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# Inclusive semileptonic $D$ decays

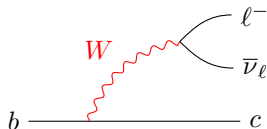
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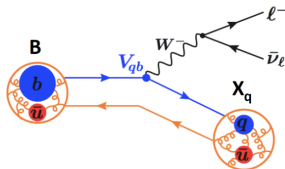
# Exclusive versus Inclusive Theory



- Theory (Weak interaction): Transitions between **quarks/partons**

# Exclusive versus Inclusive Theory

Figure from Marzia Bordone



- Theory (Weak interaction): Transitions between **quarks/partons**
- Observation: Transitions between **hadrons**

## Challenge:

- Dealing with QCD at large distances/small scales
- Parametrize fundamental mismatch in non-perturbative objects
  - Calculable: Lattice or Light-cone sumrules
  - Measurable: from data

# Why inclusive decays?

- Set up OPE and heavy quark expansion
- Well established for  $B$  decays, precise framework
- Extract important CKM parameters  $V_{cb}$  and  $V_{ub}$
- Extract power corrections from data
- Cross check of exclusive decays

# Inclusive $B \rightarrow X_c$ decays

## Inclusive $B \rightarrow X_c \ell \nu$ : Heavy Quark Expansion (HQE)

- $b$  quark mass is large compared to  $\Lambda_{\text{QCD}}$
- Setting up the HQE: momentum of  $b$  quark:  $p_b = m_b v + k$ , expand in  $k \sim iD$
- Optical Theorem  $\rightarrow$  (local) Operator Product Expansion (OPE)

$$d\Gamma = d\Gamma_0 + \frac{d\Gamma_1}{m_b} + \frac{d\Gamma_2}{m_b^2} + \dots \quad d\Gamma_i = \sum_k C_i^{(k)} \langle B | \mathcal{O}_i^{(k)} | B \rangle$$

- $C_i^{(k)}$  perturbative Wilson coefficients
- $\langle B | \dots | B \rangle$  non-perturbative matrix elements  $\rightarrow$  string of  $iD$
- operators contain chains of covariant derivatives

$$\langle B | \mathcal{O}_i^{(n)} | B \rangle = \langle B | \bar{b}_v (iD_\mu) \dots (iD_{\mu_n}) b_v | B \rangle$$

# Inclusive Decays

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$$\langle B | \mathcal{O}_i^{(n)} | B \rangle = \langle B | \bar{b}_v (iD_{\mu_1}) \dots (iD_{\mu_n}) b_v | B \rangle$$

- HQE parameters extracted from **lepton energy**, **hadronic mass** and  $q^2$  moments

$\Gamma_i$  are power series in  $\mathcal{O}(\alpha_s)$

$$\Gamma = \Gamma_0 + \frac{1}{m_b} \Gamma_1 + \frac{1}{m_b^2} \Gamma_2 + \frac{1}{m_b^3} \Gamma_3 \dots$$

- $\Gamma_0$ : decay of the free quark (partonic contributions),  $\Gamma_1 = 0$
- $\Gamma_2$ :  $\mu_\pi^2$  kinetic term and the  $\mu_G^2$  chromomagnetic moment

$$2M_B \mu_\pi^2 = - \langle B | \bar{b}_\nu iD_\mu iD^\mu b_\nu | B \rangle$$

$$2M_B \mu_G^2 = \langle B | \bar{b}_\nu (-i\sigma^{\mu\nu}) iD_\mu iD_\nu b_\nu | B \rangle$$

- $\Gamma_3$ :  $\rho_D^3$  Darwin term and  $\rho_{LS}^3$  spin-orbit term

$$2M_B \rho_D^3 = \frac{1}{2} \langle B | \bar{b}_\nu [iD_\mu, [ivD, iD^\mu]] b_\nu | B \rangle$$

$$2M_B \rho_{LS}^3 = \frac{1}{2} \langle B | \bar{b}_\nu \{ iD_\mu, [ivD, iD_\nu] \} (-i\sigma^{\mu\nu}) b_\nu | B \rangle$$

- $\Gamma_4$ : 9 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109
- $\Gamma_5$ : 18 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109



# Moments of the spectrum

BABAR, PRD 68 (2004) 111104; BABAR, PRD 81 (2010) 032003; Belle, PRD 75 (2007) 032005

Non-perturbative matrix elements obtained from moments of differential rate

## Charged lepton energy

$$\langle E^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}}{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}}$$

## Hadronic invariant mass

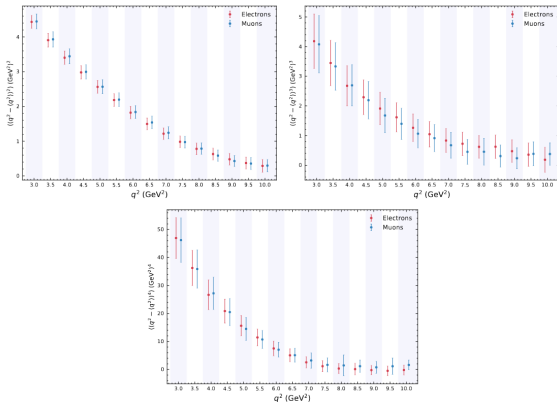
$$\langle (M_X^2)^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dM_X^2 (M_X^2)^n \frac{d\Gamma}{dM_X^2}}{\int_{E_\ell > E_{\text{cut}}} dM_X^2 \frac{d\Gamma}{dM_X^2}}$$

## Dilepton momentum

$$\langle (q^2) \rangle_{\text{cut}} = \frac{\int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2}}{\int_0 dq^2 \frac{d\Gamma}{dq^2}}$$

- Moments up to  $n = 3, 4$  and with several energy cuts available
- Experimentally necessary to use some cut on the leptons

Belle Collaboration [2109.01685, 2105.08001]



Centralized moments as function of  $q_{cut}^2$

# Determining $V_{cb}$ and the HQE elements

$$\begin{aligned} & \langle E_\ell^n \rangle, \langle (M_X^2)^n \rangle \quad \langle (q^2)^n \rangle_{\text{cut}} \\ & \downarrow \\ & m_b, m_c, \mu_\pi^2, \mu_G^2, \rho_d^3, r_E, r_G, s_E, s_B, s_{qB}, + \dots \\ & \downarrow \\ & \text{Br}(\bar{B} \rightarrow X_c \ell \bar{\nu}) \propto \frac{|V_{cb}|^2}{\tau_B} \left[ \Gamma_{\mu_3} \mu_3 + \Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + \Gamma_{\tilde{\rho}_D} \frac{\tilde{\rho}_D^3}{m_b^3} \right. \\ & \quad \left. + \Gamma_{r_E} \frac{r_E^4}{m_b^4} + \Gamma_{r_G} \frac{r_G^4}{m_b^4} + \Gamma_{s_B} \frac{s_B^4}{m_b^4} + \Gamma_{s_E} \frac{s_E^4}{m_b^4} + \Gamma_{s_{qB}} \frac{s_{qB}^4}{m_b^4} \right] \\ & \downarrow \\ & V_{cb} \end{aligned}$$

# The advantage of $q^2$ moments

Mannel, KKV, JHEP 1806 (2018) 115; Fael, Mannel, KKV, JHEP 02 (2019) 177

- Standard **lepton energy** and **hadronic mass** moments are not RPI quantities
- New  $q^2$  moments are RPI!

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## Reparametrization invariant quantities:

- Setting up the HQE: momentum of  $b$  quark:  $p_b = m_b v + k$ , expand in  $k \sim iD$
- Choice of  $v$  not unique: Reparametrization invariance (RPI)

$$v_\mu \rightarrow v_\mu + \delta v_\mu$$

$$\delta_{RP} v_\mu = \delta v_\mu \quad \text{and} \quad \delta_{RP} iD_\mu = -m_b \delta v_\mu$$

- links different orders in  $1/m_b \rightarrow$  reduction of parameters
- **up to  $1/m_b^4$ : 8 parameters** (previous 13)

# Heavy quark expansion for charm?

# Why HQE for charm?

- Expansion parameters  $\alpha_s(m_c)$  and  $\Lambda_{\text{QCD}}/m_c$  less than unity, but not so small ...
- Turn vice into virtue: more sensitive to higher  $1/m_Q$  corrections
- Exploit the full physics potential of BES III, LHCb ...
- Constrain Weak Annihilation (WA) contributions

$$\rightarrow B_d \rightarrow s\ell\ell$$

$$\rightarrow V_{ub}$$

[Huber, Hurth, Lunghi, Jenkins, KKV, Qin]

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- Extraction of  $|V_{cs}|$  and  $|V_{cd}|$ ?

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## Challenges:

- Valence and non-valence WA operators at higher orders
- Scale for radiative corrections
- Charm mass definition

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- Valence and non-valence WA operators at higher orders
- Scale for radiative corrections
- Charm mass definition

In short: how to handle the charm?

# The HQE for charm

I:  $m_Q \sim m_q \gg \Lambda_{\text{QCD}}$  OPE for  $b \rightarrow c\ell\bar{\nu}$

- $q$  is treated as a heavy degree of freedom
- two-quarks operators:  $\bar{Q}_v(iD^\alpha \cdots iD^\beta)Q_v$
- IR sensitivity to mass  $m_q$

$$\Gamma\Big|_{1/m_Q^3} = \left[ \frac{34}{3} + 8 \log \rho + \dots \right] \frac{\rho_D^3}{m_Q^3}, \quad \text{with } \rho = (m_q/m_Q)^2$$

II:  $m_Q \gg m_q \gg \Lambda_{\text{QCD}}$  start with  $q$  dynamical

- four-quark operators  $(\bar{Q}_v \Gamma q)(q \bar{\Gamma} Q_v)$
- removed when matching onto two-quark operators
- RGE running gives  $\log(m_q/m_Q)$

III:  $m_Q \gg m_q \sim \Lambda_{\text{QCD}}$  OPE for  $c \rightarrow s\ell\bar{\nu}$

- $q$  dynamical degree of freedom
- four-quark operators remain in OPE
- no explicit  $\log(m_q/m_Q)$ : hidden inside new non-perturbative HQE parameters

IV:  $m_Q \gg \Lambda_{\text{QCD}} \gg m_q$  for  $b \rightarrow u$  and  $c \rightarrow d$  transitions

- The general structure of the expansion for  $D \rightarrow X_s \ell \bar{\nu}$ :

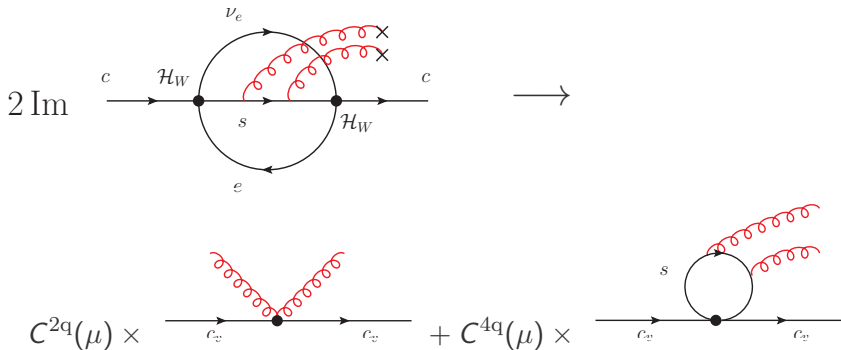
$$d\Gamma = d\Gamma_0 + d\Gamma_{(2,1)} \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^2 + d\Gamma_{(2,2)} \left( \frac{m_s}{m_c} \right)^2 \\ + d\Gamma_3 \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^3 + d\Gamma_{(4,1)} \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^4 + d\Gamma_{(4,2)} \left( \frac{m_s}{m_c} \right)^4 + \dots$$

- Expansion parameters:
  - $1/m_c$
  - $\alpha_s$
  - $m_s/m_c$

Fael, Mannel, KKV, hep-ph/1910.05234

# HQE for Charm revisited

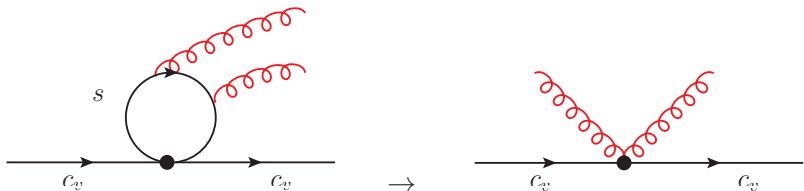
- Systematic treatment of four-quark operators order by order in  $1/m_Q$
- Set up OPE directly for  $\Gamma_{\text{tot}}$  and  $\langle M^{(n)} \rangle$   
following the idea in [Bauer, Falk, Luke hep-ph/9604290](#)



# HQE for Charm revisited

- $\log(m_c/m_b)$  in  $B \rightarrow X\ell\nu$  corresponds to  $\log(\mu/m_c)$  in  $D \rightarrow X\ell\nu$
- caused by mixing of four-quark operators into two-quark operators:

$$C_i^{2q}(\mu) = C_i^{2q}(m_c) + \log\left(\frac{\mu}{m_c}\right) \sum_j \hat{\gamma}_{ij}^T C_j^{4q}(m_c)$$



- Additional HQE parameters for  $c \rightarrow q$ :  $T_i \equiv \frac{1}{2m_D} \langle D | O_i^{4q} | D \rangle$
- Up to  $1/m_c^3$  only one extra HQE param:

$$\begin{aligned} \tau_0 = & 128\pi^2 \left( T_1(\mu) - T_2(\mu) - 2\frac{T_3(\mu)}{m_c} + \frac{T_4(\mu)}{m_c} \right) \\ & + \log \left( \frac{\mu^2}{m_c^2} \right) \left[ 8\tilde{\rho}_D^3 + \frac{1}{m_c} \left( \frac{16}{3}r_G^4 - \frac{16}{3}r_E^4 + \frac{8}{3}s_E^4 - \frac{1}{3}s_{qB}^4 - 12m_s^4 \right) \right] \end{aligned}$$

- Up to  $1/m_c^4$  only two extra HQE params:  $\tau_m$  and  $\tau_\epsilon$ .

$$\rho = m_s^2/m_c^2$$

Fael, Mannel, KKV, hep-ph/1910.05234

$$\begin{aligned} \frac{\Gamma(D \rightarrow X_s \ell \nu)}{\Gamma_0} = & \left(1 - 8\rho - 10\rho^2\right) \mu_3 + (-2 - 8\rho) \frac{\mu_G^2}{m_c^2} + 6 \frac{\tilde{\rho}_D^3}{m_c^3} \\ & + \frac{16}{9} \frac{r_G^4}{m_c^4} + \frac{32}{9} \frac{r_E^4}{m_c^4} - \frac{34}{3} \frac{s_B^4}{m_c^4} + \frac{74}{9} \frac{s_E^4}{m_c^4} + \frac{47}{36} \frac{s_{qB}^4}{m_c^4} + \frac{\tau_0}{m_c^3} \end{aligned}$$

- RPI quantities ( $q^2$  moments) depend on reduced set
- Data required to test description
- Comparison of extracted HQE parameters with  $B$  decays



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Key question: HQE indeed applicable to inclusive charm decays?

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Fael, Mannel, KKV, hep-ph/1910.05234

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Key question: How to handle the charm mass?

# How to handle the charm mass?

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# Short-Distances Masses

Bigi, Shifman, Uraltsev, Vainshtein, hep-ph/9704245, hep-ph/9405410; Czarnecki, Melnikov, Uraltsev, hep-ph/9708372.

- Renormalon issues require short-distance mass
- $\overline{\text{MS}}$  for scales  $\mu$  above heavy quark mass
- Kinetic mass: relating hadron versus quark mass  
QCD corrections using hard cut off  $\mu$

$$m_Q(\mu)^{\text{kin}} = m_Q^{\text{Pole}} - [\overline{\Lambda}]_{\text{pert}} + \left[ \frac{\mu_\pi^2}{2m_Q} \right]_{\text{pert}} + \dots$$

$$[\overline{\Lambda}]_{\text{pert}} = \frac{4}{3} C_F \frac{\alpha_s(m_c)}{\pi} \mu \quad [\mu_\pi^2]_{\text{pert}} = C_F \frac{\alpha_s(m_c)}{\pi} \mu^2$$

- Higher-order terms in the HQE generate corrections  $(\alpha_s/\pi)\mu^n/m_Q^n$ .

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- Higher-order terms in the HQE generate corrections  $(\alpha_s/\pi)\mu^n/m_Q^n$ .
- $\Lambda_{\text{QCD}} < \mu < m_Q$ : expansion parameters  $\mu/m_Q$ 
  - Well established for  $m_B$ :  $\mu/m_B \simeq 0.2$
  - Charm??
    - $\mu = 1 \text{ GeV} \rightarrow \mu/m_c \simeq 1$
    - $\mu = 0.5 \text{ GeV} \rightarrow \mu/m_c \simeq 0.4$

- $m_c^{\text{kin}}(1 \text{ GeV}) = 1.16 \text{ GeV}$  ( $m_s \rightarrow 0$  limit)

$$\Gamma(c \rightarrow sl\nu)^{\text{kin}} = \Gamma_0 \left[ 1 + 7.7 \frac{\alpha_s(m_c)}{\pi} + 69 \left( \frac{\alpha_s(m_c)}{\pi} \right)^2 \right]$$

- $m_c^{\text{kin}}(0.5 \text{ GeV}) = 1.4 \text{ GeV}$  ( $m_s \rightarrow 0$  limit)

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$\mu = 0.5 \text{ GeV}$  touches upon the non-perturbative regime?

# Spectral-Density Mass

Chetyrkin, Kuehn, Steinhauser hep-ph/9705254, Penin, Pivovarov hep-ph/9805344

- $m_c$  not observable  $\rightarrow$  no physical meaning
- Extracted from data: moments of the spectral density in  $e^+e^- \rightarrow$  hadrons

$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$



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- Start from vacuum correlator

$$\int d^4x e^{-iqx} \langle 0 | T [j_\mu(x) j_\nu(0)] | 0 \rangle = (g_{\mu\nu} q^2 - q_\mu q_\nu) \Pi(q^2)$$

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$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

- Expand around  $q^2 = 0$ : ( $\bar{C}_n = \bar{C}_n^{(0)} + \frac{\alpha_s(\mu)}{\pi} \bar{C}_n^{(1)} + \dots$ )

$$\Pi(q^2) = \Pi(0) + \frac{4}{9} \frac{3}{16\pi^2} \sum_{n=1}^{\infty} \bar{C}_n \left( \frac{q^2}{4m_c^2} \right)$$

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- $\bar{C}_n$  known up to  $\alpha_s^2$  and related to moments

$$\bar{C}_n = (4m_c^2)^n M_n \quad \text{with} \quad M_n = \int \frac{ds}{s^{n+1}} R(s) \quad (1)$$

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- Extracted from data: moments of the spectral density in  $e^+e^- \rightarrow$  hadrons

$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

- Expand around  $q^2 = 0$ : ( $\bar{C}_n = \bar{C}_n^{(0)} + \frac{\alpha_s(\mu)}{\pi} \bar{C}_n^{(1)} + \dots$ )

$$\Pi(q^2) = \Pi(0) + \frac{4}{9} \frac{3}{16\pi^2} \sum_{n=1}^{\infty} \bar{C}_n \left( \frac{q^2}{4m_c^2} \right) = \Pi(0) + \frac{q^2}{12\pi^2} \int \frac{ds}{s} \frac{R(s)}{s - q^2}$$

- $\bar{C}_n$  known up to  $\alpha_s^2$  and related to moments

$$\bar{C}_n = (4m_c^2)^n M_n \quad \text{with} \quad M_n = \int \frac{ds}{s^{n+1}} R(s) \quad (1)$$

- Replace  $m_c$ :

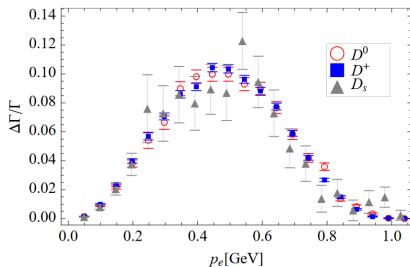
$$m_c = \frac{1}{2} \left( \frac{\bar{C}_n}{M_n} \right)^{1/(2n)}$$

Chetyrkin, Kuehn, Steinhauser [hep-ph/9705254], Penin, Pivovarov [hep-p/9805344]

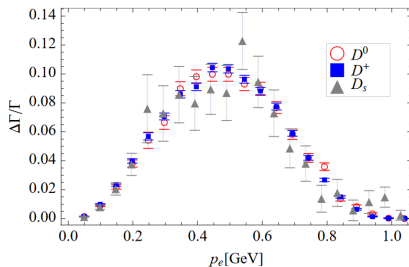
Boushmelev, Mannel, KKV [2301.05607]

$$\begin{aligned}\Gamma(c \rightarrow s\ell\nu) &= \frac{G_F^2 |V_{cs}|^2}{192\pi^3} \left( \frac{1}{2} \left( \frac{\bar{C}_n}{M_n} \right)^{1/2} \right)^5 \left( 1 + \frac{\alpha_s(\mu)}{\pi} a_1 + \left( \frac{\alpha_s(\mu)}{\pi} \right)^2 a_2 + \dots \right) \\ &= \frac{G_F^2 |V_{cs}|^2}{6144\pi^3} \left( \frac{\bar{C}_n^{(0)}}{M_n} \right)^{5/2} \left( 1 + \frac{\alpha_s(\mu)}{\pi} \left[ a_1 + \frac{5}{2n} \frac{\bar{C}_n^{(1)}}{\bar{C}_n^{(0)}} \right] \right. \\ &\quad \left. + \left( \frac{\alpha_s(\mu)}{\pi} \right)^2 \left[ a_2 + \frac{5}{2n} a_1 \frac{\bar{C}_n^{(1)}}{\bar{C}_n^{(0)}} + \frac{5}{2n} \frac{\bar{C}_n^{(2)}}{\bar{C}_n^{(0)}} + \frac{5}{4n} \left( \frac{5}{4n} - 1 \right) \left( \frac{\bar{C}_n^{(1)}}{\bar{C}_n^{(0)}} \right)^2 \right] + \dots \right)\end{aligned}$$

- Conclusion for  $B$ : pert. series improves a bit
- Scale at which  $\alpha_s^2$  vanishes rather low:  $0.7 m_b$
- **In progress:** Similar approach for the charm + power corrections



- Extrapolate data to  $p_e \rightarrow 0$  and convert from lab frame to  $D$  meson rest frame
- Kinetic mass for charm at  $\mu = 0.5$  GeV threshold, HQE parameters as input
- Obtain strong bounds on weak annihilation (WA) contribution
- Max 2% WA contribution to  $B \rightarrow X_\nu \ell \nu$



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- Kinetic mass for charm at  $\mu = 0.5$  GeV threshold, HQE parameters as input
- Obtain strong bounds on weak annihilation (WA) contribution
- Max 2% WA contribution to  $B \rightarrow X_u \ell \nu$
- **My wish:** Extract HQE and WA directly from  $q^2$  moments at BESIII



We are in the High-precision Era in Flavour Physics!

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- After inclusive  $B$  decays now its time to tackle the charm!

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Close collaboration between theory and experiment necessary!

# Backup

---

# Contamination of the $B \rightarrow X_c \ell \nu$ signal

Rahimi, Mannel, KKV [arXiv: 2105.02163]

Avoid background subtraction by calculating the full inclusive width:

$$d\Gamma(B \rightarrow X\ell) = d\Gamma(B \rightarrow X_c \ell \bar{\nu}) + d\Gamma(B \rightarrow X_u \ell \bar{\nu}) + d\Gamma(B \rightarrow X_c(\tau \rightarrow \ell \bar{\nu} \nu) \bar{\nu})$$

- $b \rightarrow u \ell \nu$  contribution: suppressed by  $V_{ub}/V_{cb}$
- $b \rightarrow c(\tau \rightarrow \mu \nu \bar{\nu}) \bar{\nu}$  contribution: phase space suppressed
- QED effects
- Quark-hadron duality violation?

## Goal:

provide theoretical description and compare with Monte-Carlo data used by Belle (II)

## Challenge:

estimate how much this description would improve  $V_{cb}$  determination

# $b \rightarrow ul\nu$ contribution: Local OPE

Neubert (1994); Bosch, Paz, Lange, Neubert (2004,2005)

- Can be analyzed in local OPE as  $B \rightarrow X_c l \nu$  by taking  $m_c \rightarrow 0$  limit
- For  $V_{ub}$  determination
  - large charm background requires experimental cuts
  - reduces the inclusivity and local OPE no longer converges
  - spectrum described by non-local OPE
  - convolution of pert. coefficients with shape function

## Goal:

provide theoretical description and compare with Monte-Carlo data used by Belle (II)

- NLO +  $1/m_b^2 + 1/m_b^3$
- In agreement with partonic calc of DFN De Fazio, Neubert (1999); Gambino, Ossola, Uraltsev (2005)
- First study: no  $\alpha_s$  for  $1/m_b^2$ , no additional uncert. due to missing higher orders
- Inputs HQE parameters from  $B \rightarrow X_c l \nu$  study Gambino, Schwanda [2014]; Gambino, Healey, Turczy [2016]



# Monte Carlo versus HQE

Rahimi, Mannel, KKV [arXiv: 2105.02163]; De Fazio, Neubert 1999; Bosch, Lange, Neubert, Paz 2005

Compare local OPE with generator level Monte-Carlo data provided by Cao, Bernlochner

## Monte Carlo:

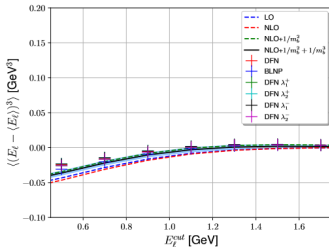
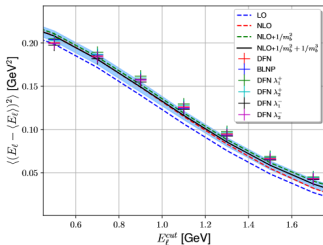
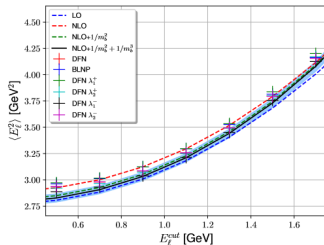
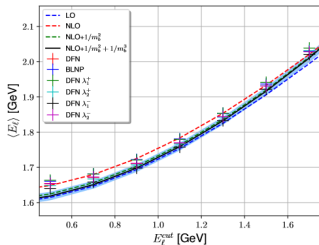
- BLNP: specific shape function input parameters shape function parameters  $b = 3.95$  and  $\Lambda = 0.72$
- DFN:  $\alpha_s$  corrections convoluted with the exponential shape function model
  - Inputs from  $B \rightarrow X_c \ell \nu$  and  $B \rightarrow X_s \gamma$  data using KN-scheme Kagan, Neubert 1998
  - $(\lambda_1^+, \lambda_2^+, \lambda_1^-, \lambda_2^-)$  are obtained by varying  $\bar{\Lambda}$  and  $\mu_\pi^2$  within  $1\sigma$  Buchmuller, Flacher, 2006

Hadronic contributions: “hybrid Monte Carlo” Belle Collaboration [arXiv:2102.00020.]

- convolution with hadronization simulation based on PYTHIA
- plus explicit resonances:  $\bar{B} \rightarrow \pi \ell \bar{\nu}$  and  $\bar{B} \rightarrow \rho \ell \bar{\nu}$

# Monte Carlo versus HQE

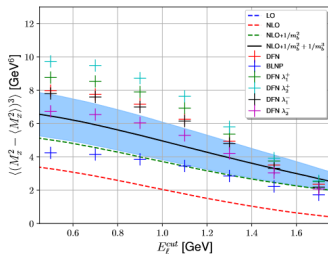
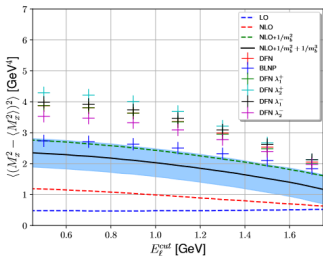
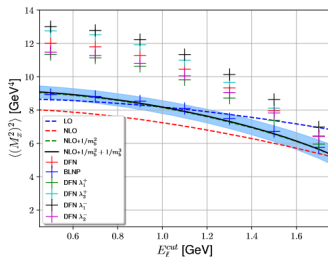
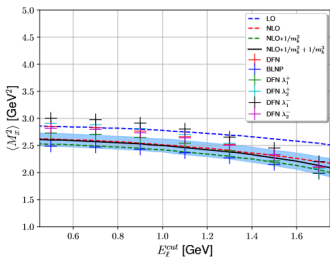
Rahimi, Mannel, KKV [arXiv: 2105.02163]; MC data by Lu Cao and Florian Bernlochner



MC-results are in good agreement with the HQE results

# Monte Carlo versus HQE

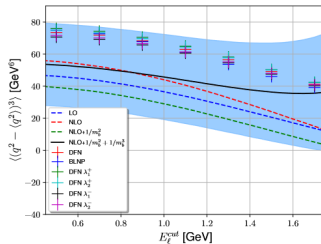
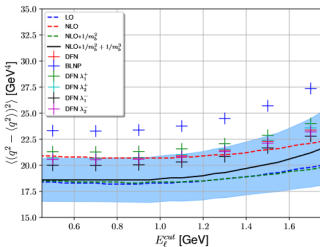
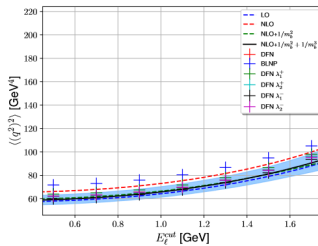
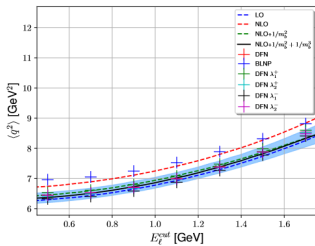
Rahimi, Mannel, KKV[arXiv: 2105.02163]; MC data by Lu Cao and Florian Bernlochner



Wide spread between MC for higher moments

# Monte Carlo versus HQE

Rahimi, Mannel, KKV[arXiv: 2105.02163]; MC data by Lu Cao and Florian Bernlochner



Rahimi, Mannel, KKV[arXiv: 2105.02163];

## Remarks:

- DFN: Smearing corresponding to a shape function, mimicking some non-perturbative effects; may not capture all
- BLNP: should reproduce the HQE, with parameters adjusted to local HQE prediction
  - should include higher moments of the shape-function model?
  - include subleading shape functions?
- our HQE: interesting to include  $\alpha_s$  to HQE parameters,  $\alpha_s^2$ ?

Contribution from five-body charm decay to  $b \rightarrow c \ell \nu$  via

$$B(p_B) \rightarrow X_c(p_{X_c})(\tau(q_{[\tau]} \rightarrow \mu(q_{[\mu]})\nu_\mu(q_{[\bar{\nu}_\mu]})\nu_\tau(q_{[\nu_\tau]}))\bar{\nu}_\tau(q_{[\bar{\nu}_\tau]}))$$

:

- Phase space suppressed:

$$\frac{\Gamma_{\text{tot}}(b \rightarrow c\tau(\rightarrow \ell\bar{\nu}_\ell\nu_\tau)\bar{\nu}_\tau)}{\Gamma_{\text{tot}}(b \rightarrow c\ell\bar{\nu})} \sim 4.0\%$$

- Experimentally effects diminished by cutting on the invariant mass of the  $B$
- Can be calculated exactly in the HQE

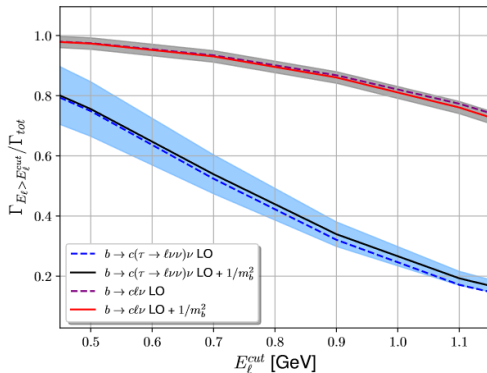
$$\frac{d^8\Gamma}{dq^2 dq_{\nu\bar{\nu}}^2 dp_{X_c}^2 d^2\Omega d\Omega^* d^2\Omega^{**}} = - \frac{3G_F^2 |V_{cb}|^2 \sqrt{\lambda}(q^2 - m_\tau^2)(m_\tau^2 - q_{\nu\bar{\nu}}^2) \mathcal{B}(\tau \rightarrow \mu\nu\nu)}{2^{17} \pi^5 m_\tau^8 m_b^3 q^2} W_{\mu\nu} L^{\mu\nu}$$

- $L_{\mu\nu}$  five-body leptonic tensor (narrow-width limit for  $\tau$ )
- $W_{\mu\nu}$  standard hadronic tensor including HQE parameters

- Interesting to search for new physics! Mannel, Rusov, Shahriaran (2017); Mannel, Rahimi, KKV [in progress]

# Five-body $\tau$ contribution

Rahimi, Mannel, KKV[arXiv: 2105.02163];



No MC data available to test with

## Lowest State Saturation Approximation (LSSA)

$$\langle B|O_1 O_2|B\rangle = \sum_n \langle B|O_1|n\rangle \langle n|O_2|B\rangle$$

$$\rho_D^3 = \varepsilon \mu_\pi^2, \quad \rho_{LS}^3 = -\varepsilon \mu_G^2, \quad \varepsilon \sim 0.4 \text{ GeV}$$

Mannel, Turczyk, Uraltsev JHEP 1011 (2010) 109; Heinonen, Mannel, NPB 889 (2014) 46

- LSSA estimated as priors (60% gaussian uncertainty)
- $\mathcal{O}(1/m_b^4, 1/m_b^5)$  can then be included in fit Healey, Turczyk, Gambino, PLB 763 (2016) 60

$$|V_{cb}|_{\text{incl}} = (42.00 \pm 0.64) \times 10^{-3}$$

- $-0.25\%$  shift due to power corrections



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## Towards the Ultimate Precision in $|V_{cb}|$

- Include  $\alpha_s$  corrections to for  $\rho_D^3$  Mannel, Pivovarov [in progress]; Gambino [in progress]
- Full determination up to  $1/m_b^4$  from data possible?

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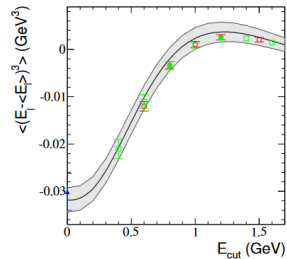
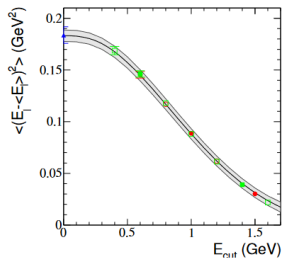
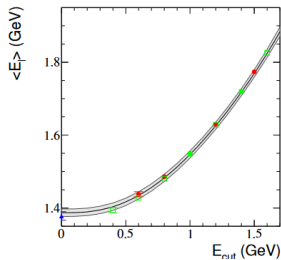
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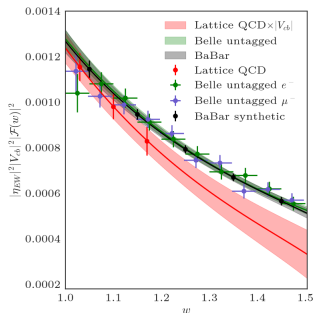
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- $-0.25\%$  shift due to power corrections

# Moments of the spectrum

Gambino, Schwanda Phys. Rev. D 89, 014022 (2014)





- Tension between the slope of the lattice and experimental data
- Same form factors determine SM predictions for  $R_{D^{(*)}}$
- **New experimental and lattice data needed!**

# The $V_{cb}$ puzzle: Inclusive versus Exclusive decays

## Exclusive $B \rightarrow D^{(*)} l \bar{\nu}$

- Form factor required (only for  $B \rightarrow D$  available at different kinematic points)
- Different parametrizations for form factors: CLN Caprini, Lellouch, Neubert [1997] and BGL Boyd, Grinstein, Lebed [1995]
  - BGL: model independent based on unitarity and analyticity
  - CLN: Simple parametrization using HQE relations
- Some inconsistencies in the Belle data were pointed out see e.g. van Dyk, Jung, Bordone, Gubernari [2104.02094]

## Inclusive $B \rightarrow X_c l \nu$

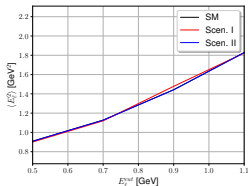
- Determined fully data driven including  $1/m_b$  power corrections

Recently a lot of attention for the  $V_{cb}$  puzzle! Bigi, Schacht, Gambino, Jung, Straub, Bernlochner, Bordone, van Dyk, Gubernari

Stay tuned!

## NP in the $\tau$ sector

- Affects also inclusive  $B \rightarrow X_c \tau \nu$  Rusov, Mannel, Shahriaran [2017]
- Lepton and hadronic moments challenging to measure
- Recently moments of the five-body decay  $B \rightarrow X_c \tau (\rightarrow \mu \nu \nu) \nu$  investigated Mannel, Rahimi, KKV [2105.02163]
- Would also be influenced by NP [in progress]
- Specific NP scenarios from global fit Mandal, Murgui, Penuela, Pich [2004.06726]



Preliminary!

Contribution from five-body charm decay to  $b \rightarrow c \ell \nu$  via

$$B(p_B) \rightarrow X_c(p_{X_c})(\tau(q_{[\tau]})) \rightarrow \mu(q_{[\mu]})\nu_\mu(q_{[\bar{\nu}_\mu]})\nu_\tau(q_{[\nu_\tau]})\bar{\nu}_\tau(q_{[\bar{\nu}_\tau]})$$

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- Phase space suppressed:

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- $W_{\mu\nu}$  standard hadronic tensor including HQE parameters

- Interesting to search for new physics! Mannel, Rusov, Shahriaran (2017); Mannel, Rahimi, KKV [in progress]

- Leading order shape functions

$$2m_B f(\omega) = \langle B(v) | \bar{b}_v \delta(\omega + i(n \cdot D)) b_v | B(v) \rangle$$

- Charged Lepton Energy Spectrum (at leading order)

$$\frac{d\Gamma}{dy} \sim \int d\omega \theta(m_b(1-y) - \omega) f(\omega)$$

- Moments of the shapefunction are related to HQE ( $b \rightarrow c$ ) parameters:

$$f(\omega) = \delta(\omega) + \frac{\mu_\pi^2}{6m_b^2} \delta''(\omega) - \frac{\rho_D^3}{m_b^3} \delta'''(\omega) + \dots$$

- Shape function is non-perturbative and cannot be computed



- Systematic framework: Soft Collinear Effective Theory (SCET)
- Separates the different scales in the problem

$$d\Gamma = H \otimes J \otimes S$$

- H: Hard scattering kernel at  $\mathcal{O}(m_b)$
- J: universal Jet function at  $\mathcal{O}(\sqrt{m_b\Lambda_{\text{QCD}}})$
- S: Shape function at  $\mathcal{O}(\Lambda_{\text{QCD}})$
- Framework to include radiative corrections (+ NNLL resummation)
- Introduces 3 subleading shape functions

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- Other approach: OPE with hard-cutoff  $\mu$  Gambino, Giordano, Ossola, Uraltsev
  - Use pert. theory above cutoff and parametrize the infrared
  - Different definition of the shape functions
- Shape functions have to be parametrized and obtained from data

# New Physics explanation?

- Too many to count: exclusive  $B \rightarrow D^{(*)}$  in combination with

$$R_{D^{(*)}} = \frac{B \rightarrow D^{(*)} \tau \nu}{B \rightarrow D^{(*)} \mu \nu}$$

- For inclusive  $b \rightarrow c$  less analyses
  - RH-current, scalar and tensor NP contributions to rate Jung, Straub [2018]
  - RH-current to moments Feger, Mannel, et. al. [2010]
  - NP for moments KKV, Fael, Rahimi [in progress]

