chambers allow for excellent muon identification and reconstruction in addition to the tracking stations



Detection of Leptons at LHCb

In general:

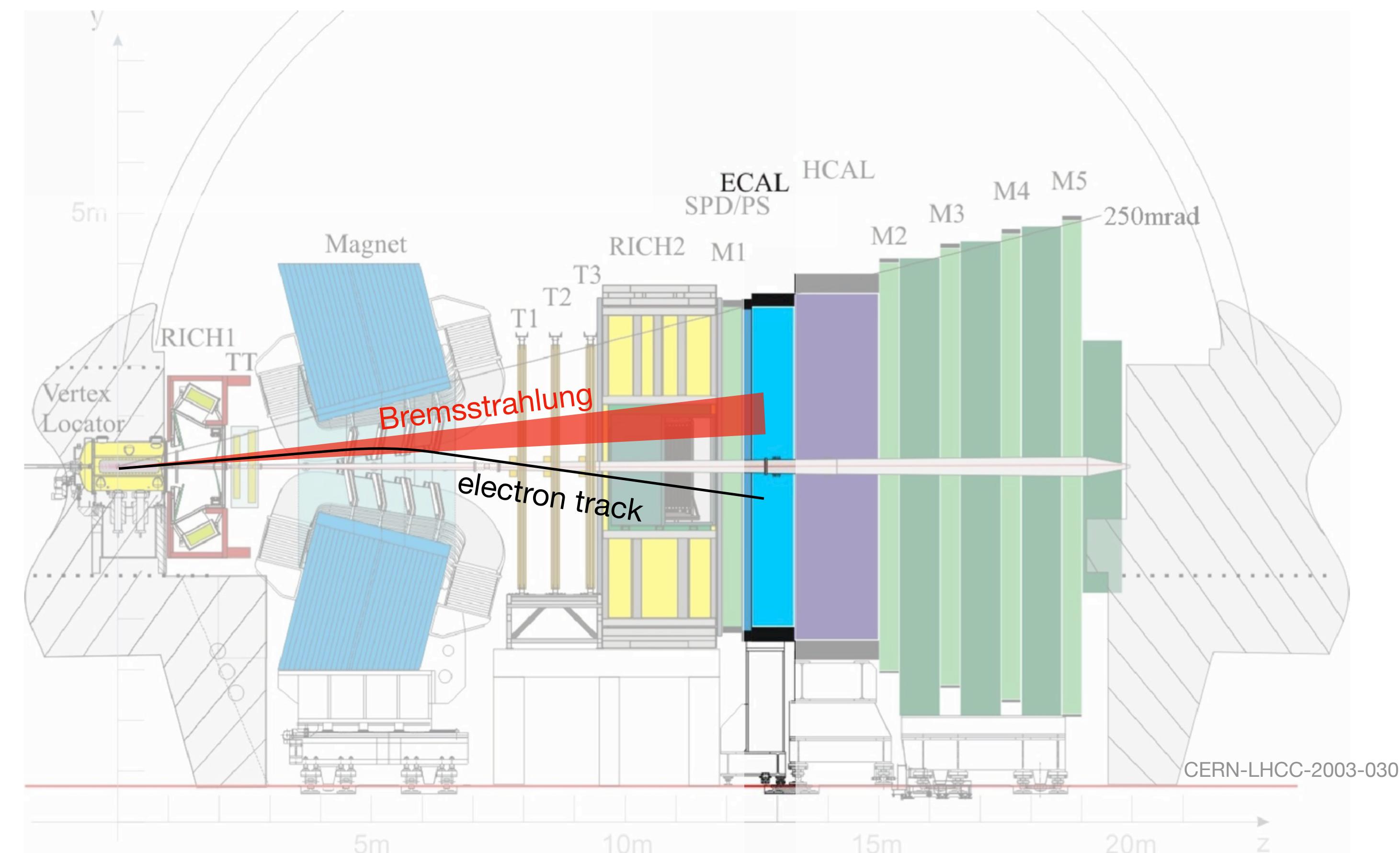
- Small transverse momentum → hard to trigger on (difficult but LHCb is build for this)

Muons:

- Dedicated muon chambers allow for excellent muon identification and reconstruction in addition to the tracking stations

Electrons:

- The electron emits bremsstrahlung before magnet → limited efficiency on bremsstrahlung recovery



Detection of Leptons at LHCb

In general:

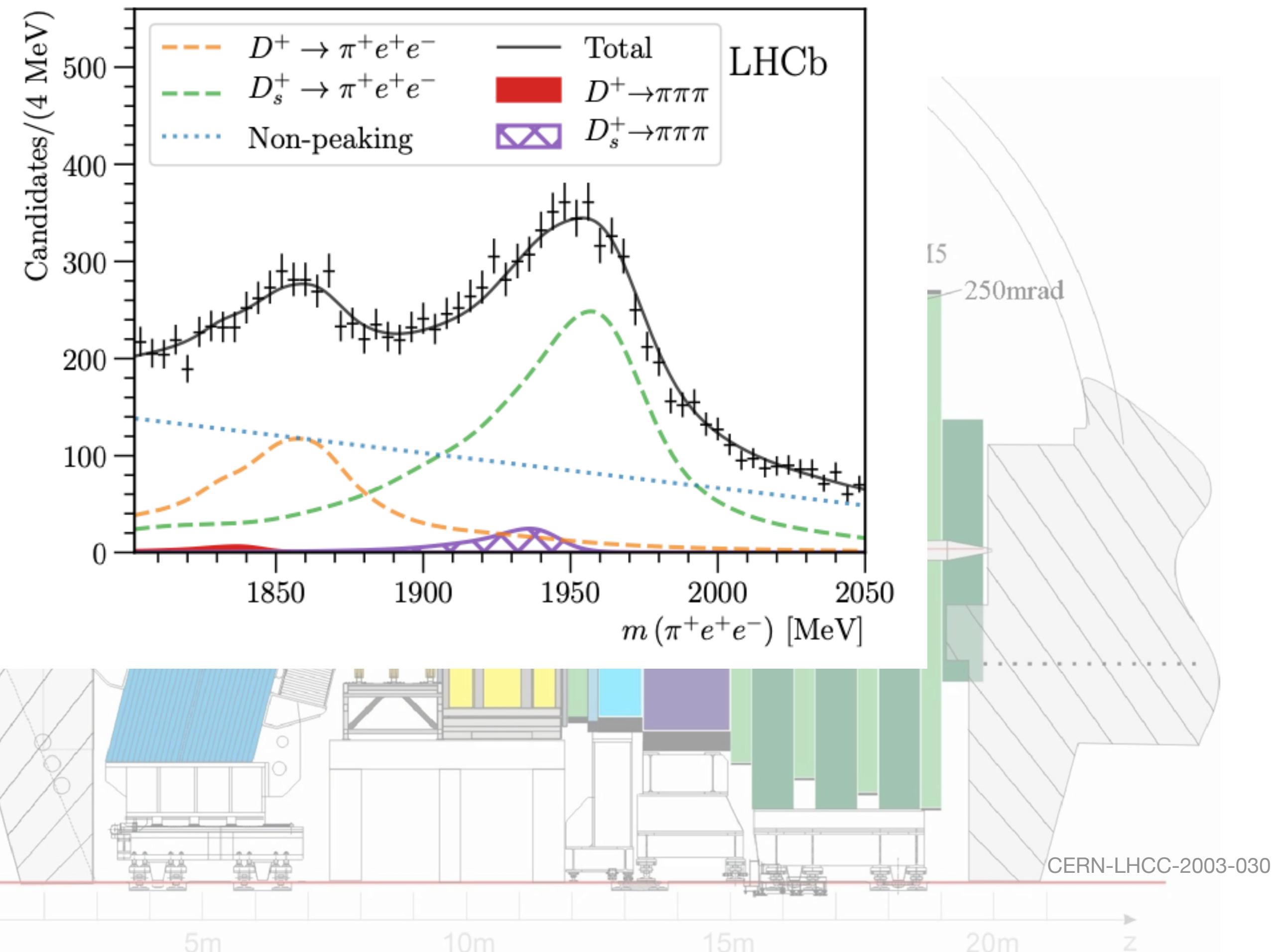
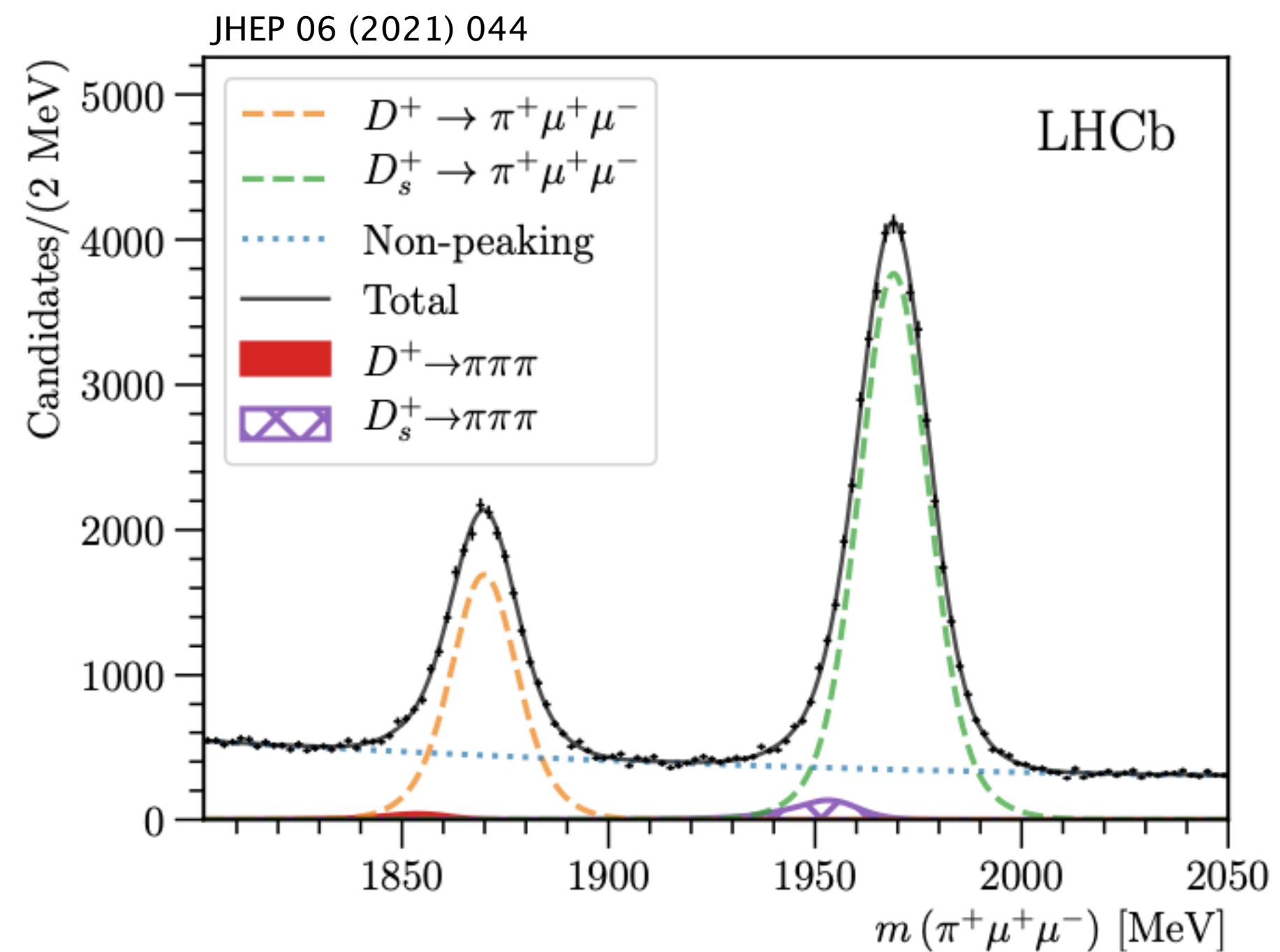
- Small trial trigger or

Muons:

- Dedicated excellent reconstructions

Electrons:

- The electron emits bremsstrahlung before magnet \rightarrow limited efficiency on bremsstrahlung recovery



Story of rare (semi)leptonic charm decays at LHCb*

*omitting superseded measurements

- 2015: First observation of the decay $D^0 \rightarrow K^-\pi^+\mu^+\mu^-$ in the $\rho^0 - \omega$ region of the dimuon mass spectrum
Phys. Lett. B 757 (2016) 558
- 2015: Search for the lepton-flavour violating decay $D^0 \rightarrow e^\pm\mu^\mp$
Phys. Lett. B 754 (2016) 167
- 2017: Rarest observed charm meson decays $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$ with branching fraction $\sim 10^{-7}$
Phys. Rev. Lett. 119, 181805 (2017)
- 2018: Search for the rare decay $\Lambda_c^+ \rightarrow p\mu^+\mu^-$
Phys. Rev. D 97, 091101 (2018)
- 2021: Searches for 25 rare and forbidden decays of D^+ and D_s^+ mesons
JHEP 06 (2021) 044
- 2021: Angular analysis of $D^0 \rightarrow \pi\pi\mu\mu$ and $D^0 \rightarrow KK\mu\mu$ decays and search for CP violation
Phys. Rev. Lett. 128, 221801 (2022)
- 2022: Search for rare decays of D^0 mesons into two muons
arXiv:2212.11203v1 [hep-ex] 21 Dec 2022
- 2023: Search for $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays
arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

Story of rare (semi)leptonic charm decays at LHCb*

*omitting superseded measurements

- 2015: First observation of the decay $D^0 \rightarrow K^-\pi^+\mu^+\mu^-$ in the $\rho^0 - \omega$ region of the dimuon mass spectrum
Phys. Lett. B 757 (2016) 558
- 2015: Search for the lepton-flavour violating decay $D^0 \rightarrow e^\pm\mu^\mp$
Phys. Lett. B 754 (2016) 167
- 2017: Rarest observed charm meson decays $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$ with branching fraction $\sim 10^{-7}$
Phys. Rev. Lett. 119, 181805 (2017)
- 2018: Search for the rare decay $\Lambda_c^+ \rightarrow p\mu^+\mu^-$
Phys. Rev. D 97, 091101 (2018)
- 2021: Searches for 25 rare and forbidden decays of D^+ and D_s^+ mesons
JHEP 06 (2021) 044
- 2021: Angular analysis of $D^0 \rightarrow \pi\pi\mu\mu$ and $D^0 \rightarrow KK\mu\mu$ decays and search for CP violation
Phys. Rev. Lett. 128, 221801 (2022)
- 2022: Search for rare decays of D^0 mesons into two muons
arXiv:2212.11203v1 [hep-ex] 21 Dec 2022
- 2023: Search for $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays
arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

Story of rare (semi)leptonic charm decays at LHCb*

*omitting superseded measurements

- 2015: First observation of the decay $D^0 \rightarrow K^-\pi^+\mu^+\mu^-$ in the $\rho^0 - \omega$ region of the dimuon mass spectrum
Phys. Lett. B 757 (2016) 558
- 2015: Search for the lepton-flavour violating decay $D^0 \rightarrow e^\pm\mu^\mp$
Phys. Lett. B 754 (2016) 167
- 2017: Rarest observed charm meson decays $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$ with branching fraction $\sim 10^{-7}$
Phys. Rev. Lett. 119, 181805 (2017)
- 2018: Search for the rare decay $\Lambda_c^+ \rightarrow p\mu^+\mu^-$
Phys. Rev. D 97, 091101 (2018)
- 2021: Searches for 25 rare and forbidden decays of D^+ and D_s^+ mesons
JHEP 06 (2021) 044
- 2021: Angular analysis of $D^0 \rightarrow \pi\pi\mu\mu$ and $D^0 \rightarrow KK\mu\mu$ decays and search for CP violation
Phys. Rev. Lett. 128, 221801 (2022)
- 2022: Search for rare decays of D^0 mesons into two muons
arXiv:2212.11203v1 [hep-ex] 21 Dec 2022
- 2023: Search for $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays
arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

Latest Measurements

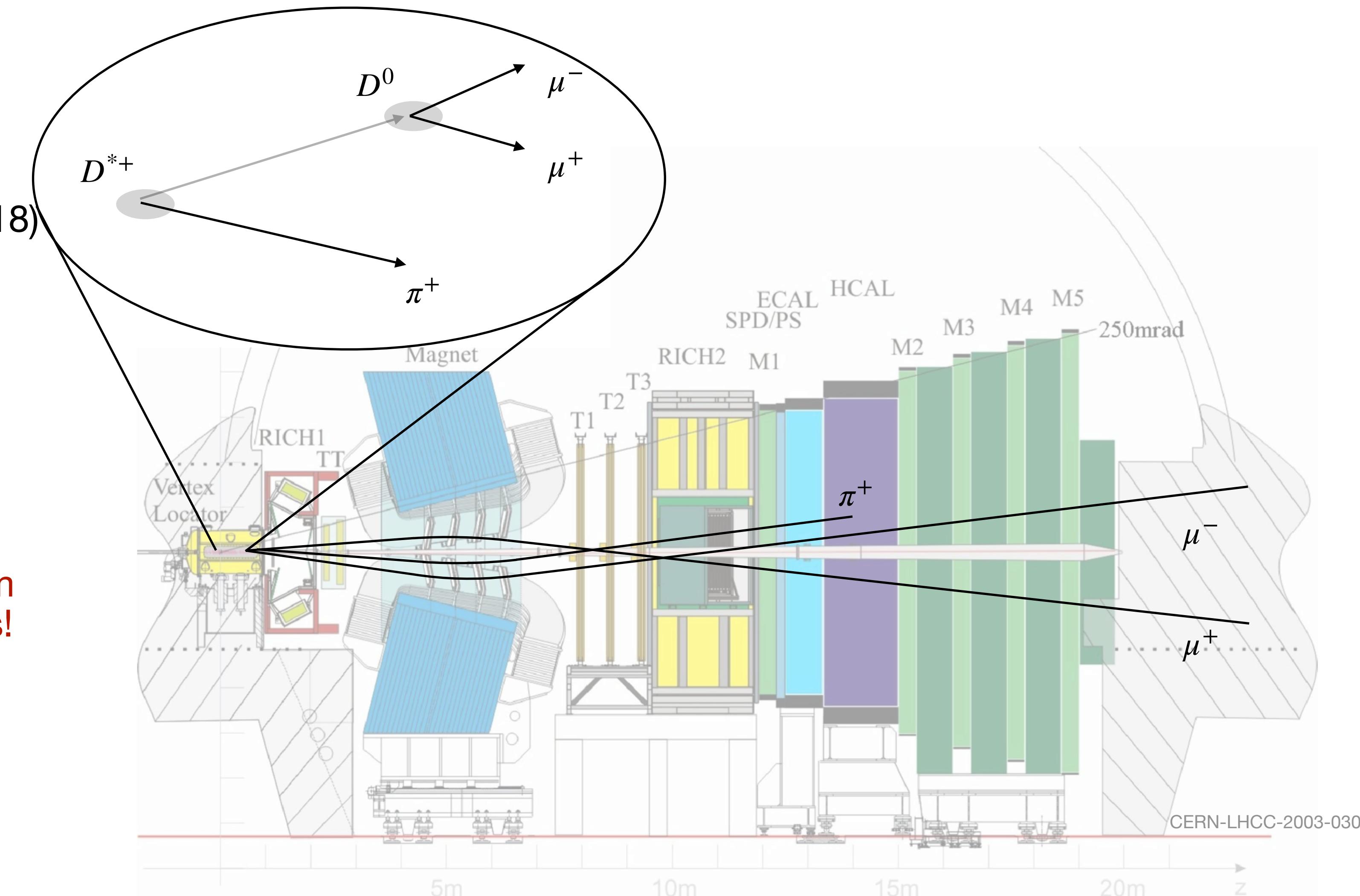
Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022

Used dataset:

- Run 1 (2011-2012) and Run 2 (2015-2018)
- Center of mass energy: 7, 8 and 13 TeV
- Luminosity: 9.0 fb^{-1}

High momentum muons (at least for charm decays) and final state contains no quarks!

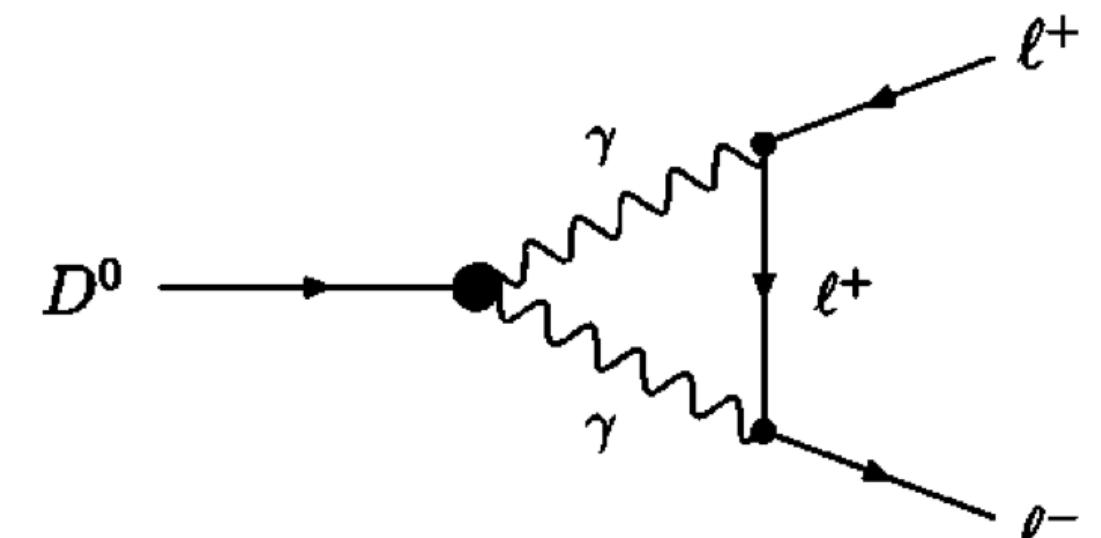


Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022

Long distance contribution:

- Expected to be dominated by intermediate two γ state
Phys. Rev. D 66, 014009 (2002)
- Expected branching ratio $\mathcal{O}(10^{-13})$
Phys. Rev. D 66, 014009 (2002)
- Branching ratio contribution of intermediate two γ state below $\mathcal{O}(10^{-11})$ due to experimental limit on $D^0 \rightarrow \gamma\gamma$ by Belle
Phys. Rev. D93 (2016) 051102

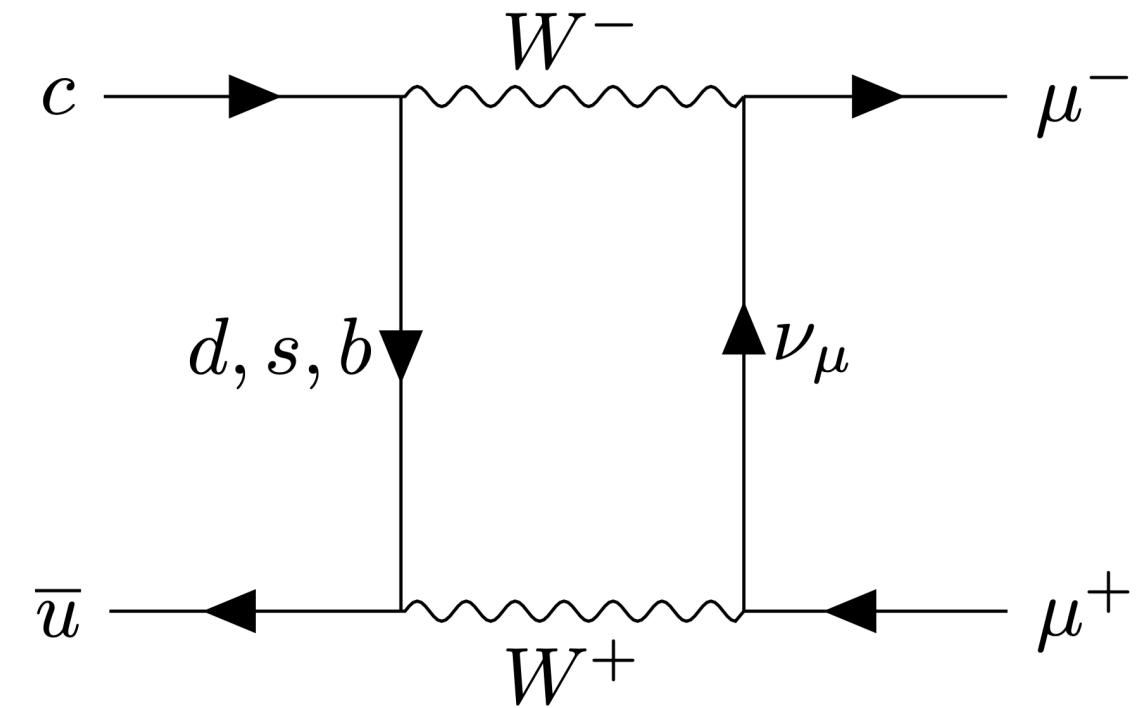


Phys. Rev. D 66, 014009 (2002)

Short distance contribution:

- Expected branching ratio $\mathcal{O}(10^{-18})$
Phys. Rev. D 66, 014009 (2002)
- Strong chirality suppression
- Rate could be enhanced by NP!

→ null test!



Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022

- Goal is to set a limit on or measure the branching ratio:

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) = \frac{N(D^0 \rightarrow \mu^+ \mu^-)}{\sigma(pp \rightarrow D^0) \mathcal{L}^{int}} \times \frac{1}{\epsilon(D^0 \rightarrow \mu^+ \mu^-)}$$

- Difficult to measure absolute rates at LHCb due to large uncertainties on cross section and luminosity

Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022

Determined by fit to the
invariant D^0 mass and
 $\Delta m(\mu\mu)$

External input:
 $\mathcal{B}(D^0 \rightarrow K^-\pi^+) \sim (10^{-2})$
 $\mathcal{B}(D^0 \rightarrow \pi^-\pi^+) \sim (10^{-3})$

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) = \frac{N(D^0 \rightarrow \mu^+ \mu^-)}{N(D^0 \rightarrow h^{(\prime)-} h^+)} \times \frac{\epsilon(D^0 \rightarrow h^{(\prime)-} h^+)}{\epsilon(D^0 \rightarrow \mu^+ \mu^-)} \times \mathcal{B}(D^0 \rightarrow h^{(\prime)-} h^+)$$

From simulations, corrected and cross
checked by data driven methods

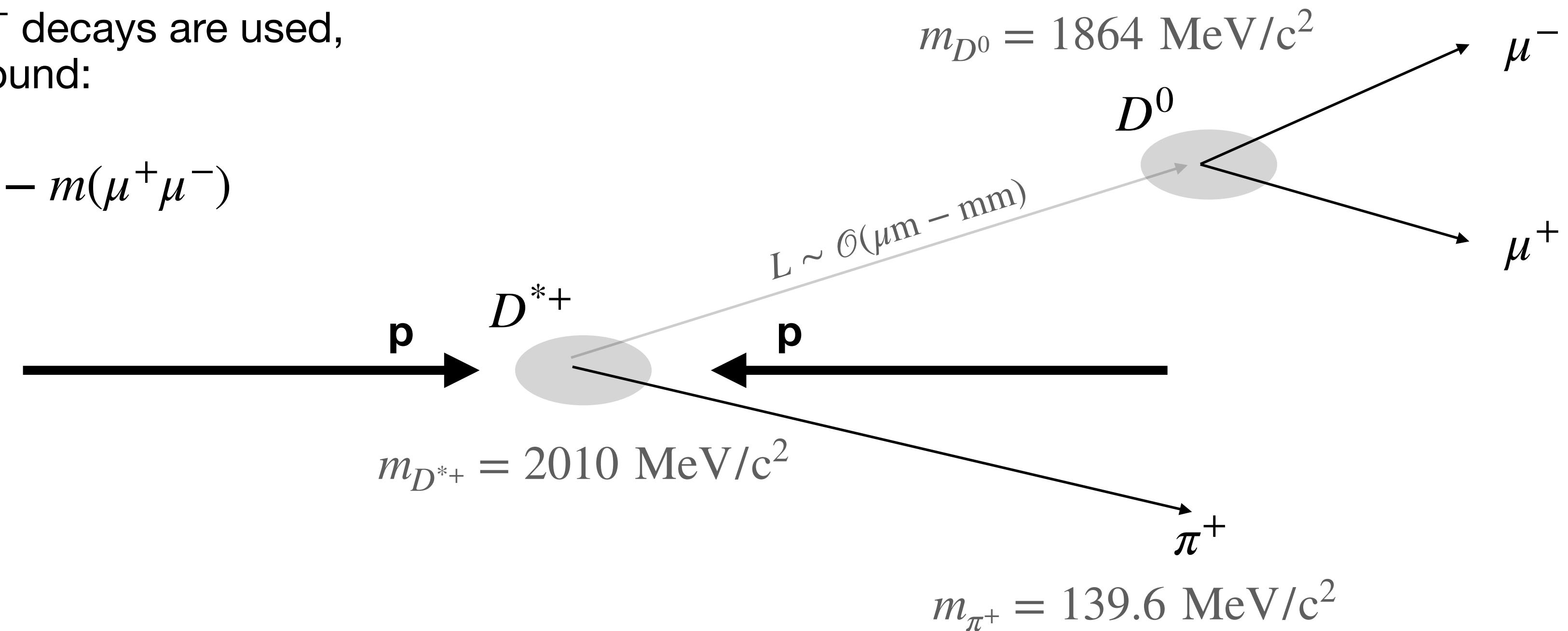
- The relative branching fraction is normalised to $D^0 \rightarrow K^-\pi^+$ and $D^0 \rightarrow \pi^-\pi^+$ denoted as $D^0 \rightarrow h^{(\prime)-} h^+$

Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022

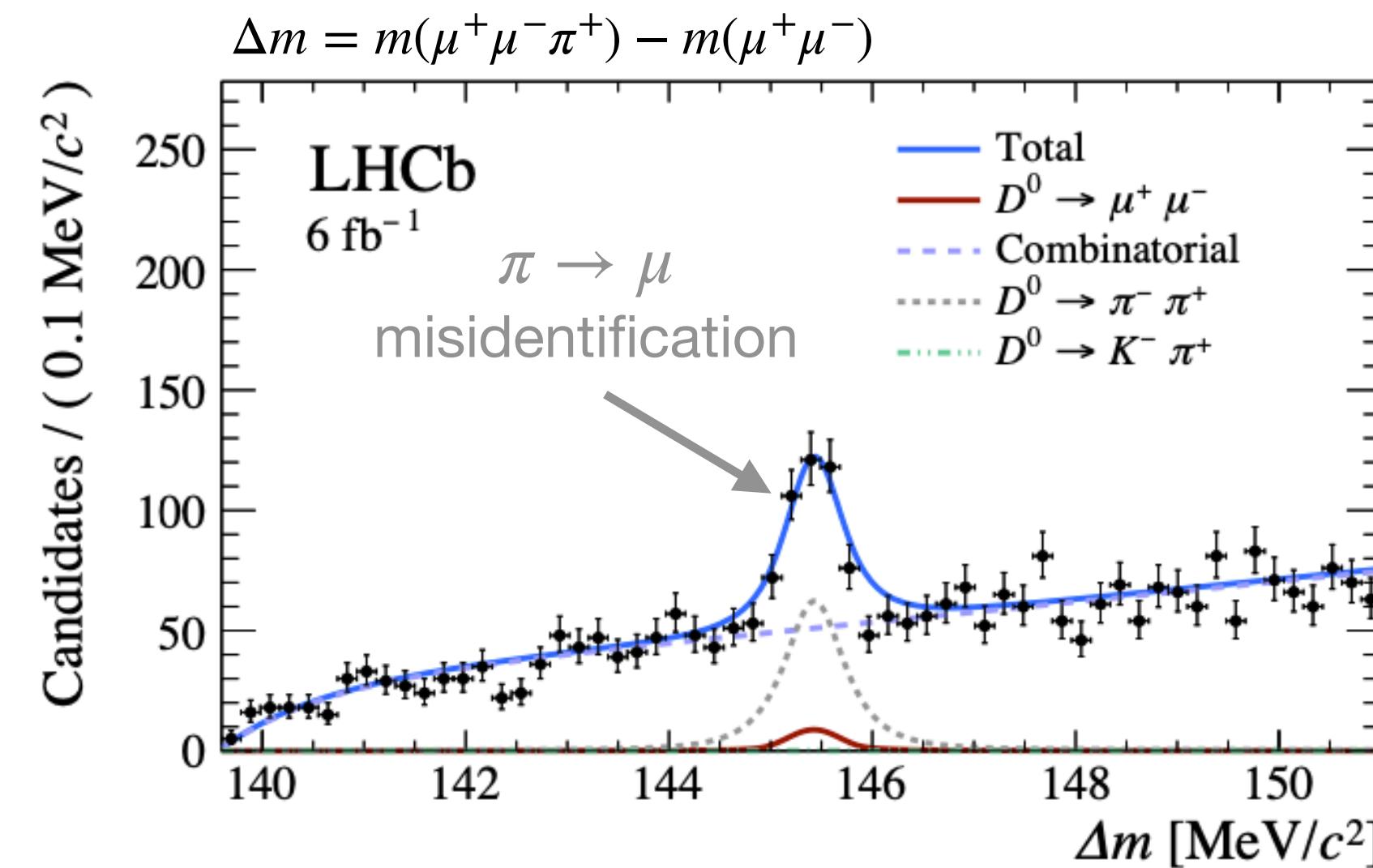
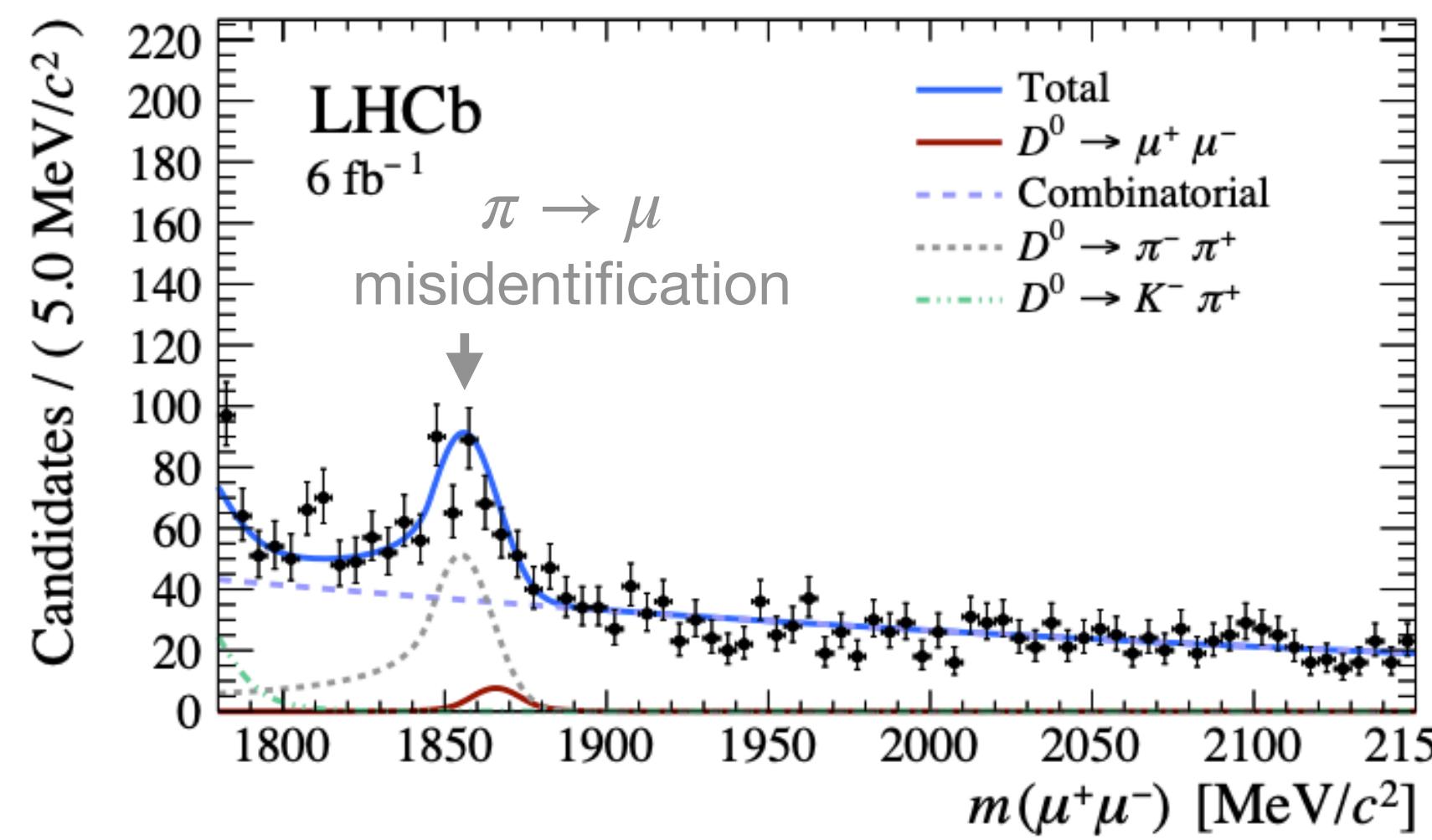
- Topological and kinematic properties are used to reconstruct possible candidates
- D^0 produced in D^{*+} decays are used, to suppress background:

$$\Delta m = m(\mu^+ \mu^- \pi^+) - m(\mu^+ \mu^-)$$



Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022

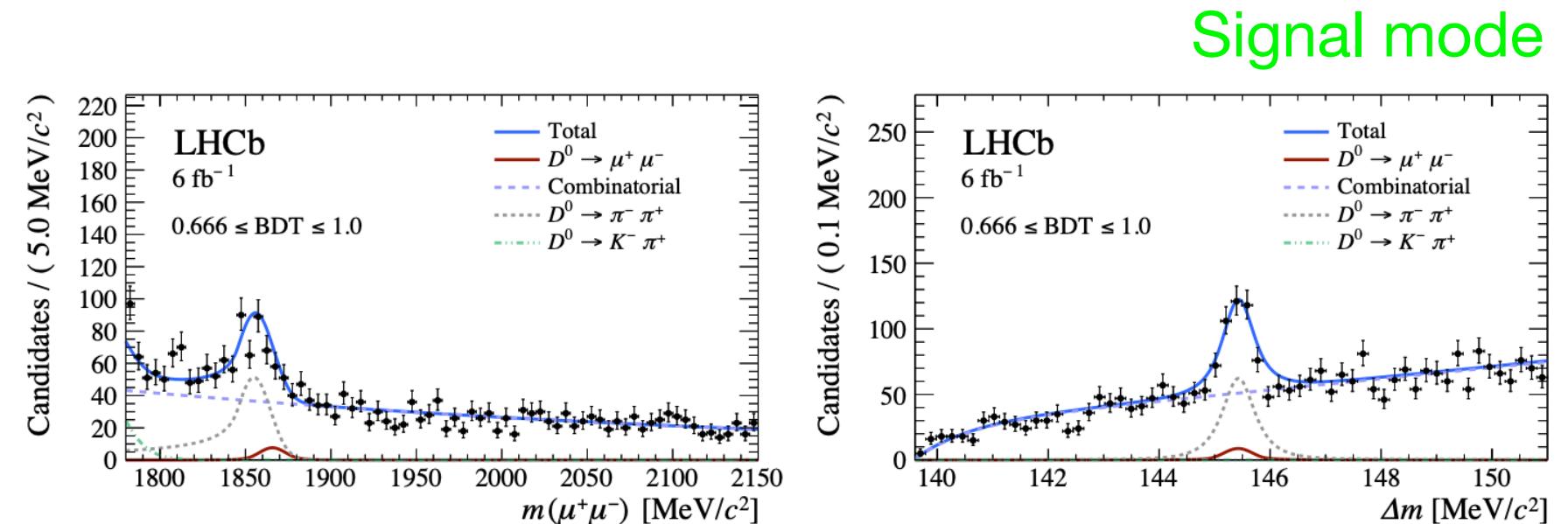


- Fit to $m(\mu\mu)$ and Δm

$$\Delta m = m(\mu^+ \mu^- \pi^+) - m(\mu^+ \mu^-)$$
- Number of misidentified, $\pi \rightarrow \mu$, $D^0 \rightarrow \pi^+ \pi^-$ decays constraint by MC studies
- Validated and crosschecked with data driven methods
- No significant signal is observed

Search for the decay $D^0 \rightarrow \mu^+ \mu^-$

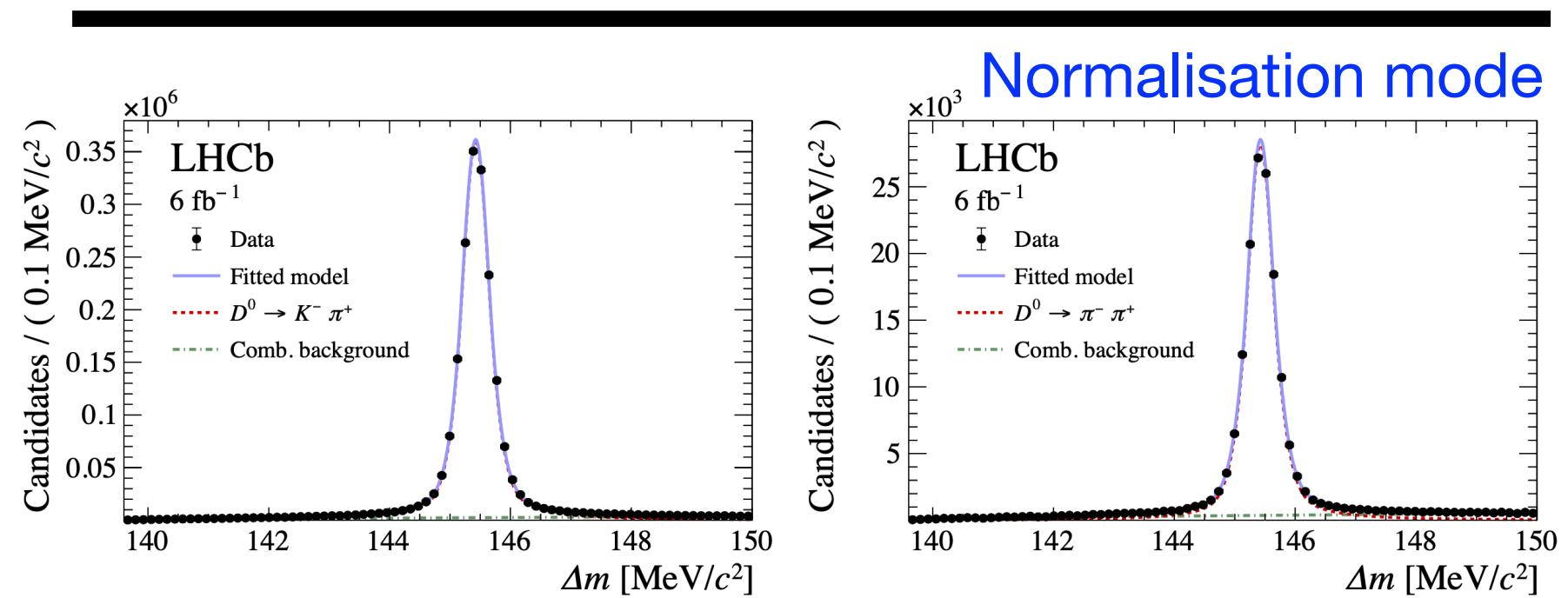
arXiv:2212.11203v1 [hep-ex] 21 Dec 2022



Most stringent limit on leptonic charm decays

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) \leq 3.1 \times 10^{-9} \text{ (90 \% CL)}$$

arXiv:2212.11203v1 [hep-ex] 21 Dec 2022



$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) = \frac{N(D^0 \rightarrow \mu^+ \mu^-)}{N(D^0 \rightarrow K^- \pi^+)} \times \frac{\epsilon(D^0 \rightarrow K^- \pi^+)}{\epsilon(D^0 \rightarrow \mu^+ \mu^-)} \times \mathcal{B}(D^0 \rightarrow K^- \pi^+)$$

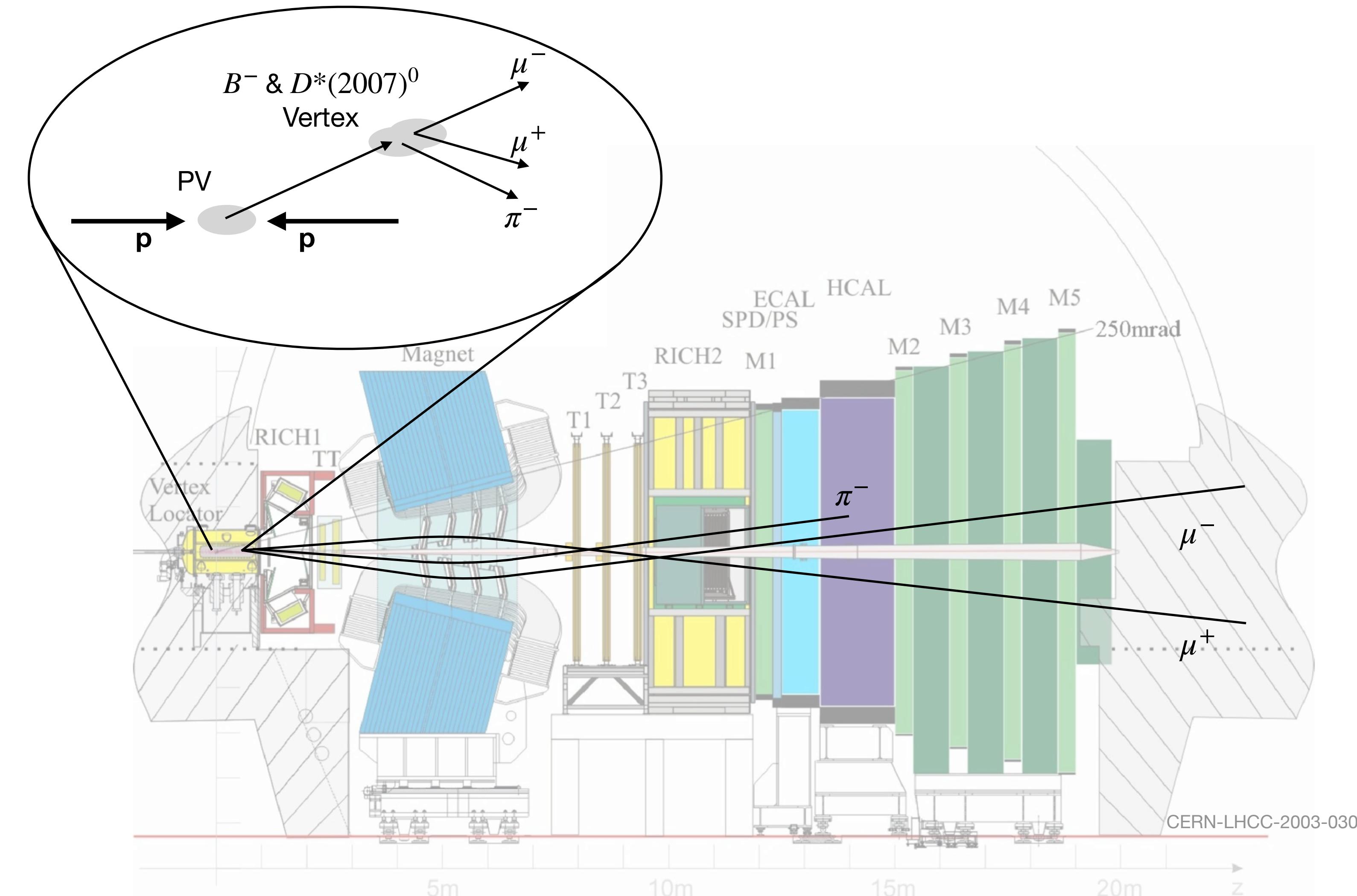
Search for the decay $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays

arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

Used dataset:

- Run 1 (2011-2012) and Run 2 (2015-2018)
- Center of mass energy: 7, 8 and 13 TeV
- Luminosity: 9.0 fb^{-1}

Using $D^*(2007)^0$ arising from B^- for better background separation



Search for the decay $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays

arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

- Never been measured before
- Contrary to $D^0 \rightarrow \mu^+\mu^-$ (pseudo-scalar) the excited vector state $D^*(2007)^0$ decaying to two muons has no chirality suppression
- Assuming Lepton Universality, decays with muon and electrons should have same branching ratio*
*apart from phase space arguments
- SM prediction for the branching ratio $\mathcal{B}(D^*(2007)^0 \rightarrow e^+e^-) \sim \mathcal{O}(10^{-18})$
JHEP 11 (2015) 142
- CMD-3:

$$\mathcal{B}(D^*(2007)^0 \rightarrow e^+e^-) \leq 1.7 \times 10^{-6} \text{ (90 \% CL)}$$

Phys. Atom. Nucl. 83 (2020) 954

Search for the decay $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays

arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

- Normalised to $B^- \rightarrow J/\psi(\rightarrow \mu^+\mu^-)\pi^-$
- Additional **branching fraction** information needed to calculate $\mathcal{B}(D^{*0} \rightarrow \mu^+\mu^-)$

$$\mathcal{B}(D^{*0} \rightarrow \mu^+\mu^-) = \frac{N(B^- \rightarrow D^{*0}(\rightarrow \mu^+\mu^-)\pi^-)}{N(B^- \rightarrow J/\psi(\rightarrow \mu^+\mu^-)K^-)} \times \frac{\epsilon(B^- \rightarrow J/\psi(\rightarrow \mu^+\mu^-)K^-)}{\epsilon(B^- \rightarrow D^{*0}(\rightarrow \mu^+\mu^-)\pi^-)} \times \frac{\mathcal{B}(B^- \rightarrow J/\psi K^-)}{\mathcal{B}(B^- \rightarrow D^{*0}\pi^-)} \times \mathcal{B}(J/\psi \rightarrow \mu^-\mu^+)$$

Determined by fit to the invariant D^{*0} and B^- mass

From simulations, corrected and cross checked by data driven methods

External input:

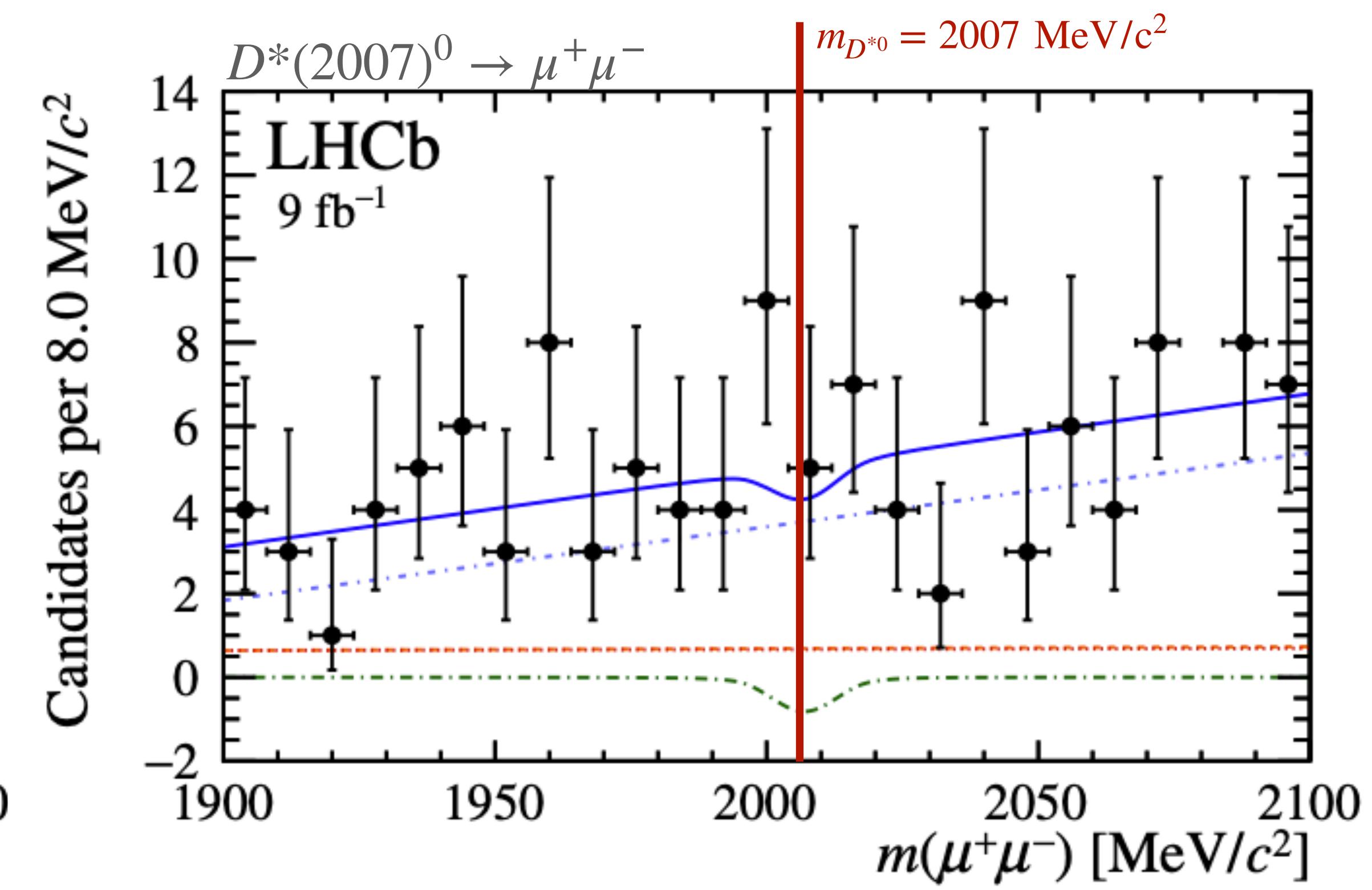
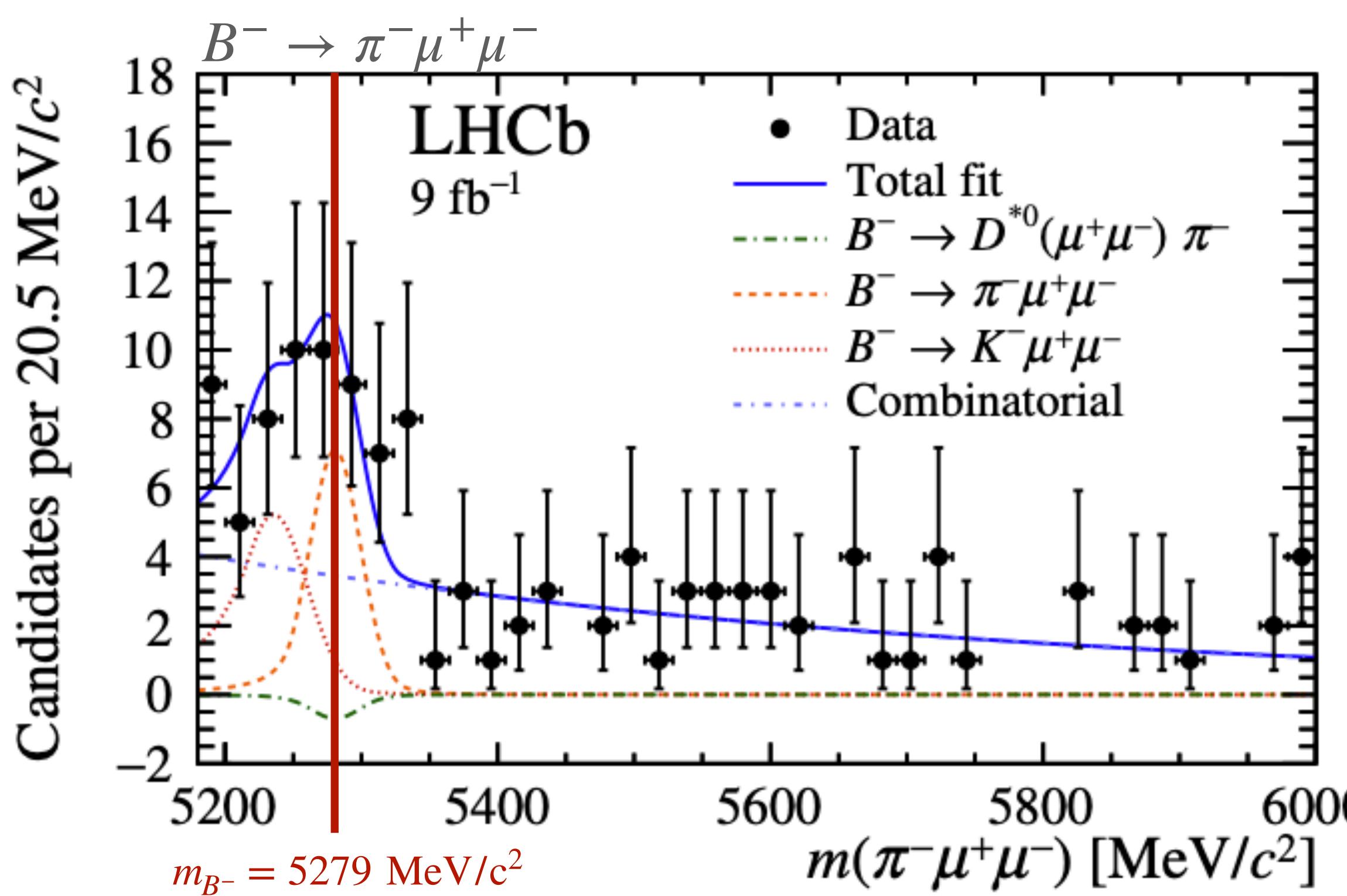
$\mathcal{B}(J/\psi \rightarrow \mu^-\mu^+) \sim (10^{-2})$
 $\mathcal{B}(B^- \rightarrow J/\psi K^-) \sim (10^{-3})$
 $\mathcal{B}(B^- \rightarrow D^{*0}\pi^-) \sim (10^{-3})$

Exp. Phys. 2022 (2022) 083C01

Search for the decay $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays

arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

- Two dimensional fit to $m(\mu\mu)$ and $m(\pi\mu\mu)$
- Background due to a wrongly identified kaon and non resonant $B^- \rightarrow \pi^-\mu^+\mu^-$ is flat within the fit range in the dimuon spectrum



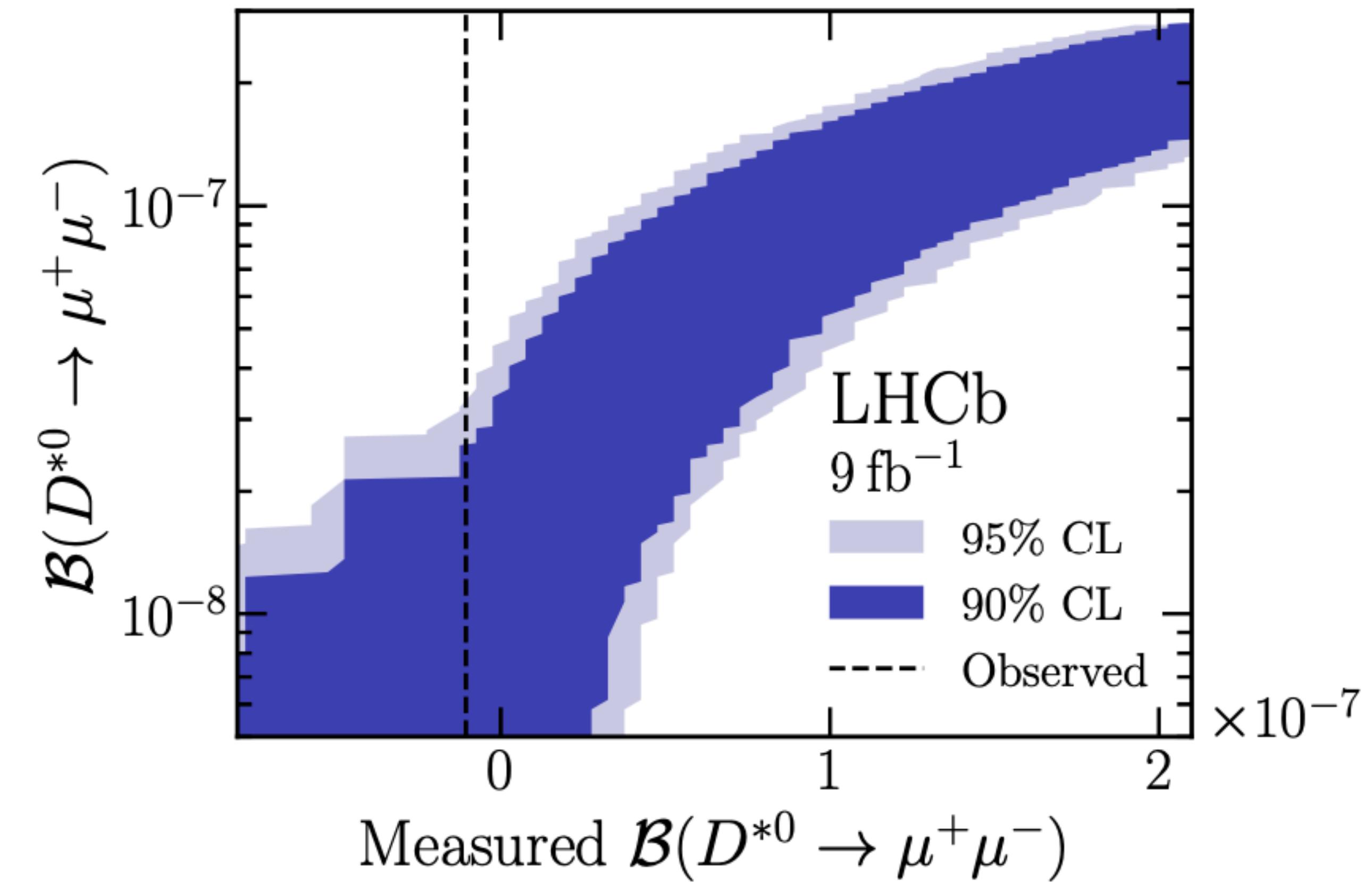
Search for the decay $D^*(2007)^0 \rightarrow \mu^+\mu^-$ in $B^- \rightarrow \pi^-\mu^+\mu^-$ decays

arXiv:2304.01981v2 [hep-ex] 5 Apr 2023

- First limit for $D^*(2007)^0 \rightarrow \mu^+\mu^-$:

$$\mathcal{B}(D^*(2007)^0 \rightarrow \mu^+\mu^-) \leq 2.6 \times 10^{-8} \text{ (90 \% CL)}$$

- Assuming LFU, increases constraints on $D^*(2007)^0 \rightarrow e^+e^-$ set by CMD-3 by two orders of magnitude



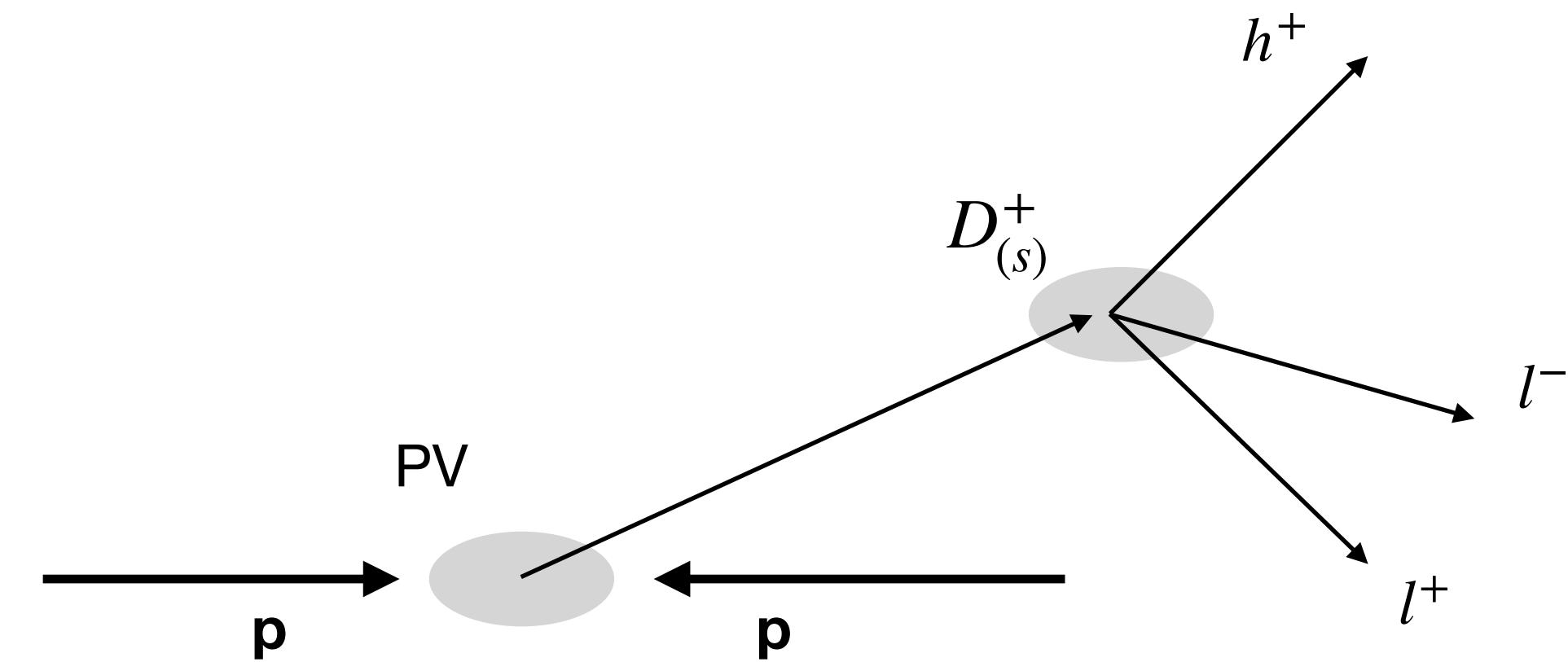
Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

Used dataset:

- Run 2 (only 2016)
- Center of mass energy: 13 TeV
- Luminosity: 1.6 fb^{-1}

Study of 25 **rare** and **forbidden** decays



→ **forbidden decays provide perfect null test**

$$\begin{aligned}D^+ &\rightarrow \pi^+ \mu^+ \mu^- \\D^+ &\rightarrow \pi^- \mu^+ \mu^+ \\D^+ &\rightarrow \pi^+ \mu^+ e^- \\D^+ &\rightarrow \pi^- \mu^+ e^+ \\D^+ &\rightarrow \pi^+ e^+ \mu^-\end{aligned}$$

$$\begin{aligned}D^+ &\rightarrow \pi^+ e^+ e^- \\D^+ &\rightarrow \pi^- e^+ e^+ \\D^+ &\rightarrow K^+ \mu^+ \mu^- \\D^+ &\rightarrow K^+ \mu^+ e^- \\D^+ &\rightarrow K^+ e^+ \mu^-\end{aligned}$$

$$\begin{aligned}D^+ &\rightarrow K^+ e^+ e^- \\D_s^+ &\rightarrow \pi^+ \mu^+ \mu^- \\D_s^+ &\rightarrow \pi^- \mu^+ \mu^+ \\D_s^+ &\rightarrow \pi^+ \mu^+ e^- \\D_s^+ &\rightarrow \pi^- \mu^+ e^+\end{aligned}$$

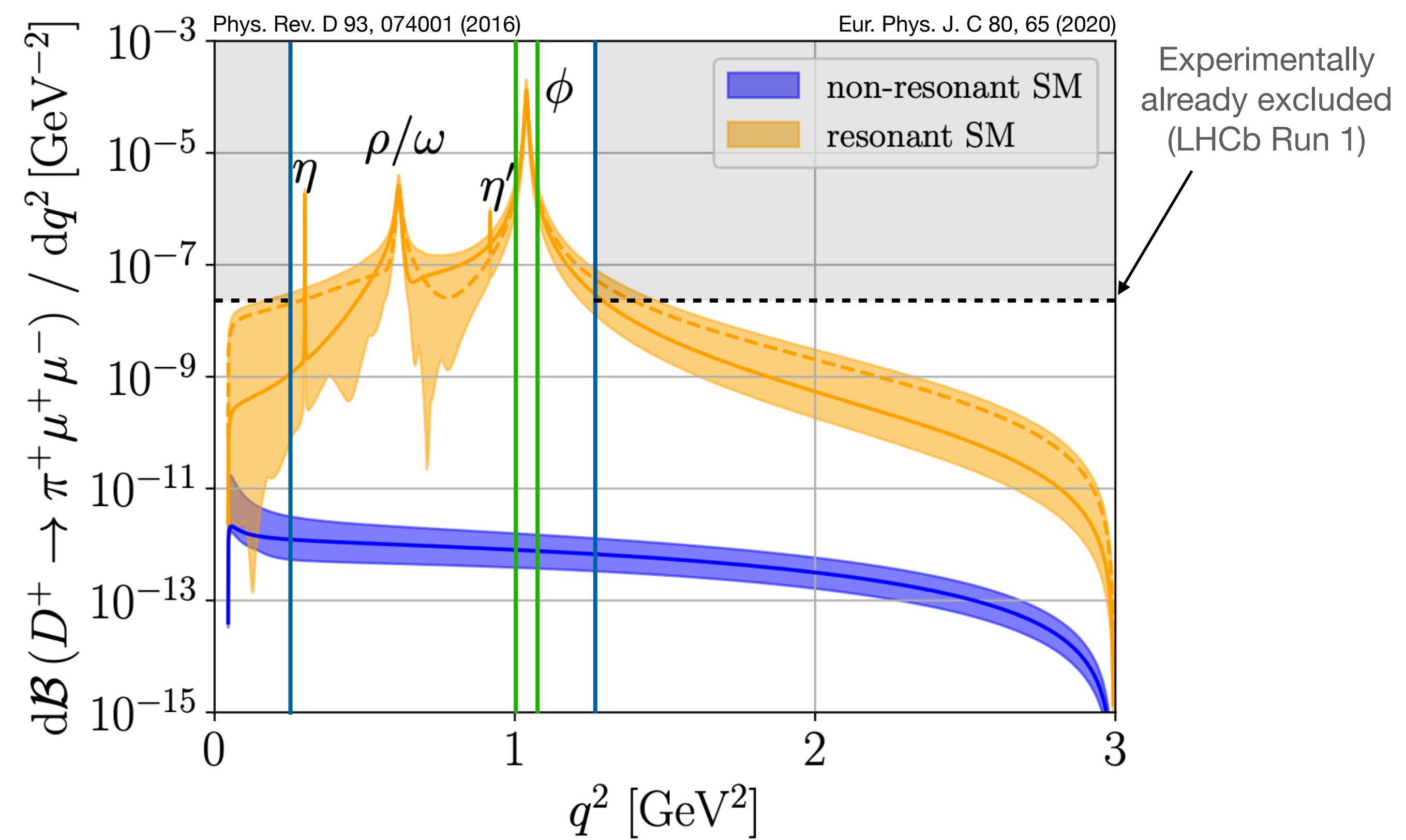
$$\begin{aligned}D_s^+ &\rightarrow \pi^+ e^+ \mu^- \\D_s^+ &\rightarrow \pi^+ e^+ e^- \\D_s^+ &\rightarrow \pi^- e^+ e^+ \\D_s^+ &\rightarrow K^+ \mu^+ \mu^- \\D_s^+ &\rightarrow K^- \mu^+ \mu^+\end{aligned}$$

$$\begin{aligned}D_s^+ &\rightarrow K^+ \mu^+ e^- \\D_s^+ &\rightarrow K^- \mu^+ e^+ \\D_s^+ &\rightarrow K^+ e^+ \mu^- \\D_s^+ &\rightarrow K^+ e^+ e^- \\D_s^+ &\rightarrow K^- e^+ e^+\end{aligned}$$

Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

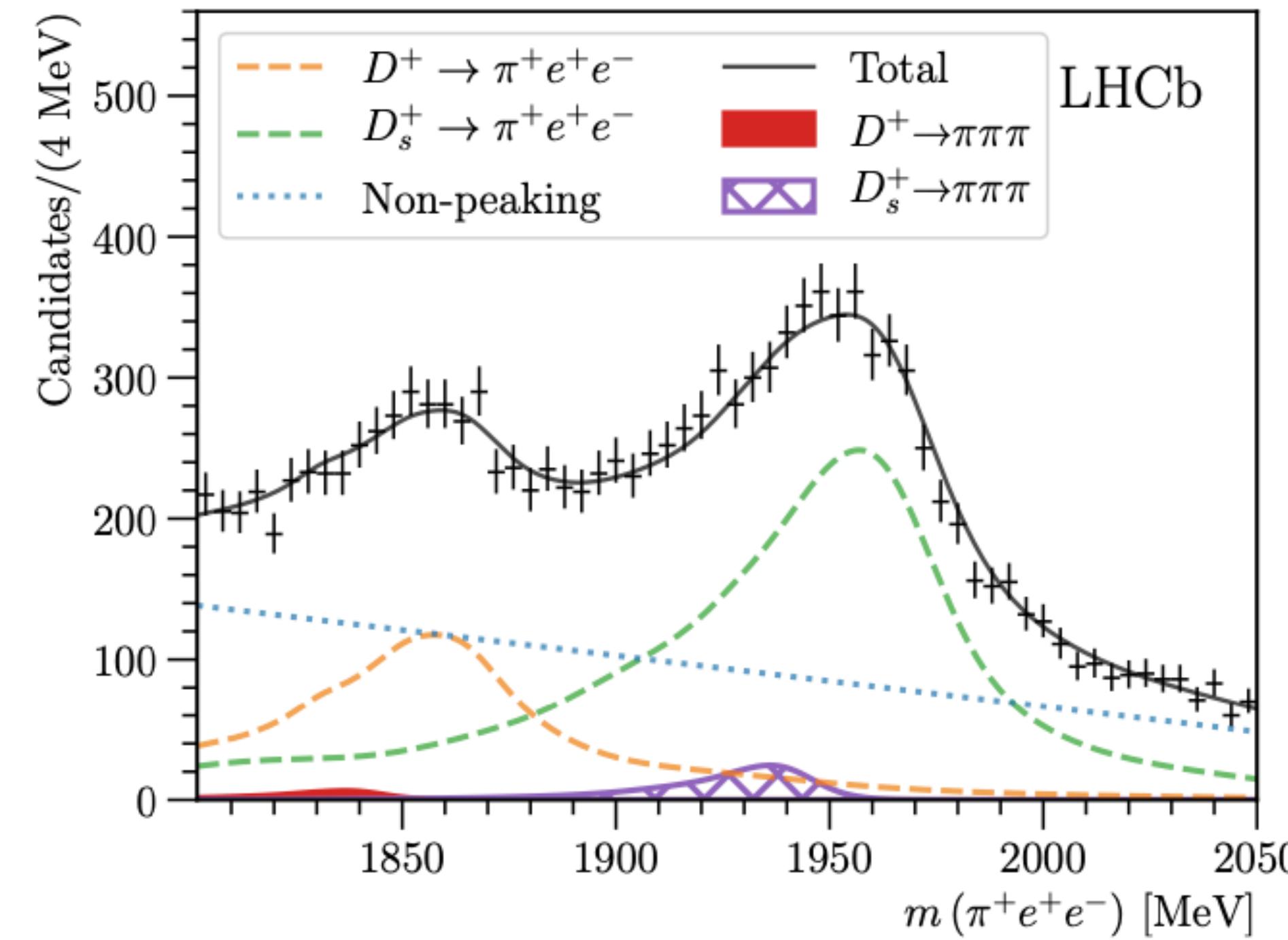
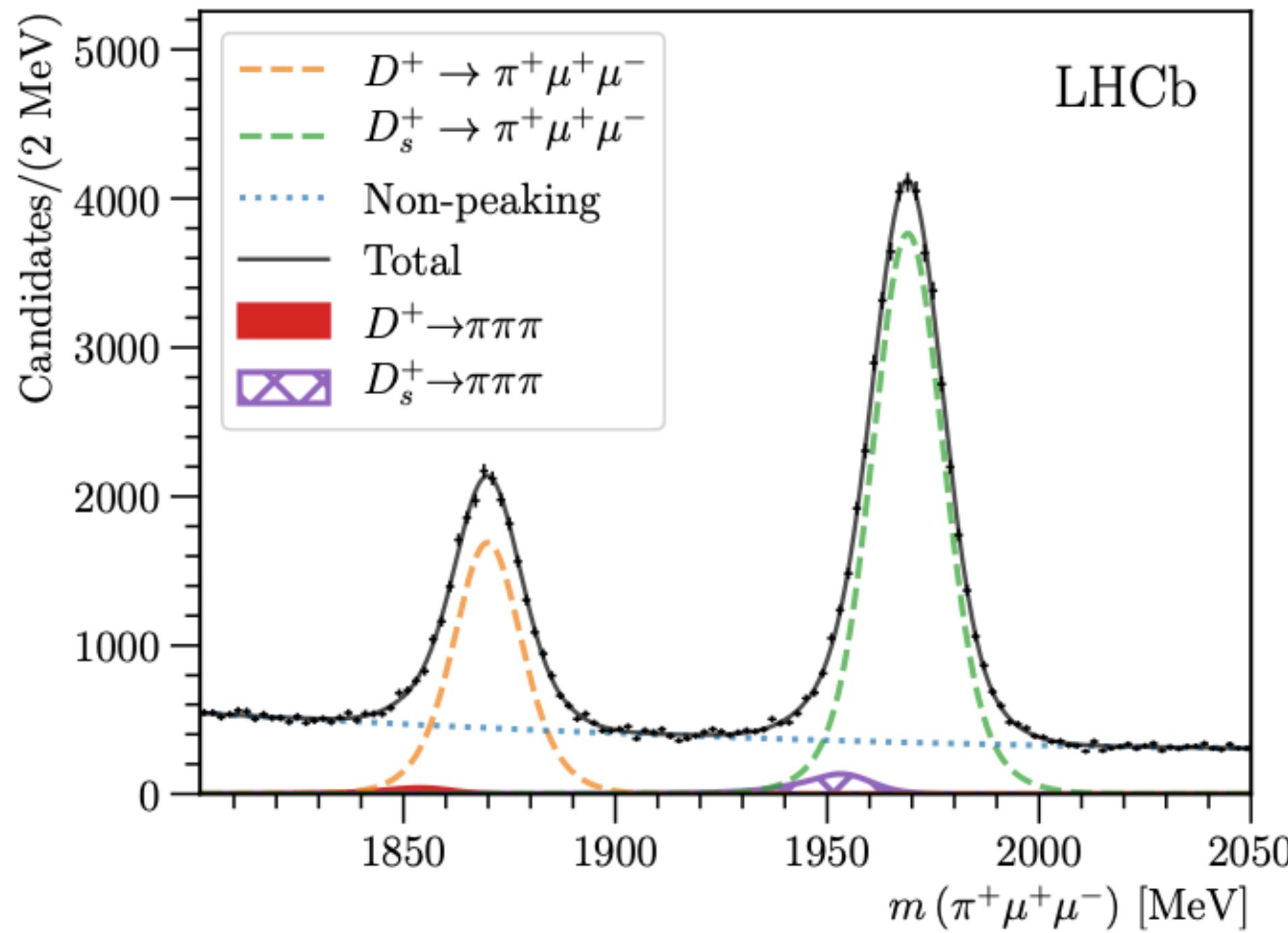
- For SM allowed decays, **resonant area**, $q = m(ll) \in [525 \text{ MeV}, 1250 \text{ MeV}]$, containing $D_{(s)}^+ \rightarrow V(\rightarrow l^+l^-)\pi^+$ with $V = \eta, \rho^0/\omega, \phi$ and $l = \mu, e$, **vetoed**
- Normalised to ϕ resonance $D_{(s)}^+ \rightarrow \phi(\rightarrow \mu^+\mu^-)\pi^+$ and $D_{(s)}^+ \rightarrow \phi(\rightarrow e^+e^-)\pi^+$ for decays with muons and electrons, respectively



Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

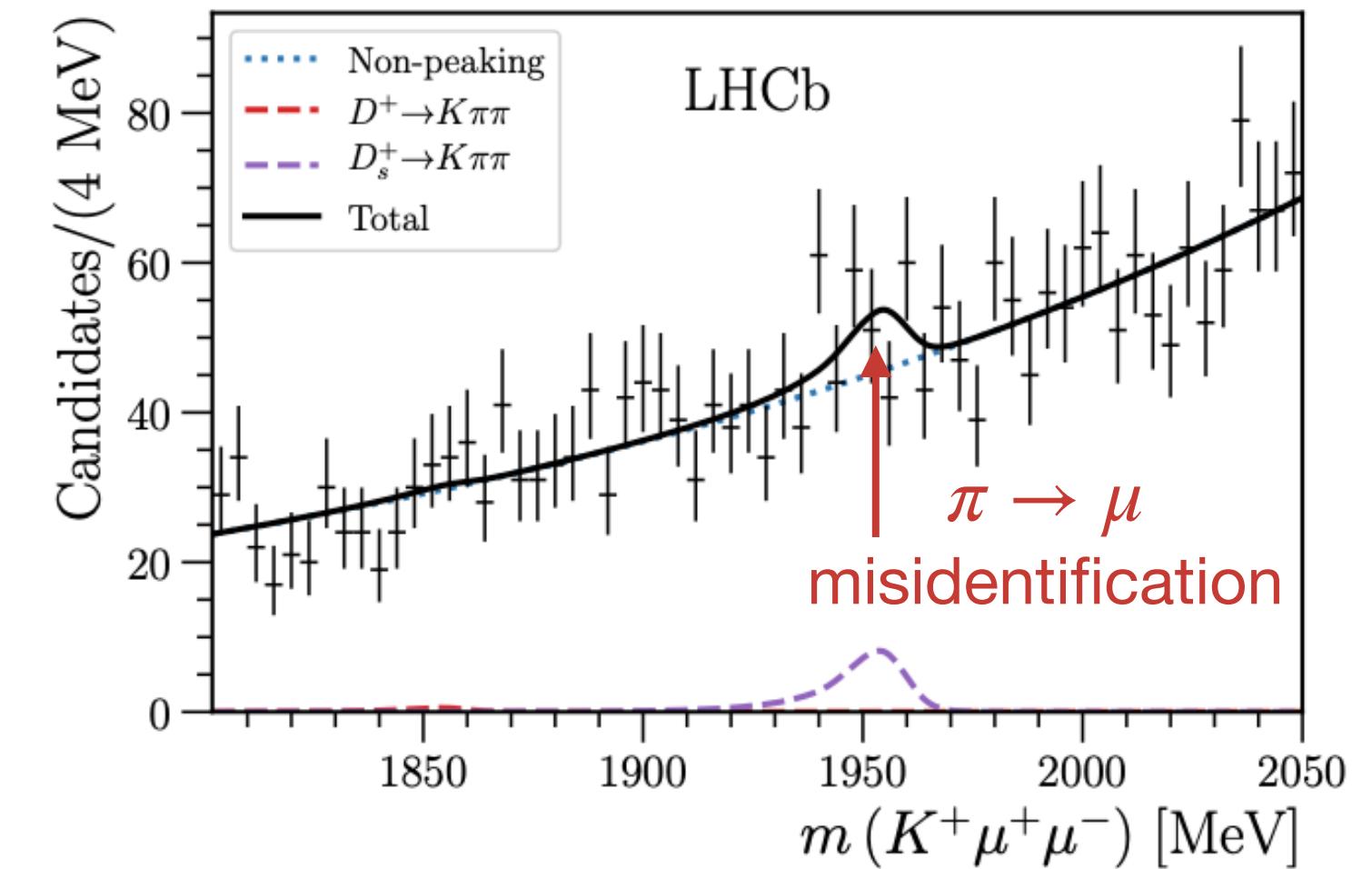
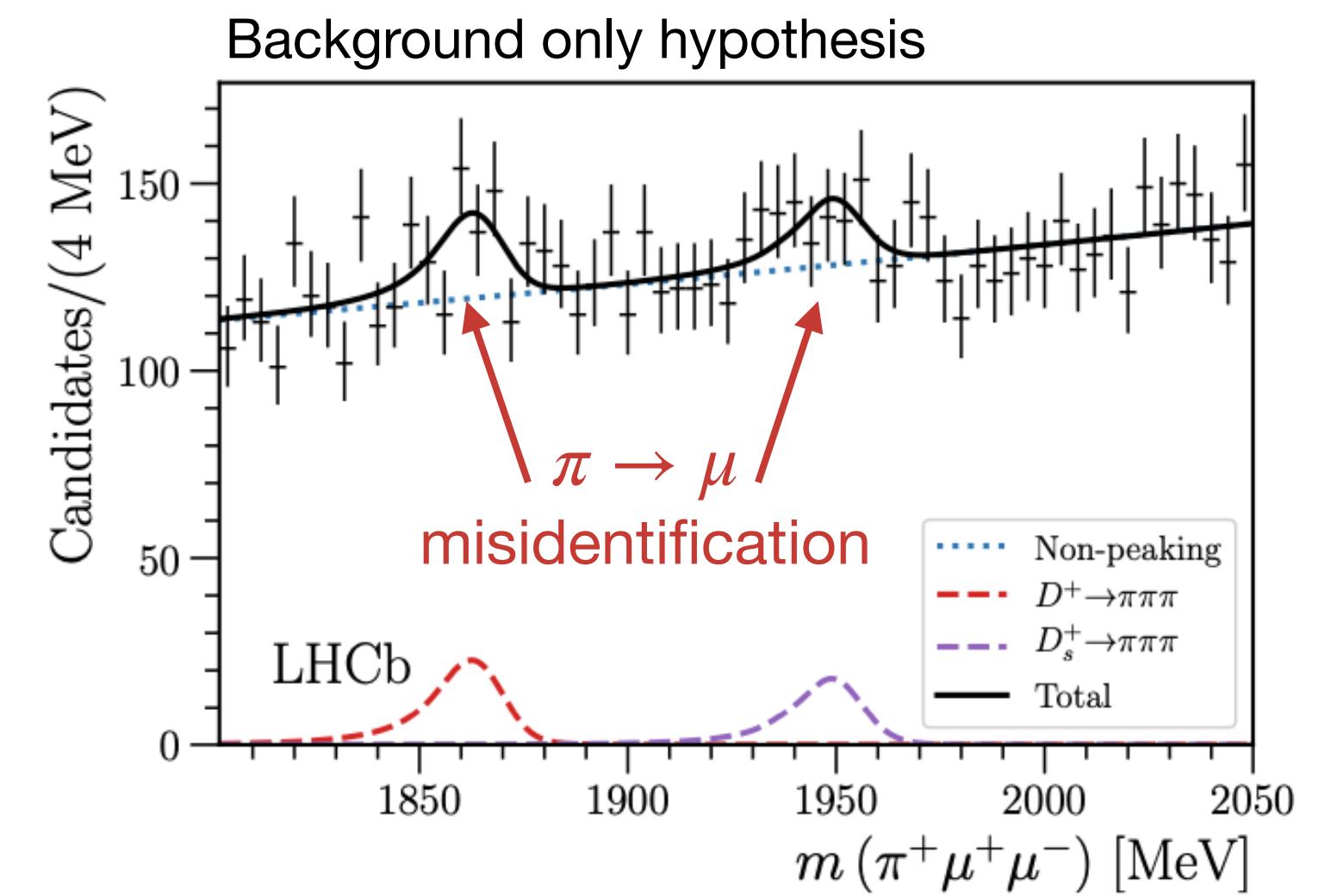
- Normalisation yield estimated by fit to $m(\pi^+\mu^-\mu^+)$ and $m(\pi^+e^-e^+)$
- A bremsstrahlung reconstruction procedure is used to correct the momentum for the electron candidates



Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

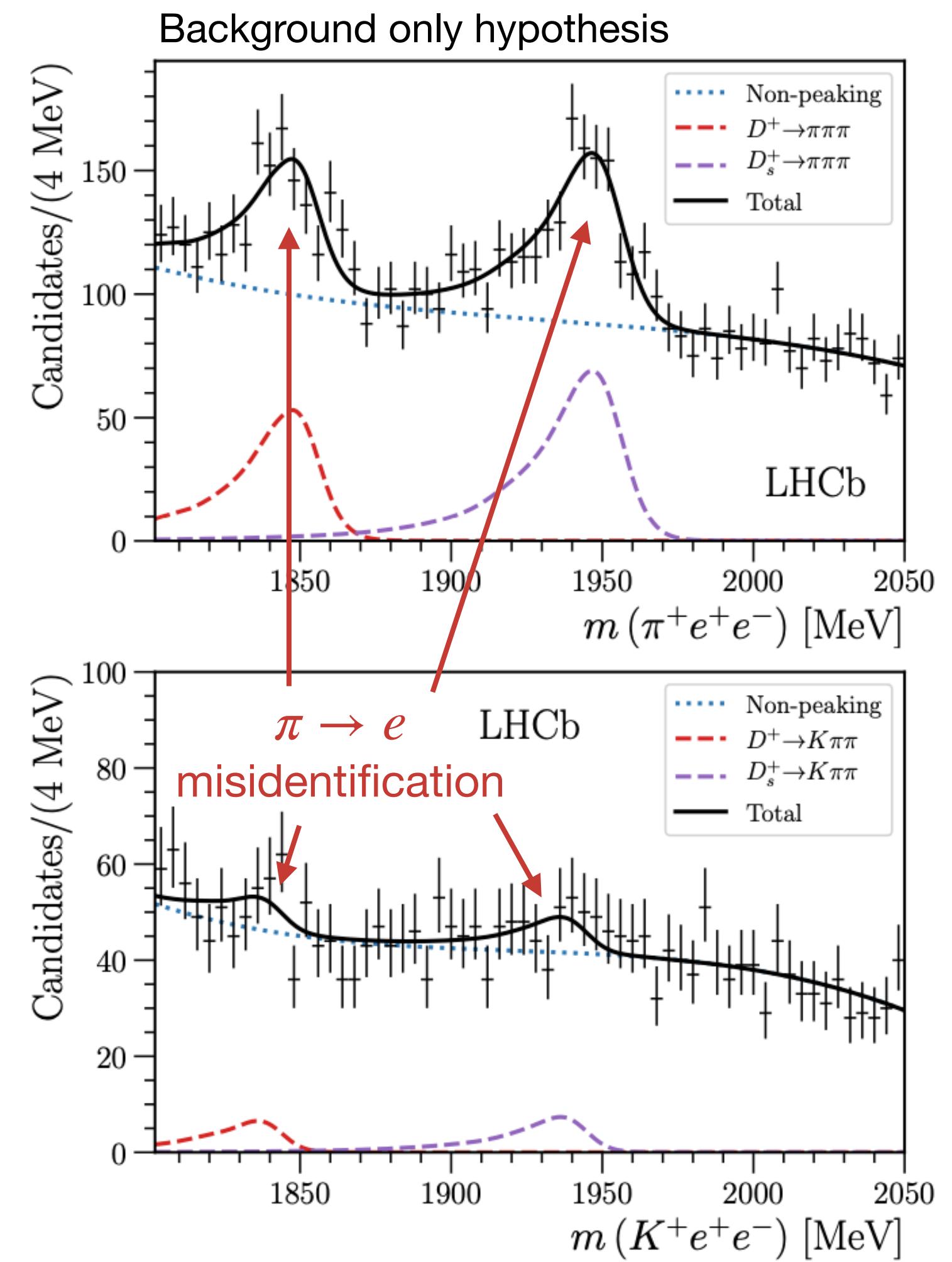
- Fit to three body invariant mass
- PID selection to suppress mis-identified background due to hadronic decays
- BDT selection to suppress combinatorial background
- Branching fractions are normalised to $D_{(s)}^+ \rightarrow \phi(\rightarrow \mu^+\mu^-)\pi^+$



Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

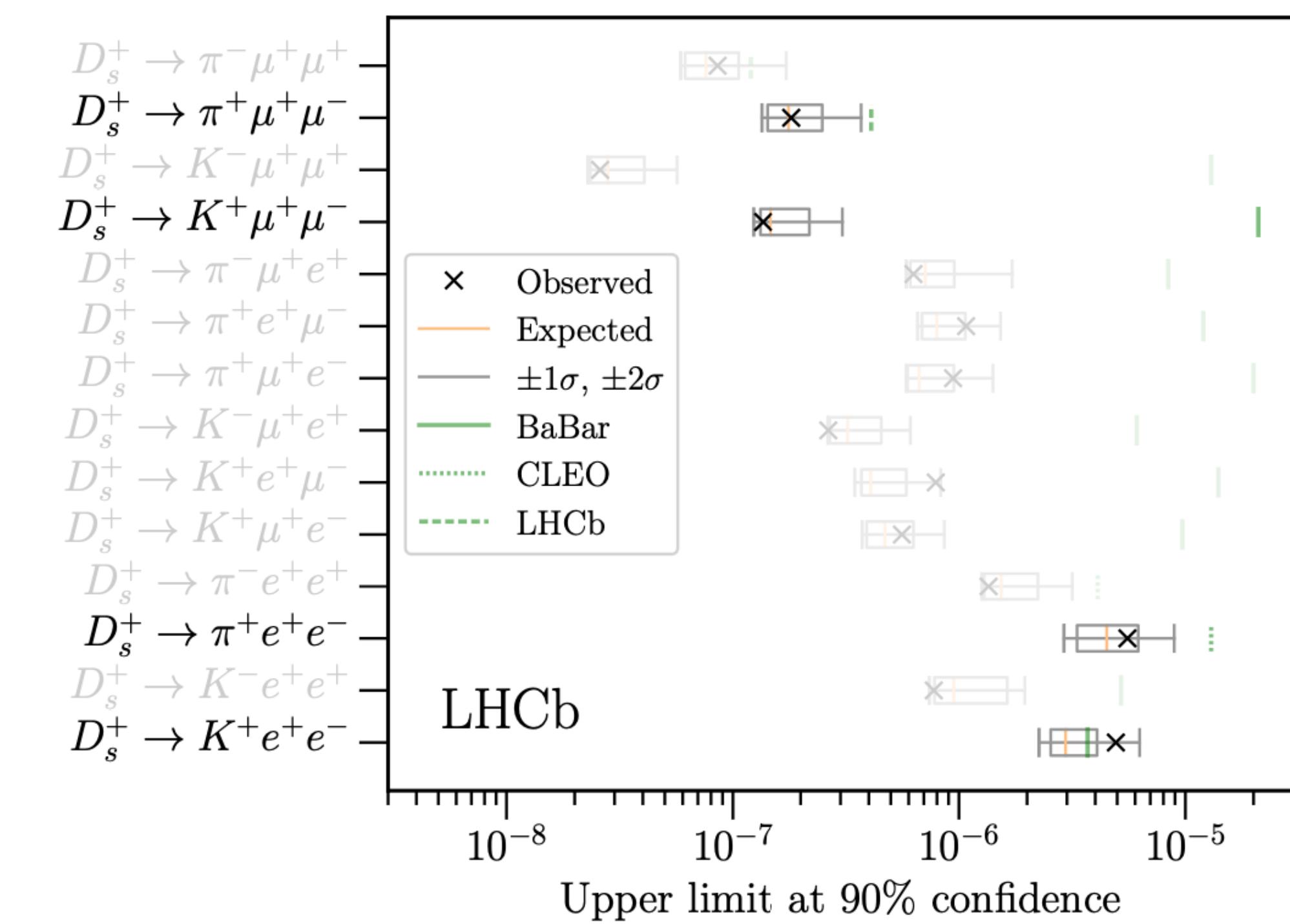
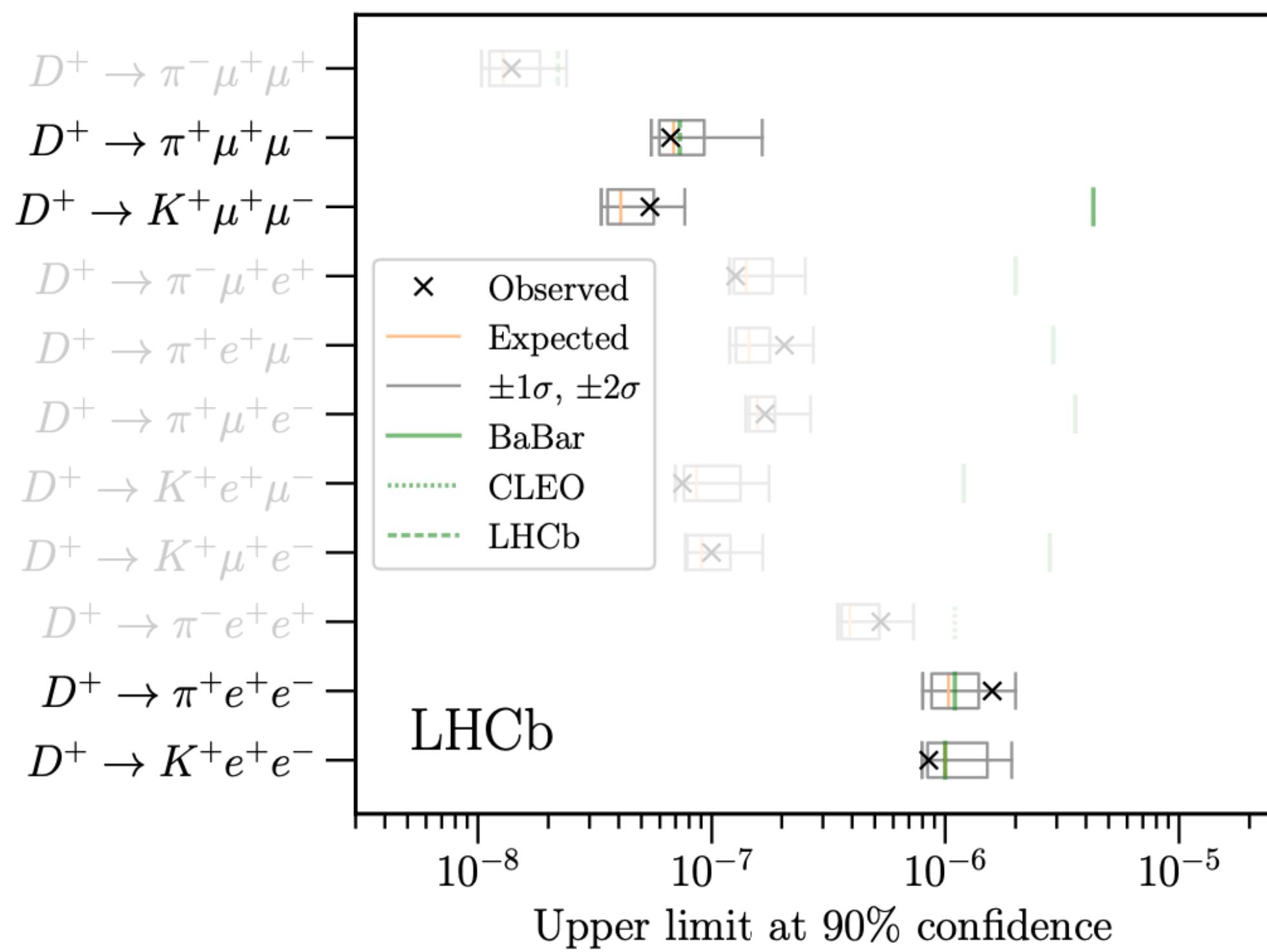
- Fit to three body invariant mass
- PID selection to suppress mis-identified background due to hadronic decays
- BDT selection to suppress combinatorial background
- Branching fractions are normalised to $D_{(s)}^+ \rightarrow \phi(\rightarrow e^+e^-)\pi^+$



Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

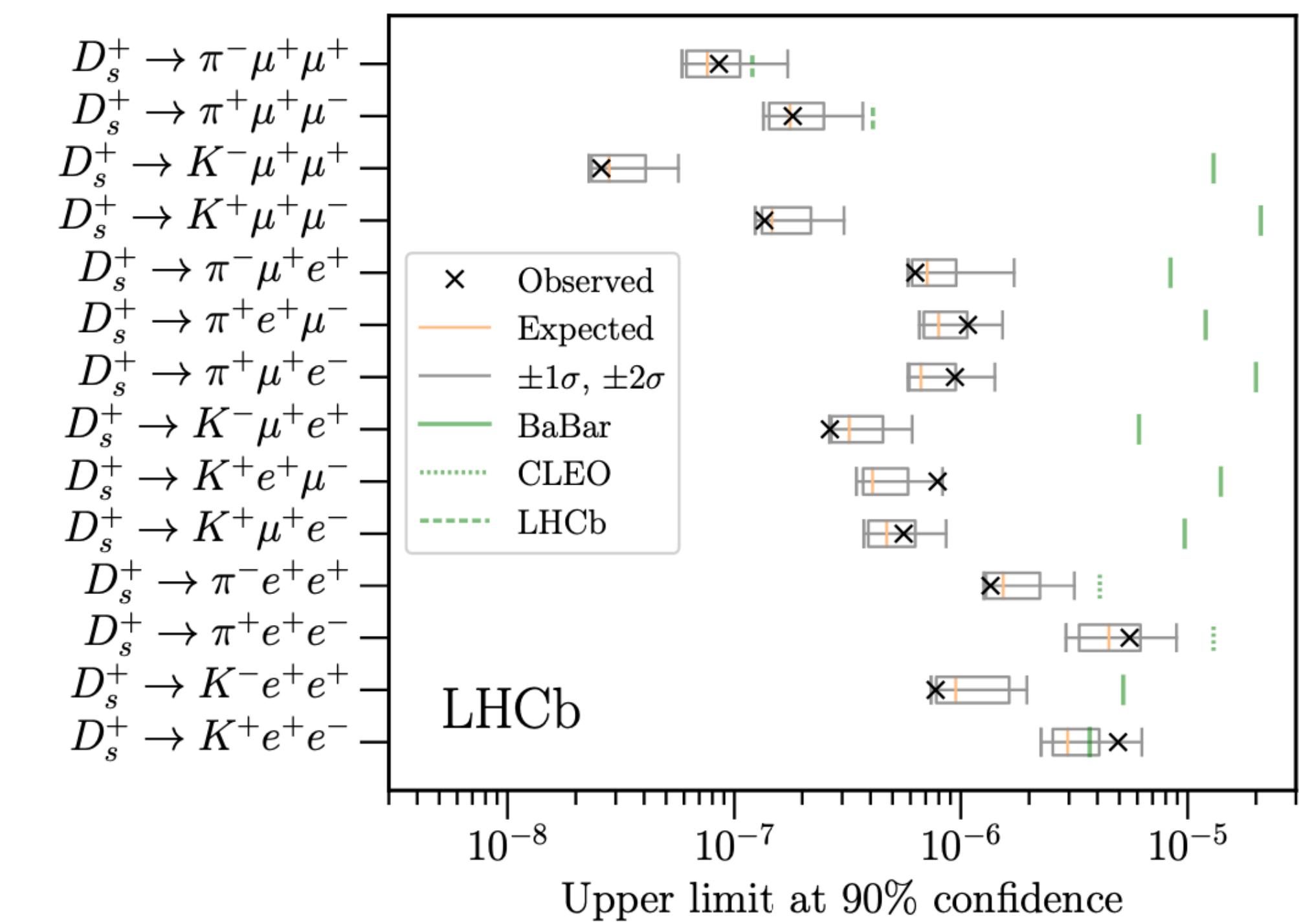
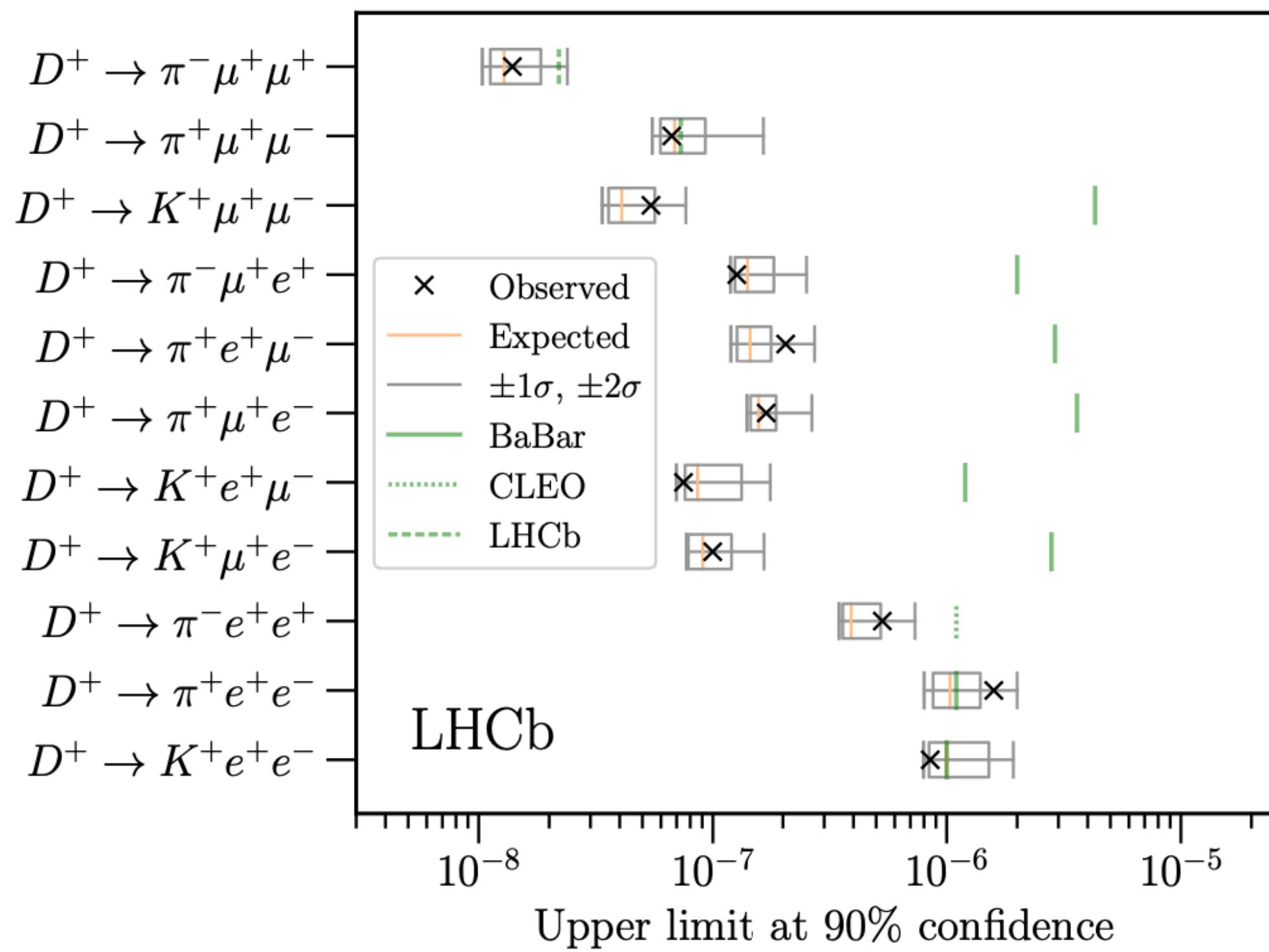
- Provides competitive results, improves old limits up to two orders of magnitude
- Background only hypothesis is consistent with the results



Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

- Provides competitive results, improves old limits up to two orders of magnitude
- Background only hypothesis is consistent with the results



Searches for rare decays of charged D^+ and D_s^+ mesons

JHEP 06 (2021) 044

- Provides competitive results, improves old limits up to two orders of magnitude
- Background only hypothesis is consistent with the results



Study of $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$

First observation:

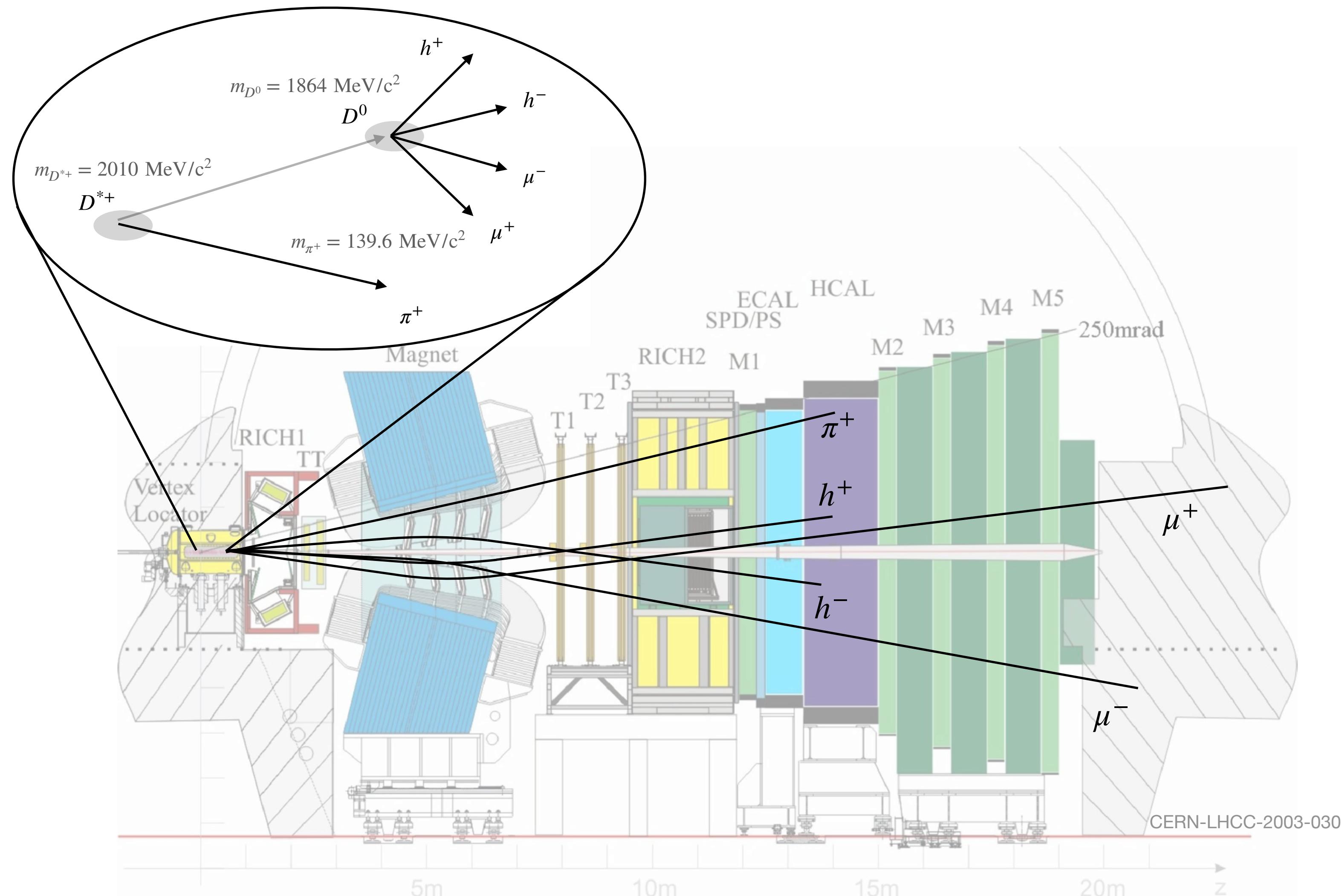
Phys. Rev. Lett. 119, 181805 (2017)

- Run 1 (only 2012)
- Center of mass energy: 8 TeV
- Luminosity: 2.0 fb^{-1}

Angular analysis:

Phys. Rev. Lett. 128, 221801 (2022)

- Run 1 (2011-2012) and Run 2 (2015-2018)
- Center of mass energy: 7, 8 and 13 TeV
- Luminosity: 9.0 fb^{-1}



First observation of $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$

Phys. Rev. Lett. 119, 181805 (2017)

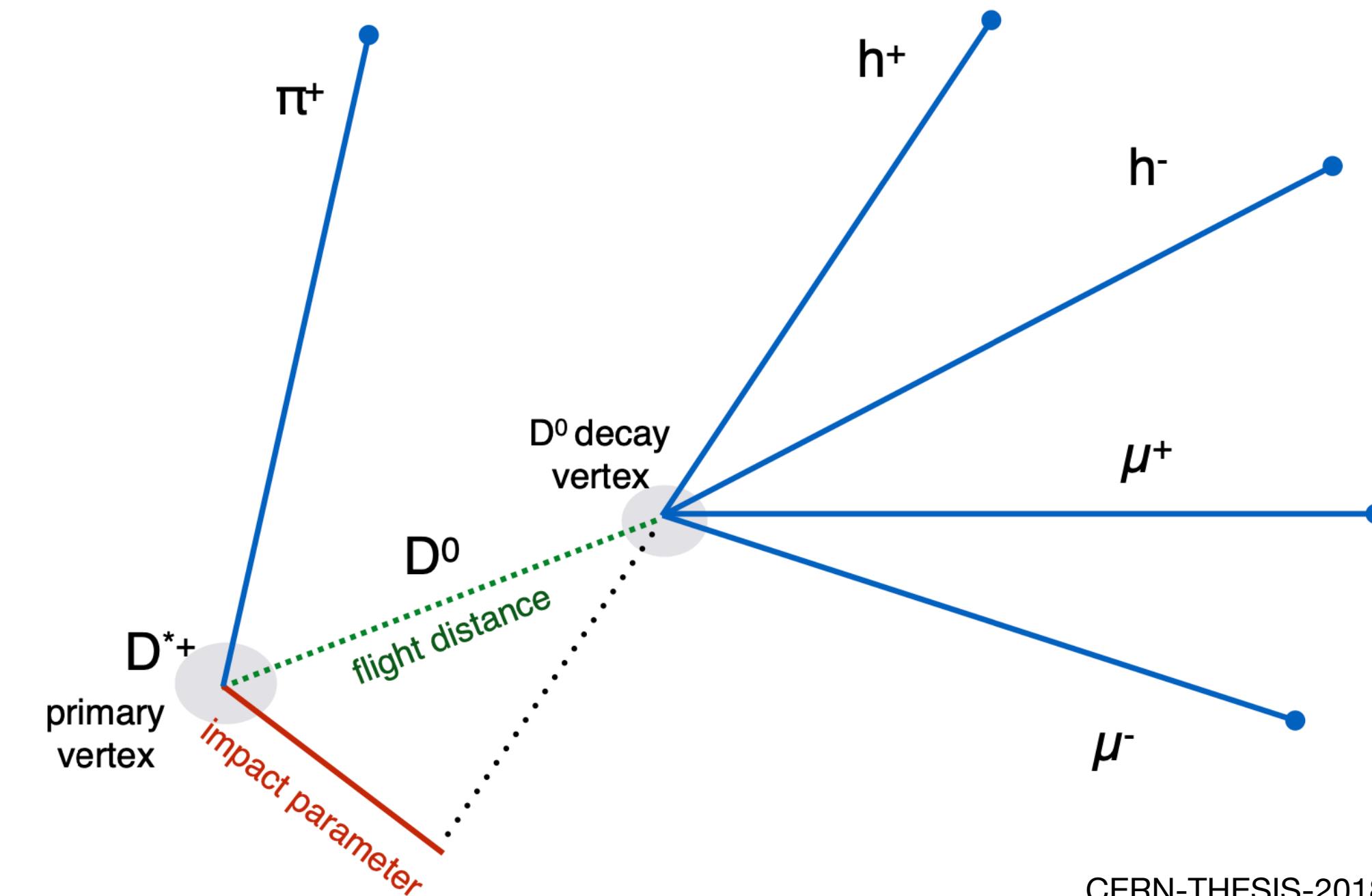
Analysis strategy:

- D^0 produced in D^{*+} decays are used, to suppress background
- PID selection to suppress mis-identified background due to hadronic decays
- BDT selection to suppress combinatorial background

Measured dimuon-mass integrated branching ratio:

$$\mathcal{B}(D^0 \rightarrow K^+K^-\mu^+\mu^-) = (1.54 \pm 0.27 \pm 0.18) \times 10^{-7}$$

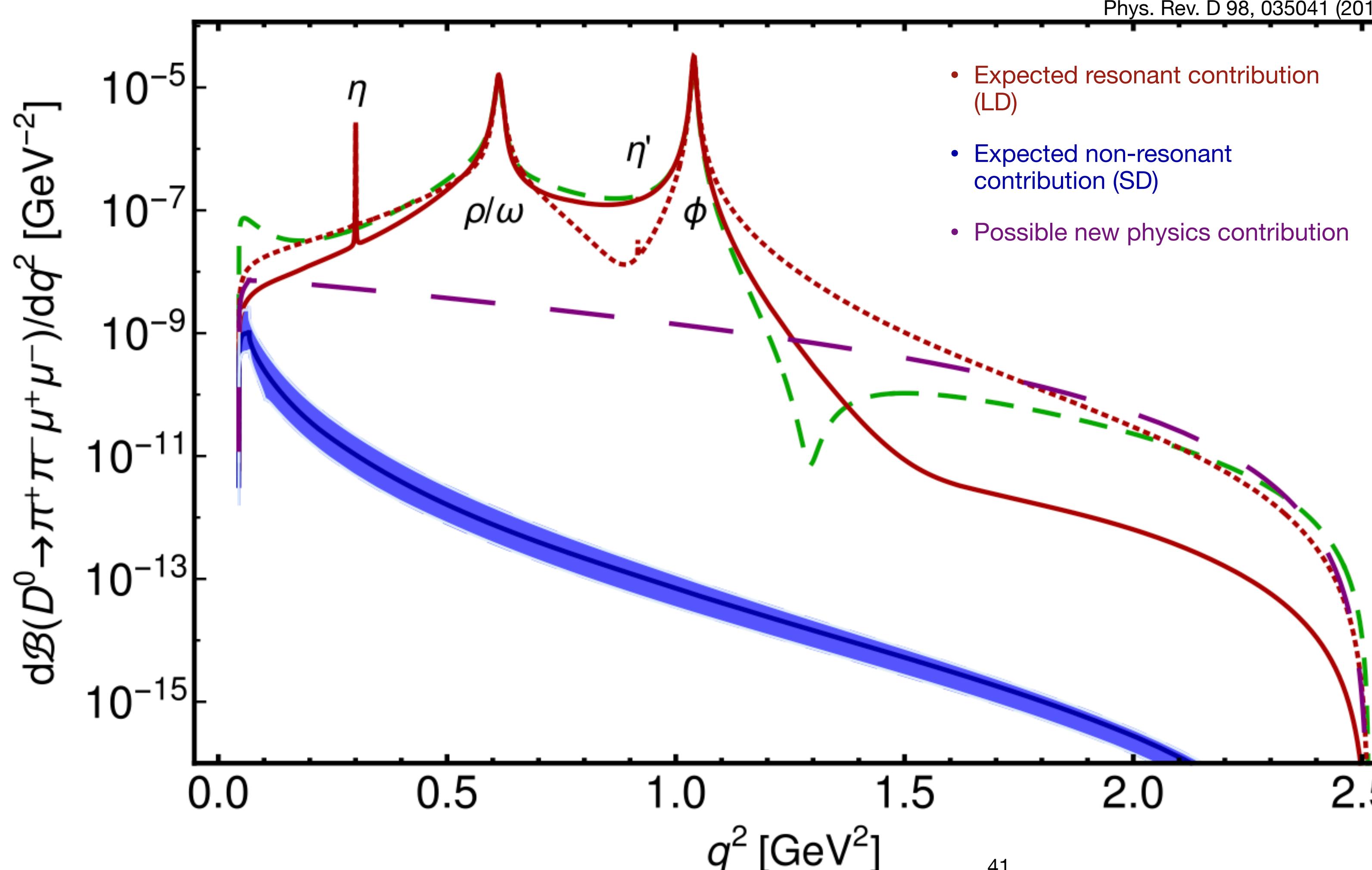
$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) = (9.64 \pm 0.48 \pm 1.10) \times 10^{-7}$$



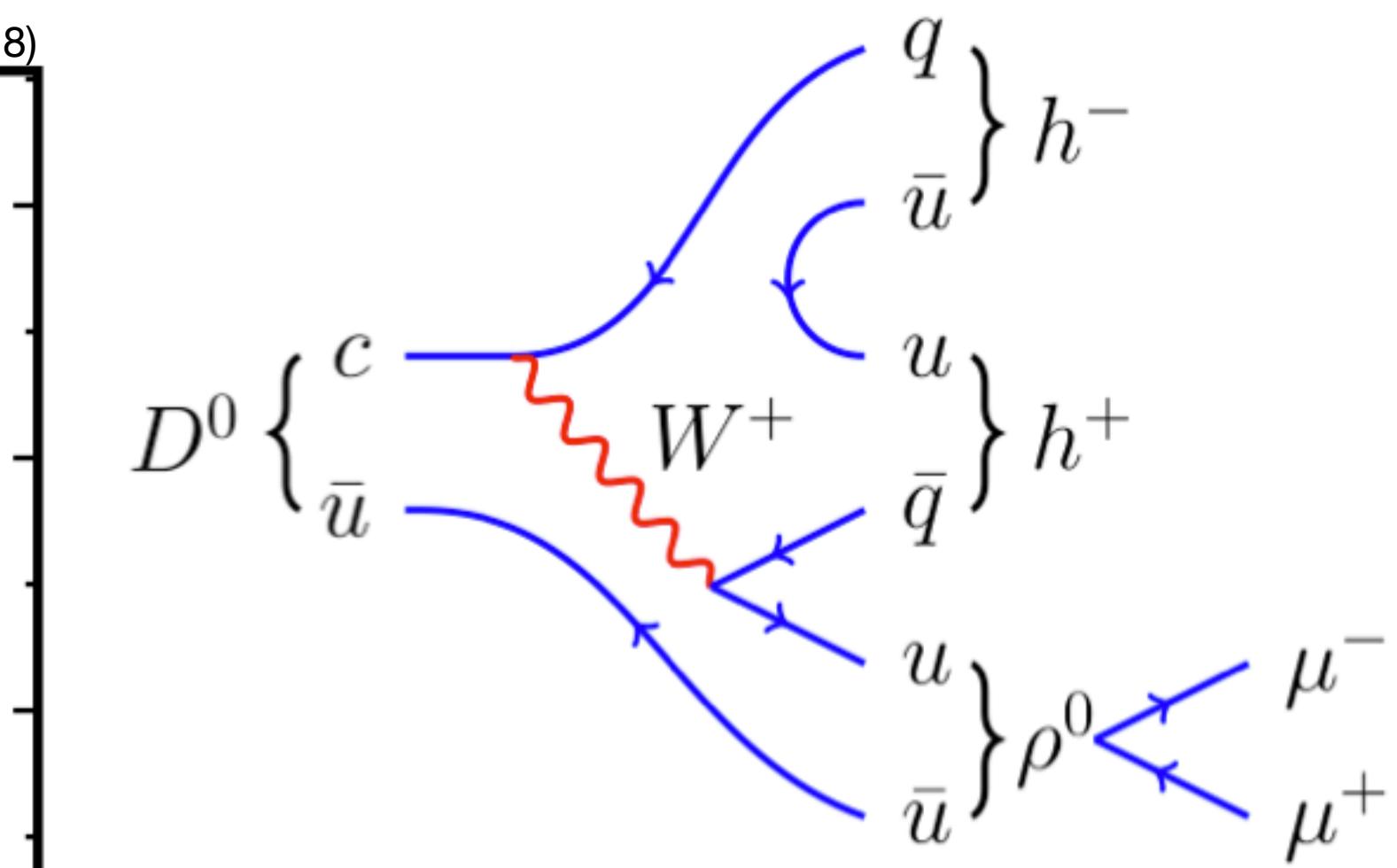
CERN-THESIS-2018-376

First observation of $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$

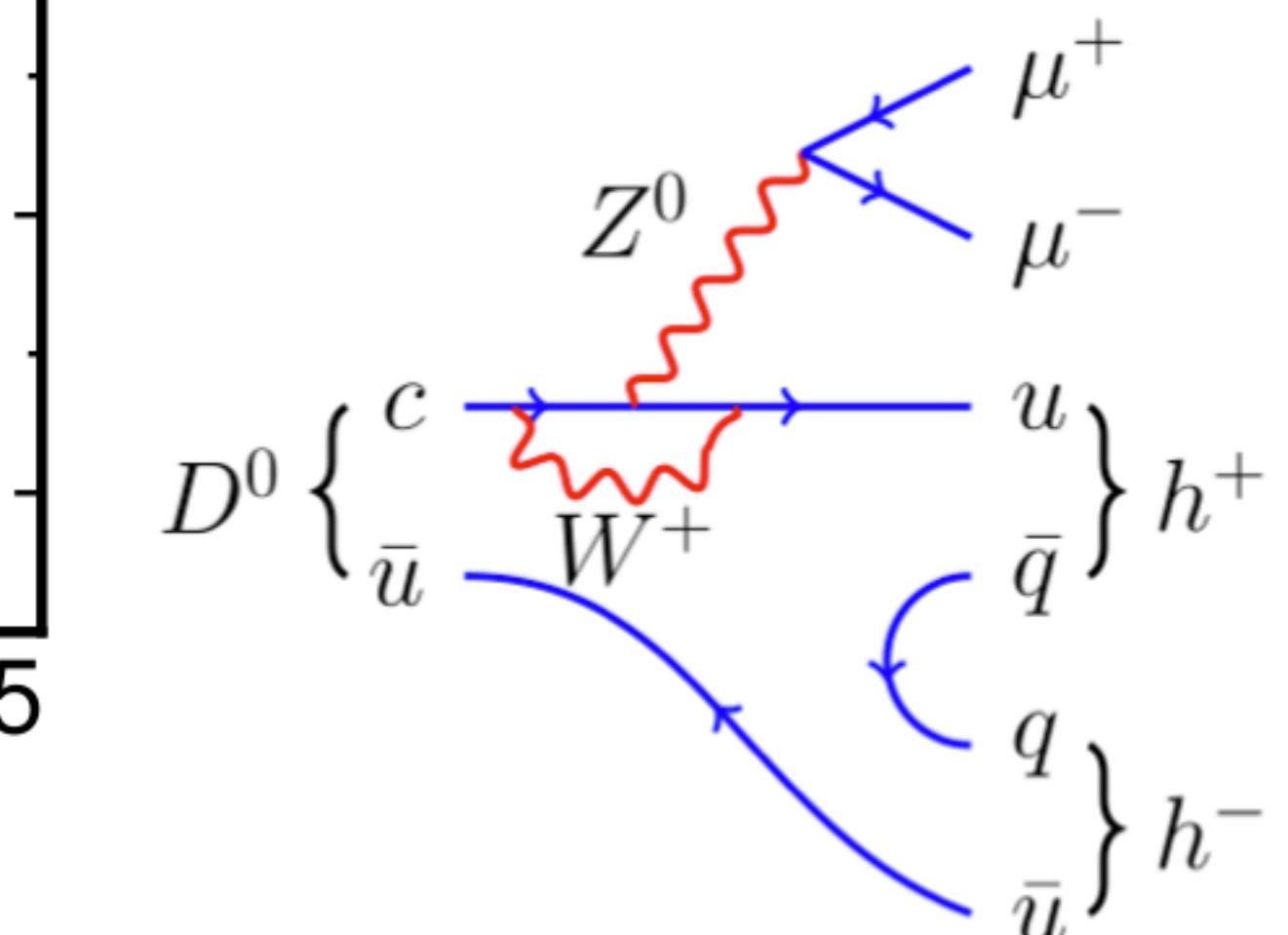
Phys. Rev. Lett. 119, 181805 (2017)



LD contribution example:

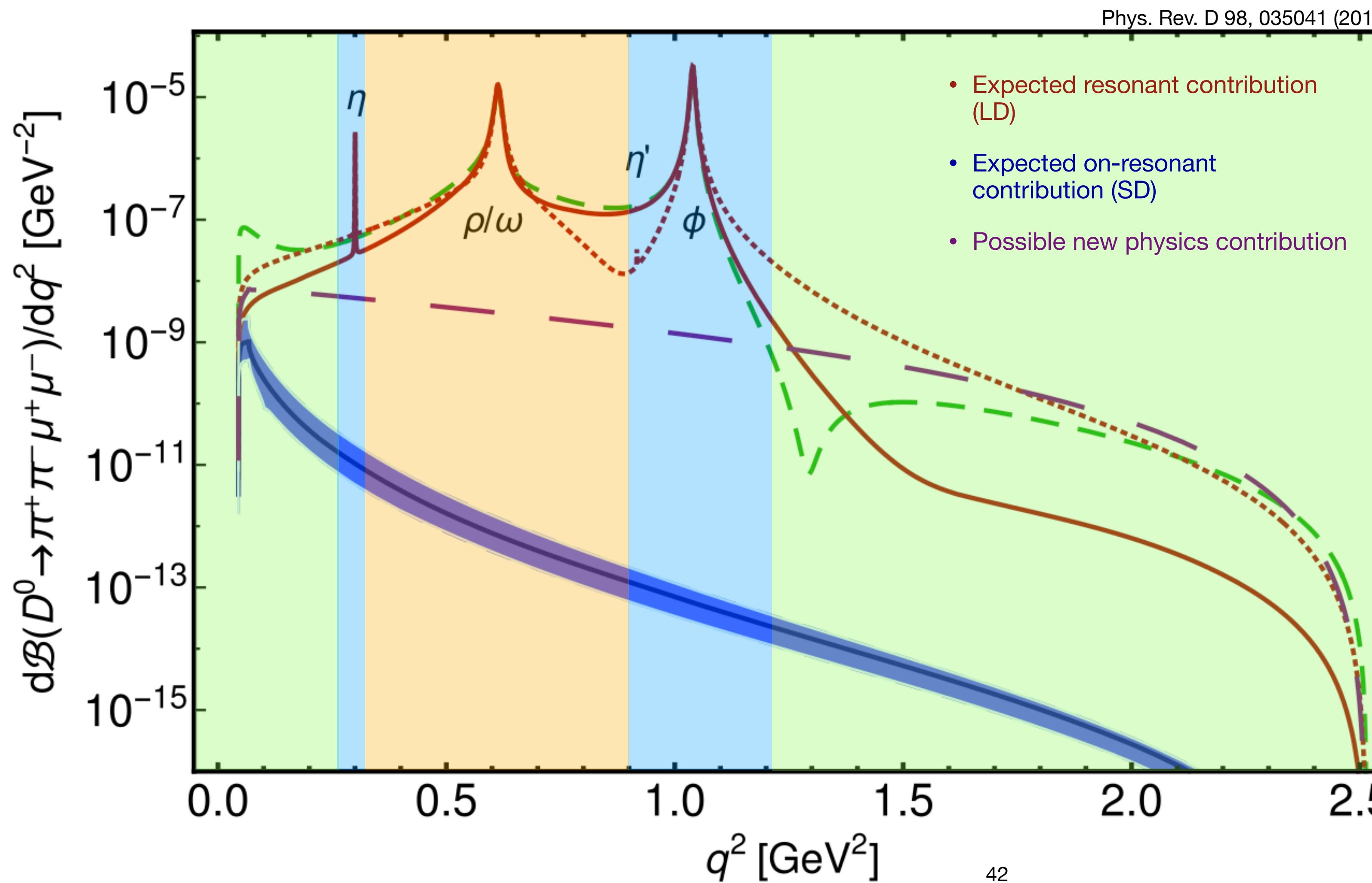


SD contribution example:



First observation of $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$

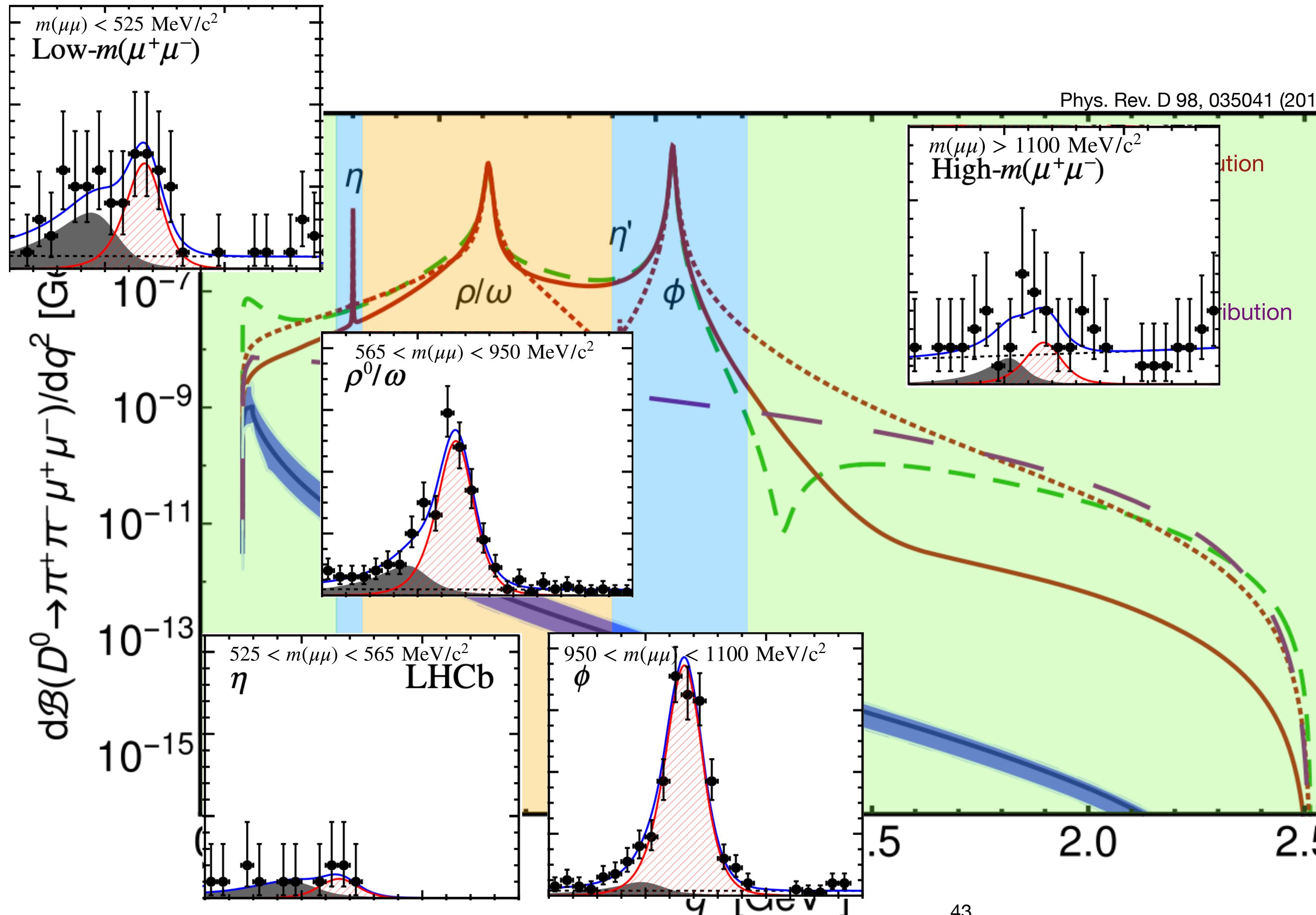
Phys. Rev. Lett. 119, 181805 (2017)



- Split into kinematic bins to search for NP away from decays with intermediate resonances

First observation of $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$

Phys. Rev. Lett. 119, 181805 (2017)



- Split into kinematic bins to search for NP away from decays with intermediate resonances

Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)

First observation:

Phys. Rev. Lett. **119**, 181805 (2017)

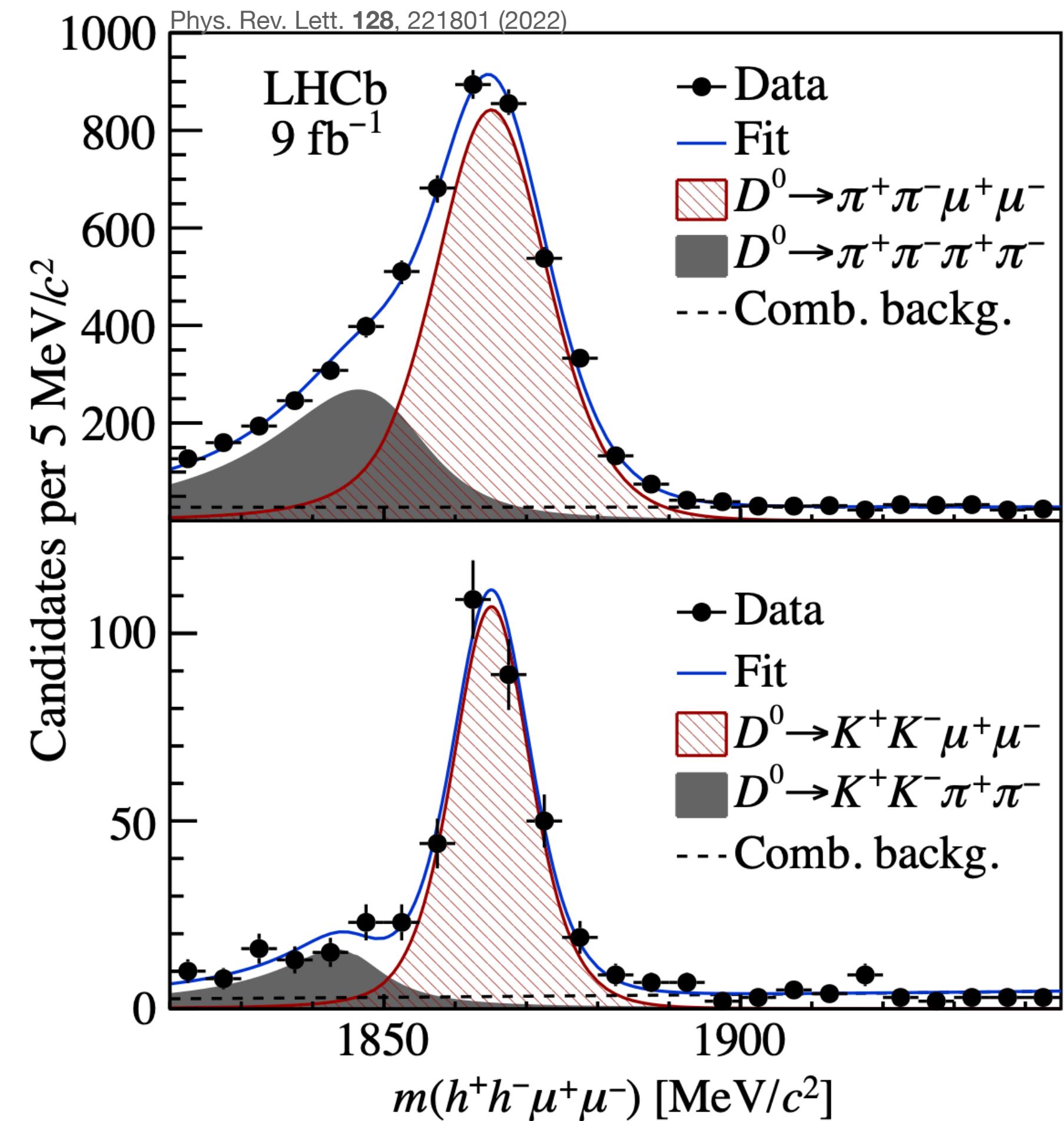
- $N(D^0 \rightarrow K^+K^-\mu^+\mu^-) \sim 35$
- $N(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) \sim 550$

Angular analysis (full dataset):

Phys. Rev. Lett. **128**, 221801 (2022)

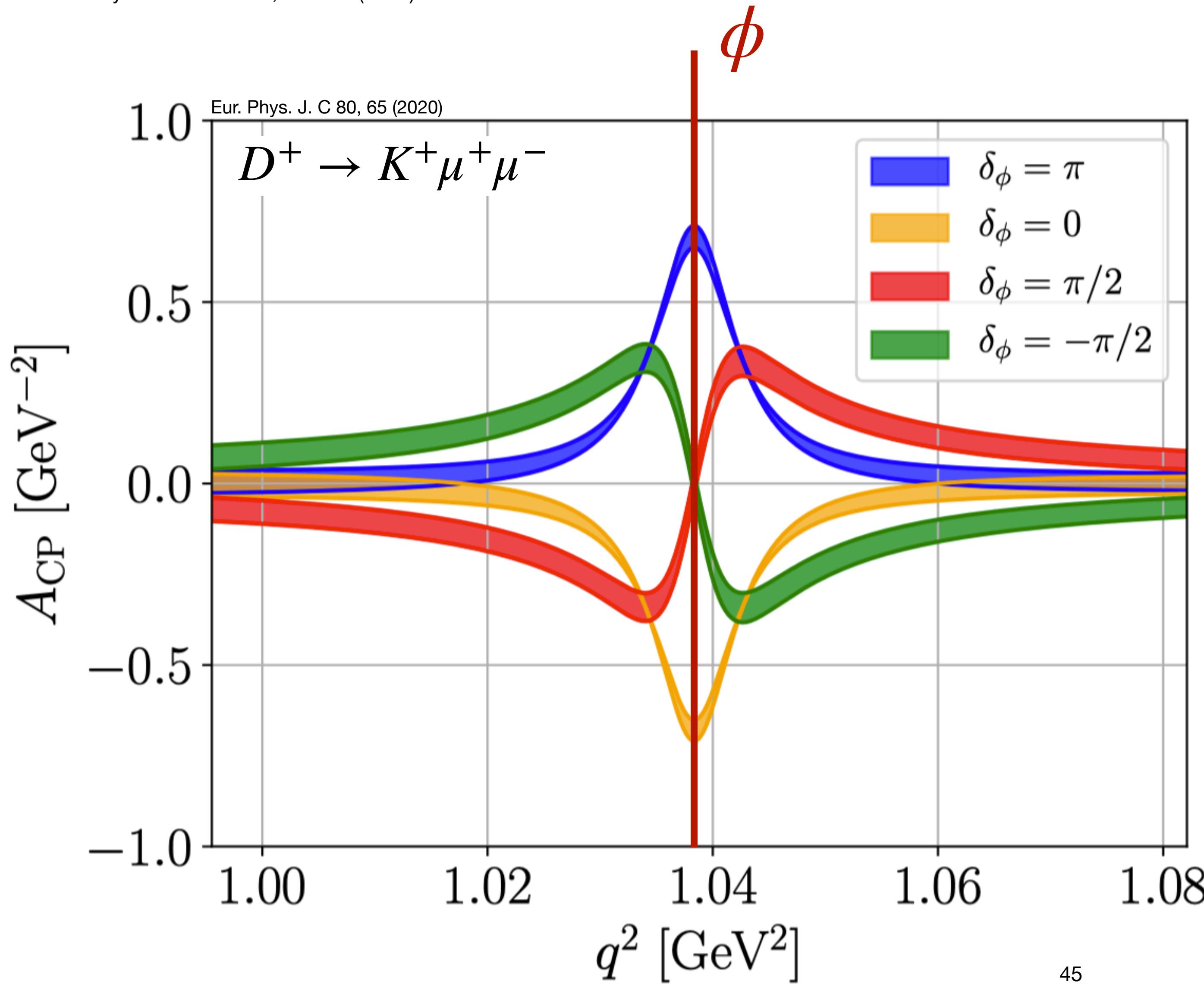
- $N(D^0 \rightarrow K^+K^-\mu^+\mu^-) \sim 300$
- $N(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) \sim 3500$

Enough statistics to perform full angular analysis
and search for CPV!



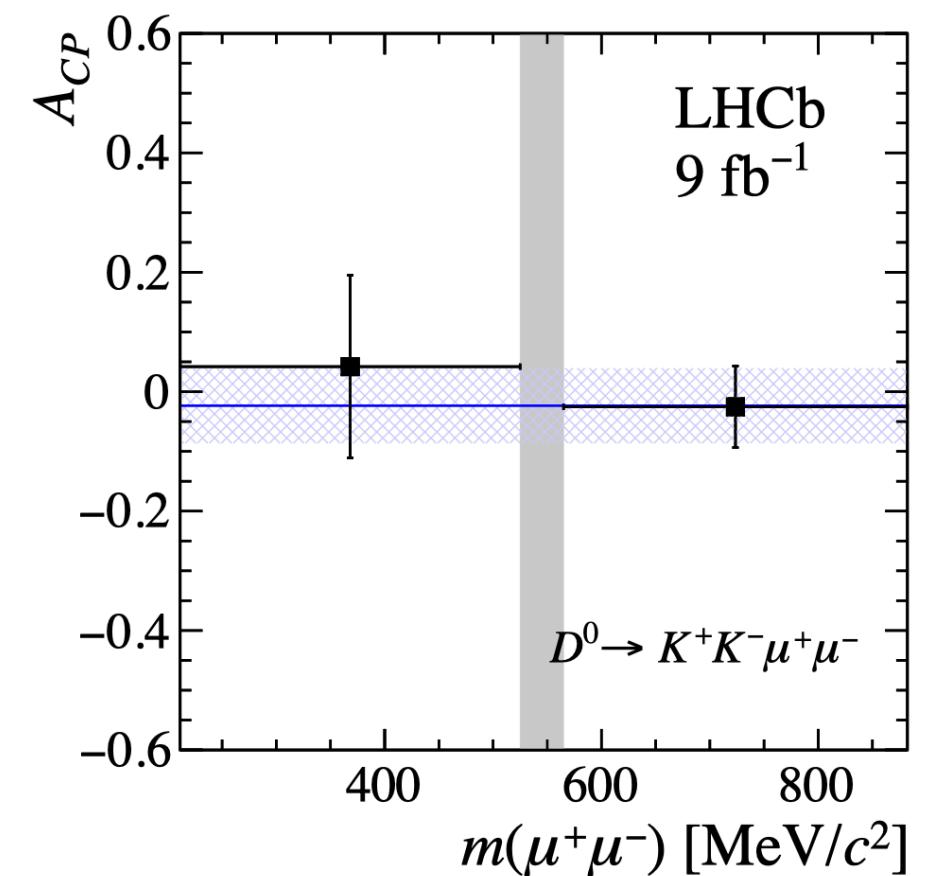
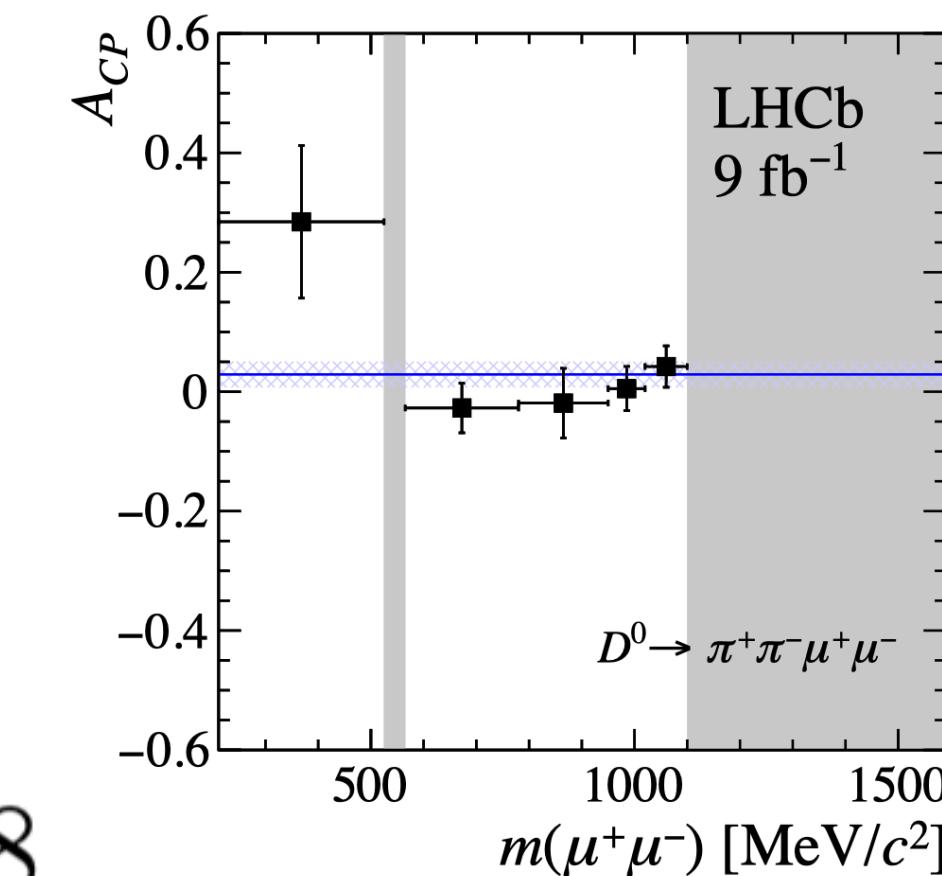
Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)



- Observed decays allow to study asymmetries:

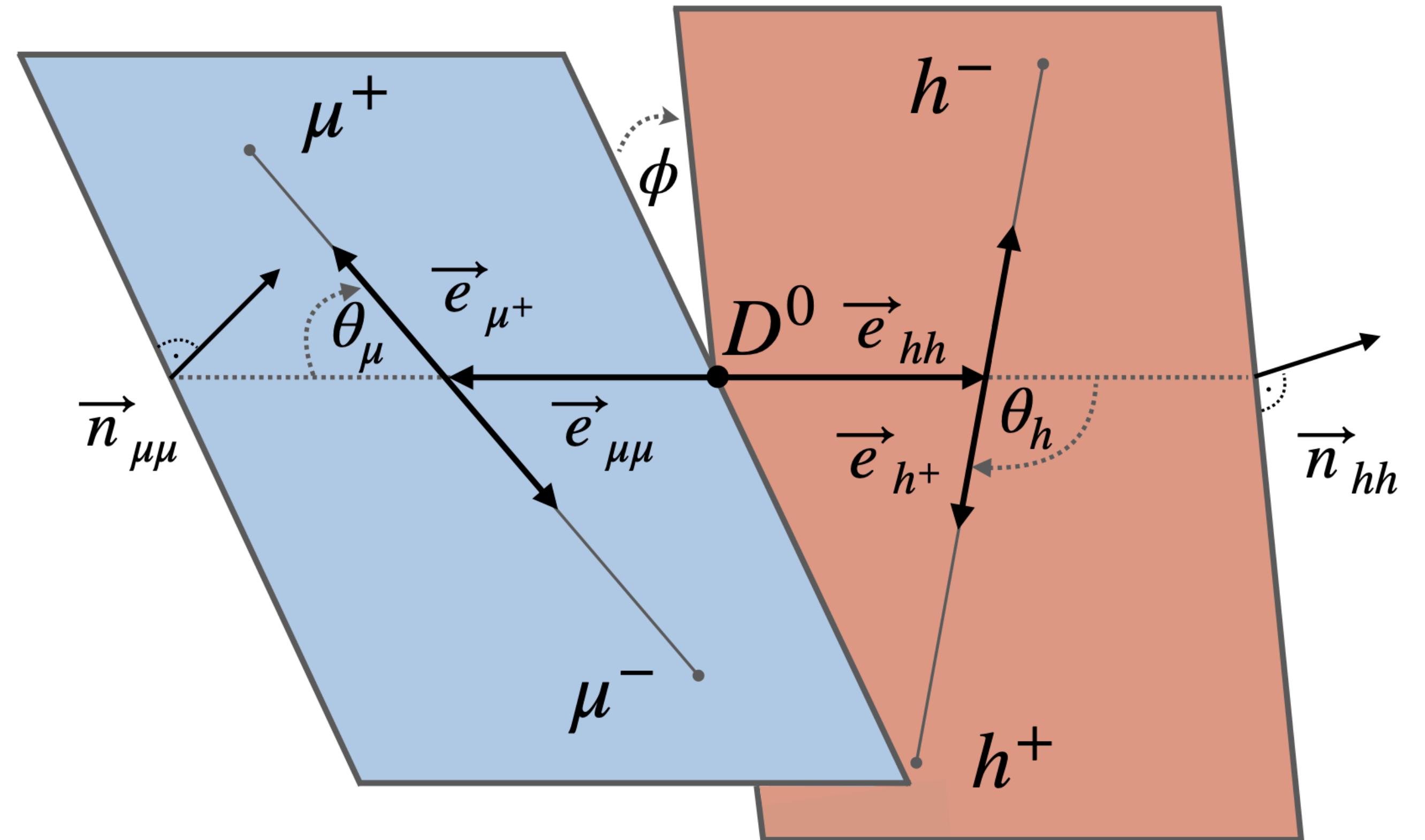
$$A_{CP} \equiv \frac{\Gamma(D^0 \rightarrow h^+h^-\mu^+\mu^-) - \Gamma(\bar{D}^0 \rightarrow h^+h^-\mu^+\mu^-)}{\Gamma(D^0 \rightarrow h^+h^-\mu^+\mu^-) + \Gamma(\bar{D}^0 \rightarrow h^+h^-\mu^+\mu^-)}$$



Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)

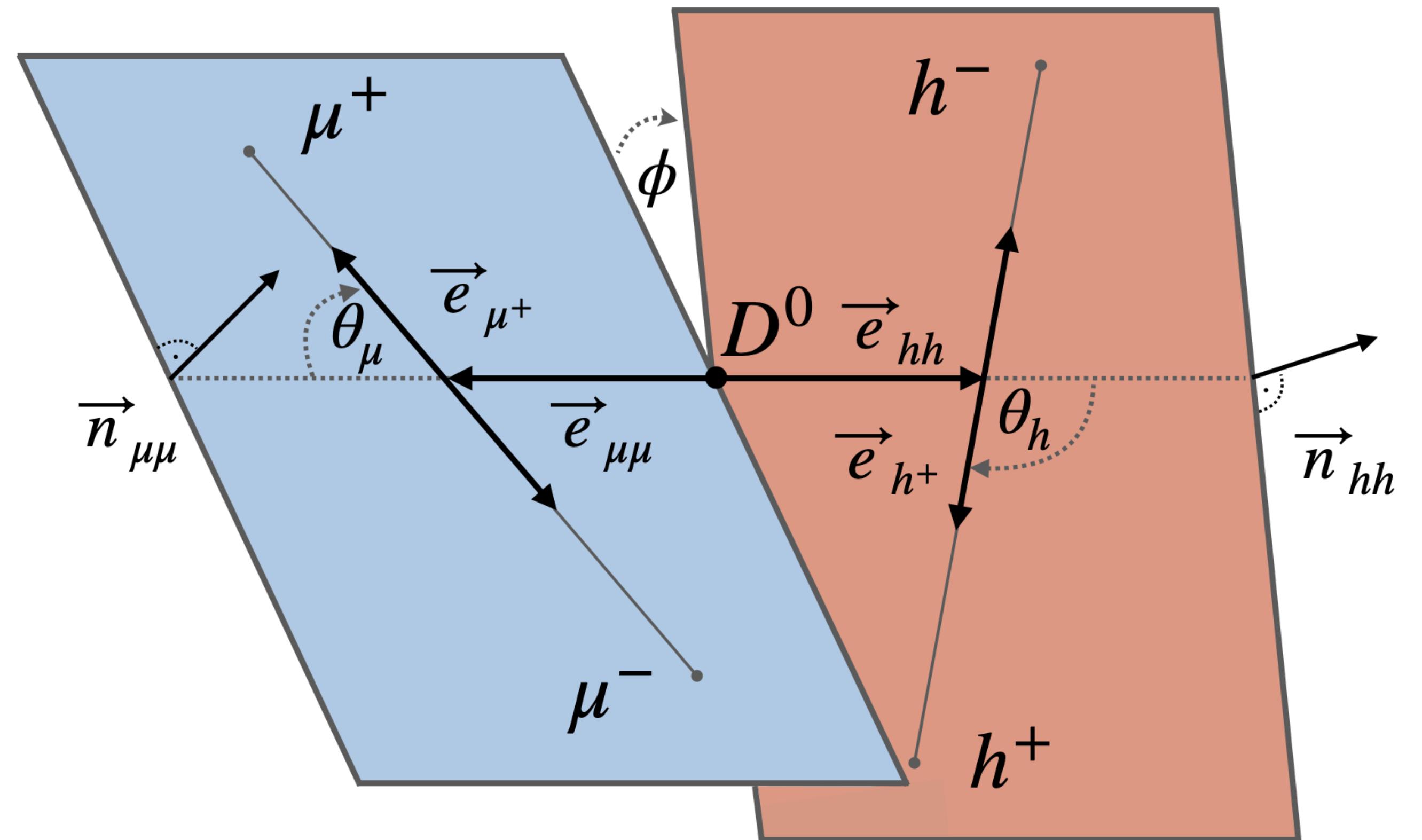
$$\frac{d\Gamma}{d\cos(\theta_\mu) d\cos(\theta_h) d\phi} = I_1 + \\ I_2 \cdot \cos(2\theta_\mu) + \\ I_3 \cdot \sin^2(2\theta_\mu) \cos(2\phi) + \\ I_4 \cdot \sin(2\theta_\mu) \cos(\phi) + \\ I_5 \cdot \sin(\theta_\mu) \cos(\phi) + \\ I_6 \cdot \cos(\theta_\mu) + \\ I_7 \cdot \sin(\theta_\mu) \sin(\phi) + \\ I_8 \cdot \sin(2\theta_\mu) \sin(\phi) + \\ I_9 \cdot \sin^2(2\theta_\mu) \sin(2\phi) +$$



Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)

$$\frac{d\Gamma}{d\cos(\theta_\mu) d\cos(\theta_h) d\phi} = I_1 + \\ I_2 \cdot \cos(2\theta_\mu) + \\ I_3 \cdot \sin^2(2\theta_\mu) \cos(2\phi) + \\ I_4 \cdot \sin(2\theta_\mu) \cos(\phi) + \\ I_5 \cdot \sin(\theta_\mu) \cos(\phi) + \\ I_6 \cdot \cos(\theta_\mu) + \\ I_7 \cdot \sin(\theta_\mu) \sin(\phi) + \\ I_8 \cdot \sin(2\theta_\mu) \sin(\phi) + \\ I_9 \cdot \sin^2(2\theta_\mu) \sin(2\phi) +$$



- No axial-vector couplings in rare charm decays, due to GIM suppression
- Clean null-test in $I_{5,6,7}$

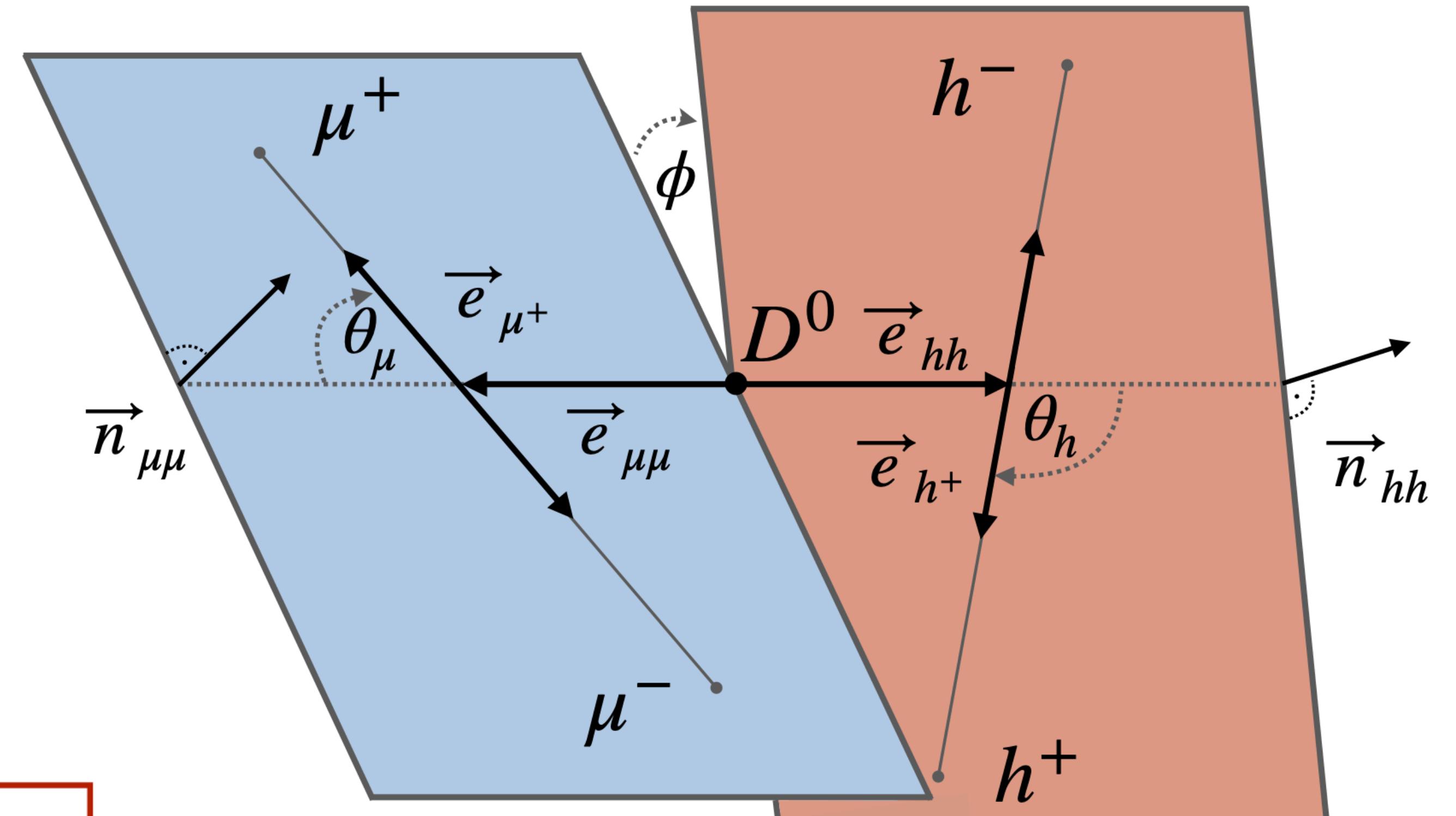
Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)

$$\frac{d\Gamma}{d\cos(\theta_\mu) d\cos(\theta_h) d\phi} = I_1 + \\ I_2 \cdot \cos(2\theta_\mu) + \\ I_3 \cdot \sin^2(2\theta_\mu) \cos(2\phi) + \\ I_4 \cdot \sin(2\theta_\mu) \cos(\phi) + \\ I_5 \cdot \sin(\theta_\mu) \cos(\phi) + \\ I_6 \cdot \cos(\theta_\mu) + \\ I_7 \cdot \sin(\theta_\mu) \sin(\phi) + \\ I_8 \cdot \sin(2\theta_\mu) \sin(\phi) + \\ I_9 \cdot \sin^2(2\theta_\mu) \sin(2\phi) +$$

$$\langle I_{2,3,6,9} \rangle(q^2) = \frac{1}{\Gamma} \int_{4m_h}^{p_{max}^2} dp^2 \int_{-1}^1 d\cos \theta_h I_{2,3,6,9}$$

$$\langle I_{4,5,7,8} \rangle(q^2) = \frac{1}{\Gamma} \int_{4m_h}^{p_{max}^2} dp^2 \left[\int_{-1}^0 d\cos \theta_h - \int_0^1 d\cos \theta_h \right] I_{4,5,7,8}$$



$$p^2 = m^2(h^+h^-) \\ q^2 = m^2(\mu^+\mu^-)$$

Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)

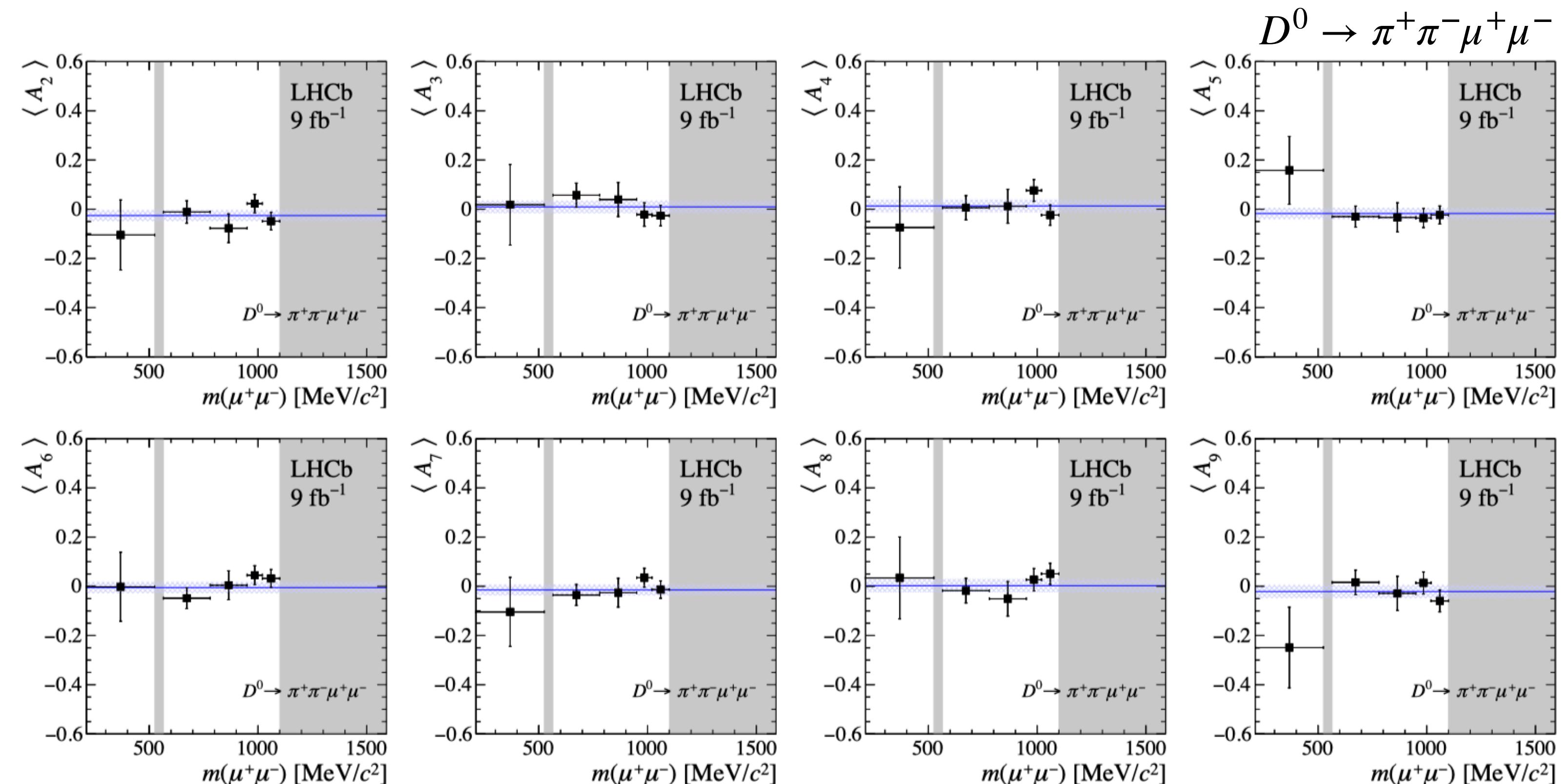
- Parity is conserved due to absence of axial-vector currents

- CP asymmetries:

$$\langle A_i \rangle = \frac{1}{2} [\langle I_i \rangle - (+) \langle \bar{I}_i \rangle]$$

for CP-even (CP-odd) coefficients are expected to be 0

- All asymmetries **consistent with zero**
- No dependency on dimuon mass



CP-even: $I_{2,3,4,7}$

CP-odd: $I_{5,6,8,9}$

Search for CP Violation and Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

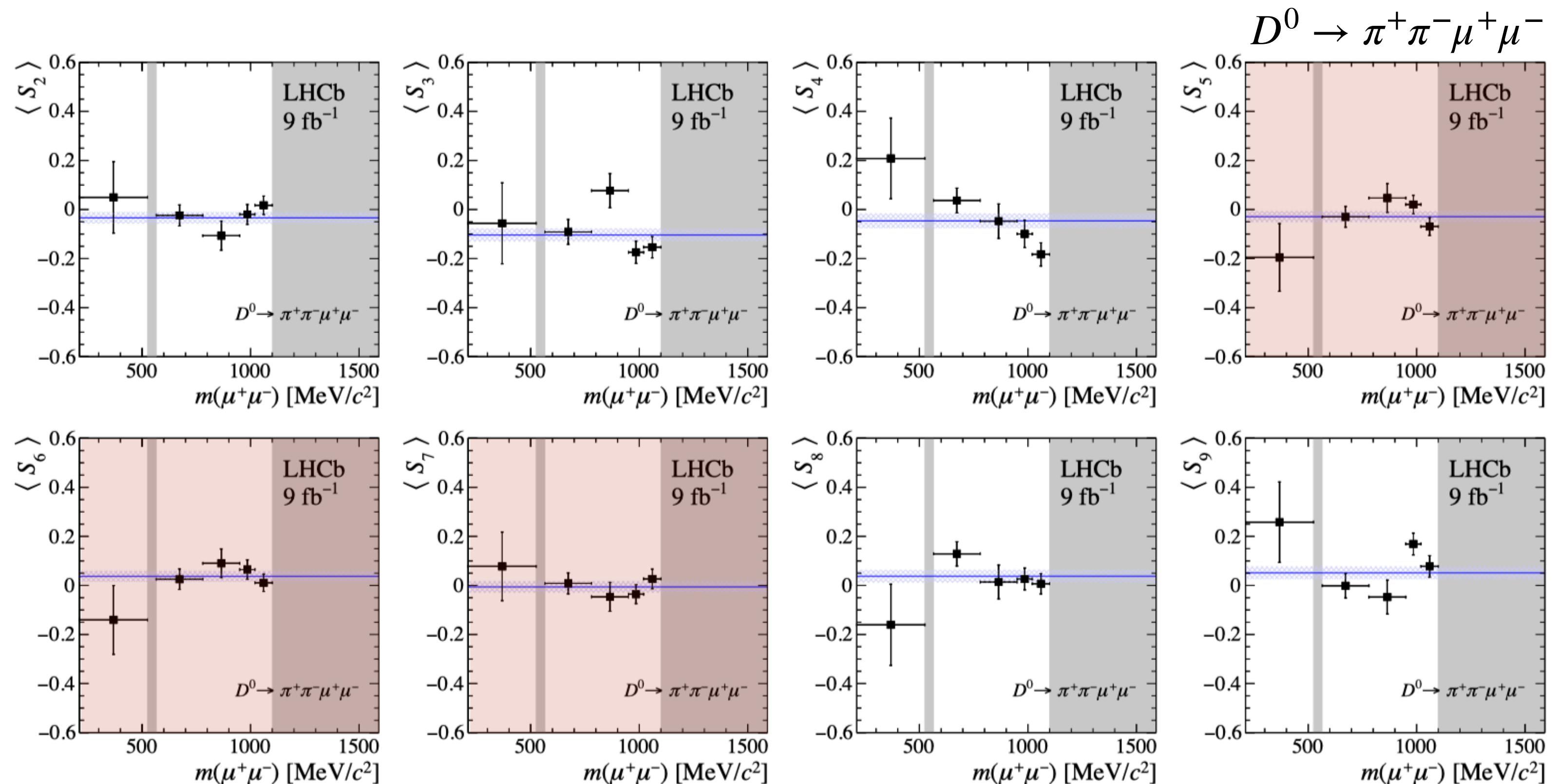
Phys. Rev. Lett. **128**, 221801 (2022)

- CP averages:

$$\langle S_i \rangle = \frac{1}{2} [\langle I_i \rangle + (-) \langle \bar{I}_i \rangle]$$

for CP -even (CP -odd) coefficients

- $\langle S_{5,6,7} \rangle$ compatible with zero
- No dimuon mass dependence observed
- Measured null-test observables in agreement with the SM null hypothesis
- p values of 79% (0.8%) for $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ ($D^0 \rightarrow K^+K^-\mu^+\mu^-$)



CP-even: $I_{2,3,4,7}$
CP-odd: $I_{5,6,8,9}$

Summary

(Particle Data Group), Prog. Theor. Exp. Phys. 2022

- Statistical precision of angular analysis $\sim 2\%$

- Branching ratios precision up to $\mathcal{O}(10^{-9})$

- Full Run 2 dataset:

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) \leq 3.1 \times 10^{-9} \text{ (90 \% CL)}$$

$$\mathcal{B}(D^*(2007)^0 \rightarrow \mu^+ \mu^-) \leq 2.6 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) = (9.64 \pm 0.48 \pm 1.10) \times 10^{-7}$$

$$\mathcal{B}(D^0 \rightarrow K^+ K^- \mu^+ \mu^-) = (1.54 \pm 0.27 \pm 0.18) \times 10^{-7}$$

- Partial Run 2 dataset:

$$\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-) \leq 6.7 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D^+ \rightarrow K^+ \mu^+ \mu^-) \leq 5.4 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^+ \mu^+ \mu^-) \leq 18 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D_s^+ \rightarrow K^+ \mu^+ \mu^-) \leq 14 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D^+ \rightarrow \pi^+ e^+ e^-) \leq 160 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D^+ \rightarrow K^+ e^+ e^-) \leq 85 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^+ e^+ e^-) \leq 550 \times 10^{-8} \text{ (90 \% CL)}$$

$$\mathcal{B}(D_s^+ \rightarrow K^+ e^+ e^-) \leq 490 \times 10^{-8} \text{ (90 \% CL)}$$

+17 more

The not so far future

Things to do

- $D^+ \rightarrow h^+ l^- l^+$ fully exploit the Run 2 dataset with updates of existing measurement and new analyses
- $D^0 \rightarrow h^+ h^- l^+ l^-$ possibility to intensify efforts with dielectron final state

$$\mathcal{B}(D^0 \rightarrow K^- \pi^+ \mu^- \mu^+) = (4.17 \pm 0.12 \pm 0.40) \times 10^{-6}$$

Phys. Lett. B757 (2016) 558

$$\mathcal{B}(D^0 \rightarrow K^+ K^- \mu^+ \mu^-) = (1.54 \pm 0.27 \pm 0.18) \times 10^{-7}$$

$$\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) = (9.64 \pm 0.48 \pm 1.10) \times 10^{-7}$$

Phys. Rev. Lett. 119, 181805 (2017)

$$\mathcal{B}(D^0 \rightarrow K^- \pi^+ e^- e^+) = (4.0 \pm 0.5 \pm 0.2 \pm 0.1) \times 10^{-6}$$

Phys. Rev. Lett. 122 (2019) 8, 081802 (BaBar)

$$\mathcal{B}(D^0 \rightarrow K^+ K^- e^+ e^-) = ?$$

$$\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- e^+ e^-) = ?$$

Lepton Flavour Universality

- Charm can provide a complementary test of LFU:

$$R_{hh}^c = \frac{\int_{q_{min}^2}^{q_{max}^2} \frac{d\mathcal{B}(D^0 \rightarrow h^+ h^- \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_{min}^2}^{q_{max}^2} \frac{d\mathcal{B}(D^0 \rightarrow h^+ h^- e^+ e^-)}{dq^2} dq^2}$$

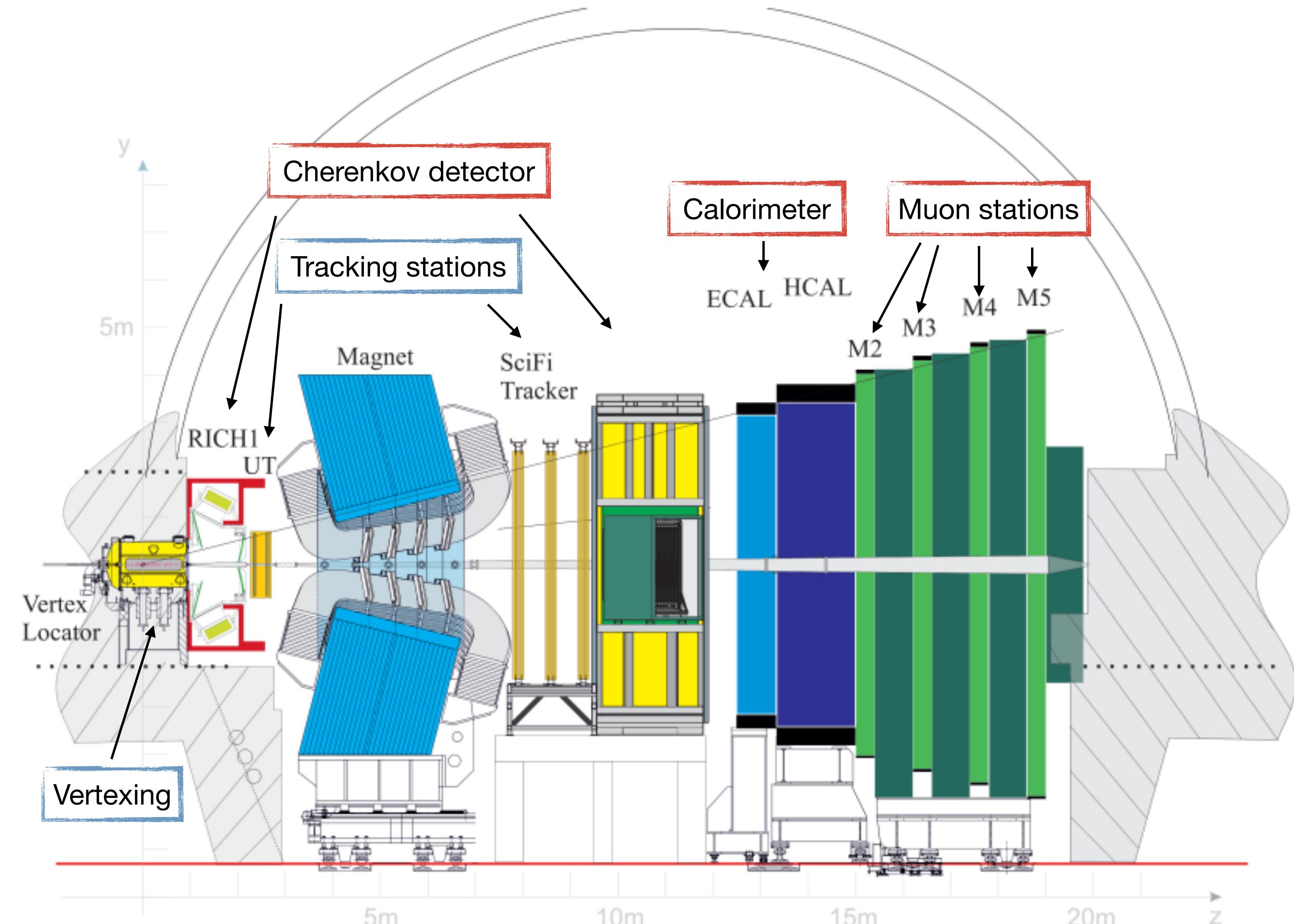
- Any observation of LFU violation, apart from phase space effects, would immediately hint to new physics

The Future

Upgrade I (2022+)

LHCb-TDR-12

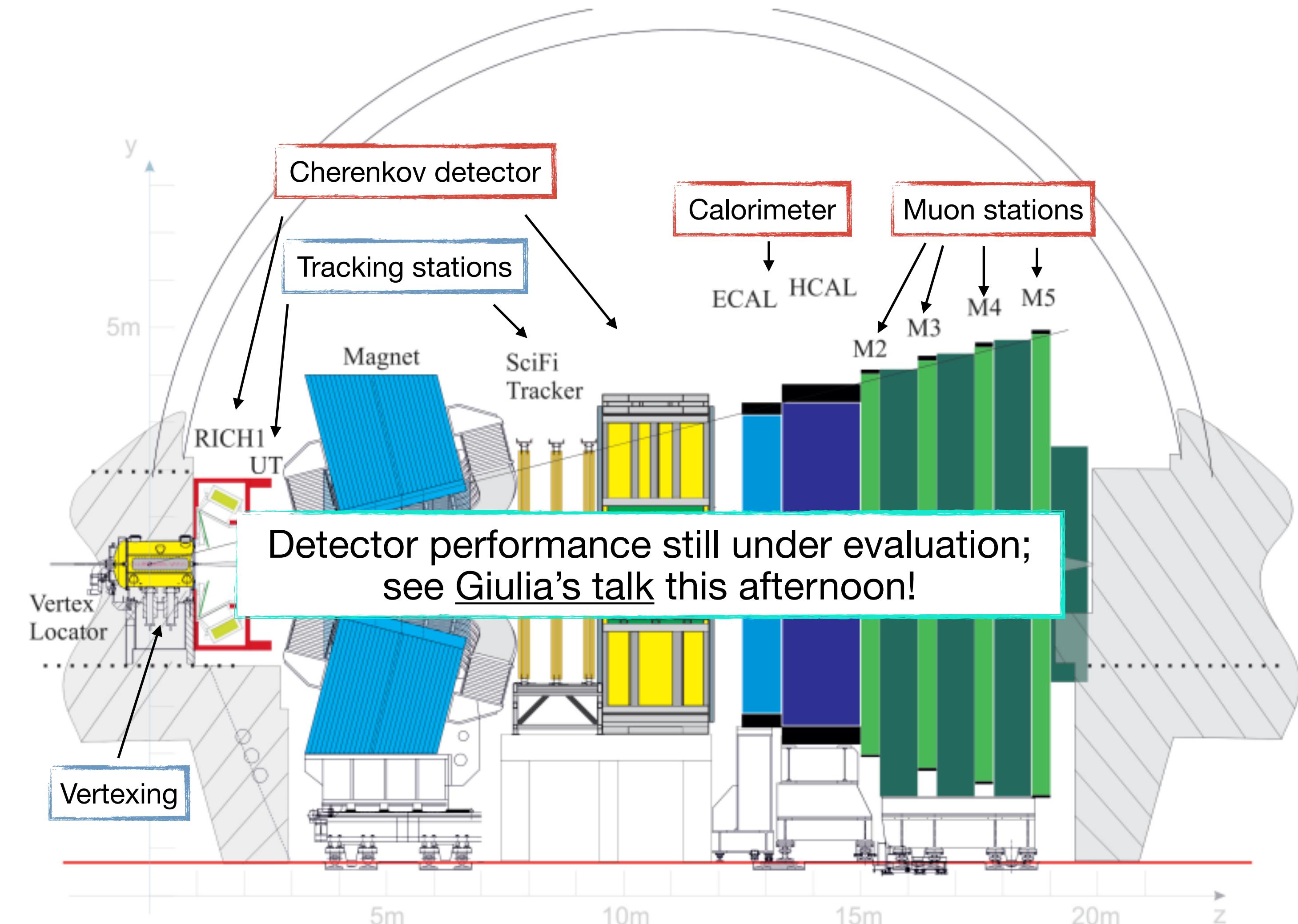
- LHCb is an all-purpose spectrometer placed at the LHC optimised to study b- and c-hadrons
- Completely new Tracker and Vertex Locator for a better **vertex resolution**, **tracking resolution**
- **Particle identification** with calorimeter, muon stations and Cherenkov detectors (RICH)
- Capable of a higher read out rate, up to 40 MHz!



Upgrade I (2022+)

LHCb-TDR-12

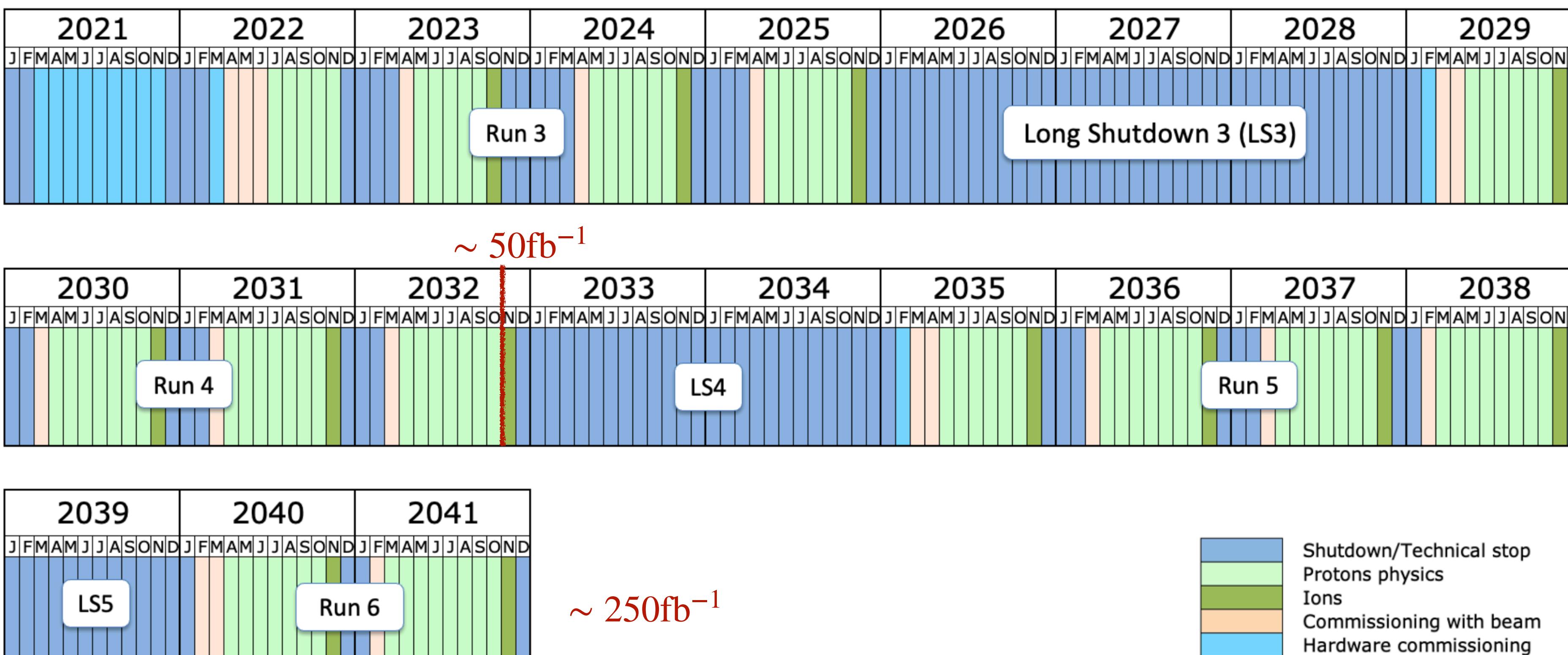
- LHCb is an all-purpose spectrometer placed at the LHC optimised to study b- and c-hadrons
- Completely new Tracker and Vertex Locator for a better **vertex resolution**, **tracking resolution**
- **Particle identification** with calorimeter, muon stations and Cherenkov detectors (RICH)
- Capable of a higher read out rate, up to 40 MHz!



Expected LHCb schedule

LHCb-TDR-23

- Goal is to collect about 50fb^{-1} of data until LS4, with an increased trigger efficiency for charm
 - Potentially increasing this number by a factor of ~ 5 after LS4



Future Sensitivity

LHCb-TDR-023

- Potential new limits on branching ratios* Upgrade 1, 2022-2030, and Upgrade 2, 2030+:

Mode	Run1-2 ($1\text{-}9 \text{ fb}^{-1}$)	Upgrade1 (50 fb^{-1})	Upgrade2 (300 fb^{-1})
$D^0 \rightarrow \mu^+ \mu^-$	6.2×10^{-9} 3.1×10^{-9}	4.2×10^{-10}	1.3×10^{-10}
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	6.7×10^{-8}	10^{-8}	3×10^{-9}
$D_s^+ \rightarrow K^+ \mu^+ \mu^-$	2.6×10^{-8}	10^{-8}	3×10^{-9}
$\Lambda_c^+ \rightarrow p \mu^+ \mu^-$	9.6×10^{-8}	1.1×10^{-8}	4.4×10^{-9}
$D^0 \rightarrow e^\pm \mu^\mp$	1.3×10^{-8}	10^{-9}	4.1×10^{-9}

A.Contu - Towards ultimate precision in Flavour Physics, Durham (2-4 April 2019)

- Statistical precision* on asymmetries:

Mode	Run1-2 ($1\text{-}9 \text{ fb}^{-1}$)	Upgrade1 (50 fb^{-1})	Upgrade2 (300 fb^{-1})
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$		0.2 %	0.08 %
$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	3.8 % 2%	1 %	0.4 %
$D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$		0.3 %	0.13 %
$D^0 \rightarrow K^+ \pi^- \mu^+ \mu^-$		12 %	5 %
$D^0 \rightarrow K^+ K^- \mu^+ \mu^-$	11 % 6%	4 %	1.7 %

A.Contu - Towards ultimate precision in Flavour Physics, Durham (2-4 April 2019)

*scaled by luminosity

Conclusion and prospects

- This presentation summarised the most recent results of rare (semi)leptonic charm decays at LHCb
- Reaching a precision on the branching ratios of $\mathcal{O}(10^{-9})$ and a statistical precision on angular observables of $\mathcal{O}(\%)$
- All measurements are **statistical limited**. New measurements, using complete Run 2 dataset, are on the way!
- Increased read out rate and improved trigger selection in Run 3

Conclusion and prospects

- This presentation summarised the most recent results of rare (semi)leptonic charm decays at LHCb
- Reaching a precision on the branching ratios of $\mathcal{O}(10^{-9})$ and a statistical precision on angular observables of $\mathcal{O}(\%)$
- All measurements are **statistical limited**. A complete Run 2 dataset, are on the way!
- Increased read out rate and improved trigger selection in Run 3

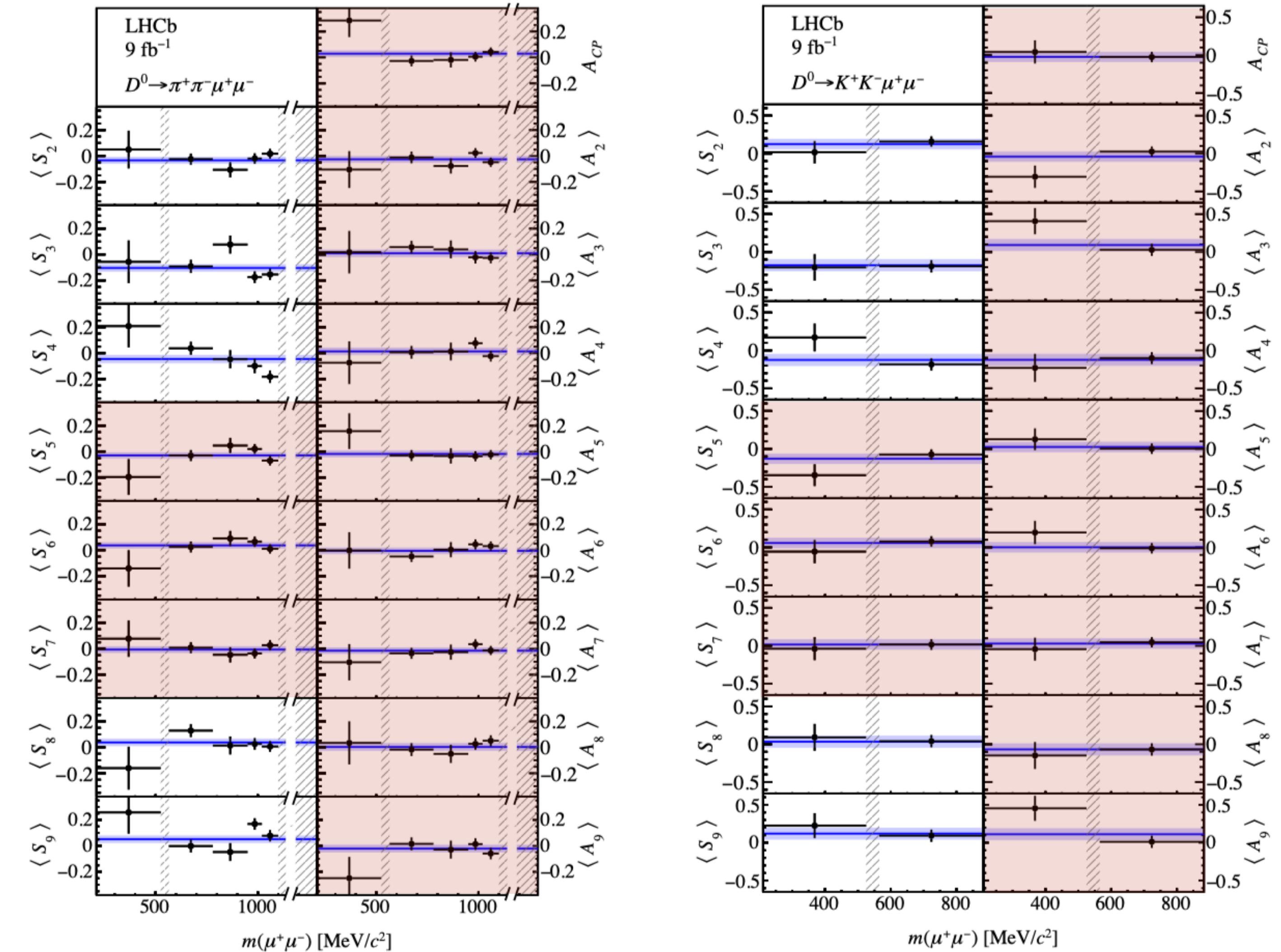
Stay tuned for new results!

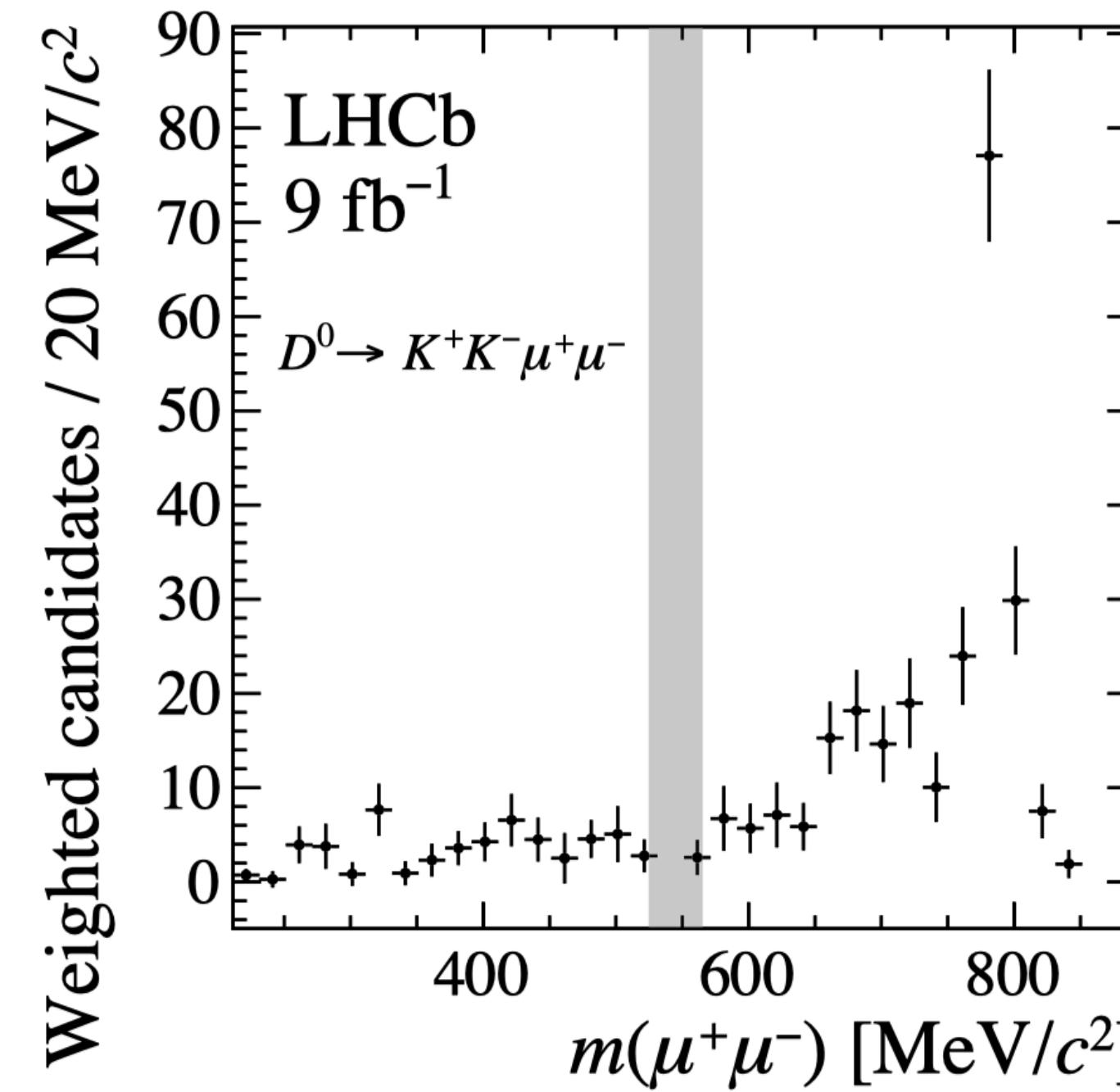
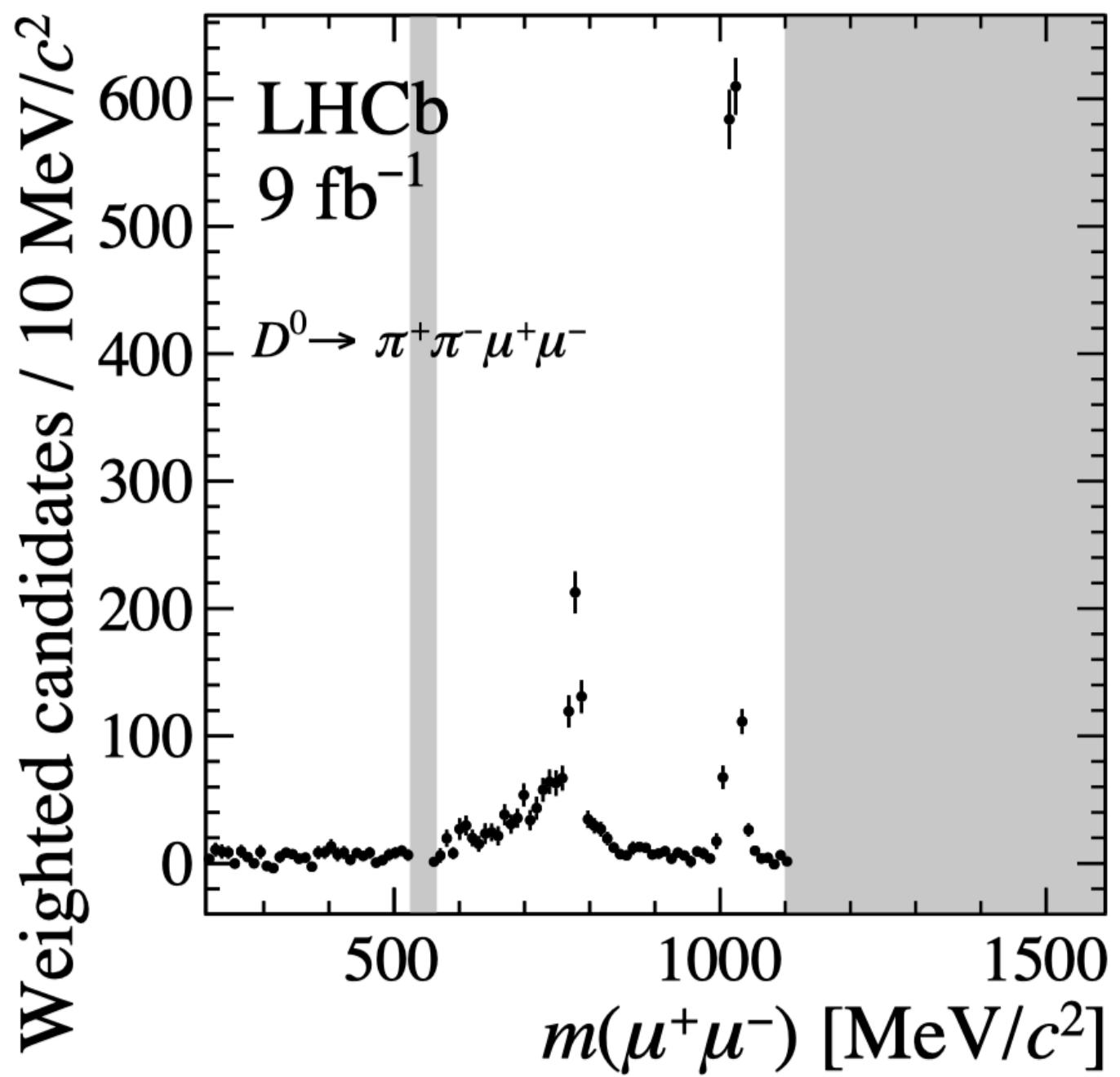
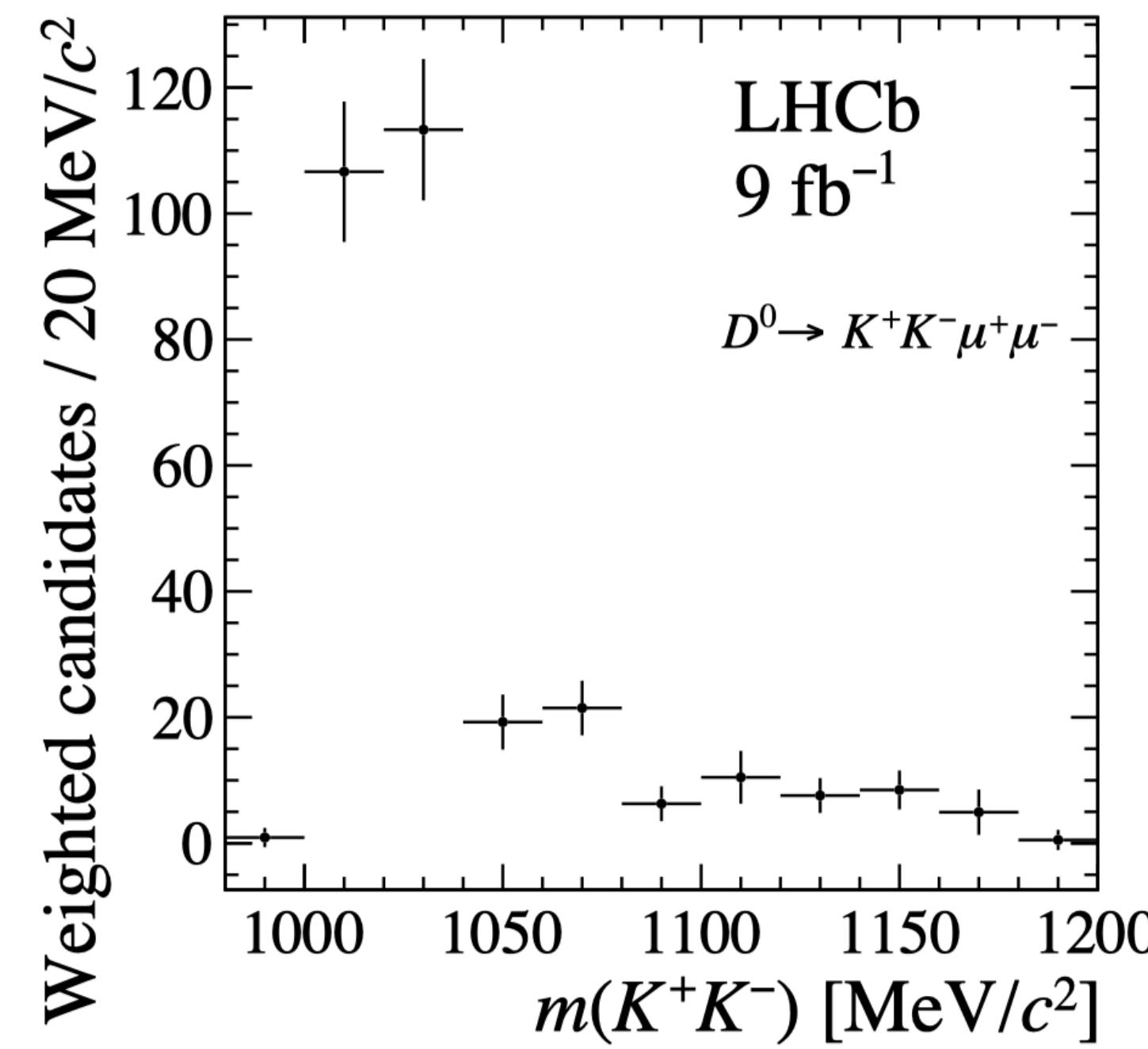
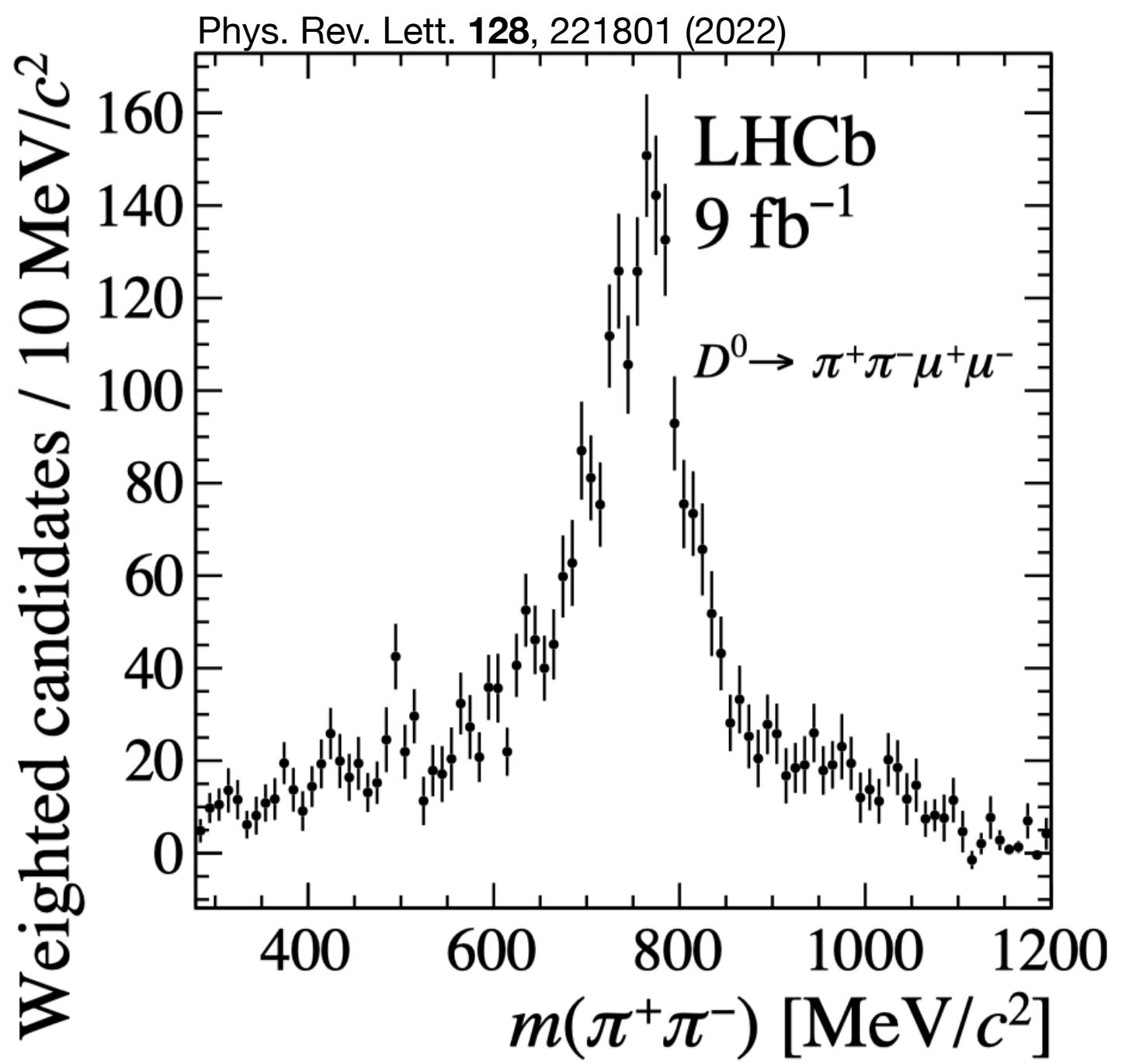
Backup

Angular Analysis of $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ and $D^0 \rightarrow K^+K^-\mu^+\mu^-$

Phys. Rev. Lett. **128**, 221801 (2022)

- Red marked observables are **clean null tests**





First observation of $D^0 \rightarrow KK\mu\mu$ and $D^0 \rightarrow \pi\pi\mu\mu$

Phys. Rev. Lett. 119, 181805 (2017)

Phys. Rev. Lett. 119, 181805 (2017)

$D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$		
$m(\mu^+\mu^-)$ region	[MeV/ c^2]	\mathcal{B} [10 $^{-8}$]
Low mass	< 525	$7.8 \pm 1.9 \pm 0.5 \pm 0.8$
η	525–565	< 2.4 (2.8)
ρ^0/ω	565–950	$40.6 \pm 3.3 \pm 2.1 \pm 4.1$
ϕ	950–1100	$45.4 \pm 2.9 \pm 2.5 \pm 4.5$
High mass	> 1100	< 2.8 (3.3)

$D^0 \rightarrow K^+K^-\mu^+\mu^-$		
$m(\mu^+\mu^-)$ region	[MeV/ c^2]	\mathcal{B} [10 $^{-8}$]
Low mass	< 525	$2.6 \pm 1.2 \pm 0.2 \pm 0.3$
η	525–565	< 0.7 (0.8)
ρ^0/ω	> 565	$12.0 \pm 2.3 \pm 0.7 \pm 1.2$