



# Lattice Results for Semileptonic Decays of Charmed Hadrons

**William I. Jay — MIT**

**CHARM 2023: Universität Siegen  
Hörsaalzentrum am Unteren Schloss  
17-21 July 2023**







# Outline

- Connections to program at CHARM 2023
- Experimental & theoretical motivation
- Lattice QCD
- Semi-leptonic Decays of D-mesons
- Semi-leptonic Decays of D-baryons
- Summary



# Outline

- Connections to program at CHARM 2023
- Experimental & theoretical motivation
- Lattice QCD
- Semi-leptonic Decays of D-mesons
- Semi-leptonic Decays of D-baryons
- Summary

Enormous lattice literature on D-hadrons weak decays.

Impossible to be entirely comprehensive.

Talk is unavoidably selective, focusing attention on published results from the past 5-6 years.

Apologies for any omissions





# Adjacent talks at CHARM 2023

## Plenary

- ▶ Sara Collins, T 11:00, “Meson and baryon spectroscopy with charm quarks from lattice QCD”
- ▶ Maxwell Hansen, F 14:00, “Future Theory”

- ▶ Keri Vos, Th 9:00, “Semi-leptonic decays of decays of charmed hadrons”
- ▶ Daniel Unverzagt, Th 9:45, “Rare leptonic and semileptonic decays at LHCb”
- ▶ Shulei Zhang, Th 11:45, “Overview of leptonic and semi-leptonic decays of charmed hadrons”

## Parallel

- Felix Erben, M 14:20, “D-meson mixing from lattice QCD”
- Juan Andreas Urrea Nino, T 14:00 “Toward the physical charmonium spectrum with improved distillation”
- Brian Colquhoun, T 14:20, “Precise determination of the decay rates of  $\eta_c \rightarrow \gamma\gamma$ ,  $J/\psi \rightarrow \gamma\eta_c$ ,  $J/\psi \rightarrow \eta_c e^+ e^-$ , from lattice QCD”
- Tomas Korzek, Th 14:40 “Iso-scalar states from LQCD”
- Roman Höllwieser, Th 15:20 “Charmonium and glueballs including light hadrons”





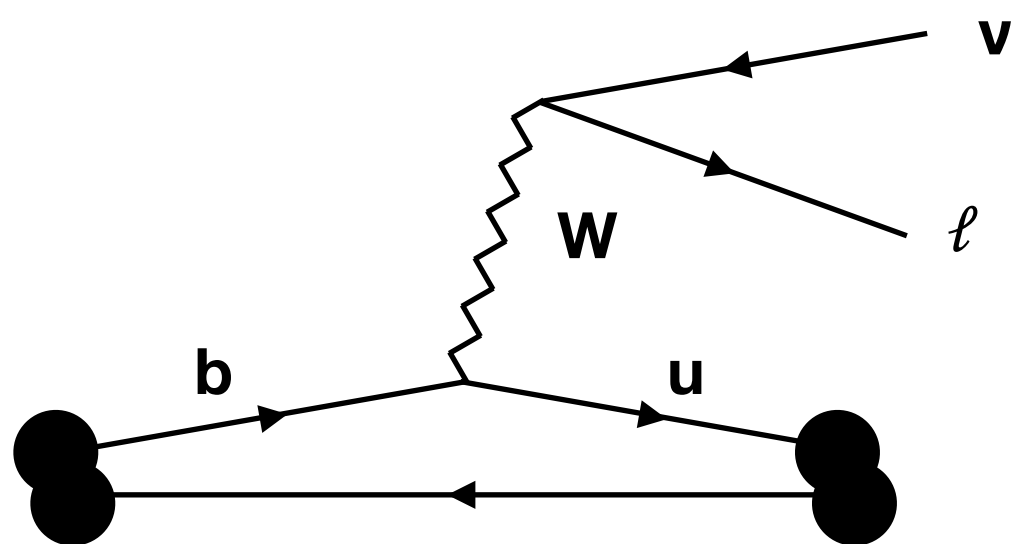
# Context & Motivation



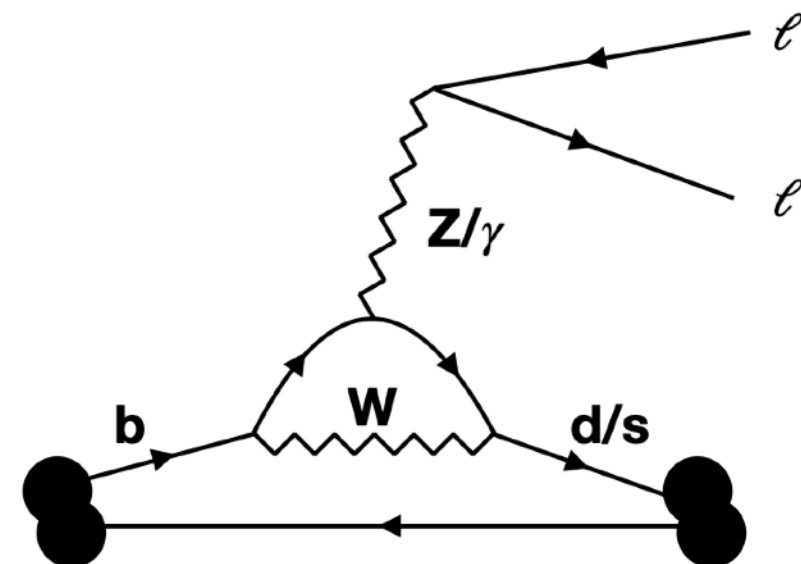
# Quark Flavor and Lattice QCD

Two complementary roles

$$d\Gamma = \left( \text{CKM factor} \right) \left( \text{kinematic factor} \right) \left( \text{QCD factor} \right) + [\text{BSM term}]$$



Determine CKM matrix elements via tree-level decays



Test the CKM paradigm of the SM via rare decays





# Quark Flavor and Lattice QCD

Tree level: CKM Matrix Elements

**“Gold-plated processes”**  $\iff$   
**Single-hadron initial state.**  
**Zero- or one-hadron final state.**  
**All hadrons stable under QCD.**



# Quark Flavor and Lattice QCD

## Tree level: CKM Matrix Elements

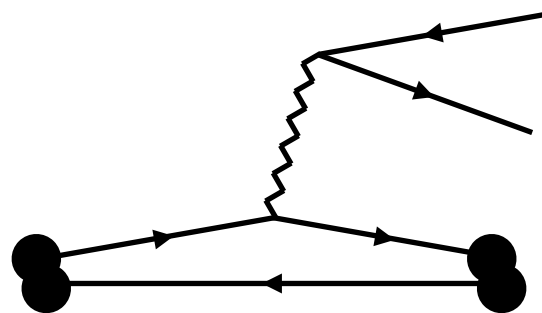
### Leptonic decays



(Decay constants)

$$\langle 0 | A^\mu | H(P) \rangle = i f_H p^\mu$$

### Semi-leptonic decays

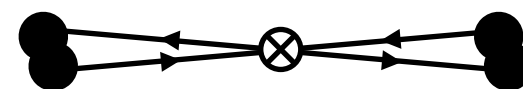


(Form factors)

$$f_J(p) \propto \langle \text{final} | J(p) | \text{initial} \rangle$$

$V_{ud}$	$V_{us}$	$V_{ub}$
$\pi \rightarrow \ell \nu$	$K \rightarrow \ell \nu$	$B \rightarrow \ell \nu$
	$K \rightarrow \pi \ell \nu$	$B \rightarrow \pi \ell \nu$
		$\Lambda_b \rightarrow p \ell \nu$
$V_{cd}$	$V_{cs}$	$V_{cb}$
$D \rightarrow \ell \nu$	$D_s \rightarrow \ell \nu$	$B \rightarrow D \ell \nu$
$D \rightarrow \pi \ell \nu$	$D \rightarrow K \ell \nu$	$B \rightarrow D^* \ell \nu$
$D_s \rightarrow K \ell \nu$	$\Lambda_c \rightarrow \Lambda \ell \nu$	$\Lambda_b \rightarrow \Lambda_c \ell \nu$
$\Lambda_c \rightarrow N \ell \nu$	$\Xi_c \rightarrow \Xi \ell \nu$	
$V_{td}$	$V_{ts}$	$V_{tb}$
$\langle B_d   \bar{B}_d \rangle$	$\langle B_s   \bar{B}_s \rangle$	

### Neutral-meson mixing



(Matrix elements)

$$\langle \bar{B}^0 | \mathcal{H}_{\text{eff}} | B^0 \rangle$$

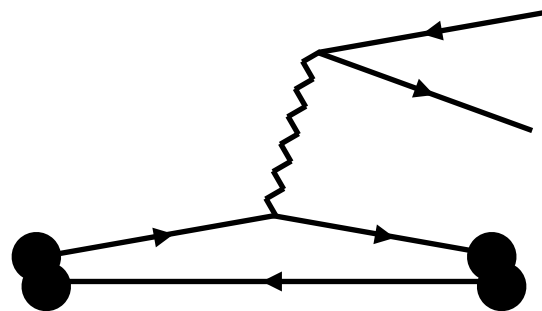




# Quark Flavor and Lattice QCD

## Tree level: CKM Matrix Elements

### Semi-leptonic decays



(Form factors)

$$f_J(p) \propto \langle \text{final} | J(p) | \text{initial} \rangle$$

$V_{cd}$	$V_{cs}$
$D \rightarrow \ell \nu$	$D_s \rightarrow \ell \nu$
$D \rightarrow \pi \ell \nu$	$D \rightarrow K \ell \nu$
$D_s \rightarrow K \ell \nu$	$\Lambda_c \rightarrow \Lambda \ell \nu$
$\Lambda_c \rightarrow N \ell \nu$	$\Xi_c \rightarrow \Xi \ell \nu$



# Quark Flavor and Lattice QCD

## Loop level: Flavor-Changing Neutral Currents

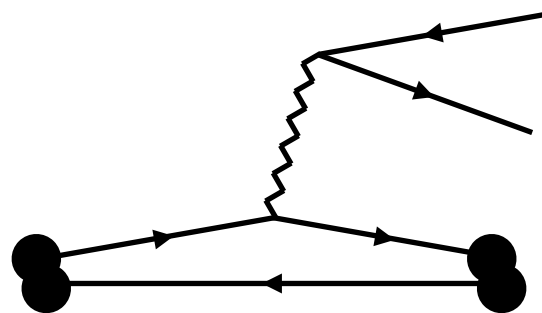
### Leptonic decays



(Decay constants)

$$\langle 0 | A^\mu | H(P) \rangle = i f_H p^\mu$$

### Semi-leptonic decays



(Form factors)

$$f_J(p) \propto \langle \text{final} | J(p) | \text{initial} \rangle$$

$$B_s \rightarrow \ell^+ \ell^-$$

$$B \rightarrow K \ell \nu$$

$$B \rightarrow K^* \ell \nu$$

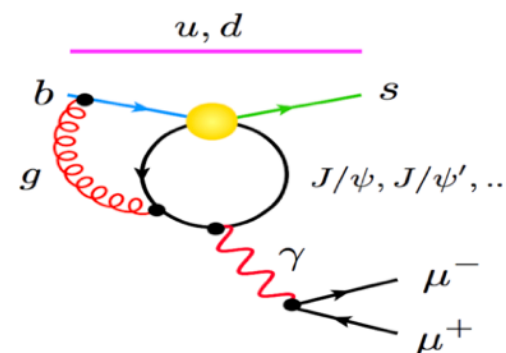
$$\Lambda_b \rightarrow \Lambda \ell \nu$$

$$\Lambda_c \rightarrow p \mu^+ \mu^-$$

$$b \rightarrow s \ell \ell$$

$$c \rightarrow u \ell \ell$$

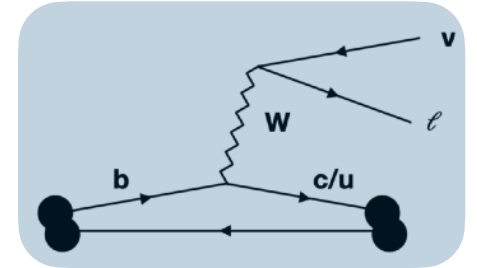
Hard-to-compute (=presently incalculable)  
long-distance charm loops render rare  
charm decays very difficult theoretically







# Experimental Motivation: B-anomalies



- **Tree level:** Lepton Flavor Universality:  $R(D)$ ,  $R(D^*)$

$$R(D) = \frac{\mathcal{B}(B \rightarrow D\tau\bar{\nu})}{\mathcal{B}(B \rightarrow D\mu\bar{\nu})}$$

- **Tree level:** Exclusive (LQCD) vs Inclusive (OPE+HQE) determinations of CKM matrix elements

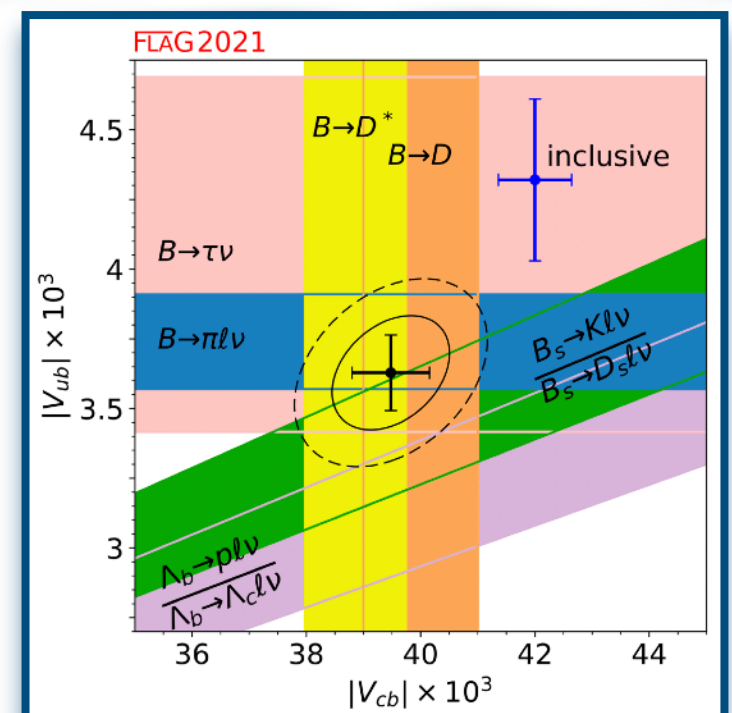
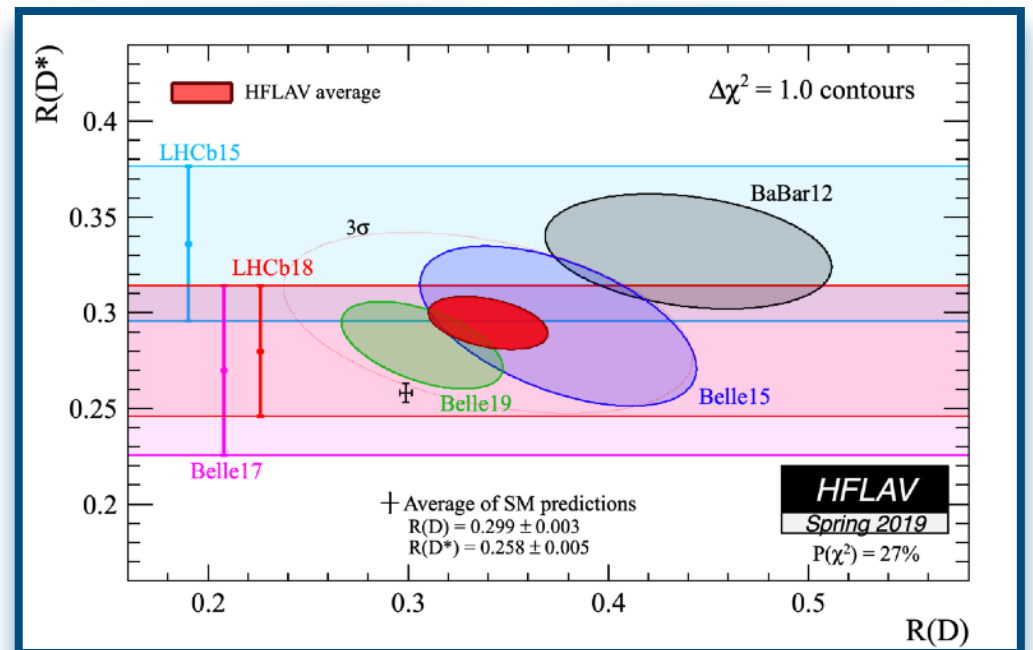
- $|V_{cb}|$  from  $B \rightarrow D^*\ell\nu$ ,  $B \rightarrow D\ell\nu$
- $|V_{ub}|$  from  $B \rightarrow \pi\ell\nu$

- **Loop level:**  $b \rightarrow s\ell\ell$  FCNC branching fractions:

$$B^0 \rightarrow K^{*0}\mu\mu, B_s^0 \rightarrow \phi\mu\mu, \Lambda_b^0 \rightarrow \Lambda^0\mu\mu, \\ B^+ \rightarrow K^+\mu\mu, B^0 \rightarrow K^0\mu\mu, B^+ \rightarrow K^{*+}\mu\mu$$

- **Loop level:**  $b \rightarrow s\ell\ell$  FCNC angular observables

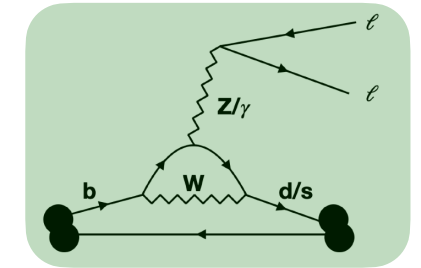
$$B^0 \rightarrow K^{*0}\mu\mu, B^+ \rightarrow K^{*+}\mu\mu, B_s^0 \rightarrow \phi\mu\mu$$







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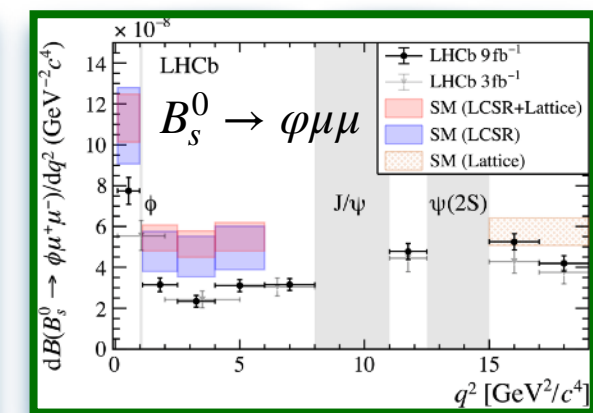
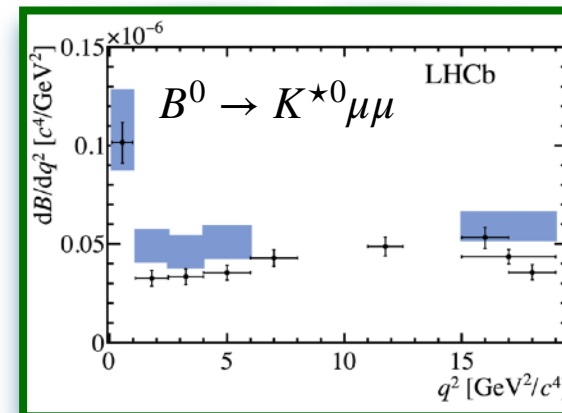
$$B^0 \rightarrow K^{*0}\mu\mu$$

LHCb JHEP 11 (2016) 047  
LHCb JHEP 04 (2017) 142  
LHCb PRL 125 (2020) 011802

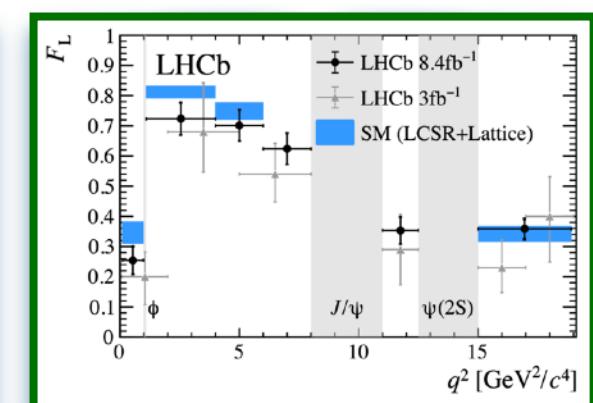
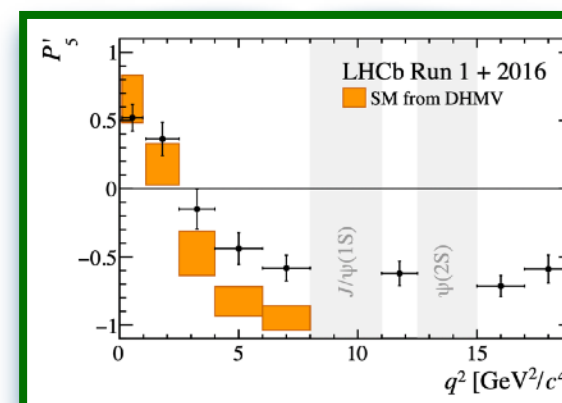
$$B_s^0 \rightarrow \phi\mu\mu$$

LHCb JHEP 09 (2015) 179  
LHCb PRL 127 (2021) 15, 151801  
LHCb JHEP 11 (2021) 043

## Branching fractions



## Angular distributions







# Experimental Motivation: CKM Unitarity

## First-row unitarity?

- PDG 2022:  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985(6)|V_{ud}|^2(4)|V_{us}|^2$
- Quoted value has  $2\sigma$  tension with unity, using as inputs
  - $|V_{ud}|$  from super-allowed  $0^+ \rightarrow 0^+ \beta$  decays
  - $|V_{us}|$  from semileptonic decay:  $K_{\ell 3} \equiv K \rightarrow \pi \ell \nu$
  - Tension increases to  $\approx 3\sigma$  if nuclear-structure uncertainties from  $|V_{ud}|$  are ignored
- Similar  $\approx 2\text{-}3\sigma$  tension if  $|V_{us}|/|V_{ud}|$  taken from ratio of leptonic decays  $K_{l2}/\pi_{l2}$
- Historically, similarly precise tests of second-row unitarity have been limited by experimental and theoretical precisions.
- Today's talk: recent progress in the second row via semileptonic decays



# Lattice QCD with Heavy Quarks





# Lattice QCD

- Lattice QCD gives complete non-perturbative definition to the strong interactions

- This framework gives:  $\mathcal{Z} = \int \mathcal{D}[\text{fields}] e^{-S_E[\text{fields}]}$

- **Fundamental approximations:**

- UV cutoff: lattice spacing  $a$  [target:  $a \ll \text{physical scales}$ ]
- IR cutoff: finite spacetime volume  $V = L^3 \times T$  [target:  $1 \ll m_\pi L$ ]

- **Approximations of convenience:**

- Often: Heavier-than-physical pions:  $(m_\pi)^{\text{lattice}} > (m_\pi)^{\text{PDG}}$
- Often: Isospin limit  $m_u = m_d$
- Often: QCD interactions only, no QED
- Often: lighter-than-physical or static heavy quarks





# Lattice QCD is systematically improvable

- All approximations admit theoretical descriptions via EFT
  - Cutoff dependence  $\iff$  Symanzik effective theory
  - Finite-volume dependence  $\iff$  Finite-volume  $\chi$ PT
  - Chiral extrapolation / interpolation  $\iff$   $\chi$ PT
  - Heavy quark extrapolation / interpolation  $\iff$  HQET, NRQCD, etc...
  - QED, isospin breaking  $\iff$  perturbative expansion of path integral
- Careful treatment of all systematic effects is key to modern high-precision lattice QCD
- Technical advances in controlling these systematics have been drivers of progress in lattice QCD, especially in charm physics





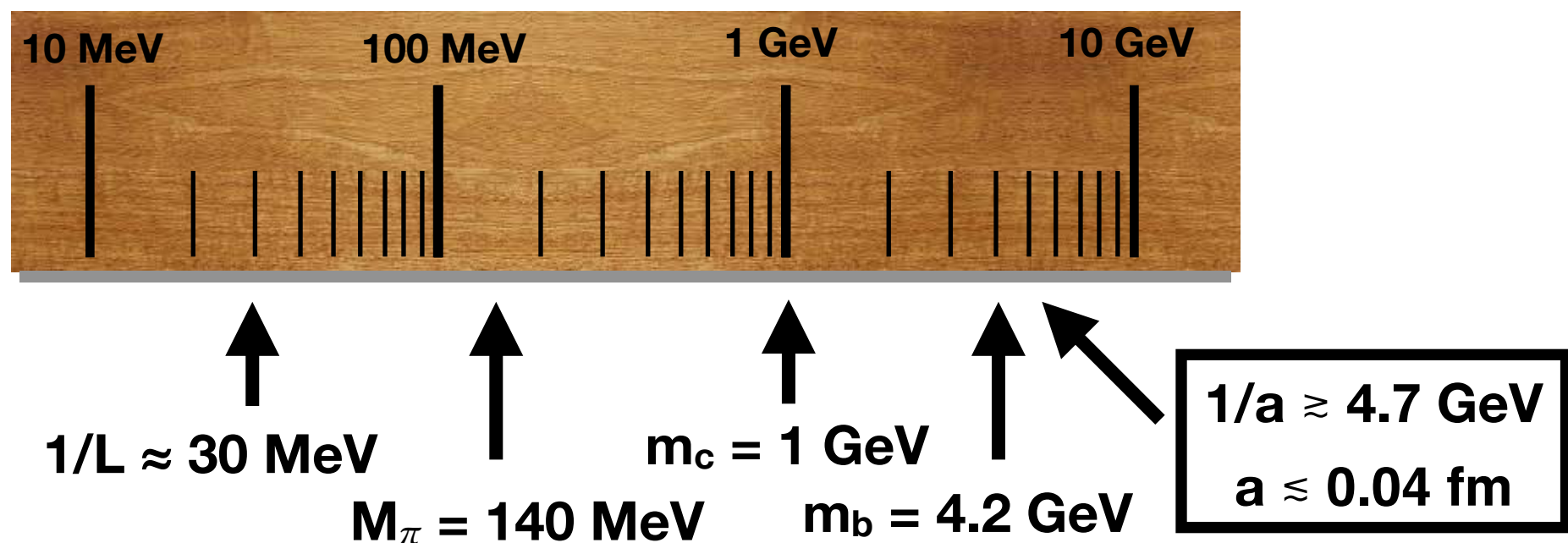
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# Lattice QCD with Heavy Quarks

## A challenging multi-scale problem



Heavy quarks are hard: lattice artifacts grow like powers  $(am_h)^n$  — especially tricky for masses near or above the cutoff

$$\frac{1}{L} \ll M_\pi \ll m_h \ll \frac{1}{a}$$





# Lattice QCD with Heavy Quarks

## A challenging multi-scale problem

Solutions to the cutoff challenge?

1. Use an “effective theory” for heavy quarks (b, sometimes c)
  - ▶ “FNAL interpretation,” NRQCD, RHQ, Oktay-Kronfeld
  - ▶ Good: Solves problem with artifacts ( $am_h$ )
  - ▶ No free lunch: EFTs require matching and/or parameter tuning, which introduces systematic effects
  - ▶ (1-3)% total errors
2. Use highly-improved relativistic light-quark action on fine lattices
  - ▶ Good: advantageous renormalization, continuum limit
  - ▶ No free lunch: simulations still need  $am_h < 1$  and often an extrapolation to the physical bottom mass
  - ▶ ( $< 1$ )% total errors possible





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# Lattice QCD: particle masses

- 2-point correlation functions encode particle masses
- Analogy with condensed matter
  - Correlation length  $\lambda \leftrightarrow$  Particle mass  $1/m$

$$\langle (\bar{q} q)_t (\bar{q} q)_0 \rangle \sim \exp(-mt)$$



# Lattice QCD: particle masses

- Hadronic spectrum  $\longleftrightarrow$  QCD 2pt correlation functions

$$\begin{aligned}
 \langle O(t)O(0) \rangle &= \langle 0 | e^{Ht} O(0) e^{-Ht} O(0) | 0 \rangle \\
 &= \sum_n e^{-E_n t} \langle 0 | O(0) | n \rangle \langle n | O(0) | 0 \rangle \\
 &= \sum_n e^{-E_n t} |\langle 0 | O(0) | n \rangle|^2 \\
 &= \sum_n |Z_n|^2 e^{-E_n t}
 \end{aligned}$$

**“Operators couple to an infinite tower of states.”**

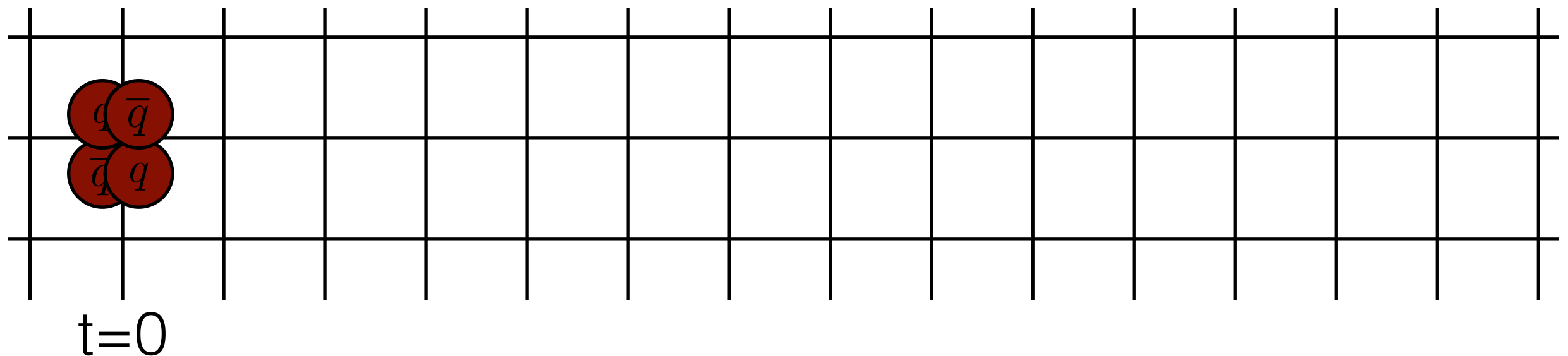
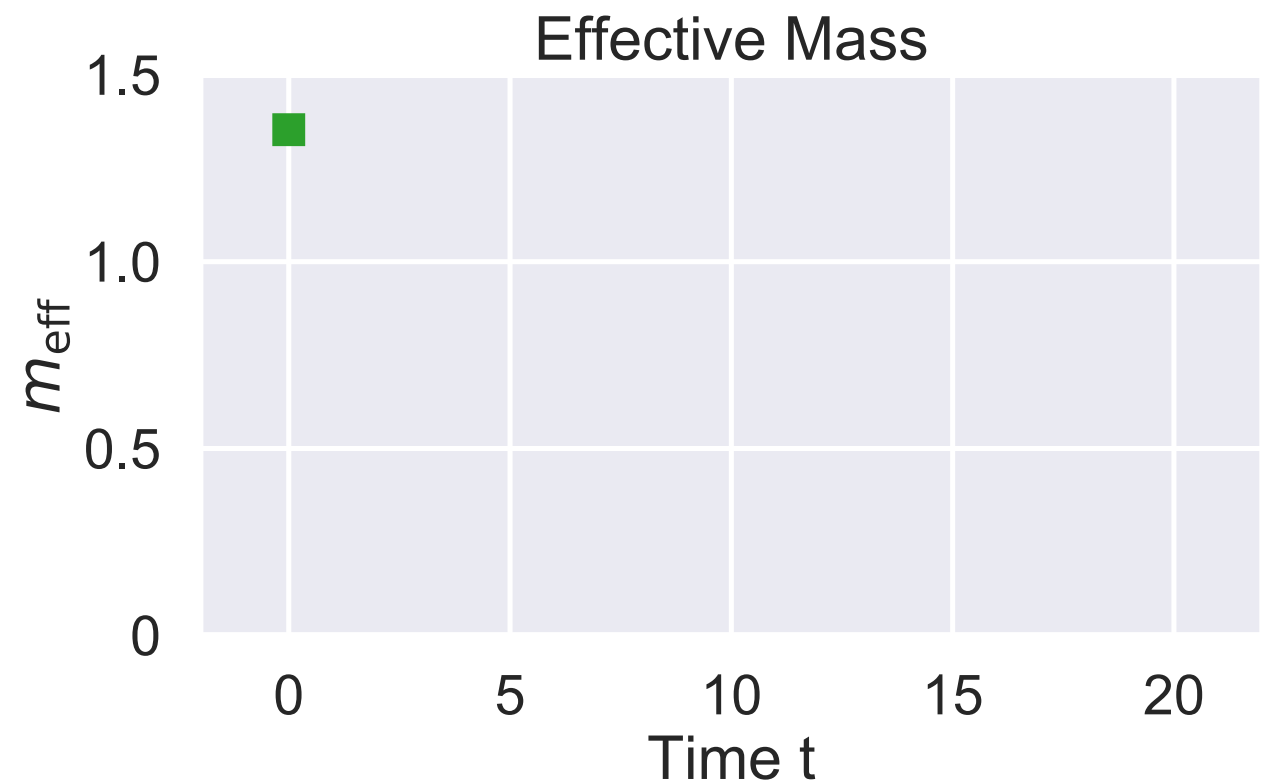
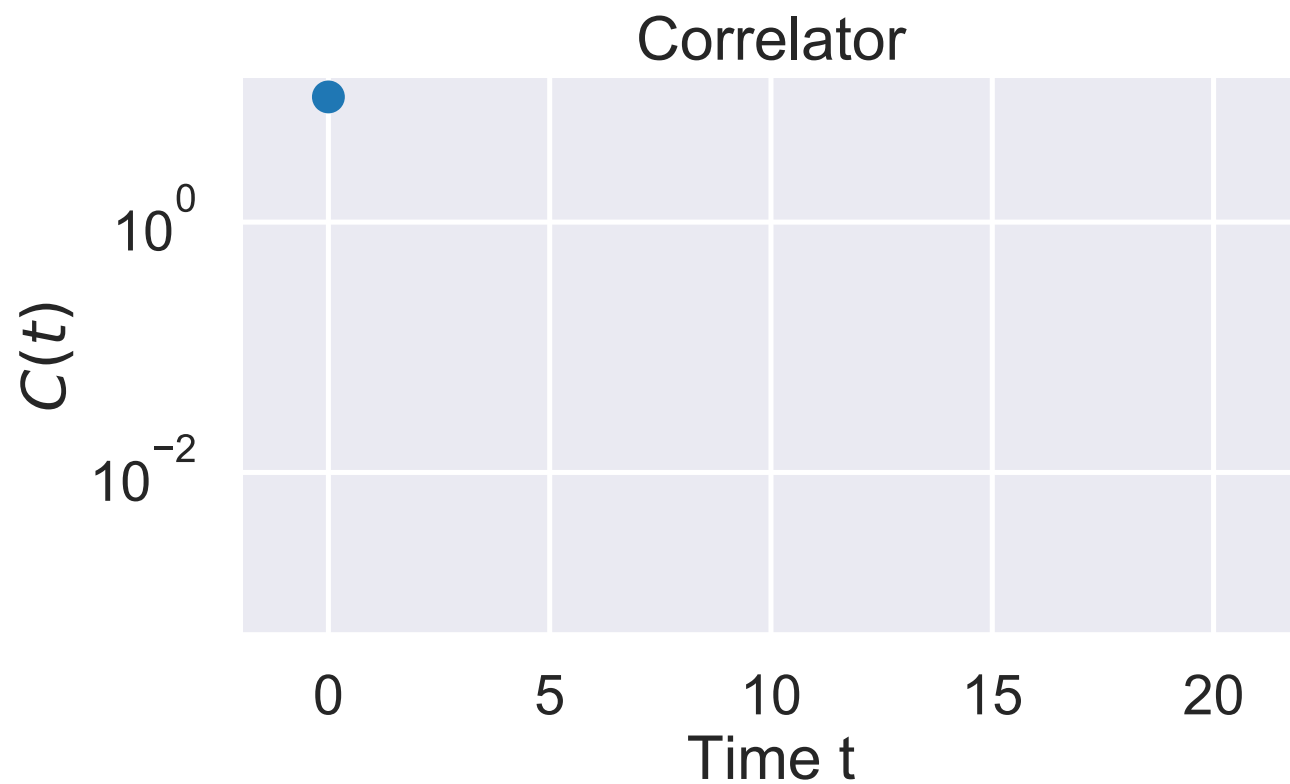
$$m_{\text{eff}}(t) = \log C(t)/C(t+1) \xrightarrow{t \rightarrow \infty} m_0$$

**“The ground state asymptotically dominates the Euclidean 2pt function.”**



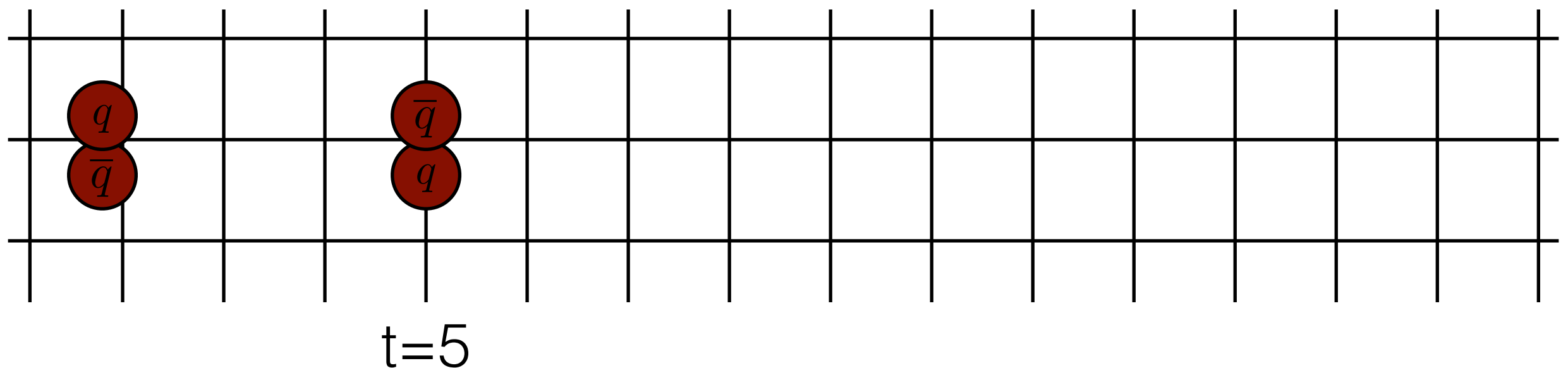
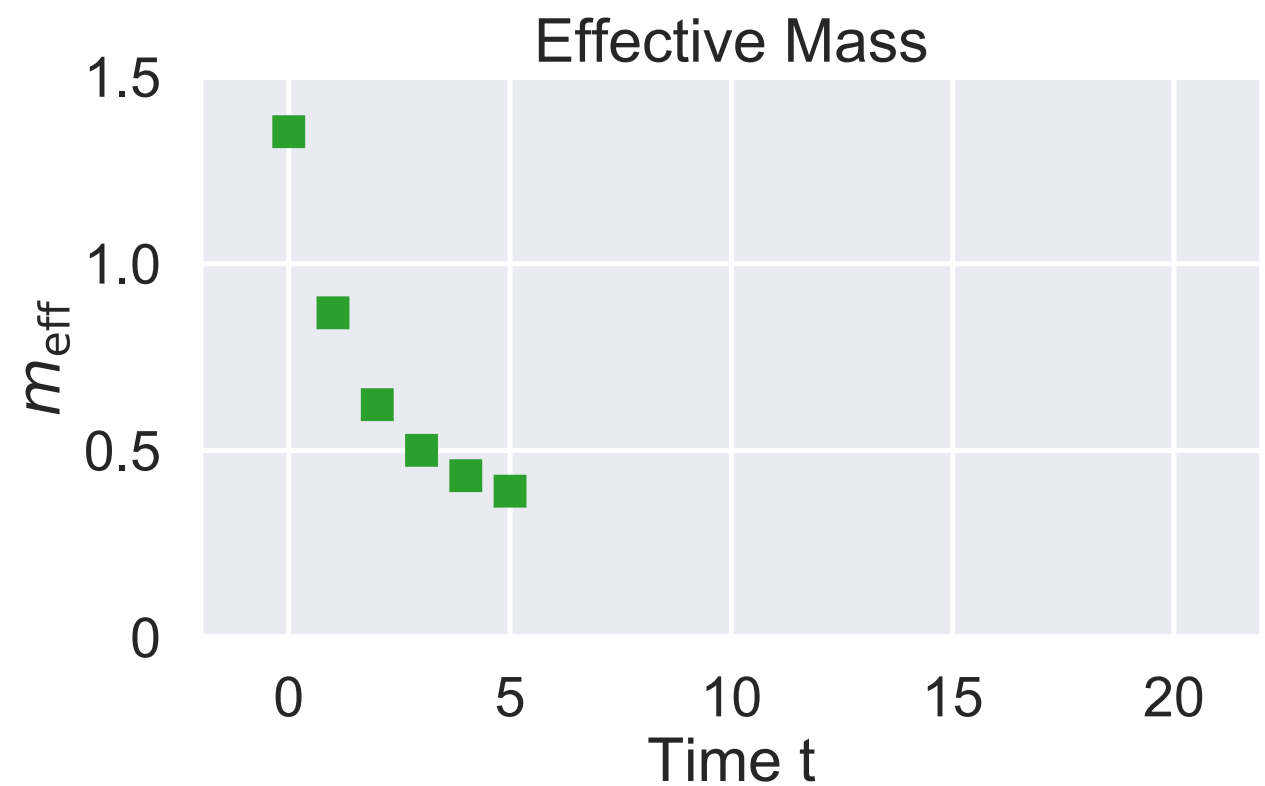
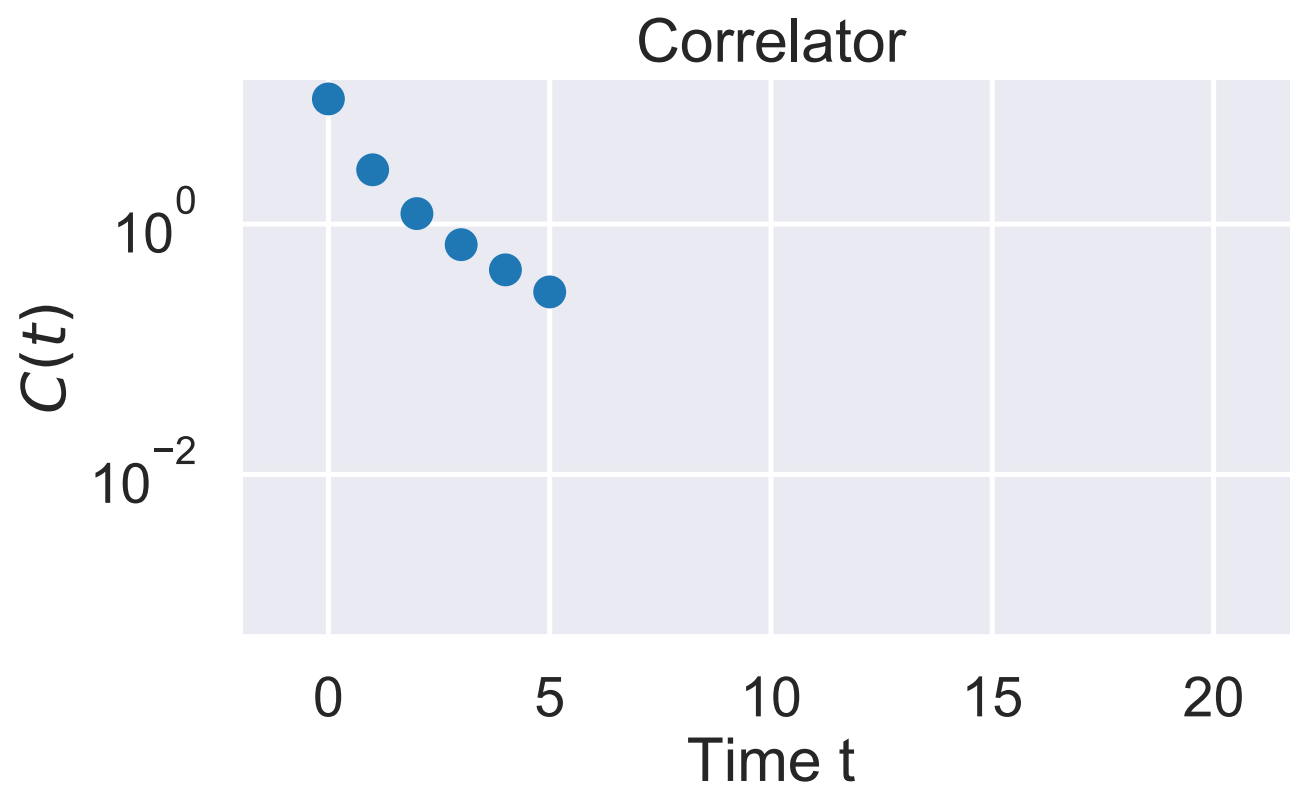


# Lattice QCD: particle masses





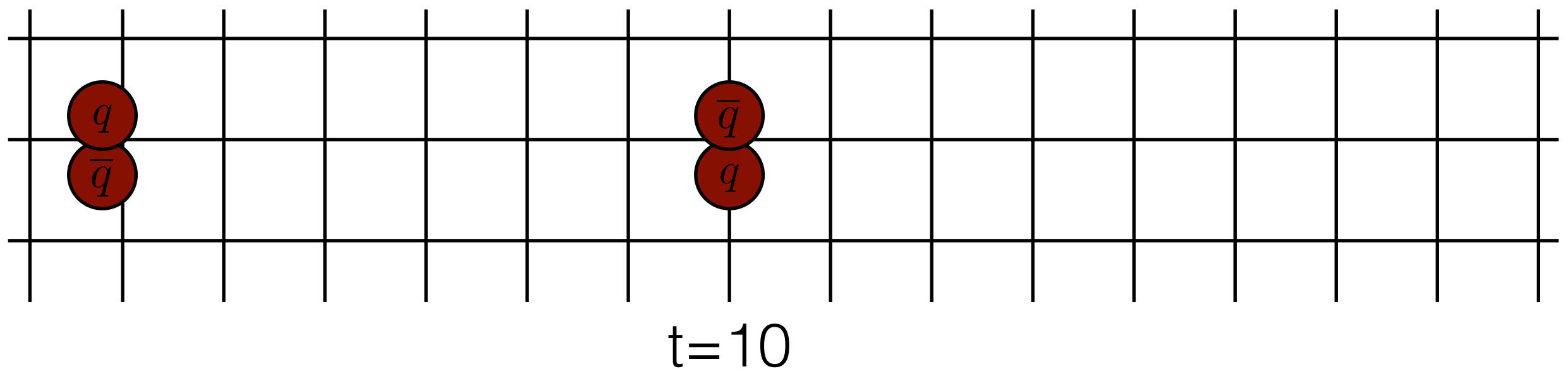
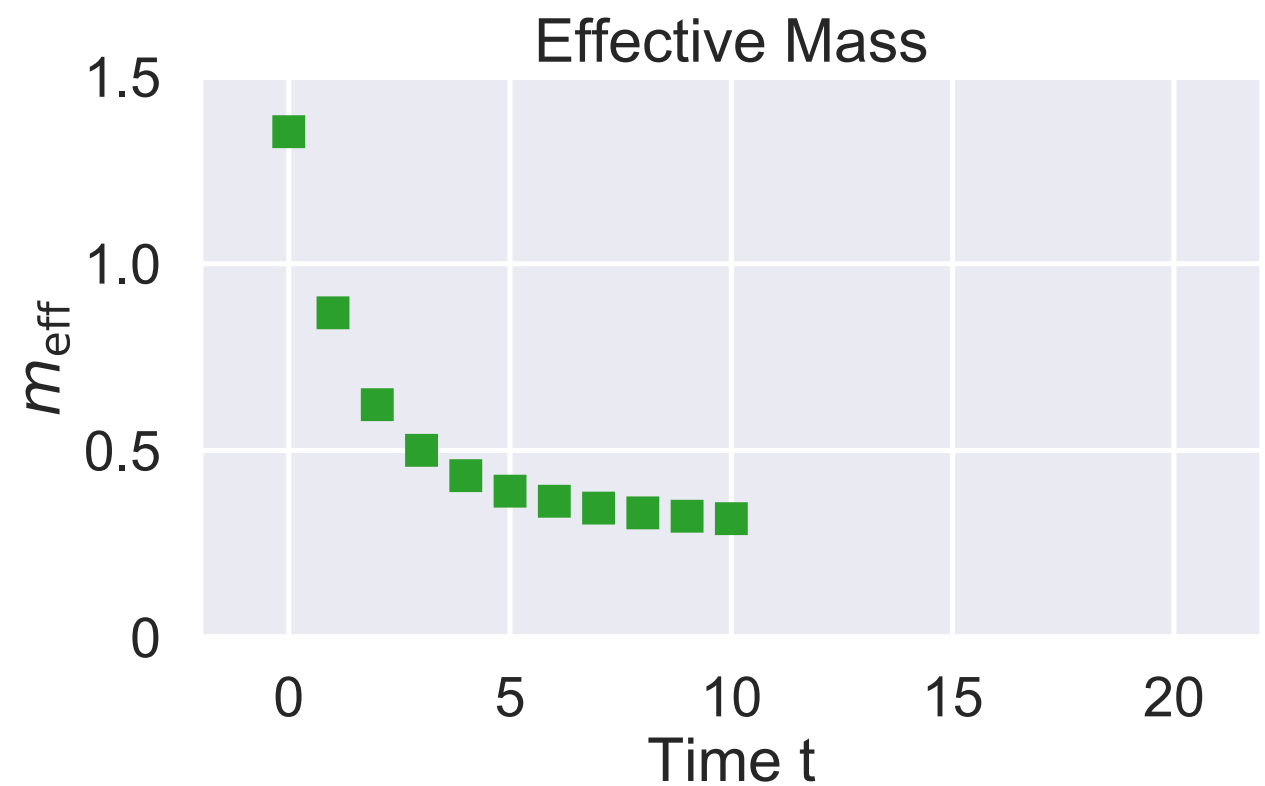
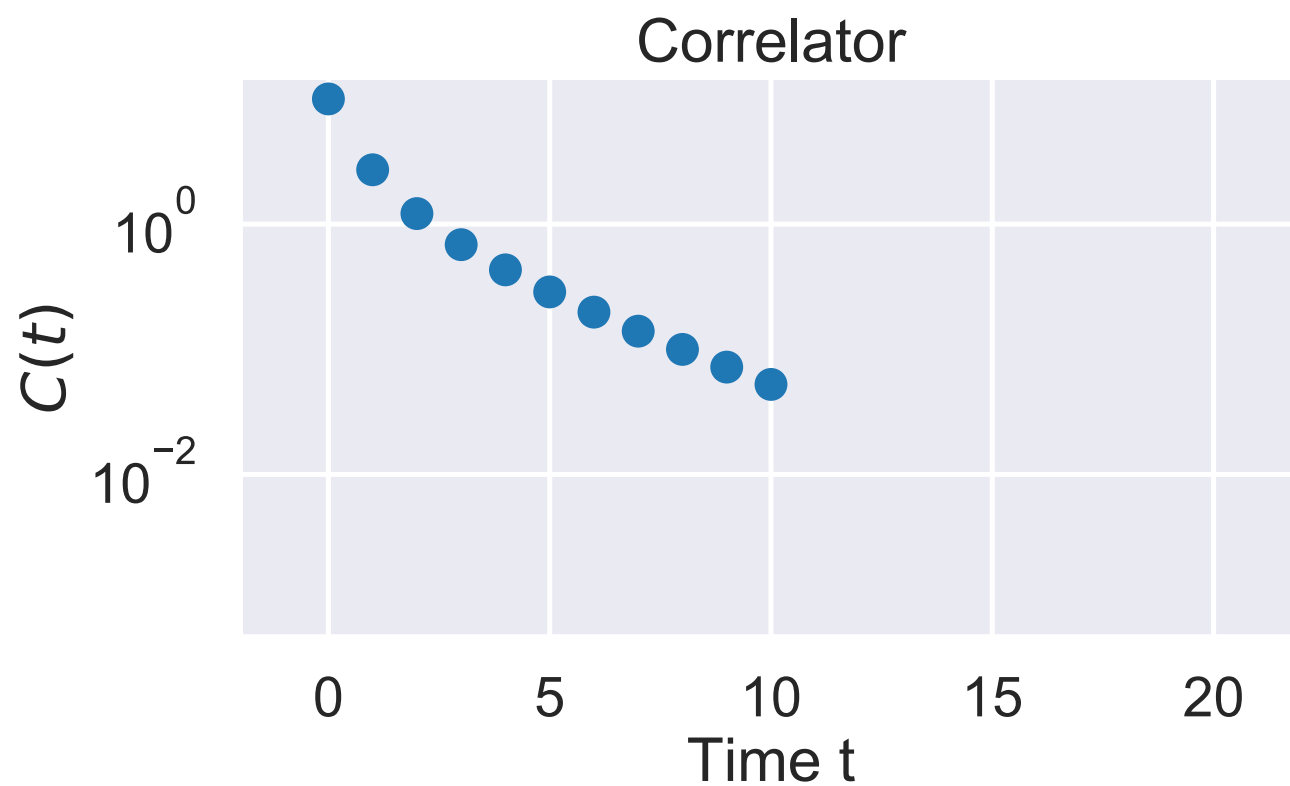
# Lattice QCD: particle masses





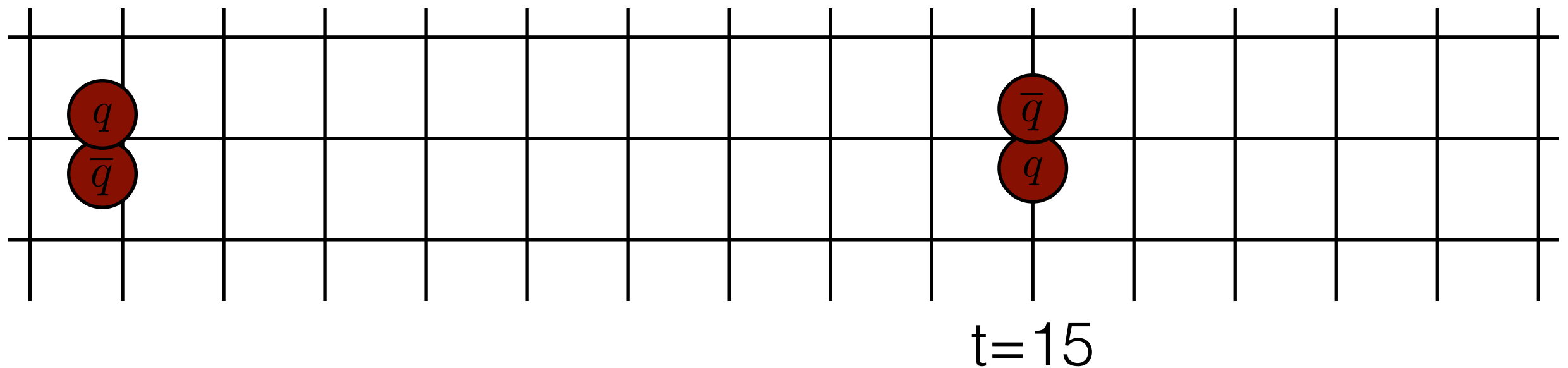
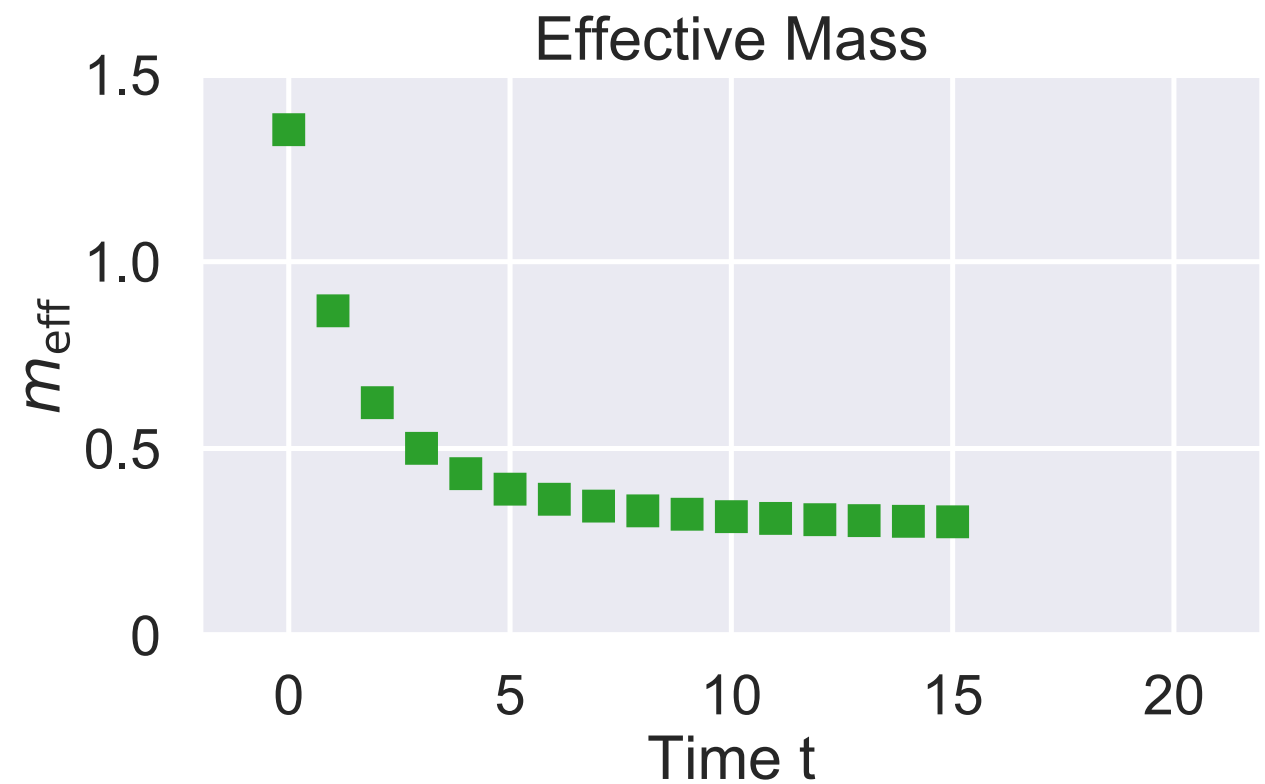
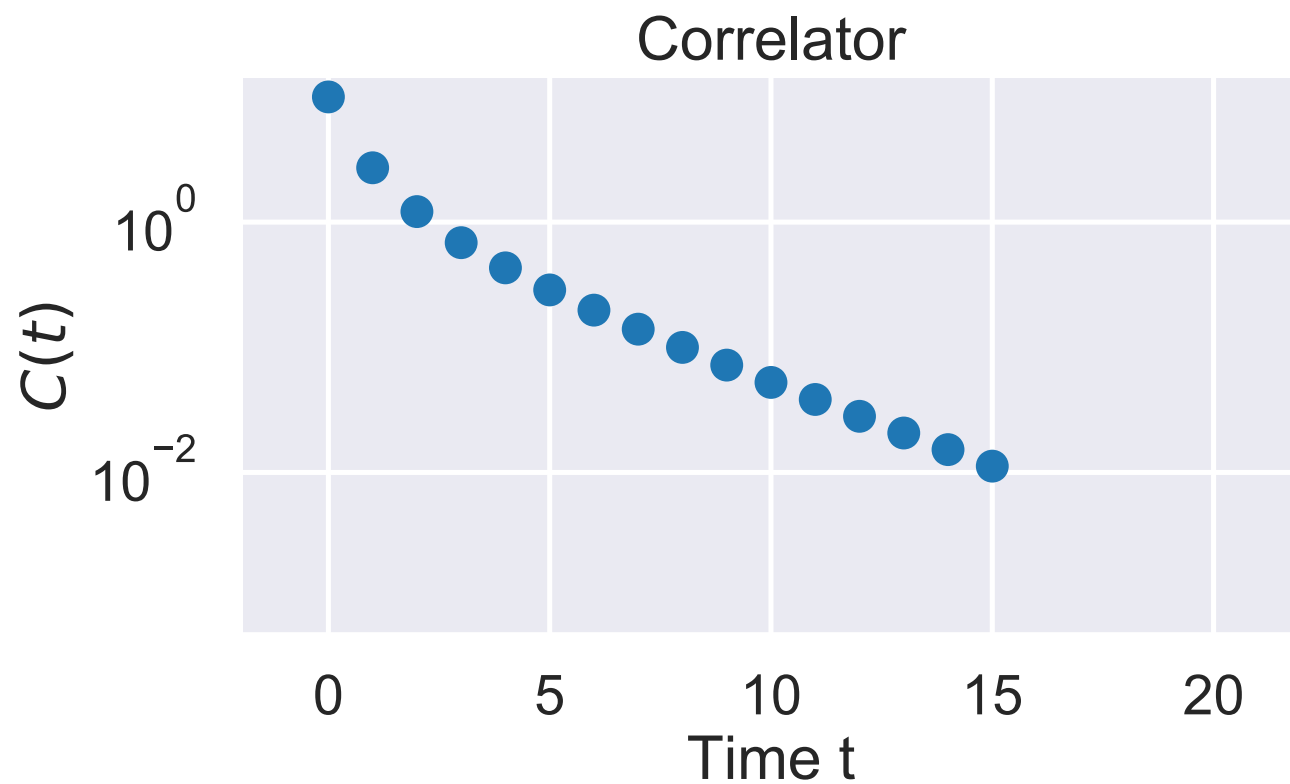


# Lattice QCD: particle masses





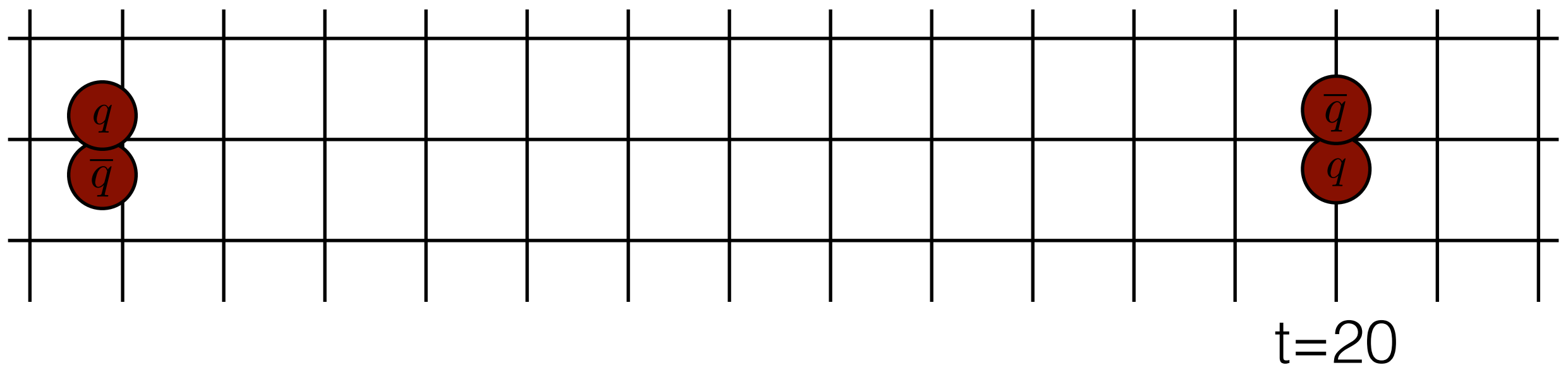
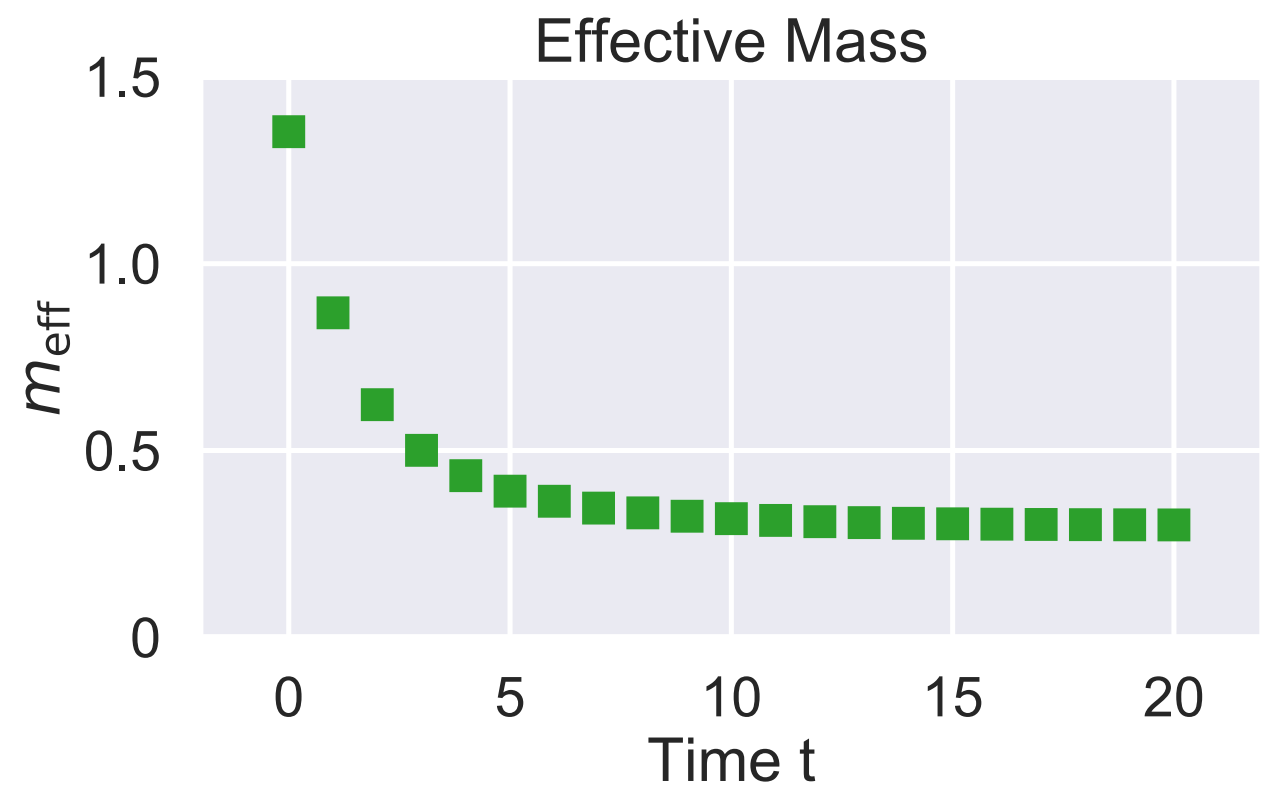
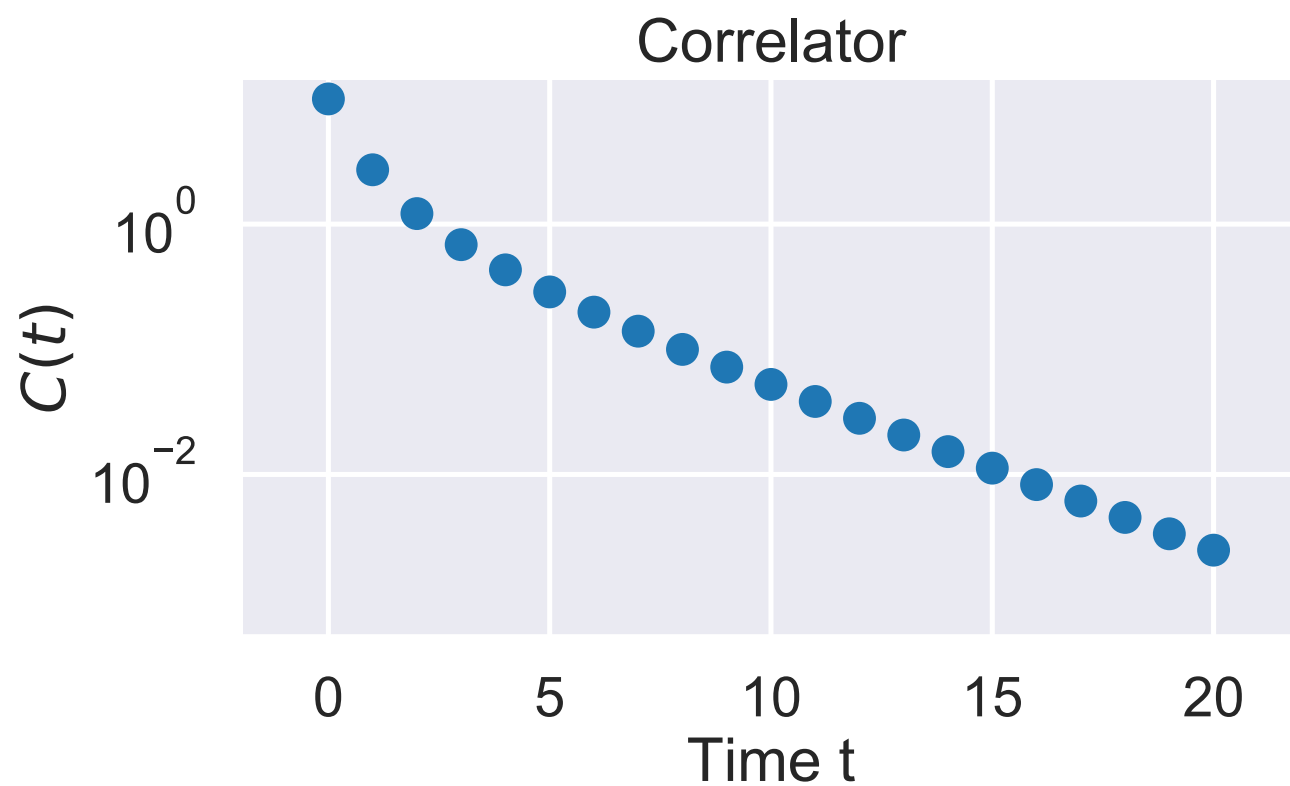
# Lattice QCD: particle masses





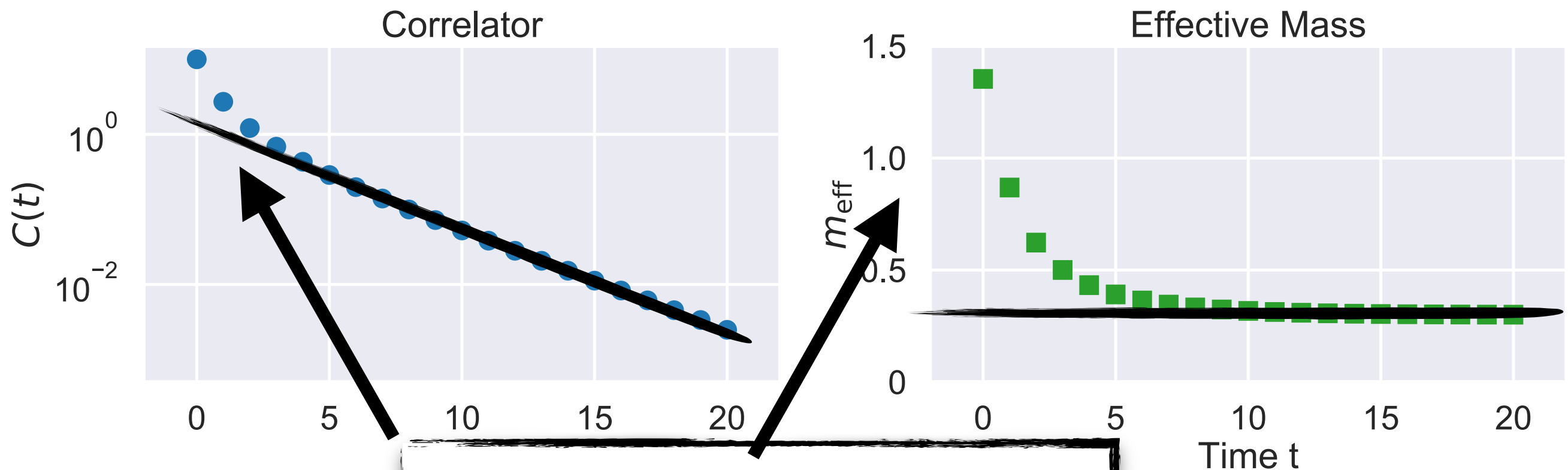


# Lattice QCD: particle masses

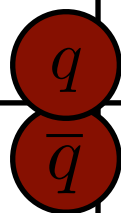




# Lattice QCD: particle masses



**Statistical analysis yields  
the ground-state energy  
and amplitude(s)**



$t=20$



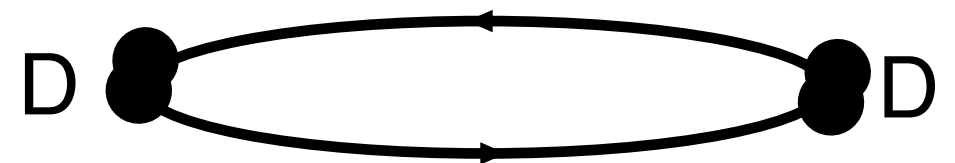


# Semileptonic decays: $H \rightarrow L\ell\nu$

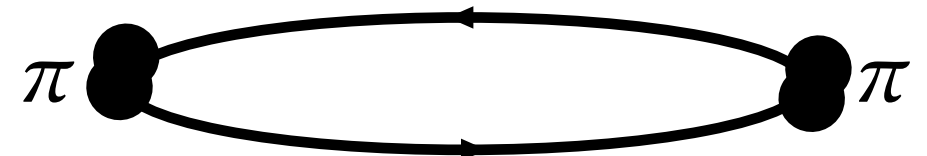
## Anatomy of a calculation: correlation functions

- Hadron masses  $\Longleftrightarrow$  QCD 2pt functions
- Matrix elements  $\Longleftrightarrow$  QCD 3pt functions
- For concreteness: consider  $D \rightarrow \pi\ell\nu$

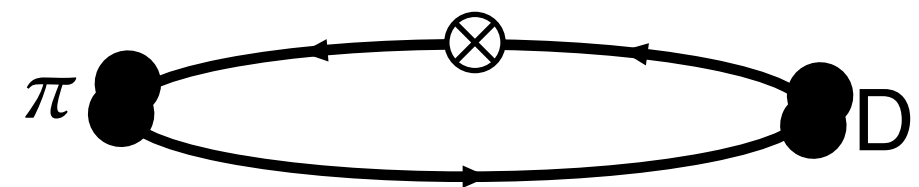
$$C_D(t) = \sum_{\mathbf{x}} \langle \mathcal{O}_D(0, \mathbf{0}) \mathcal{O}_D(t, \mathbf{x}) \rangle$$



$$C_\pi(t, \mathbf{p}) = \sum_{\mathbf{x}} e^{i\mathbf{p}\cdot\mathbf{x}} \langle \mathcal{O}_\pi(0, \mathbf{0}) \mathcal{O}_\pi(t, \mathbf{x}) \rangle$$



$$C_3(t, T, \mathbf{p}) = \sum_{\mathbf{x}, \mathbf{y}} e^{i\mathbf{p}\cdot\mathbf{y}} \langle \mathcal{O}_\pi(0, \mathbf{0}) J(t, \mathbf{y}) \mathcal{O}_D(T, \mathbf{x}) \rangle$$





# Semileptonic decays: $H \rightarrow L\ell\nu$

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$$C_D(t) = \sum_{\mathbf{x}} \langle \mathcal{O}_D(0, \mathbf{0}) \mathcal{O}_D(t, \mathbf{x}) \rangle \longrightarrow |\langle 0 | \mathcal{O}_D | D \rangle|^2 e^{-M_D t}$$

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$$\begin{aligned} C_3(t, T, \mathbf{p}) &= \sum_{\mathbf{x}, \mathbf{y}} e^{i\mathbf{p} \cdot \mathbf{y}} \langle \mathcal{O}_\pi(0, \mathbf{0}) J(t, \mathbf{y}) \mathcal{O}_D(T, \mathbf{x}) \rangle \\ &\longrightarrow \langle 0 | \mathcal{O}_\pi | \pi \rangle \langle \pi | J | D \rangle \langle D | \mathcal{O}_D | 0 \rangle e^{-E_\pi t} e^{M_D (T-t)} \end{aligned}$$

**Matrix elements  $\Rightarrow$  Form factors**





# Leptonic Decays

## An invitation to precision in lattice QCD

FLAG Review 21

Y. Aoki et al.

EPJC 82 (2022) 10, 869

arXiv: 2111.09849

• Sub-percent precision for  $f_{D(s)}$ ,  $f_{B(s)}$

- Below existing/expected experimental uncertainties

- Complementary calculations and discretizations bolster confidence in results

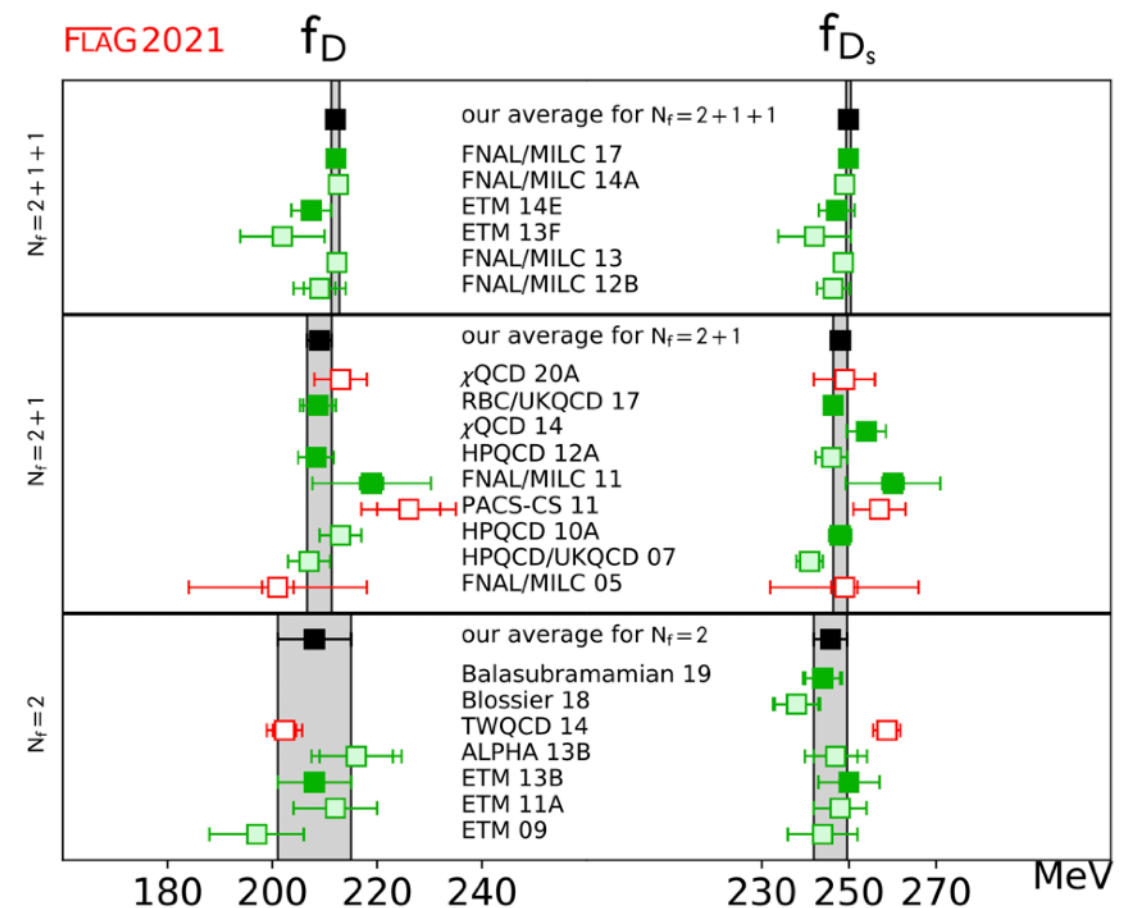
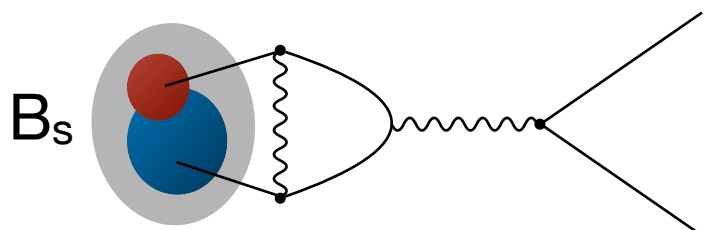
• “Pure QCD problem is solved”

- Further improvement: systematic inclusion of QED, isospin breaking

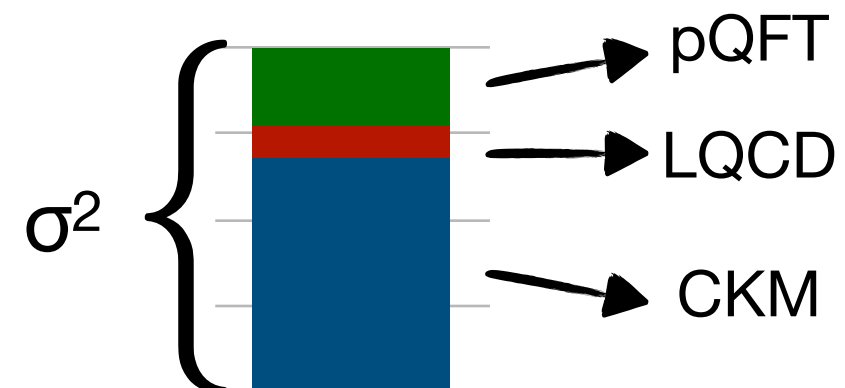
SM prediction for rare leptonic decay rate

Beneke et al, arXiv:1908.07011, JHEP 2019

$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-) = 3.660(38) \times 10^{-9}$$

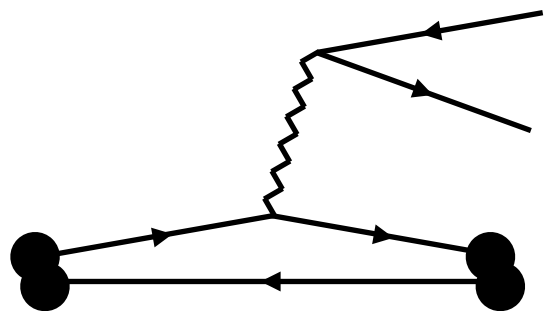


Lattice QCD value for  $f_{B_s}$  is now a sub-dominant source of uncertainty





# Semileptonic Decays of D-mesons

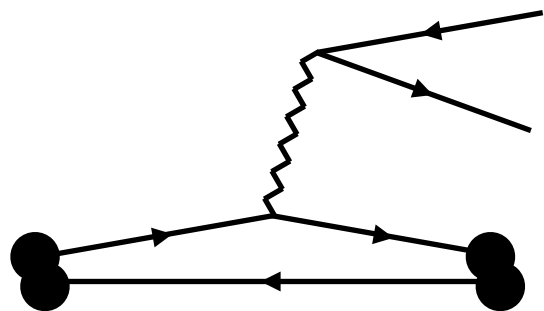


$V_{ud}$	$V_{us}$	$V_{ub}$
$\pi \rightarrow \ell \nu$	$K \rightarrow \ell \nu$	$B \rightarrow \ell \nu$
	$K \rightarrow \pi \ell \nu$	$B \rightarrow \pi \ell \nu$
		$\Lambda_b \rightarrow p \ell \nu$
$V_{cd}$	$V_{cs}$	$V_{cb}$
$D \rightarrow \ell \nu$	$D_s \rightarrow \ell \nu$	$B \rightarrow D \ell \nu$
$D \rightarrow \pi \ell \nu$	$D \rightarrow K \ell \nu$	$B \rightarrow D^* \ell \nu$
$D_s \rightarrow K \ell \nu$	$\Lambda_c \rightarrow \Lambda \ell \nu$	$\Lambda_b \rightarrow \Lambda_c \ell \nu$
$\Lambda_c \rightarrow N \ell \nu$	$\Xi_c \rightarrow \Xi \ell \nu$	
$V_{td}$	$V_{ts}$	$V_{tb}$
$\langle B_d   \bar{B}_d \rangle$	$\langle B_s   \bar{B}_s \rangle$	





# Semileptonic Decays of D-mesons



$$\langle \pi | \bar{d} \gamma^\mu c | D \rangle$$

Vector form factors:  $f_{+,0}$



# Semileptonic decays: $D \rightarrow \pi \mu \nu$

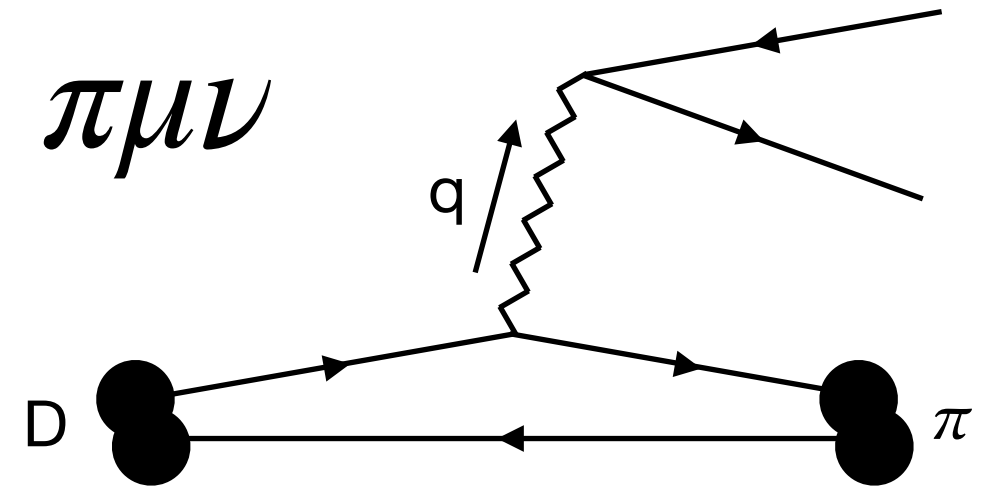
Theoretical preliminaries

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 \eta_{EW}^2}{24\pi^3} |V_{cd}|^2 (1 - \epsilon)^2 (1 + \delta_{EM}) \times$$

$$\left[ |\mathbf{p}|^3 \left(1 + \frac{\epsilon}{2}\right) |f_+(q^2)|^2 + |\mathbf{p}| M_D^2 \left(1 - \frac{M_\pi^2}{M_D^2}\right)^2 \frac{3\epsilon}{8} |f_0(q^2)|^2 \right]$$

**: measured decay rate**

$$\epsilon = m_\mu^2 / q^2 \ll 1$$





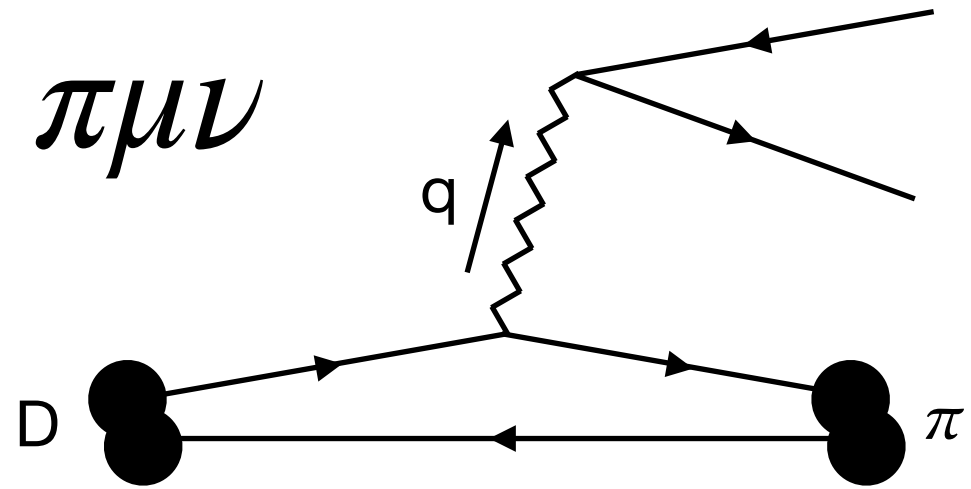


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**: measured decay rate**

**: (non-perturbative) hadronic form factors**

$$\epsilon = m_\mu^2 / q^2 \ll 1$$

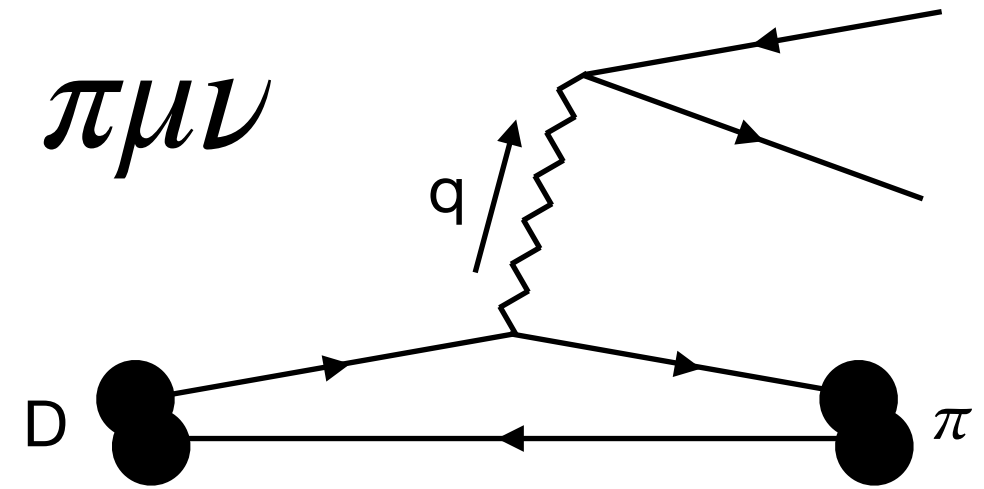


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**: measured decay rate**

**: (non-perturbative) hadronic form factors**

**: kinematic factors**

$$\epsilon = m_\mu^2 / q^2 \ll 1$$



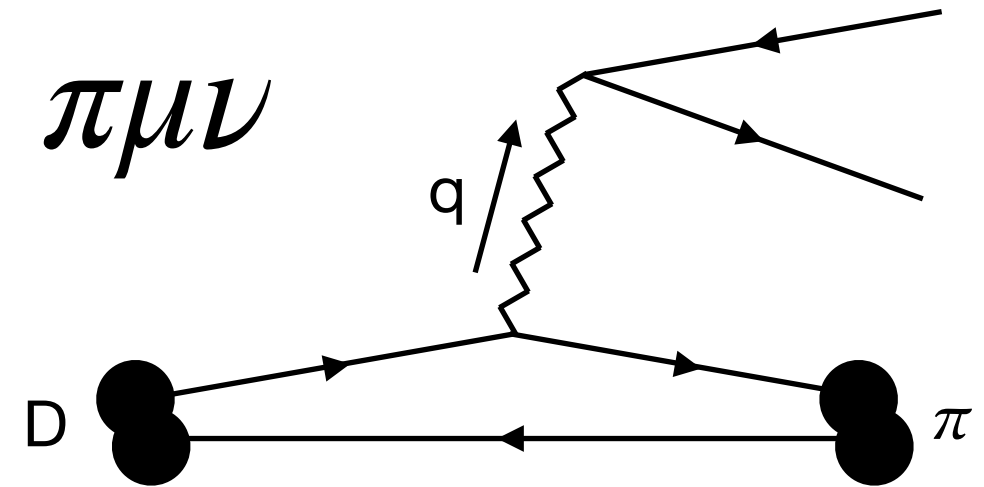


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: measured decay rate

: (non-perturbative) hadronic form factors

: kinematic factors

: perturbative corrections

$$\epsilon = m_\mu^2 / q^2 \ll 1$$

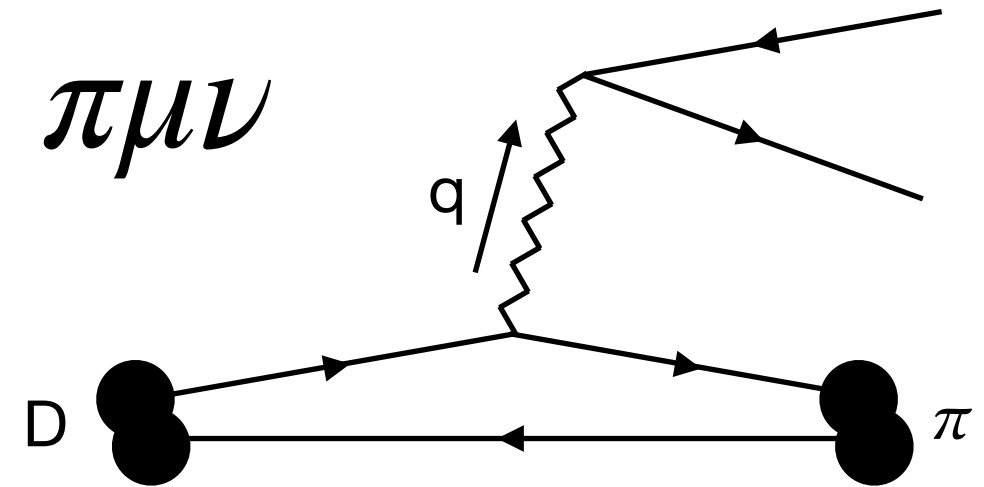


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**: measured decay rate**

**: (non-perturbative) hadronic form factors**

**: kinematic factors**

**: perturbative corrections**

$$\epsilon = m_\mu^2/q^2 \ll 1$$

At O(1%) precision, all sectors of SM become important: QCD, QED, EW





# D-meson Semileptonic Decays

Pseudoscalar final state:  $D_{(s)} \rightarrow \pi/K \ell \nu$

Measure: Expt.

Calculate: LQCD

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} \eta_{EW}^2 |V_{cx}|^2 (1 - \epsilon)^2 (1 + \delta_{EM}) \times$$

$$\left[ |\mathbf{p}|^3 \left(1 + \frac{\epsilon}{2}\right) |f_+(q^2)|^2 + |\mathbf{p}| M_H^2 \left(1 - \frac{M_L^2}{M_H^2}\right)^2 \frac{3\epsilon}{8} |f_0(q^2)|^2 \right]$$

+tensor-current  
form factors  
for FCNC, BSM

$$|V_{cd}^{\text{excl.}}| = 0.2330(0.0029)^{\text{Expt}}(0.0133)^{\text{QCD}}$$

- Status as of PDG 2022
- Combined precision for  $D \rightarrow \pi \sim 6\%$
- Theory errors dominated
- Today: recent significant improvement

$$|V_{cs}^{\text{excl.}}| = 0.972(0.007)$$

- Combined precision for  $D \rightarrow K \lesssim 1\%$
- Theory errors dominant
- Percent-level total errors now possible, with QCD subdominant





# D-meson Semileptonic Decays

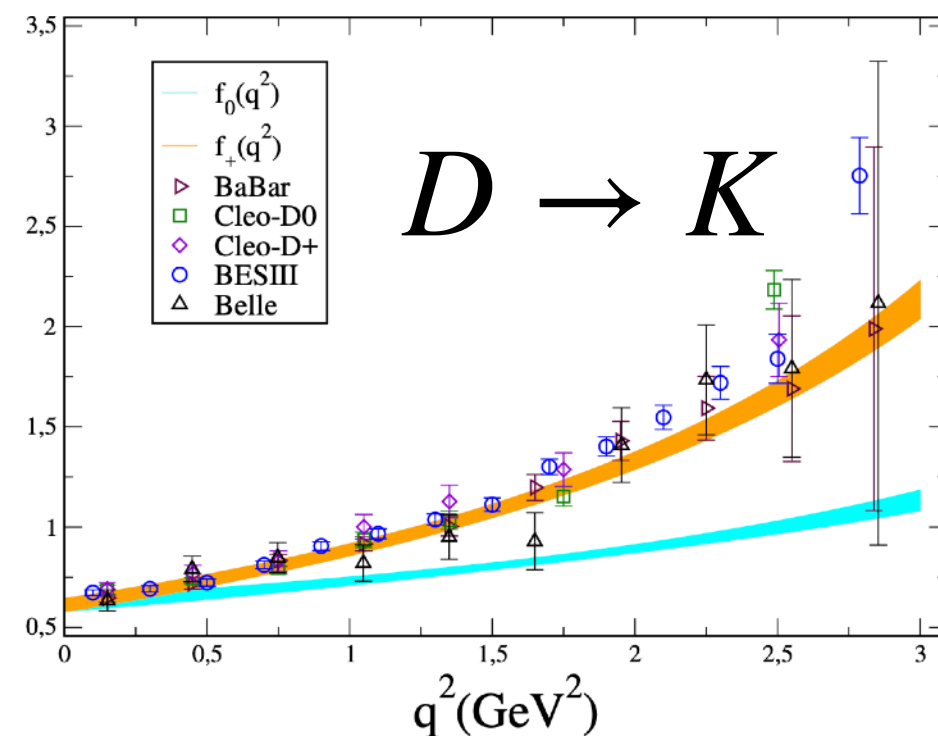
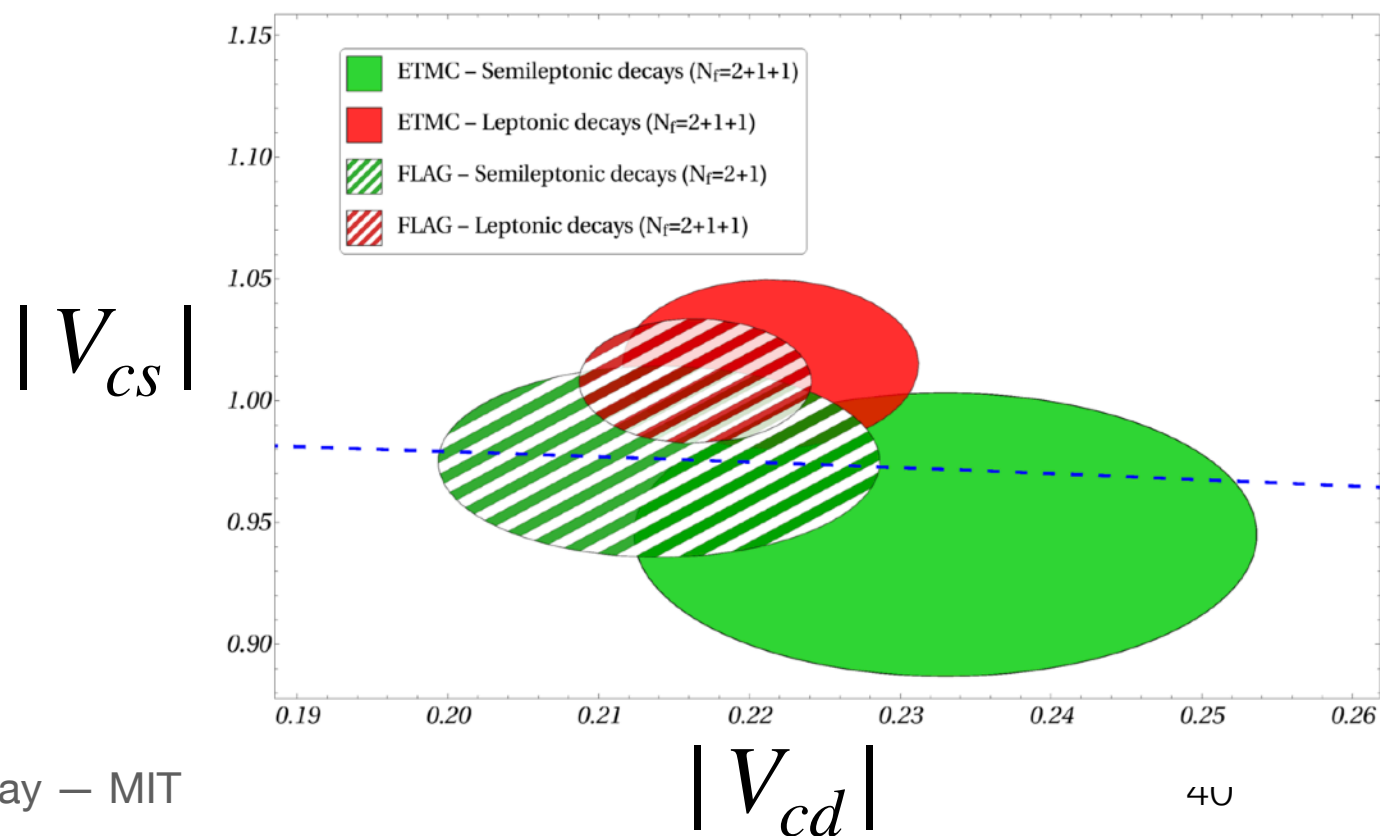
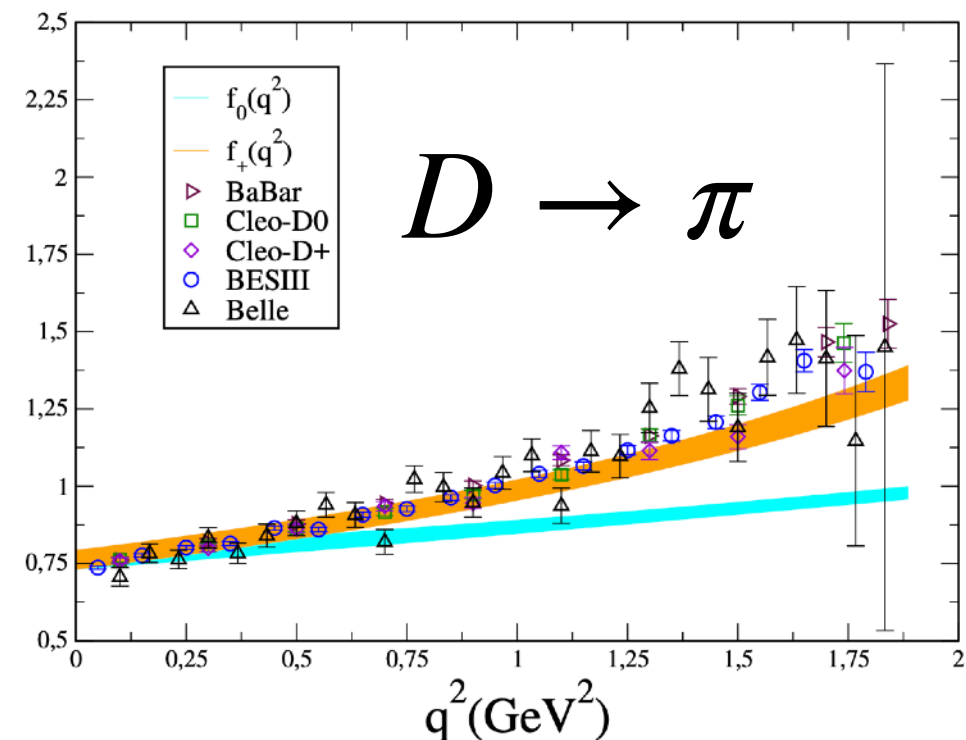
ETMC

PRD 96 (2017) 5, 054514

arXiv:1706.03017

$$D \rightarrow K/\pi \ell \nu \text{ and } |V_{cd}|, |V_{cs}|$$

- (N<sub>f</sub>=2+1+1)ETMC Wilson twisted mass ensembles
- Lattice spacings:  $a \in \{0.09, 0.08, 0.06\}$  fm
- $M_\pi \simeq 210 - 450$  MeV
- $\approx 4 - 6\%$  precision for  $f_{+/0}(0)$
- $|V_{cd}| = 0.2330(133)^{\text{LQCD}}(31)^{\text{EXP}} [\approx 6\%]$
- $|V_{cs}| = 0.945(38)^{\text{LQCD}}(4)^{\text{EXP}} [\approx 4\%]$







# D-meson Semileptonic Decays

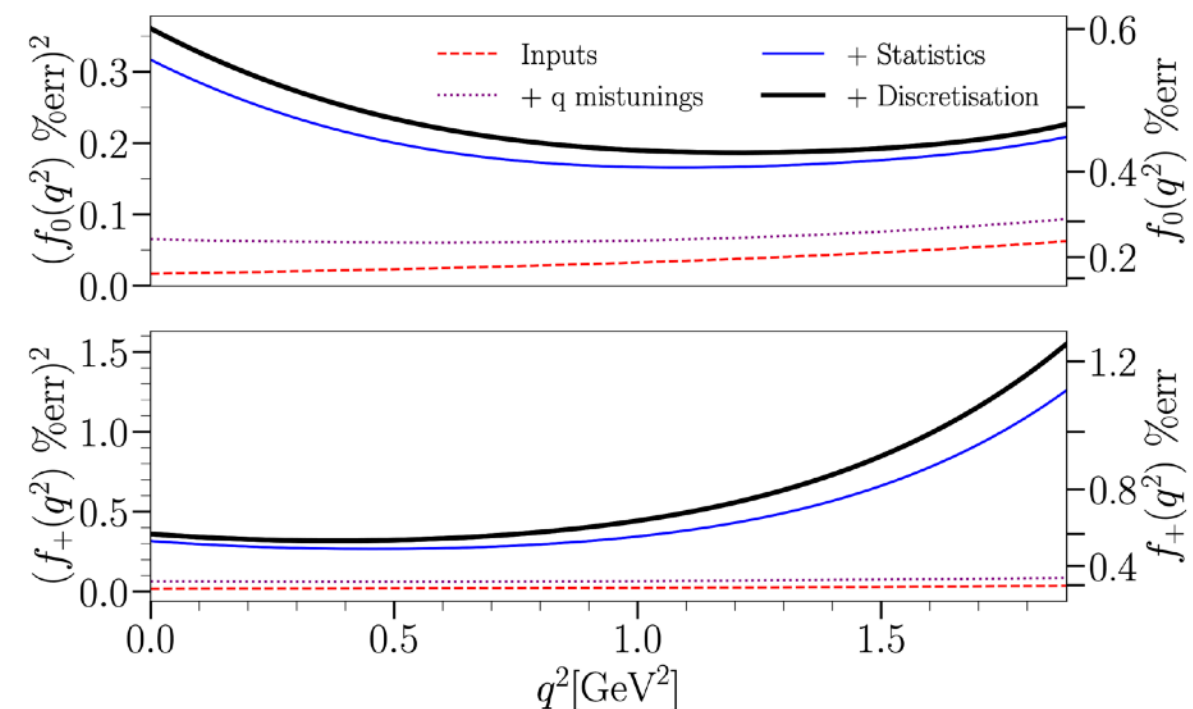
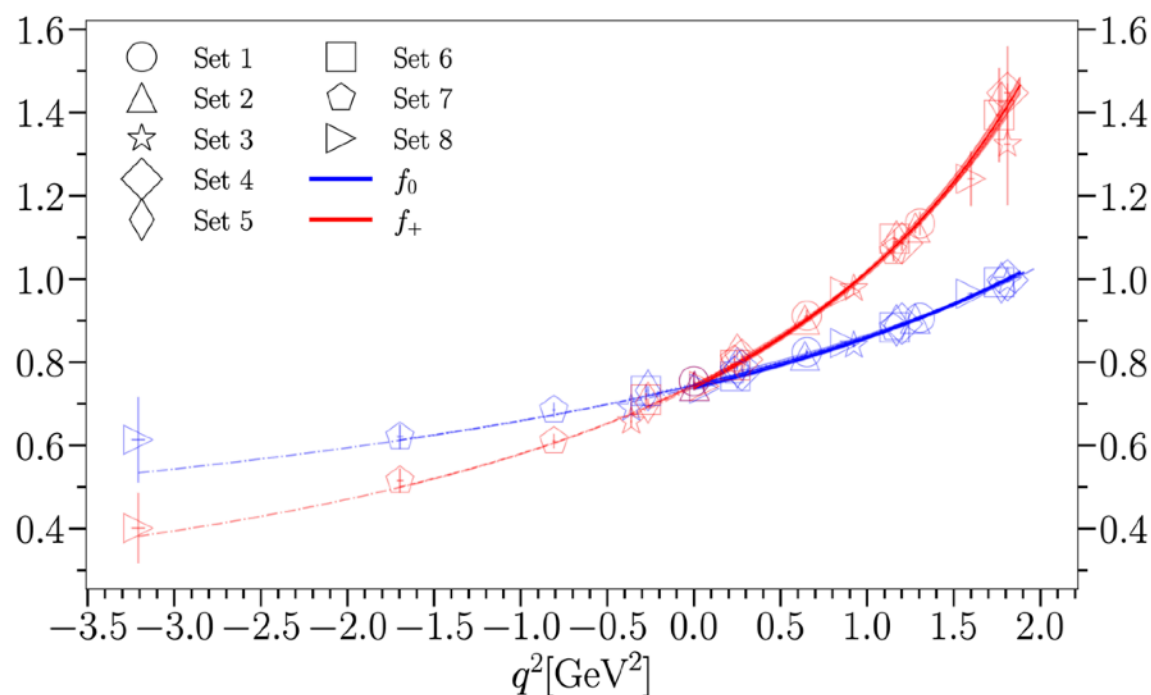
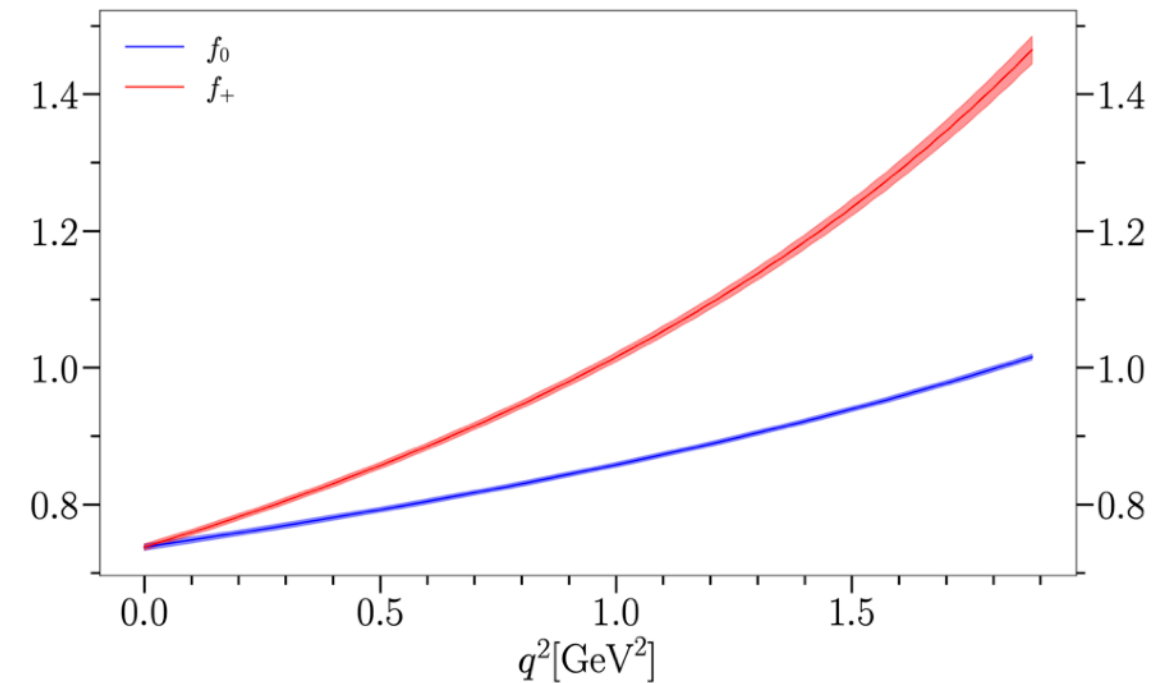
HPQCD

PRD 104 (2021) 3, 034505

arXiv:2104.09883

## $D \rightarrow K \ell \nu$ and $|V_{cs}|$

- (N<sub>f</sub>=2+1+1) MILC HISQ ensembles
- Lattice spacings:  $a \in \{0.045 - 0.15\}$  fm
- $M_\pi \simeq 135 - 320$  MeV
- Valence: heavy HISQ
- Chiral-continuum analysis via “modified z-expansion”
- $\lesssim 1\%$  precision for  $f_{+/0}(0)$
- $|V_{cs}| = 0.9663(53)^{\text{LQCD}}(39)^{\text{EXP}}(19)^{\text{EW}}(40)^{\text{EM}} [\approx 1\%]$





# D-meson Semileptonic Decays

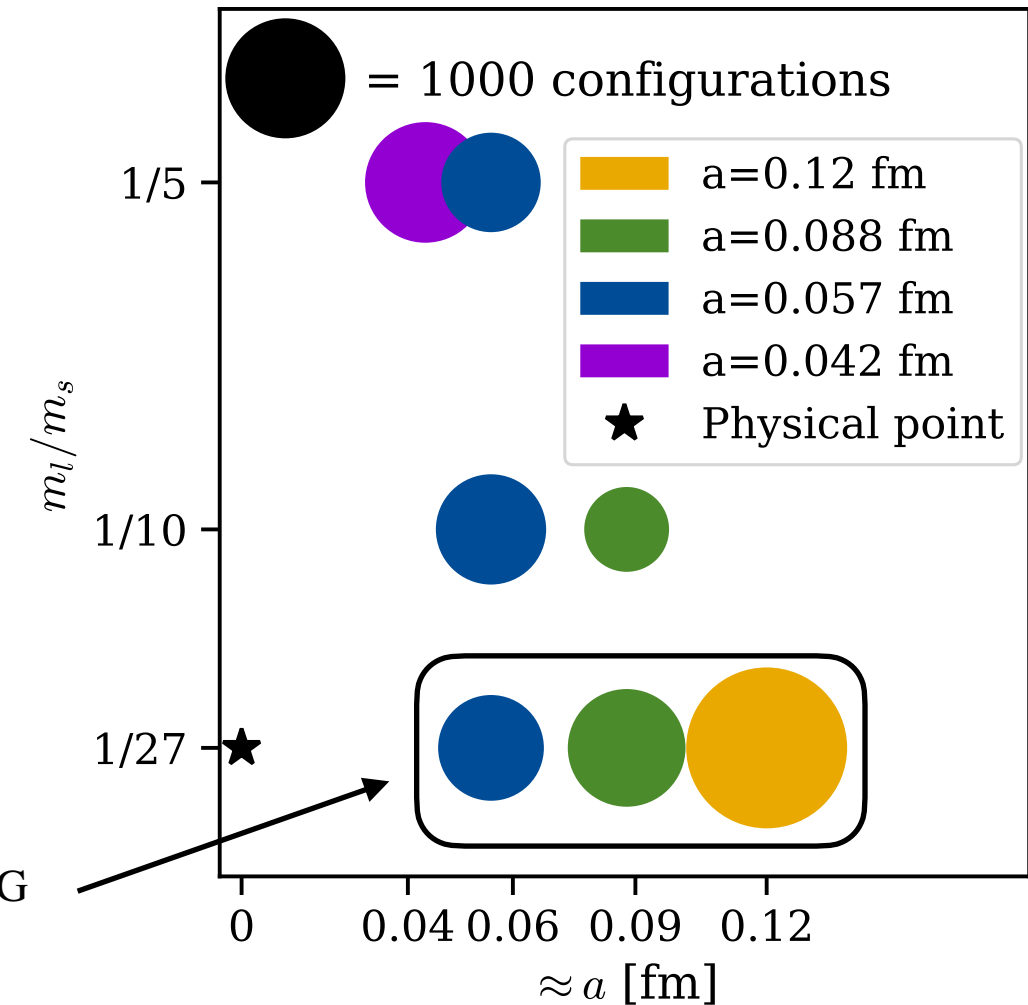
Fermilab-MILC [WJ]

PRD 107 (2023) 9, 094516

arXiv:2212.12648

$$D_{(s)} \rightarrow K/\pi \ell \nu \text{ and } |V_{cd}|, |V_{cs}|$$

- (N<sub>f</sub>=2+1+1) MILC HISQ ensembles
- Lattice spacings: [0.045 - 0.12] fm
- Valence: heavy HISQ
- Percent-level determinations of  $|V_{cd}|$ ,  $|V_{cs}|$ 
  - Consistent with  $|V_{cs}|$  from HPQCD 2021
- First-ever  $|V_{cd}|$  from  $D_s \rightarrow K \ell \nu$  when combined with recent first measurements from BESIII
- First time that LQCD and experimental errors are commensurate for  $D \rightarrow \pi \ell \nu$
- All results from a **blinded analysis**



$$|V_{cd}|^{D \rightarrow \pi} = 0.2338(11)^{\text{Expt}}(15)^{\text{LQCD}}[22]^{\text{EW/QED/SIB}}$$

$$|V_{cs}|^{D \rightarrow K} = 0.9589(23)^{\text{Expt}}(40)^{\text{LQCD}}[96]^{\text{EW/QED/SIB}}$$

Measure: Expt.

Calculate: LQCD





# D-meson Semileptonic Decays

Fermilab-MILC [WJ]  
PRD 107 (2023) 9, 094516  
arXiv:2212.12648

Comparison to experimental data

$$D \rightarrow \pi$$

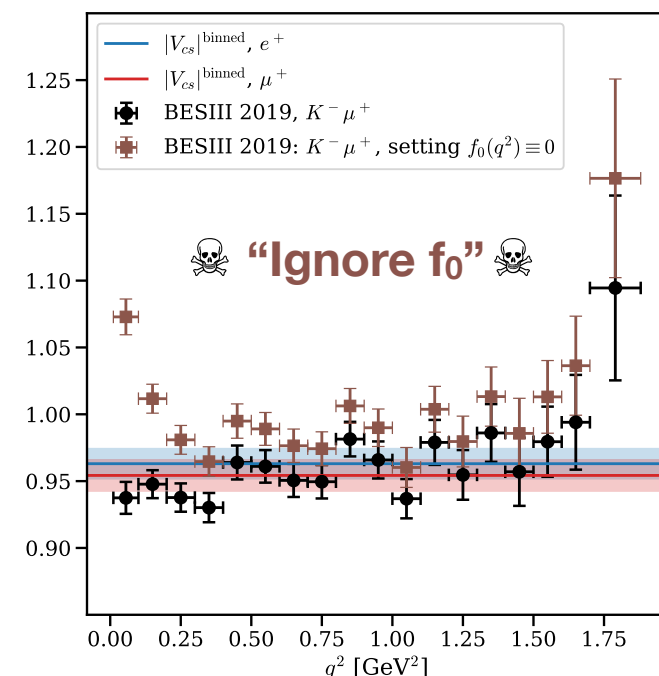
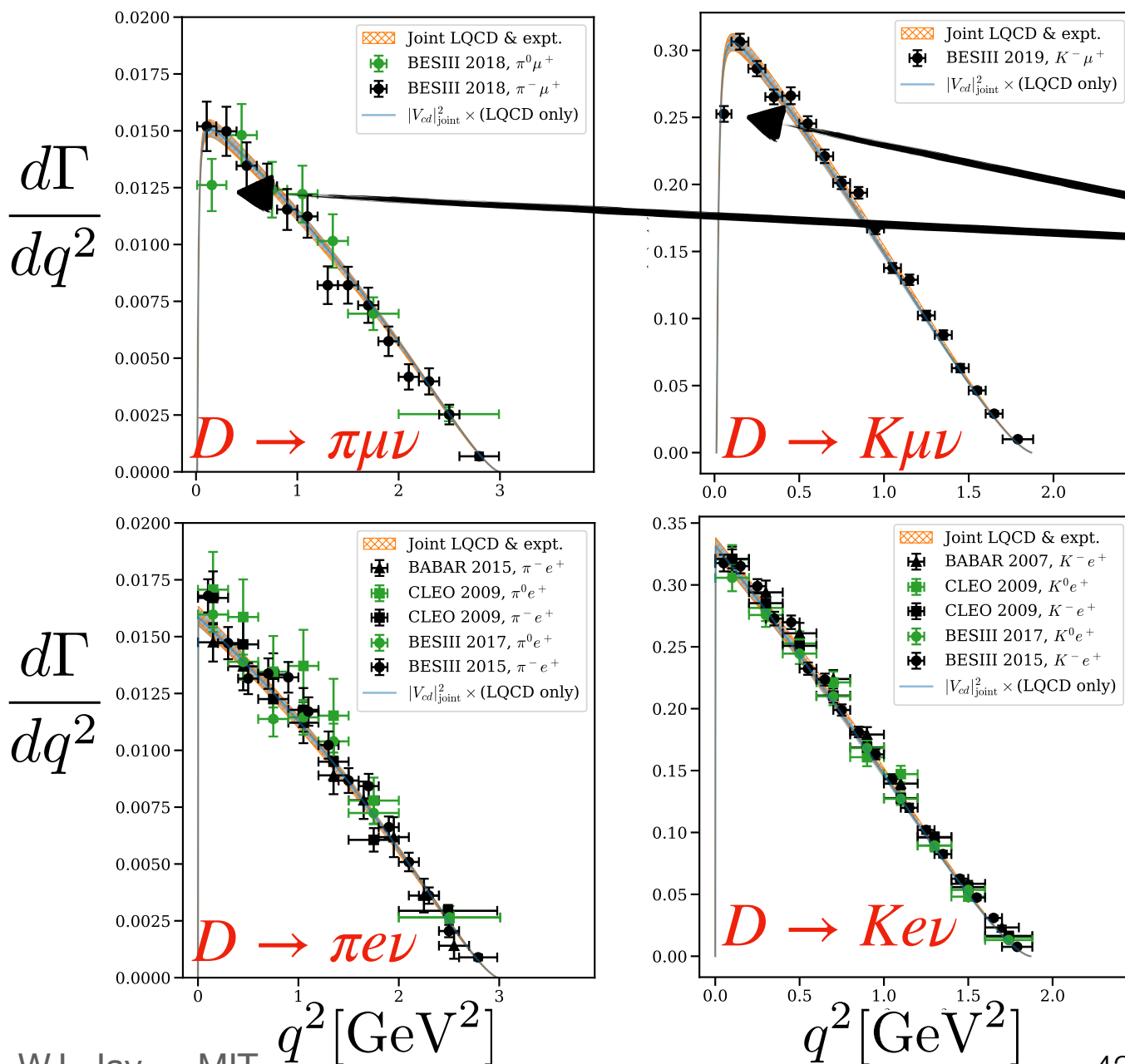
$$D \rightarrow K$$

Suppressed  
scalar-form-factor  
contributions  
 $\propto (m_\ell^2/q^2) |f_0|^2$

These effects were first  
statistically relevant in  
the extraction of  $|V_{cs}|$   
by **HPQCD 2021**

How relevant  
is  $f_0$ ?

See, e.g., binwise  
estimates of  $|V_{cs}|$







# D-meson Semileptonic Decays

Fermilab-MILC [WJ]

PRD 107 (2023) 9, 094516

arXiv:2212.12648

$$D_{(s)} \rightarrow K/\pi \ell \nu \text{ and } |V_{cd}|, |V_{cs}|$$

ETMC

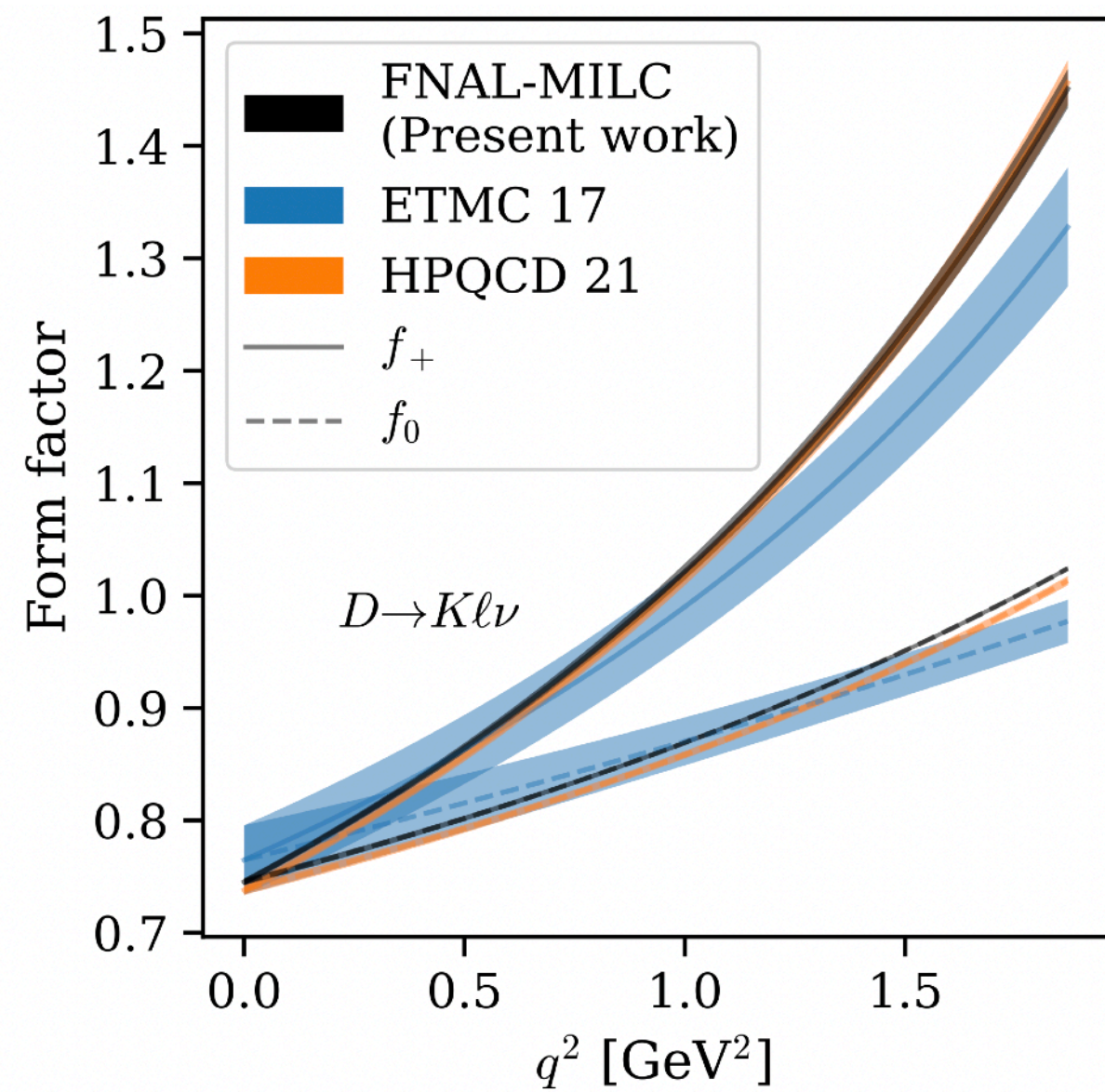
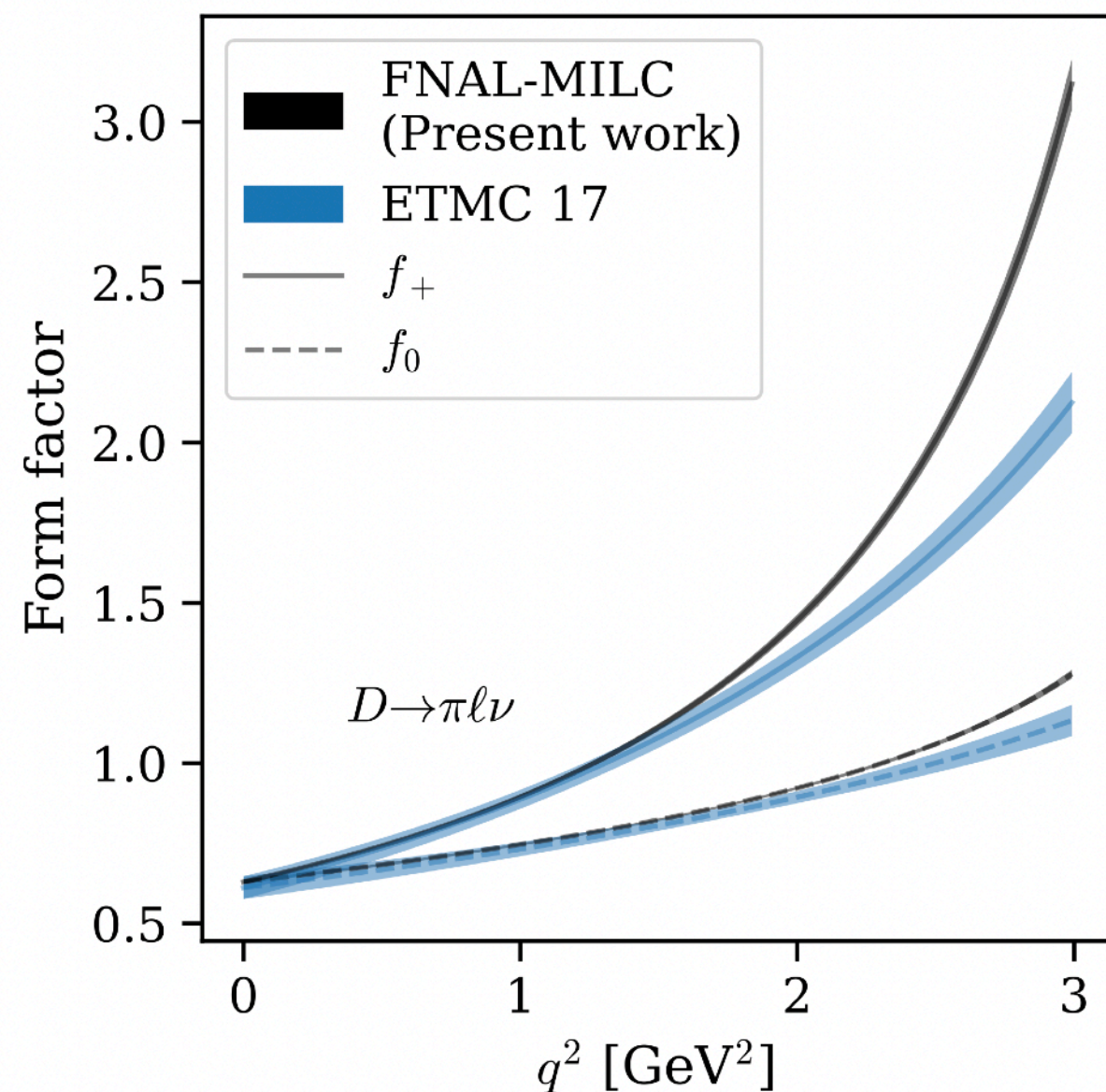
PRD 96 (2017) 5, 054514

arXiv:1706.03017

HPQCD

PRD 104 (2021) 3, 034505

arXiv:2104.09883







# D-meson Semileptonic Decays

Fermilab-MILC [WJ]

PRD 107 (2023) 9, 094516

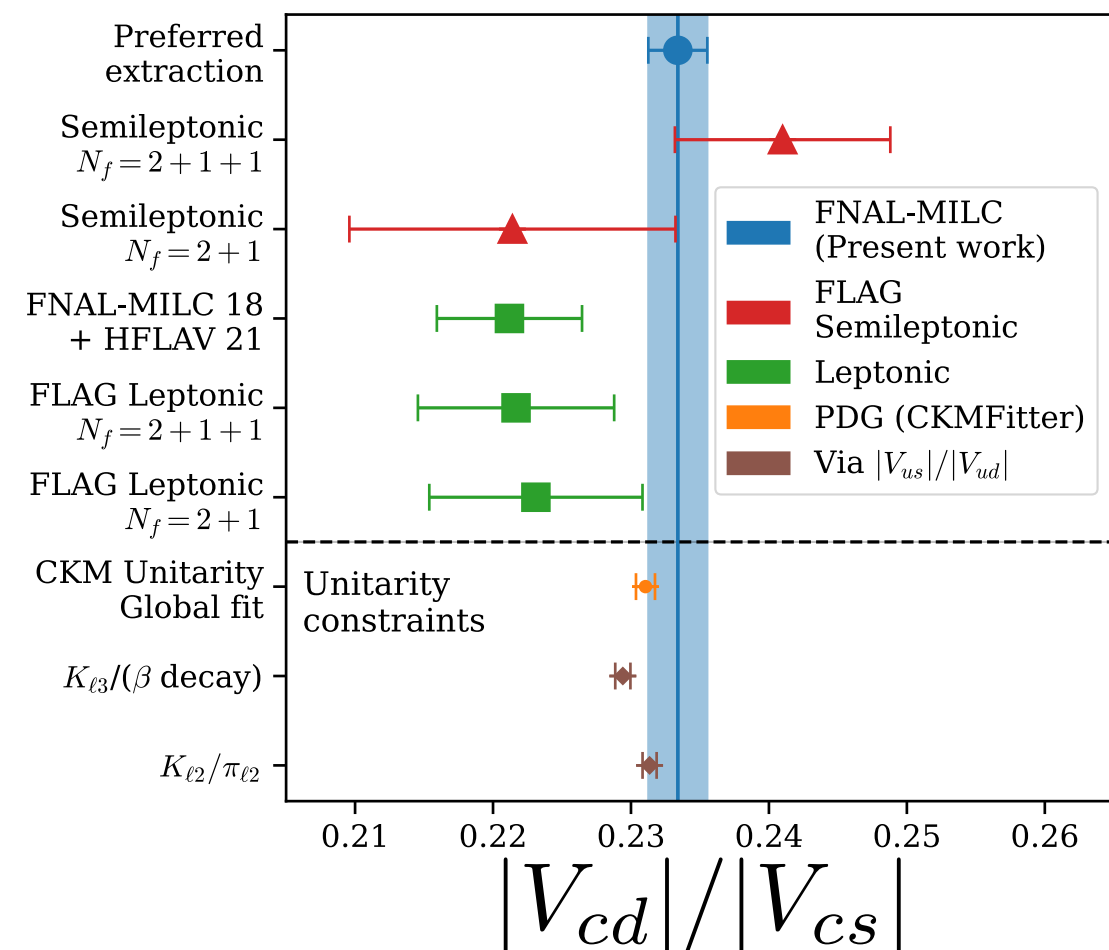
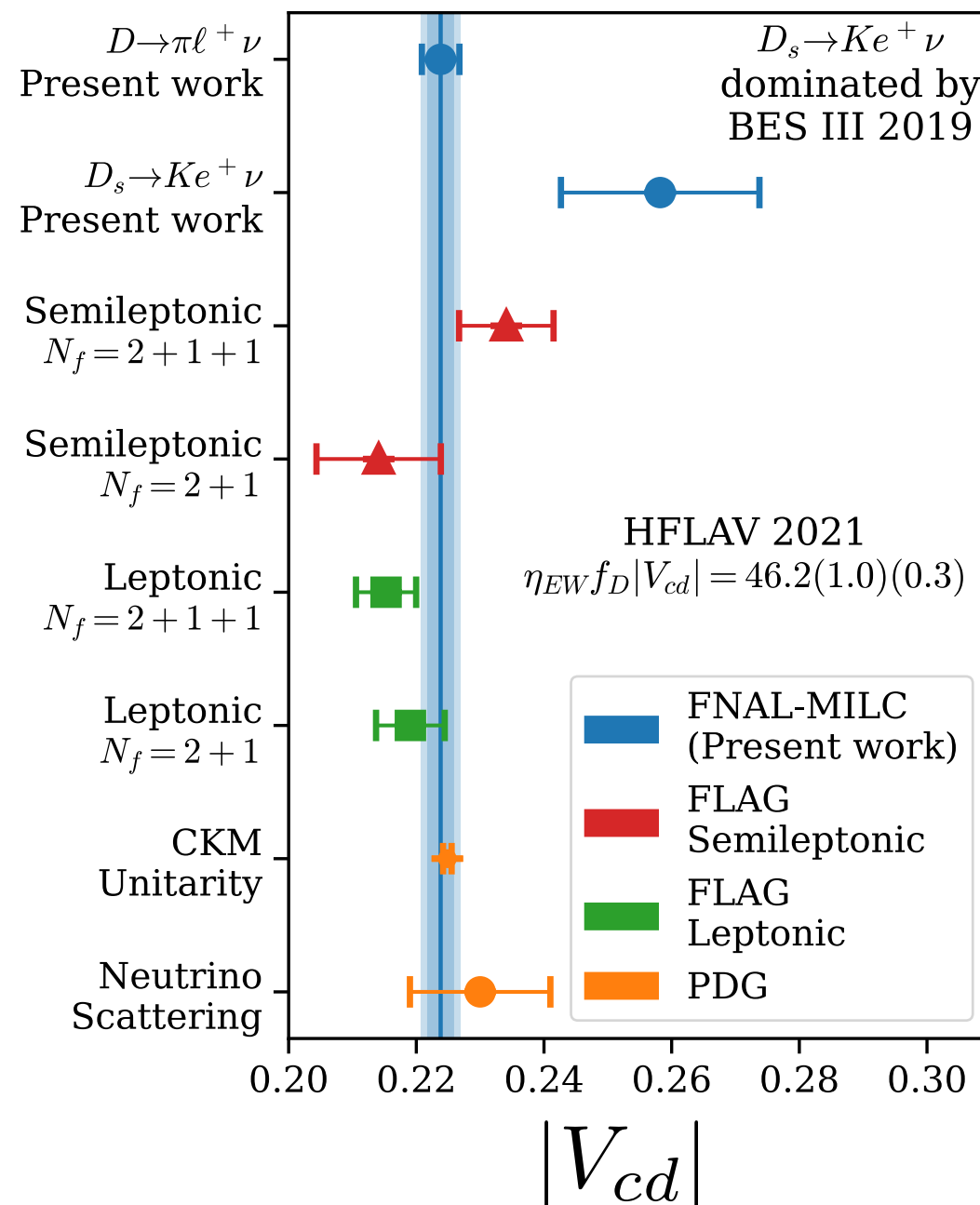
arXiv:2212.12648

$$D_{(s)} \rightarrow K/\pi \ell \nu \text{ and } |V_{cd}|, |V_{cs}|$$

PDG  
particle data group

HFLAV

FLAG  
Flavour Lattice Averaging Group





# D-meson Semileptonic Decays

Fermilab-MILC [WJ]

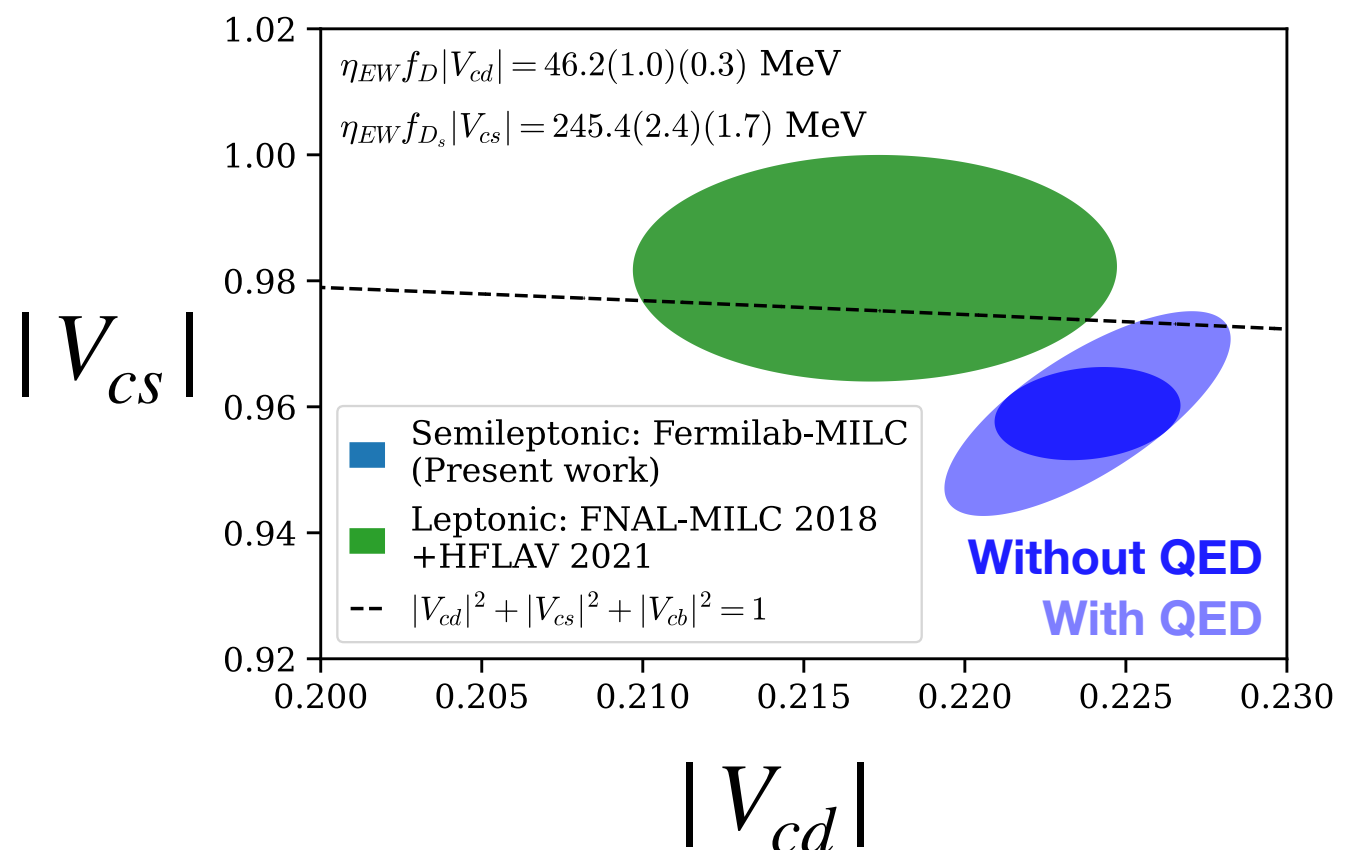
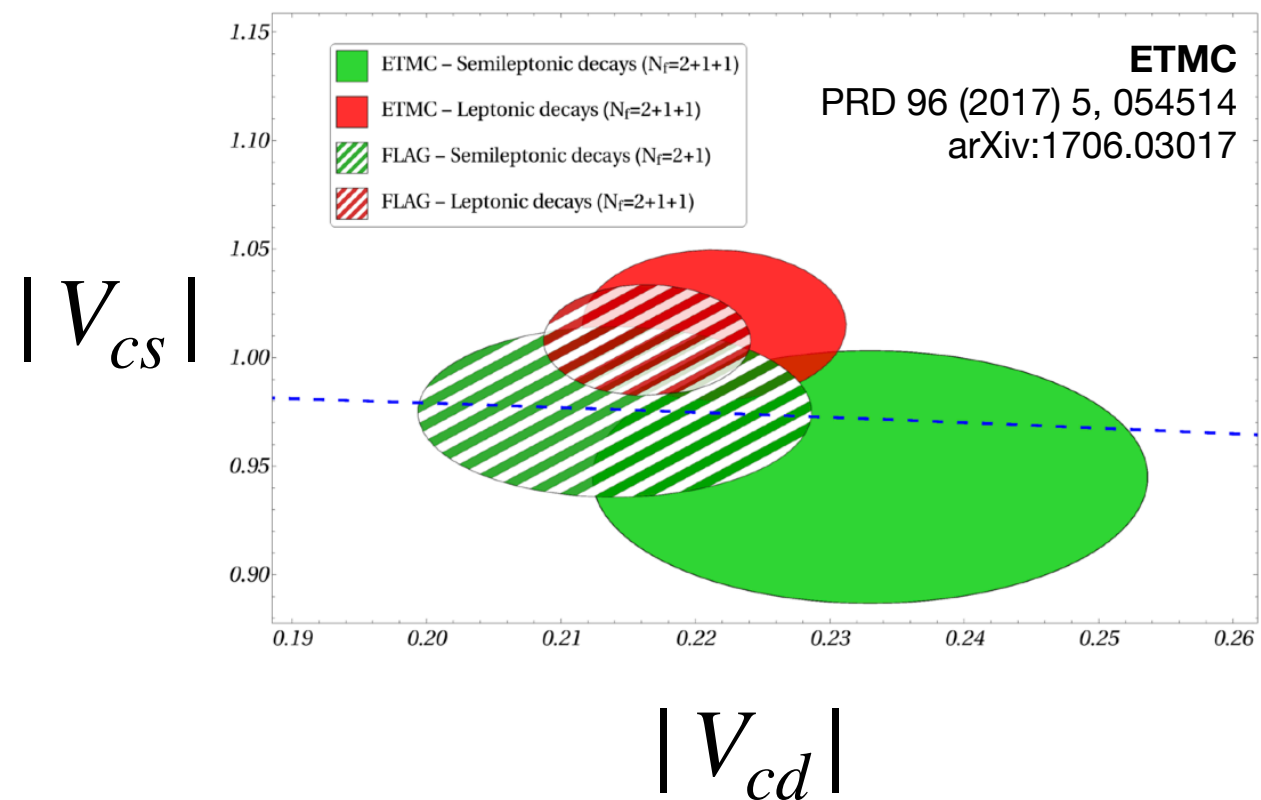
PRD 107 (2023) 9, 094516

arXiv:2212.12648

## Second-row unitarity tests

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 - 1 = -0.0286(44)^{\text{EXP}}(78)^{\text{QCD}}[194]^{\text{QED}}(28)^{\text{EW}}$$

- Consistent with unitarity at  $\approx 1\sigma$
- Uncertainty still dominated by theory
- QCD uncertainty subdominant to QED
- $|V_{cd}|/|V_{cs}|$ : qualitatively similar arrangement to what was seen by ETMC 2017







# D-meson Semileptonic Decays

## Lepton Flavor Universality Ratios

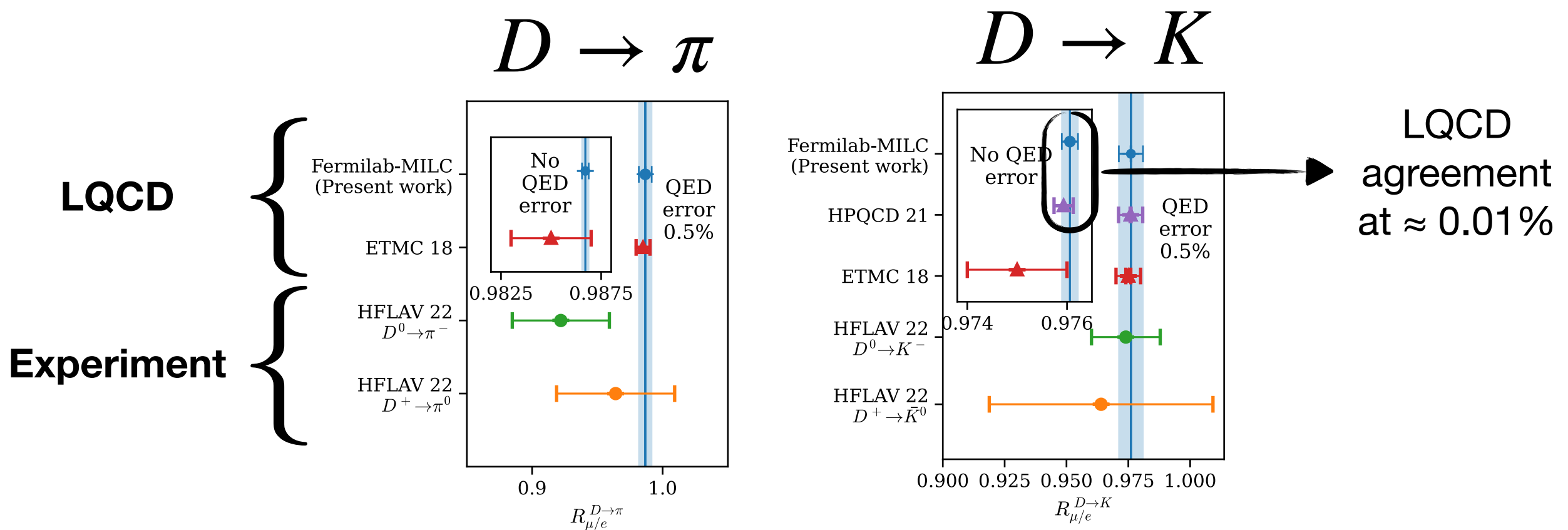
Fermilab-MILC [WJ]

PRD 107 (2023) 9, 094516

arXiv:2212.12648

$$R_{\mu/e}^{H \rightarrow L} \equiv \frac{\mathcal{B}(H \rightarrow L\mu\nu)}{\mathcal{B}(H \rightarrow Le\nu)}$$

- CKM factors cancel in the ratio  
→ pure theoretical SM predictions are available
- Theoretical uncertainties cancel in the ratio  
→ lattice QCD gives very precise results





# D-meson Semileptonic Decays

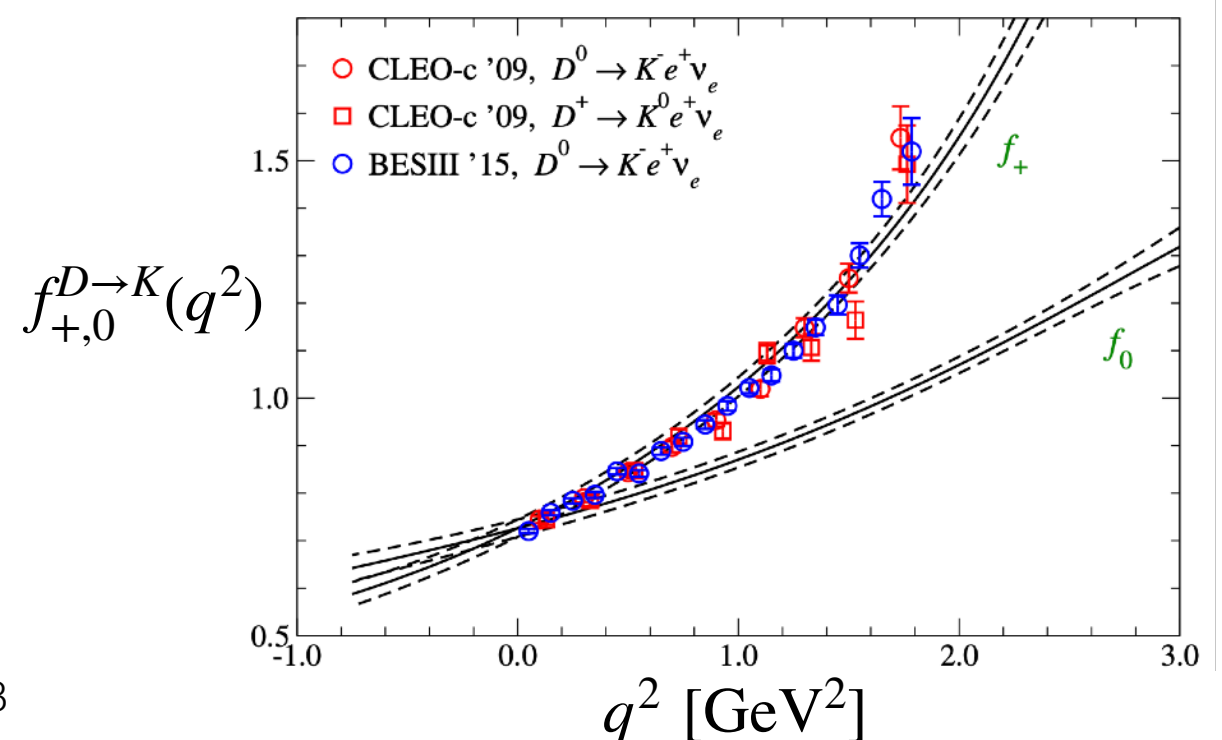
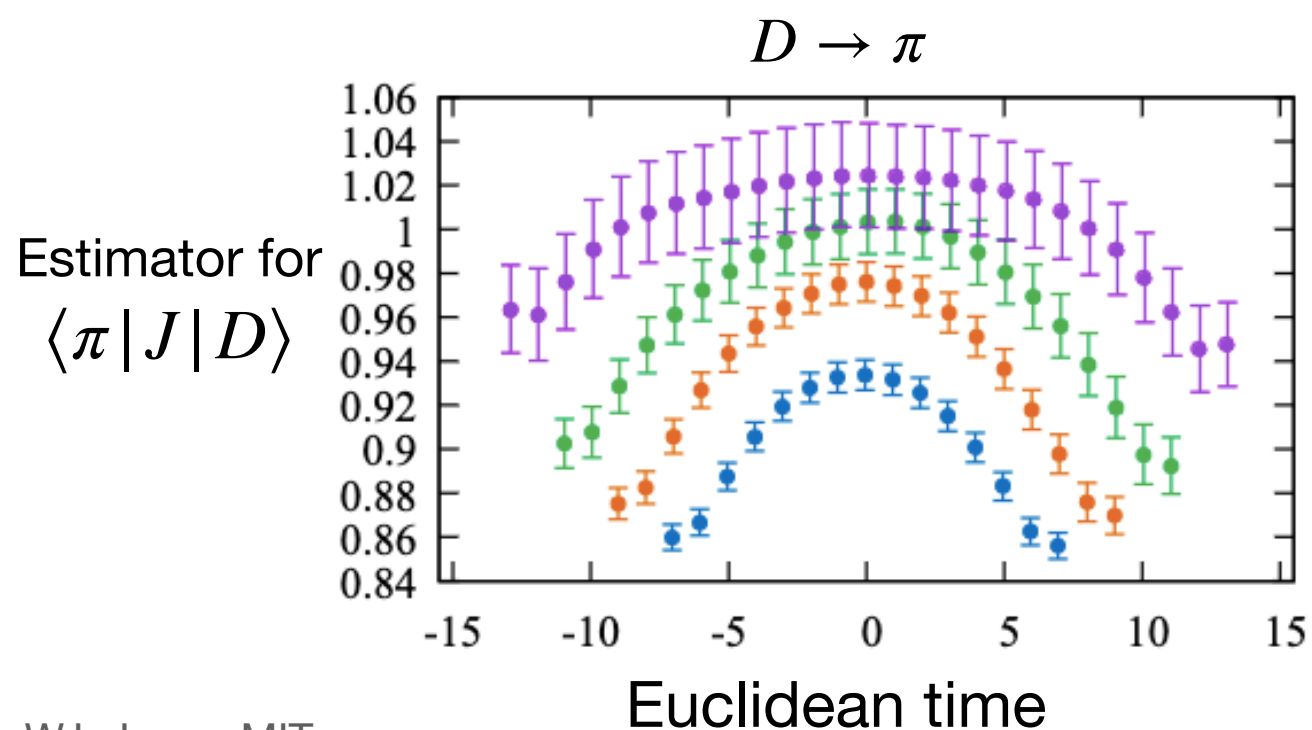
## Unpublished & In-progress Calculations

### RBC/UKQCD @ Lattice 2021 [arXiv:2201.02680]

- ( $N_f=2+1$ ) RBC/UKQCD domain-wall quarks
- Valence: domain wall
- Preliminary results on a single ensemble:  $1/a \approx 1.78$  GeV
- Results indicate that percent-scale errors are achievable
- Plans in place to extend calculation to additional ensembles
- Precise DWF results will give a valuable check on the recent HISQ results for  $D_{(s)} \rightarrow K/\pi \ell \nu$

### JLQCD @ Lattice 2017 [arXiv:1711.11235]

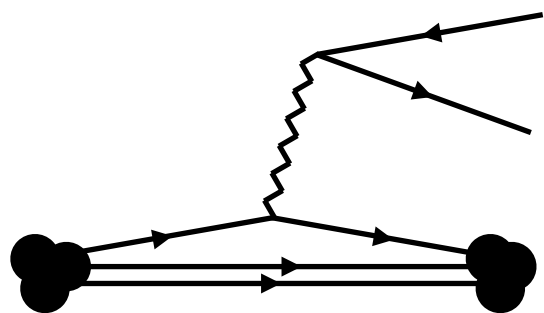
- Unpublished but quite mature/complete results
- ( $N_f=2+1$ ) JLQCD ensembles with domain-wall quarks
  - 14 total ensembles
  - $1/a \in \{2.5, 3.6, 4.5\}$  GeV
  - $M_\pi \in [230, 500]$  MeV
  - Valence: domain wall
- Form factors in the continuum limit are reported
- Excellent control over systematic effects
- $f_{+,0}^{D \rightarrow K/\pi}(0)$  at  $\approx 6\%$  precision
- $1\sigma$  agreement with recent HISQ results for  $f_{+,0}^{D \rightarrow K/\pi}(0)$







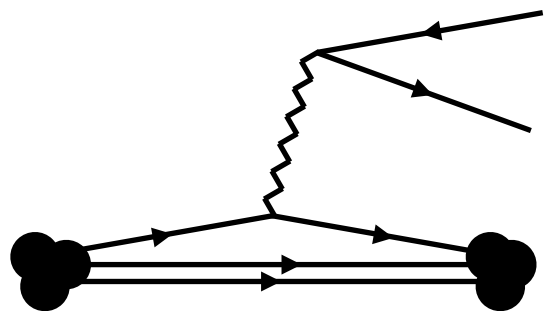
# Semileptonic Decays of D-baryons



$$\left( \begin{array}{ccc}
 \mathbf{V}_{ud} & \mathbf{V}_{us} & \mathbf{V}_{ub} \\
 \pi \rightarrow \ell \nu & K \rightarrow \ell \nu & B \rightarrow \ell \nu \\
 & K \rightarrow \pi \ell \nu & B \rightarrow \pi \ell \nu \\
 & & \Lambda_b \rightarrow p \ell \nu \\
 \\
 \mathbf{V}_{cd} & \mathbf{V}_{cs} & \mathbf{V}_{cb} \\
 D \rightarrow \ell \nu & D_s \rightarrow \ell \nu & B \rightarrow D \ell \nu \\
 D \rightarrow \pi \ell \nu & D \rightarrow K \ell \nu & B \rightarrow D^* \ell \nu \\
 D_s \rightarrow K \ell \nu & \Lambda_c \rightarrow \Lambda \ell \nu & \Lambda_b \rightarrow \Lambda_c \ell \nu \\
 \Lambda_c \rightarrow N \ell \nu & \Xi_c \rightarrow \Xi \ell \nu & \\
 \\
 \mathbf{V}_{td} & \mathbf{V}_{ts} & \mathbf{V}_{tb} \\
 \langle B_d | \bar{B}_d \rangle & \langle B_s | \bar{B}_s \rangle &
 \end{array} \right)$$



# Semileptonic Decays of D-baryons



$$\langle \Lambda | \bar{s} \gamma^\mu (1 - \gamma_5) c | \Lambda_c \rangle$$

Vector form factors:  $f_{+,0,\perp}$

Axial form factors:  $g_{+,0,\perp}$





# D-baryon semileptonic decays

S. Meinel

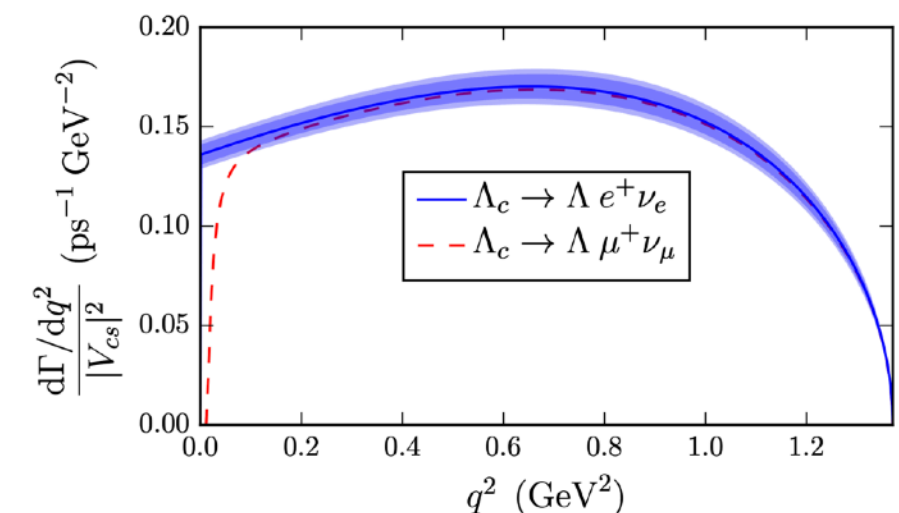
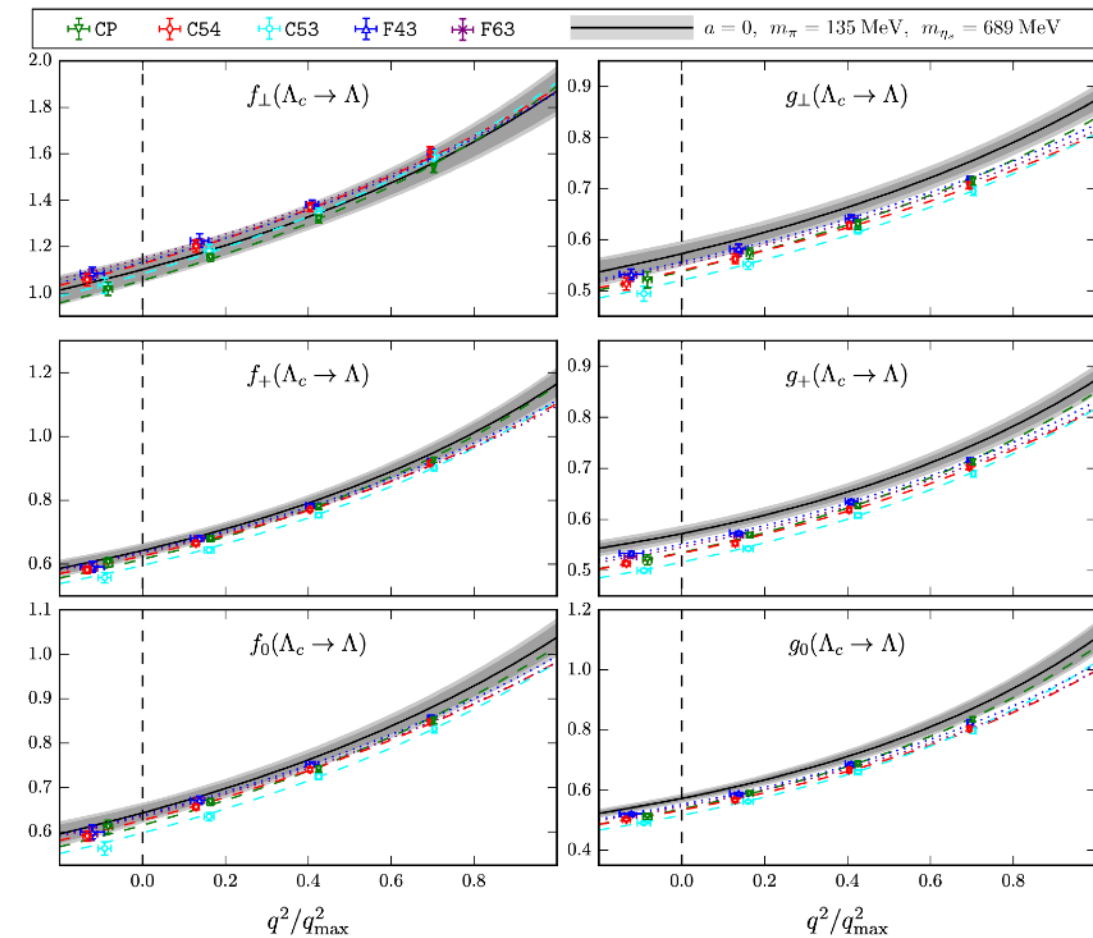
PRL 118 (2017) 8, 082001

arXiv:1611.09696

$$\Lambda_c \rightarrow \Lambda \ell \nu$$

- 5x ensembles,  $N_f = 2+1$  domain wall fermions
  - $a \in \{0.09, 0.11\}$  fm
  - $M_\pi \in \{139 - 350\}$  MeV
- Valence charm: Columbia RHQ (clover action, tuned to give  $J/\psi$  dispersion relation)
- “Mostly non-perturbative” renormalization
- First-ever determination of  $|V_{cs}|$  [ $\approx 6\%$ ] from baryon decays when combined with measurements from BESIII

$$|V_{cs}| = \begin{cases} 0.951(24)_{\text{LQCD}}(14)_{\tau_{\Lambda_c}}(56)_{\mathcal{B}}, & \ell = e, \\ 0.947(24)_{\text{LQCD}}(14)_{\tau_{\Lambda_c}}(72)_{\mathcal{B}}, & \ell = \mu, \\ 0.949(24)_{\text{LQCD}}(14)_{\tau_{\Lambda_c}}(49)_{\mathcal{B}}, & \ell = e, \mu, \end{cases}$$







# D-baryon semileptonic decays

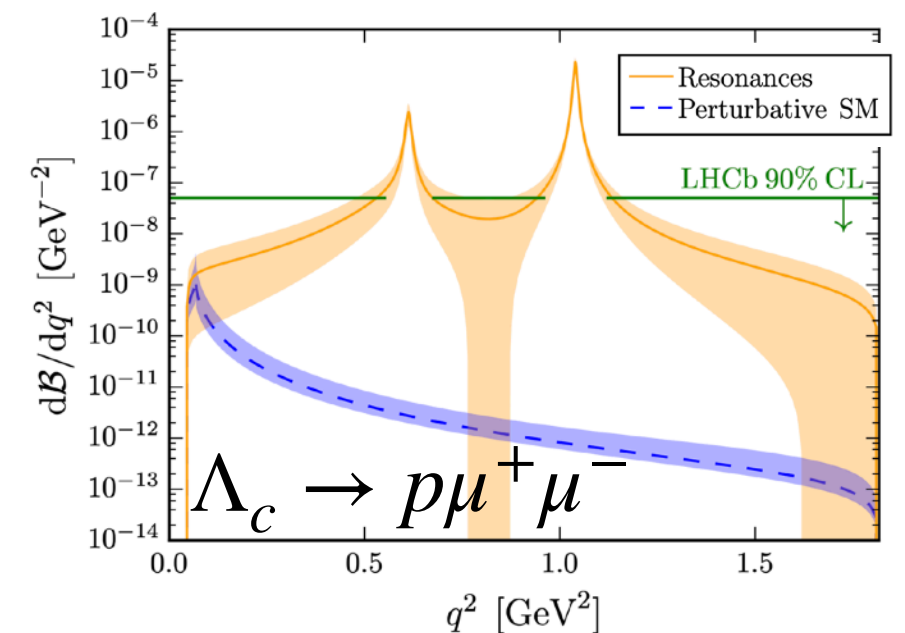
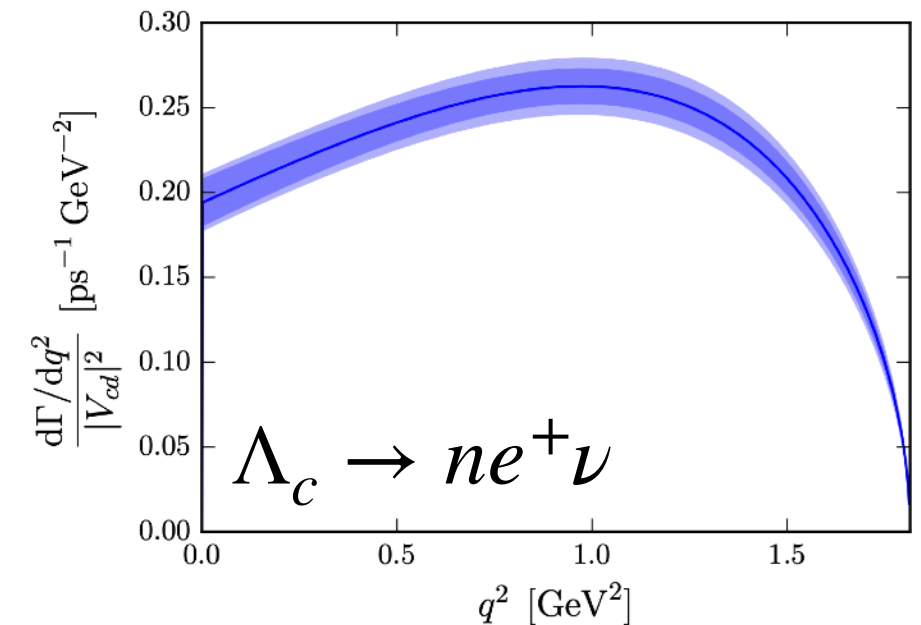
S. Meinel

PRD 97 (2018) 3, 034511

arXiv:1712.05783

## $\Lambda_c \rightarrow N$ form factors

- Isospin limit: same form factors for  $\Lambda_c \rightarrow p^+$ ,  $\Lambda_c \rightarrow n$
- 6x ensembles,  $N_f = 2+1$  domain wall fermions
  - $a \in \{0.09, 0.11\}$  fm
  - $M_\pi \in \{240 - 350\}$  MeV
- Valence charm: Columbia RHQ
- “Mostly non-perturbative” renormalization
- SM predictions for charged-current  $\Lambda_c \rightarrow n\ell^+\nu$  rates [ $\approx 6.4\%$ ]
  - ▶  $\Gamma(\Lambda_c \rightarrow ne^+\nu)/|V_{cd}|^2 = (0.405 \pm 0.016_{\text{stat}} \pm 0.020_{\text{syst}}) \text{ ps}^{-1}$
  - ▶ Tough to measure experimentally ( $n$  and  $\nu$  in final state)
  - ▶ Results larger by factor of  $\approx 1.5-2$  compared to other calculations [quark models, sum rules, SU(3)]
- Rare neutral-current decay:
  - ▶ LHCb 2018:  $\mathcal{B}(\Lambda_c \rightarrow p^+\mu^+\mu^-) < 7.7 \times 10^{-8}$  [90%]
  - ▶ Comparison to LQCD with additional assumptions
    - SM Wilson coefficients at NLO
    - Breit-Wigner model for intermediate  $\phi/\omega/\rho$



LHCb

PRD 97 (2018) 9, 091101

arXiv:1712.07938





# D-baryon semileptonic decays

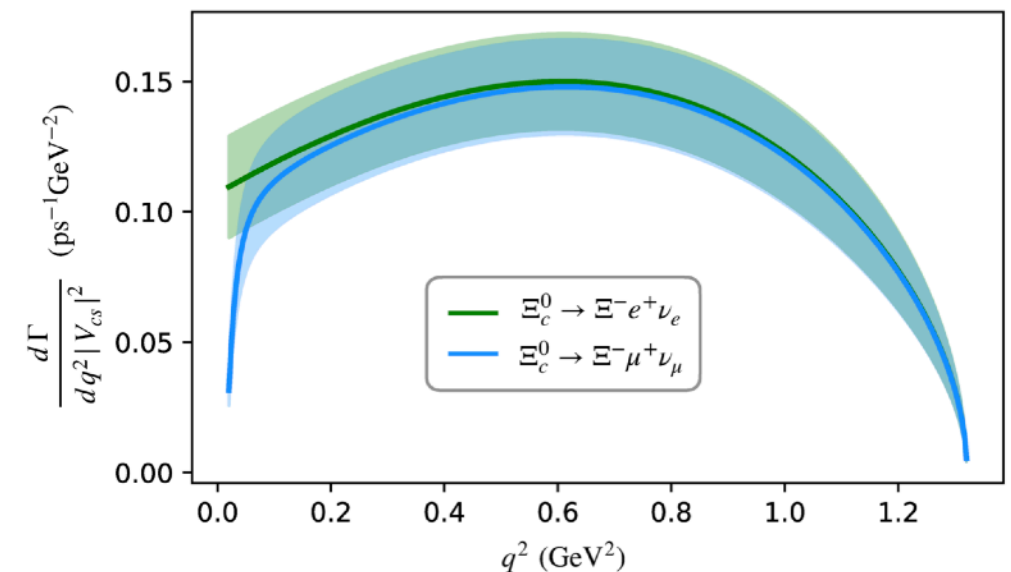
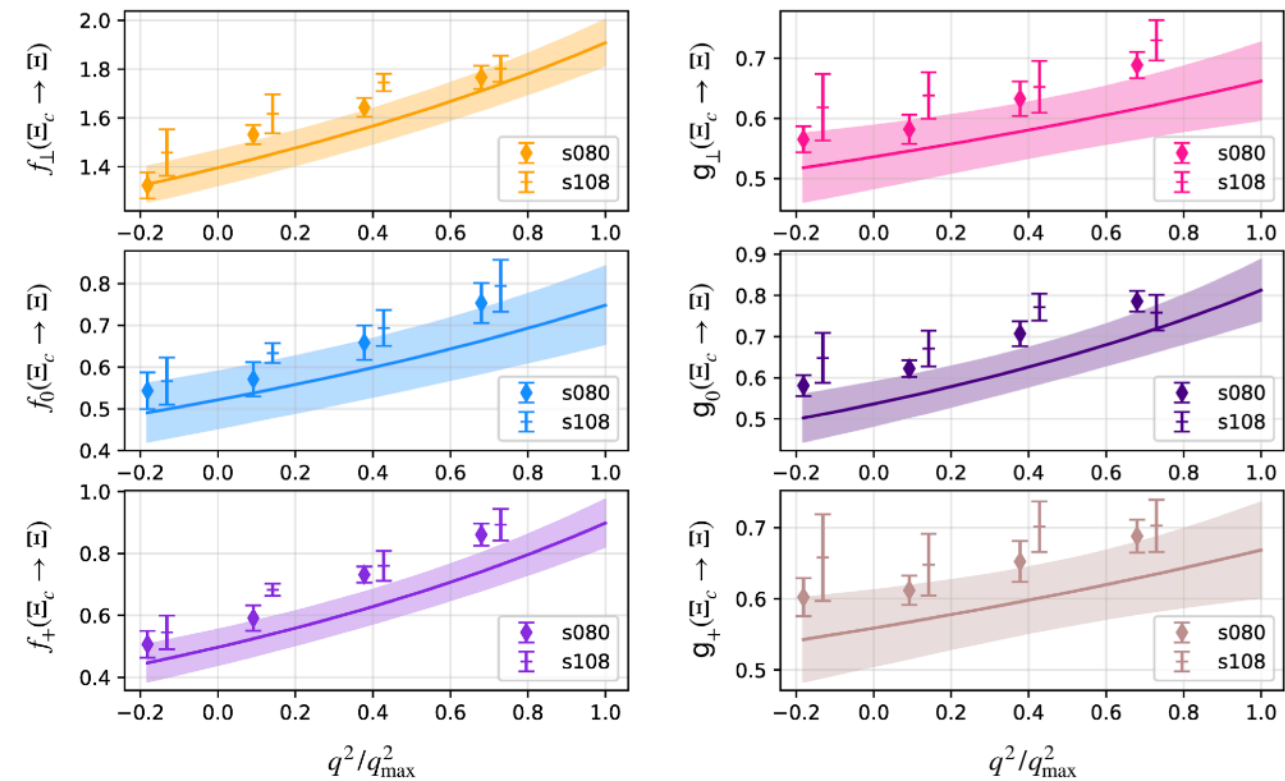
## $\Xi_c \rightarrow \Xi \ell \nu$ form factors

Q.-A. Zhang et al.

Chin.Phys.C 46 (2022) 1, 011002

arXiv:2103.07064

- 2x ensembles with  $N_f=2+1$  Wilson clover quarks
  - $a \in \{0.11, 0.08\}$  fm
  - $M_\pi \approx 300$  MeV
- Continuum extrapolation is given
- No chiral extrapolation to physical pion mass
- Extractions of  $|V_{cs}|$ :
  - Using ALICE branching-fraction measurements:  
 $|V_{cs}| = 0.983(0.060)^{\text{stat}}(0.065)^{\text{syst}}(0.167)^{\text{exp}} [\approx 19\%]$
  - Using Belle branching-fraction measurements  
 $|V_{cs}| = 0.834(0.051)^{\text{stat}}(0.056)^{\text{syst}}(0.127)^{\text{exp}} [\approx 18\%]$





# Summary & Outlook

- **Lattice QCD calculations have achieved:**
  - Subpercent precision for leptonic decays
  - Percent level precision for D-meson semileptonic decays
  - 5-20% precision for D-baryon semileptonic decays
- **Enabling “technologies” for high precision include:**
  - Ensembles with physical mass pions:  $M_\pi \approx 140$  MeV
  - Relativistic light-quark action(s) for charms: absolutely normalized currents
  - Highly improved actions: small discretization effects for charm
- **Precise LQCD + latest experimental results give:**
  - CKM matrix elements  $|V_{cd}|$  and  $|V_{cs}|$  at O(1%)
  - Improved tests of second-row unitarity
  - Precise SM predictions of LFU ratio





Backup





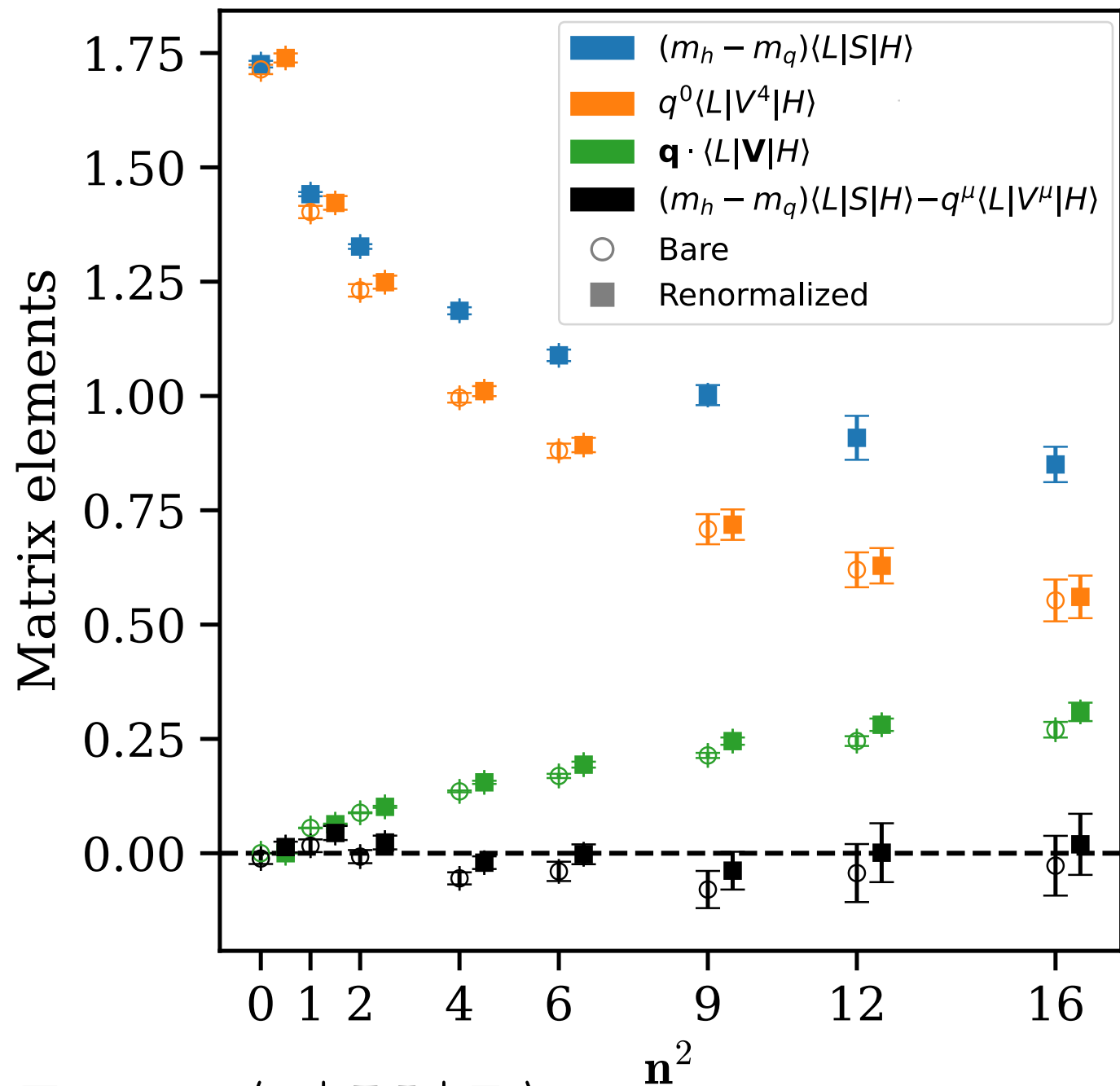


# Renormalization semileptonic decays

## Example $D \rightarrow \pi \ell \nu$

- Recall  $\mathcal{J} = Z_J J$
- PCVC:  $\partial_\mu \mathcal{V}^\mu = (m_1 - m_2) \mathcal{S}$
- For the HISQ action, the local scalar density is absolutely normalized.
- Imposing PCVC in a global fit gives values for  $Z_{V_0}$  and  $Z_{V_i}$
- In terms of  $D \rightarrow \pi$  matrix elements, PCVC reads:

$$Z_{V^0} (M_D - E_\pi) \langle \pi | V^0 | D \rangle + Z_{V^i} \mathbf{q} \cdot \langle \pi | \mathbf{V} | D \rangle = (m_c - m_d) \langle \pi | S | D \rangle$$





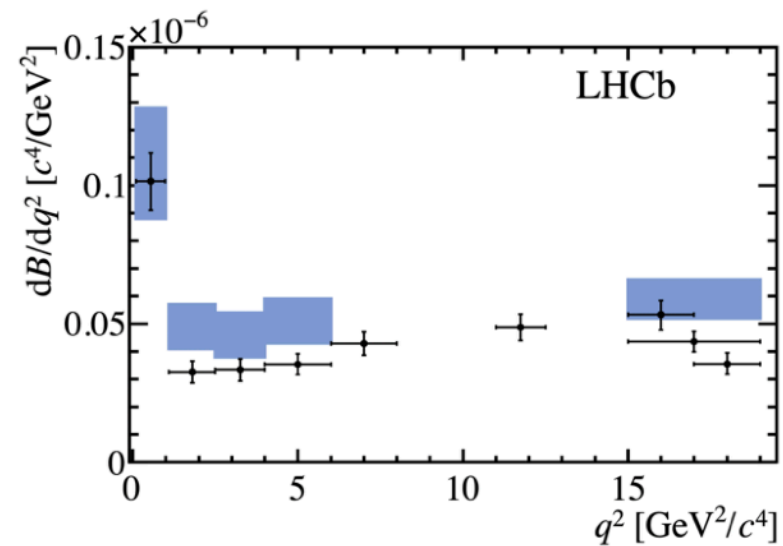


# Branching fraction tensions

$$B^0 \rightarrow K^{*0} \mu \mu$$

LHCb *JHEP* 11 (2016) 047

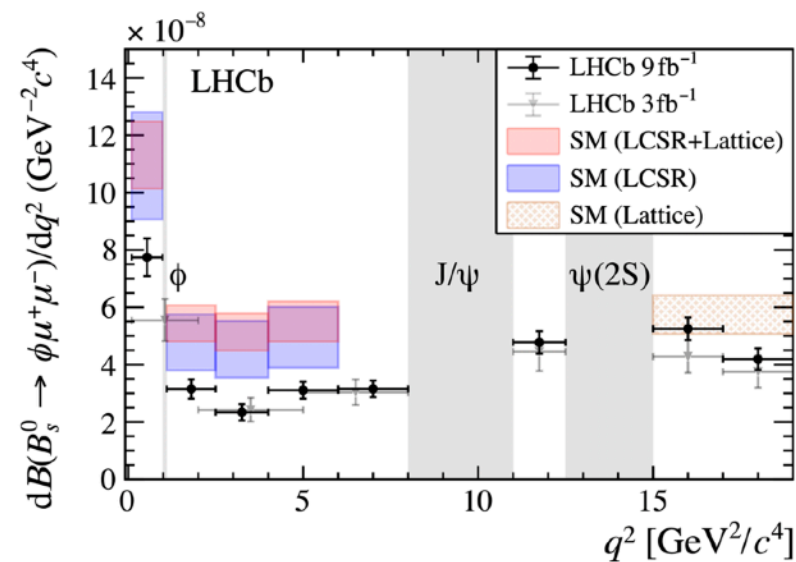
LHCb *JHEP* 04 (2017) 142



$$B_s^0 \rightarrow \phi \mu \mu$$

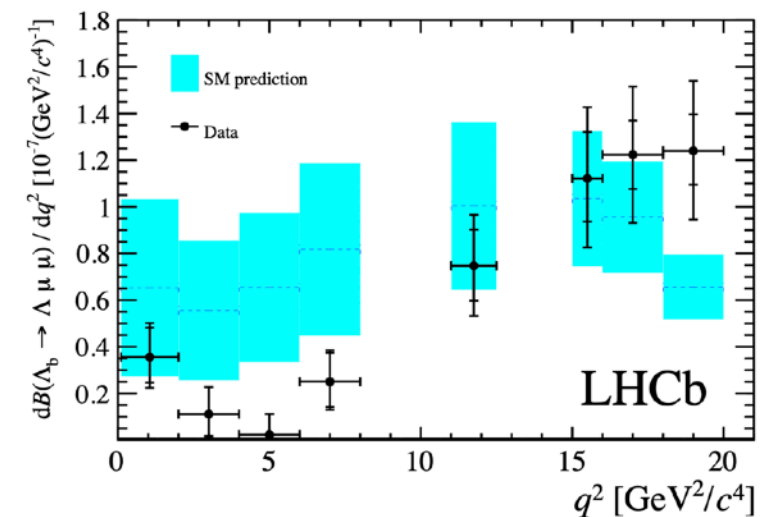
LHCb *JHEP* 09 (2015) 179

LHCb *PRL* 127 (2021) 15, 151801

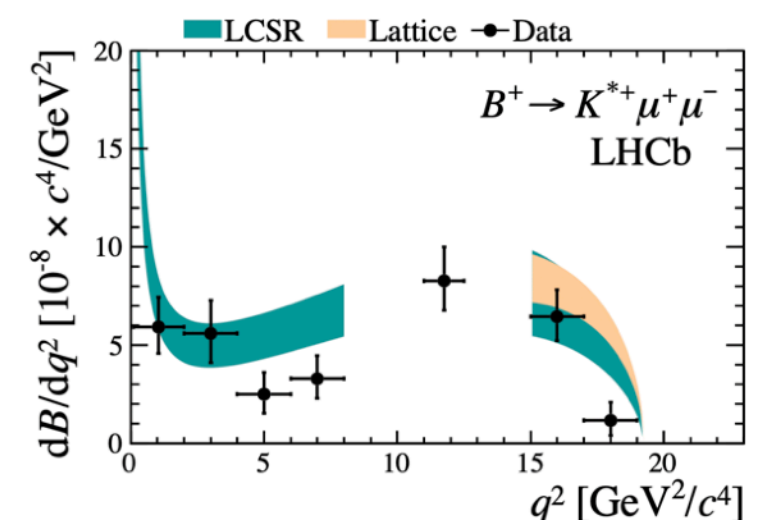
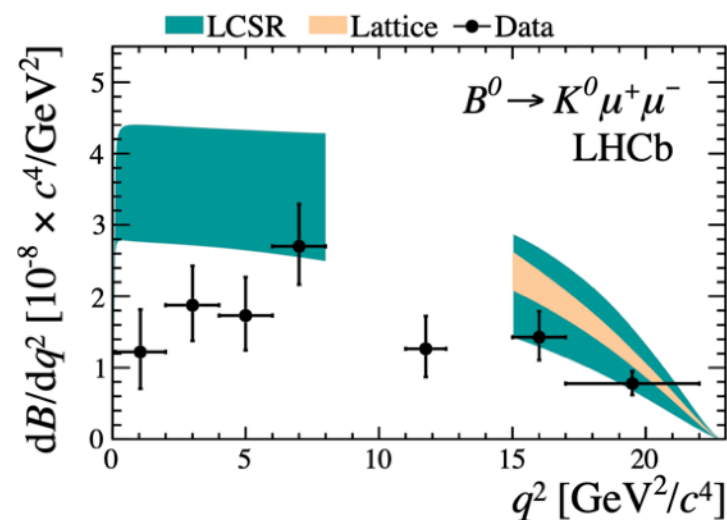
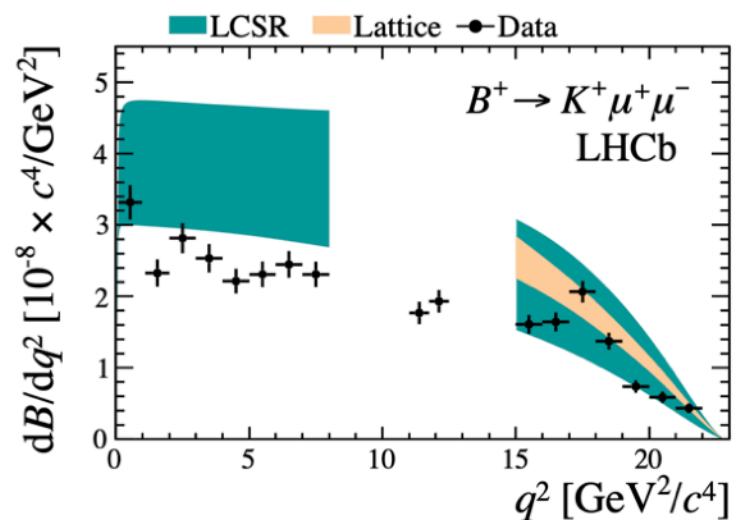


$$\Lambda_b^0 \rightarrow \Lambda^0 \mu \mu$$

LHCb *JHEP* 06 (2015) 115



LHCb *JHEP* 06 (2014) 133



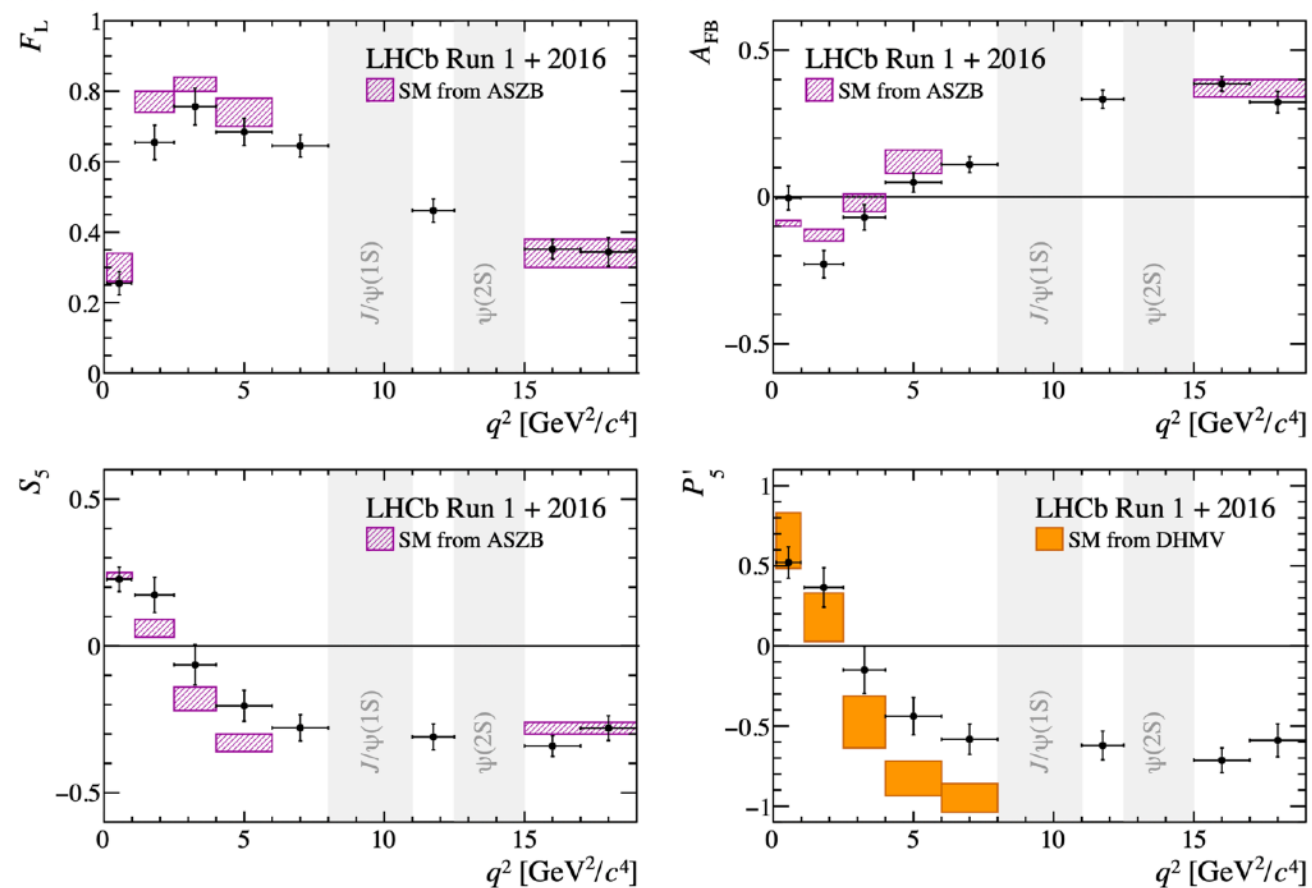




# Angular Tensions

$$B^0 \rightarrow K^{*0} \mu \mu$$

LHCb PRL 125 (2020) 011802

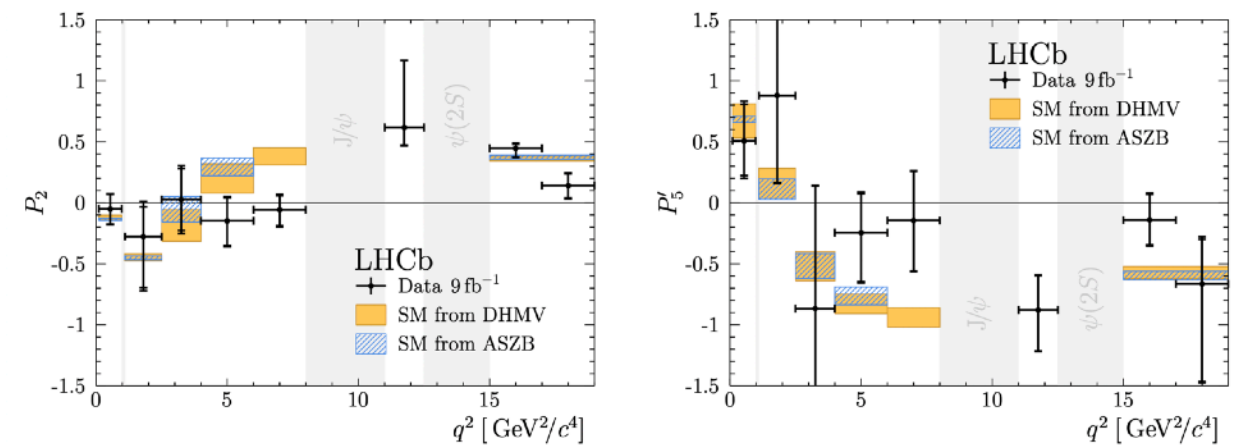


**Note:** Evidence for LFUV in  $b \rightarrow s \ell \ell$  is gone after LHCb arXiv:2212.09153

**Culprit:** residual from mis-ID of hadronic backgrounds

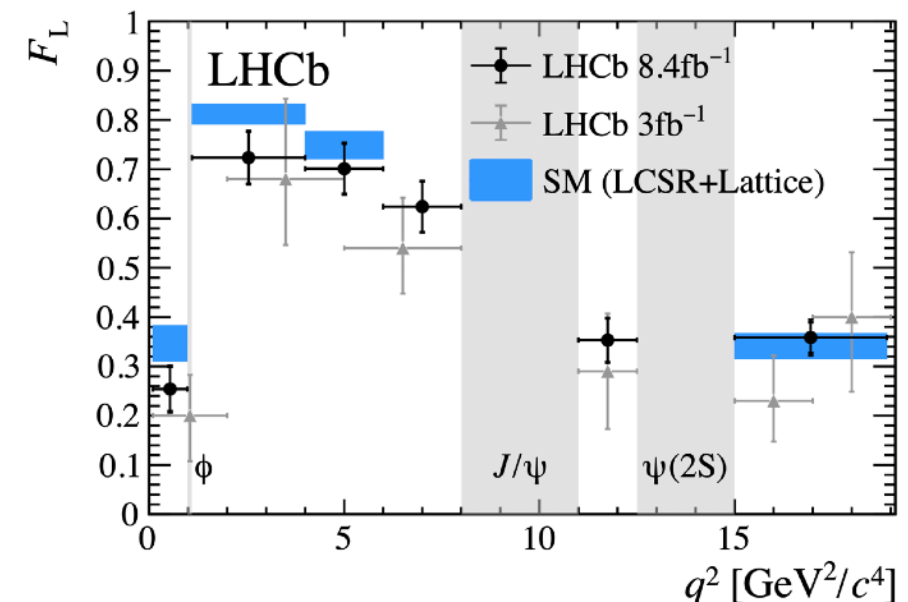
$$B^+ \rightarrow K^{*+} \mu \mu$$

LHCb PRL 126 (2021) 161802



$$B_s^0 \rightarrow \phi \mu \mu$$

LHCb JHEP 11 (2021) 043

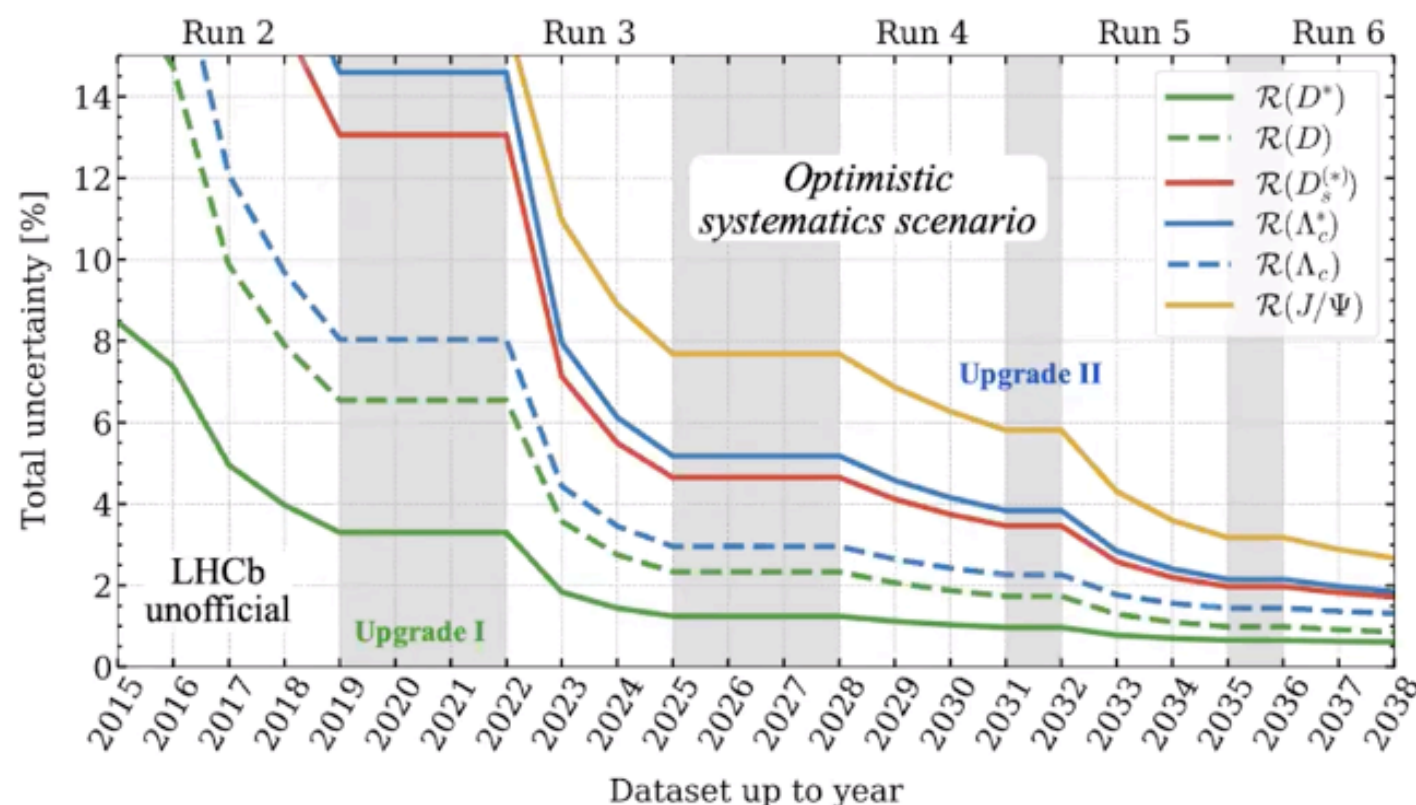






# Additional Experimental Prospects

- LHCb: pp at LHC
  - $\sim 10^{12}$  b-hadrons to date (cf.  $\sim 10^7$  at LEP)
- Belle II:  $e^+e^-$  around  $\Upsilon(4s) \sim 10.5$  GeV
  - Goal:  $50 \text{ ab}^{-1}$  (50x Belle), roughly  $215 \text{ fb}^{-1}$  to date



Many exciting first measurements.

For example:

- BESIII: Form factors for  $D_s \rightarrow K^{(*)} e \nu$ 
  - PRL 122, 061801 arXiv:1811.02911
- LHCb: Rare CKM suppressed  $B \rightarrow \pi \mu^+ \mu^-$ 
  - JHEP 10 (2015) 034 arXiv:1509.00414





# LQCD precision achievements over time

CSS2013: Snowmass on the Mississippi  
S. Butler et al [arXiv:1311.1076]

2013

2013 Expected

Achieved

Quantity	CKM element	<del>Present</del> expt. error	2007 forecast lattice error	<del>Present</del> lattice error	2018 lattice error
$f_K/f_\pi$	$ V_{us} $	0.2%	0.5%	0.5%	0.15%
$f_+^{K\pi}(0)$	$ V_{us} $	0.2%	—	0.5%	0.2%
$f_D$	$ V_{cd} $	4.3%	5%	2%	< 1%
$f_{D_s}$	$ V_{cs} $	2.1%	5%	2%	< 1%
$D \rightarrow \pi \ell \nu$	$ V_{cd} $	2.6%	—	4.4%	2%
$D \rightarrow K \ell \nu$	$ V_{cs} $	1.1%	—	2.5%	1%
$B \rightarrow D^* \ell \nu$	$ V_{cb} $	1.3%	—	1.8%	< 1%
$B \rightarrow \pi \ell \nu$	$ V_{ub} $	4.1%	—	8.7%	2%
$f_B$	$ V_{ub} $	9%	—	2.5%	< 1%
$\xi$	$ V_{ts}/V_{td} $	0.4%	2-4%	4%	< 1%
$\Delta M_s$	$ V_{ts}V_{tb} ^2$	0.24%	7-12%	11%	5%
$B_K$	$\text{Im}(V_{td}^2)$	0.5%	3.5-6%	1.3%	< 1%

2021 FLAG avg

0.18%

0.18%

0.3%

0.2%

4.4%

0.6%

1.7%

3%

0.7%

1.3%

4.5%

1.3%

Systematic inclusion of QED now becomes necessary



Recently improved!

Broad community effort to:

- keep pace with experimental needs
- achieve ~1% precision

- LQCD precision: expected improvements from ~10 years ago have largely been achieved.
- In-progress calculations expect to reach  $\lesssim 1\%$  level for semileptonic B-decays





# Radiative Leptonic Decays

Kane, Lehner, Meinel, Soni

Lattice 2019

arXiv:1907.00279

Kane, Giusti, Lehner, Meinel, Soni

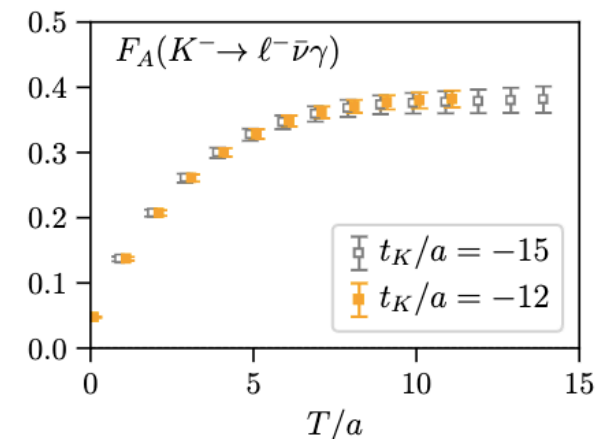
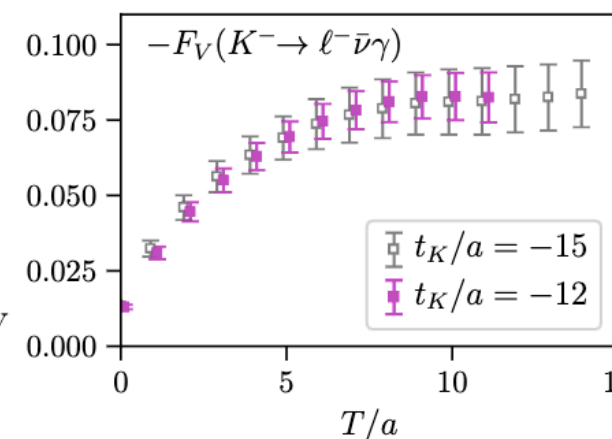
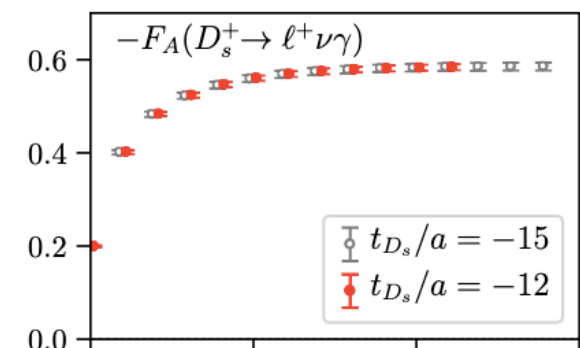
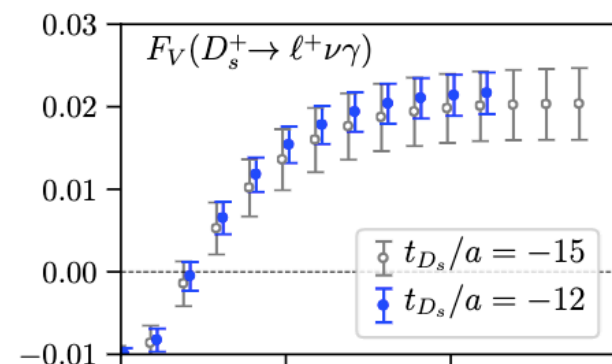
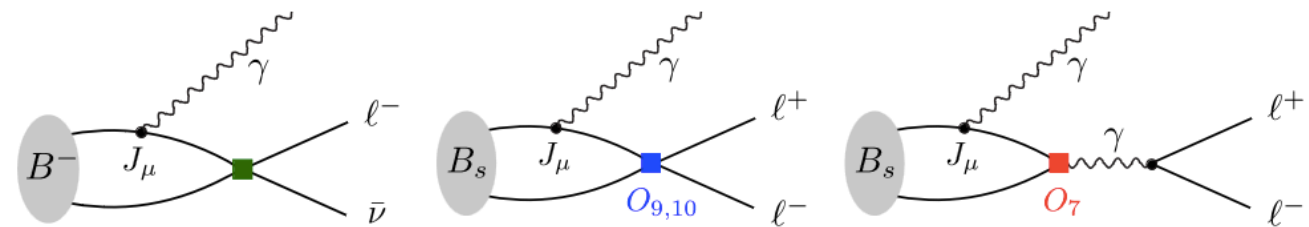
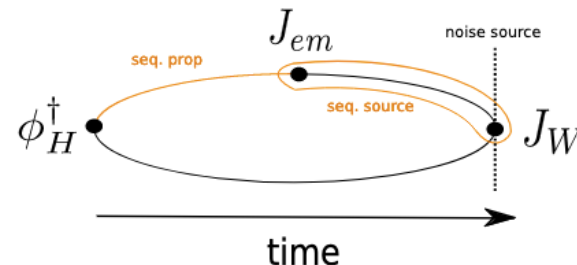
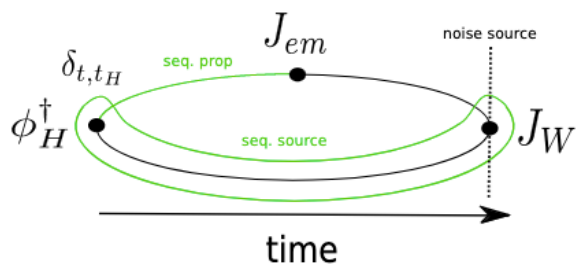
Lattice 2021

arXiv:2110.13196

$$D_s \rightarrow \ell \nu \gamma, K \rightarrow \ell \nu \gamma$$

- Radiative decays probe weak interaction and hadronic structure
- Example:  $B \rightarrow \ell \nu \gamma$  is sensitive to the LCDA parameter  $\lambda_B$
- Radiative leptonic decays probe all Wilson coefficients in the Weak effective Hamiltonian
- Exploratory calculations developing methods

$$T_{\mu\nu} = -i \int d^4x e^{ip_\gamma \cdot x} \langle 0 | T \{ J_\mu(x) J_\nu^{\text{weak}}(0) \} | H(\mathbf{p}) \rangle$$







# Rare Decay $B_s \rightarrow \mu^+ \mu^-$

## Uncertainty Breakdown

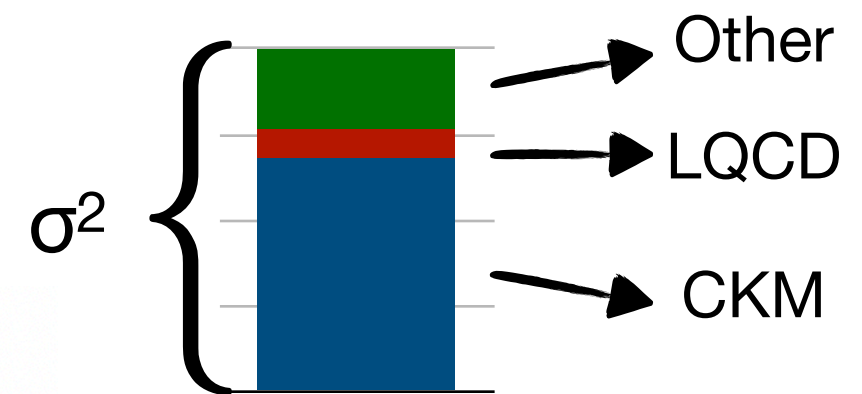
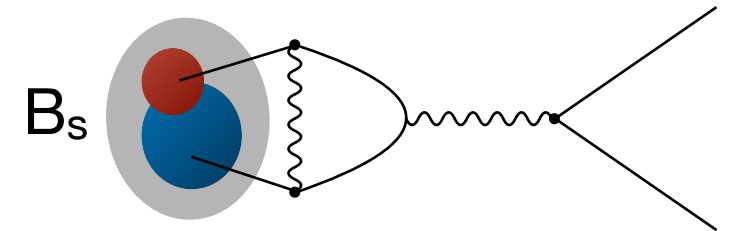
SM prediction for rare leptonic decay rate

Beneke et al, arXiv:1908.07011, JHEP 2019

$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-) = 3.660(38) \times 10^{-9}$$

$$\overline{\text{Br}}_{s\mu}^{(0)} = \left( \frac{3.599}{3.660} \right) \left[ 1 + \left( \frac{0.032}{0.011} \right)_{f_{B_s}} + 0.031|_{\text{CKM}} + 0.011|_{m_t} + 0.006|_{\text{pmr}} + 0.012|_{\text{non-pmr}} \begin{matrix} +0.003 \\ -0.005 \end{matrix} |_{\text{LCDA}} \right] \cdot 10^{-9}$$

- Parametric uncertainties
  - Long distance ( $f_{B_s}$ ) and short distance (CKM,  $m_t$ )
- Non-QED parametric ( $\Gamma_q$ ,  $\alpha_s$ )
- Non-QED non-parametric ( $\mu_W$ ,  $\mu_b$ , and higher order)
- QED parametric: B-meson LCDA parameters ( $\lambda_B$ ,  $\sigma_{1,2}$ )



Lattice QCD value for  $f_{B_s}$  is now a sub-dominant source of uncertainty





# Chiral-continuum analysis

## Heavy-meson rooted staggered chiral perturbation theory

- With simulations at and above the physical pion mass, the chiral fits are ***interpolations***, not extrapolations
- The shape of the form factors can be modeled with EFT combining:

► Chiral symmetry

$$\Sigma = \exp(2i\phi/f)$$

► HQET spin symmetry

$$H^a = \frac{1 + \not{v}}{2} \left[ P_\mu^{*a}(v) \gamma^\mu - P^a(v) \gamma_5 \right]$$

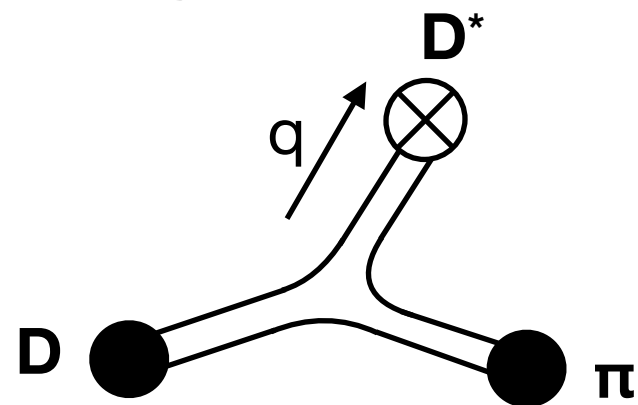
► Light-quark discretization effects

$$\frac{1}{16} \sum_{\text{tastes } \xi} M_\xi^2 \log \left( \frac{M_\xi^2}{\Lambda^2} \right)$$



# Chiral-continuum analysis

## Heavy-meson rooted staggered chiral perturbation theory



$$\sim \frac{1}{M_{D^*}^2 - q^2} \propto \frac{1}{E_\pi + \Delta}$$

$$q^2 = M_D^2 + M_\pi^2 - 2M_D E_\pi$$

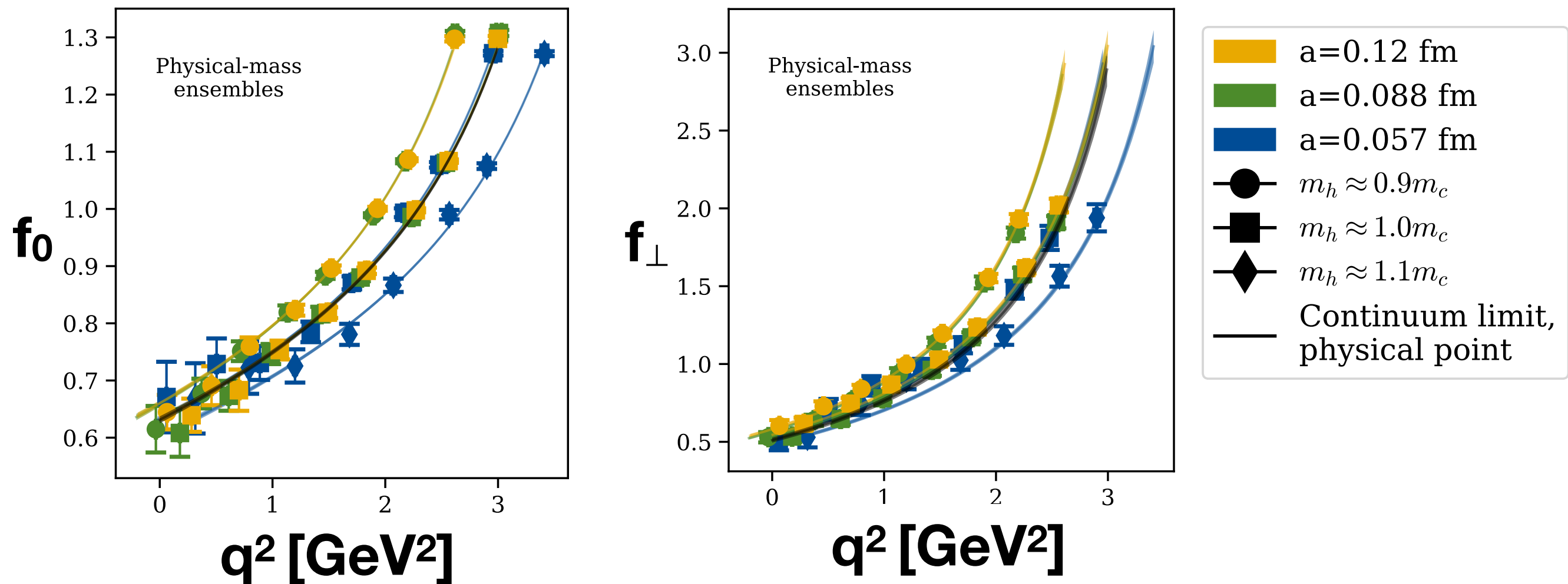
- Basically:  $f = \frac{\text{const}}{E + \Delta} \times \left( 1 + \delta f_{\text{logs}} + \sum_i c_i \chi_i + \delta f_{\text{artifacts}} \right)$
- Logs computed through NLO in HMRS $\chi$ PT
- Analytic terms included through N<sup>2</sup>LO (consistent w/power counting)
- Lattice artifacts included from O(a<sup>2</sup>)





# Chiral-continuum analysis: $D \rightarrow \pi$

Example:  $f_0(q^2)$  and  $f_\perp(q^2)$





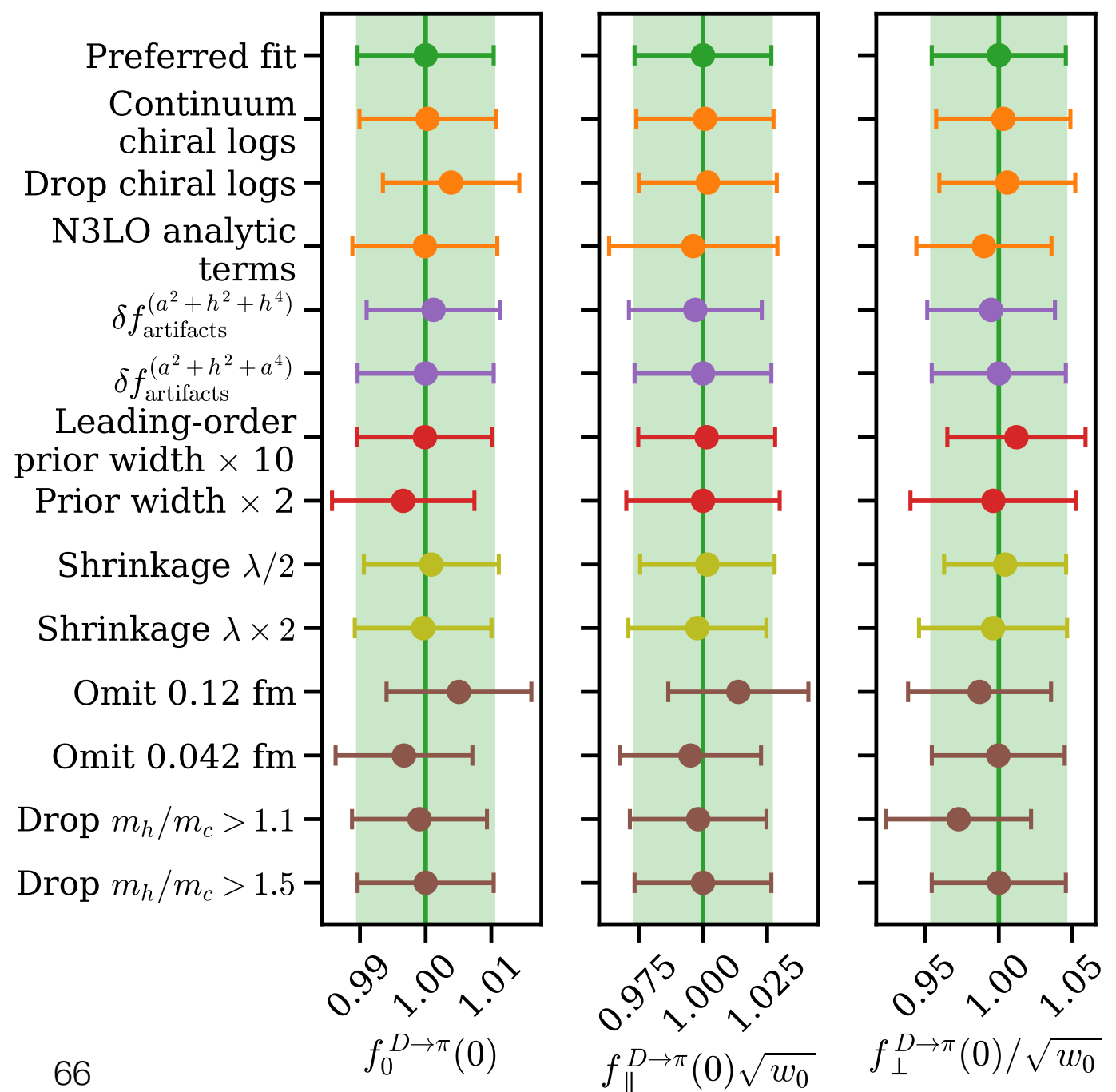
- Displayed: physical-mass ensembles only (but all ensembles included in fit)
- All fits have good quality of fit (e.g.,  $\chi^2/\text{DOF} \sim 1$ )
- Curve collapse at  $m_h/m_c \approx 1.0$  suggests a mild approach to continuum limit



# Chiral-continuum analysis: $D \rightarrow \pi$

## Stability of results

- Preferred analysis
- EFT variations
- Analytic discretization-term variations
- Statistical analysis variations {  
- Data variations







# The z-expansion

$$f_X(w) = \frac{1}{B_{f_X}(z)\phi_{f_X}(z)} \sum_{n=0}^{\infty} a_n z^n$$

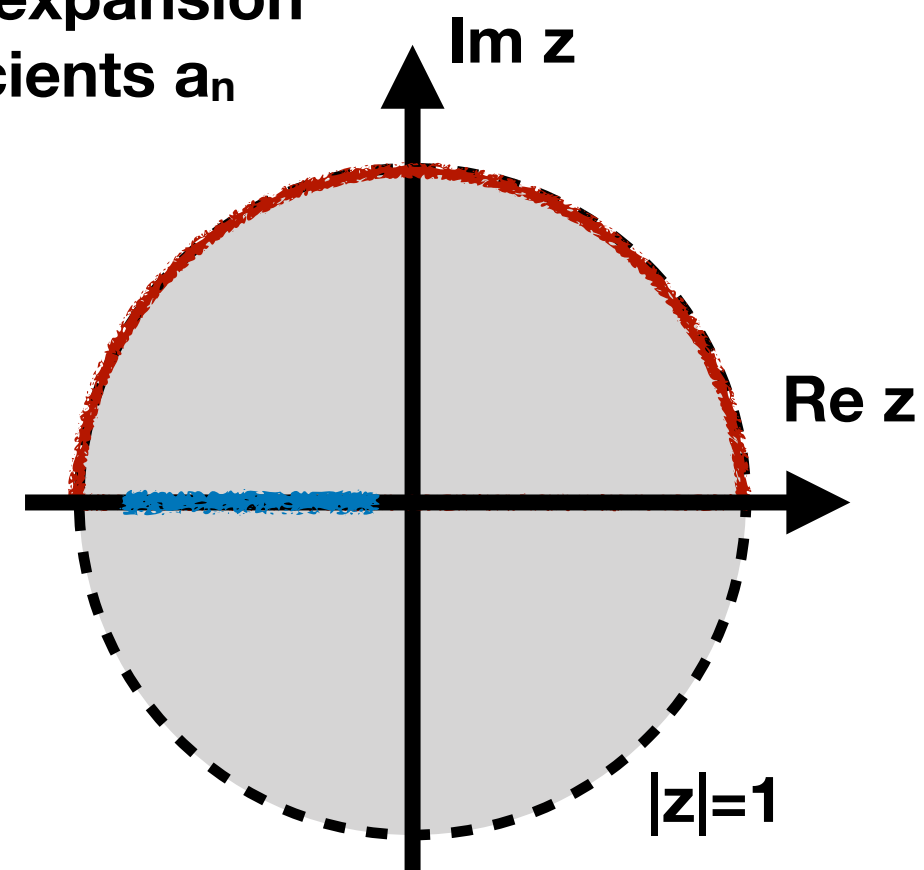
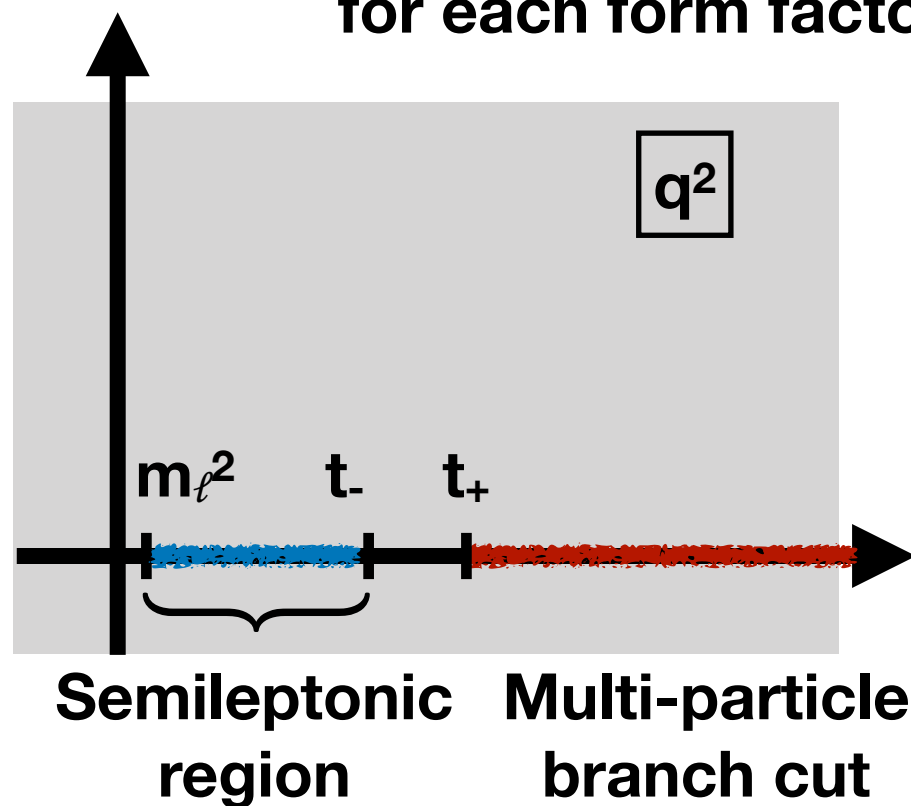
“Blashke factors”  
(contain poles)

“Outer functions”  
(computed analytically  
for each form factor)

$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

$$t_{\pm} = (M_D \pm M_{\pi})^2$$

LQCD calculations  
give the expansion  
coefficients  $a_n$





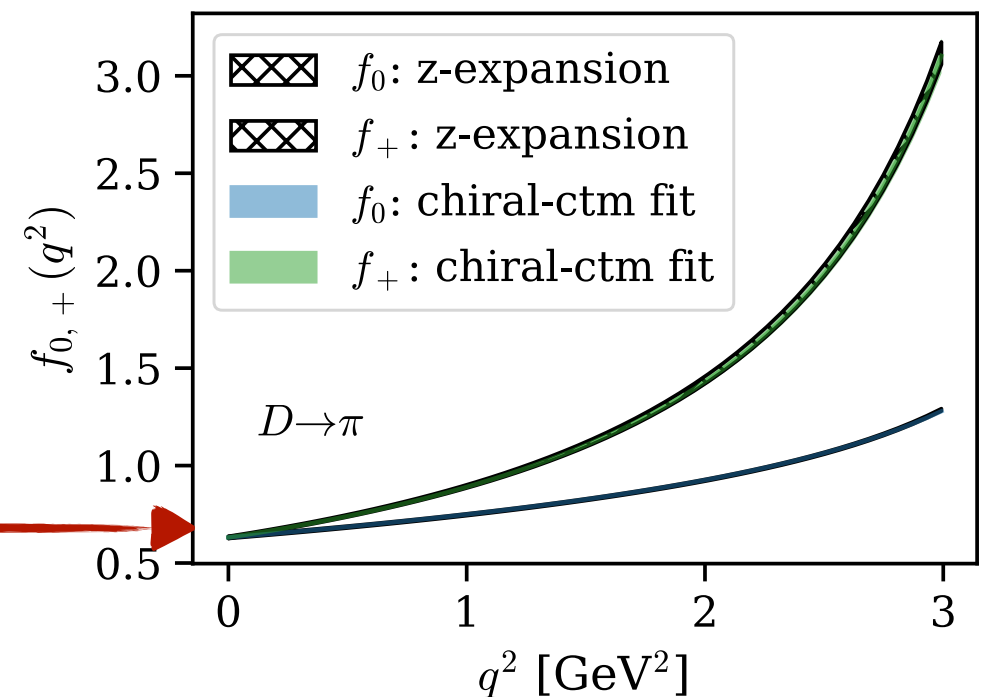
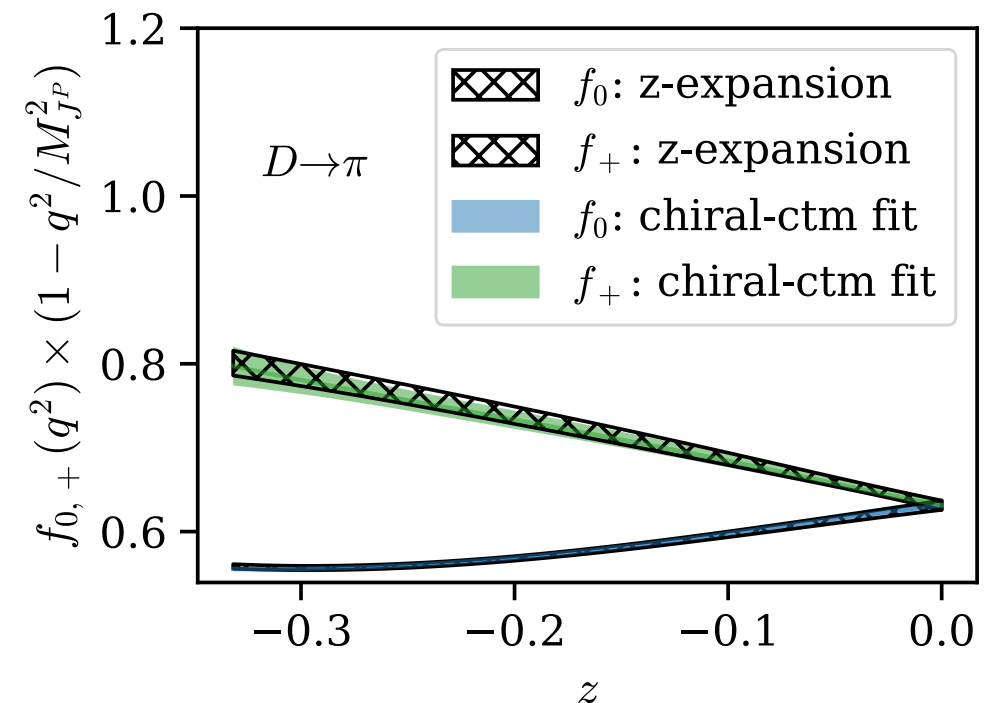
# Results at the physical point

- Re-express final results using the model-independent z-expansion
- For D-decays, the z-expansion is not an extrapolation — just a convenient change of variables

$$f_0(z) = \frac{1}{\left(1 - \frac{q^2(z)}{M_{0+}^2}\right)} \sum_{n=0}^{M-1} b_n z^n,$$

$$f_+(z) = \frac{1}{\left(1 - \frac{q^2(z)}{M_{1-}^2}\right)} \sum_{n=0}^{N-1} a_n \left( z^n - \frac{n}{N} (-1)^{n-N} z^N \right)$$

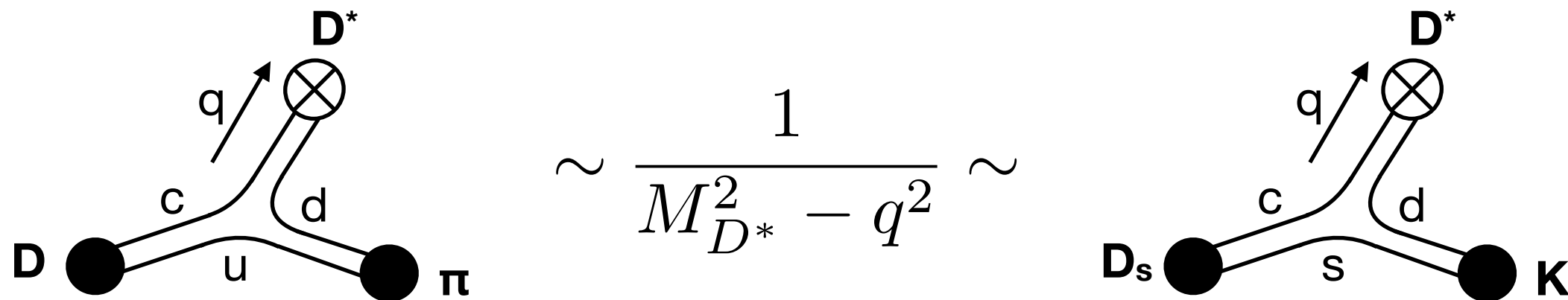
- Kinematic identity:  $f_+(0) = f_0(0)$



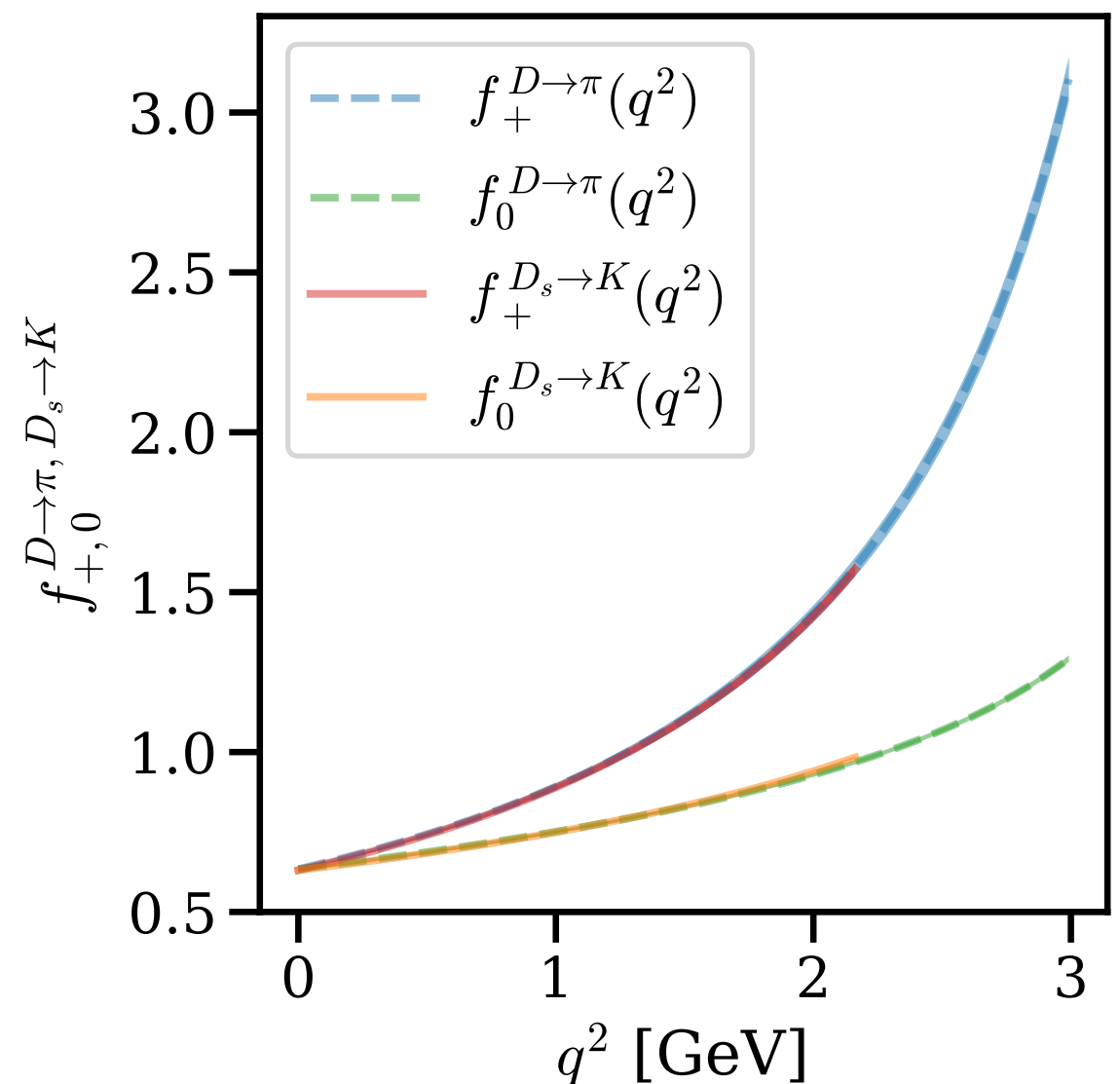




# Spectator dependence: $D \rightarrow \pi$ vs $D_s \rightarrow K$



- $D \rightarrow \pi$  and  $D_s \rightarrow K$  only differ by the mass of the spectator quark
- Vector and scalar form factors agree at  $\lesssim 2\%$  level throughout the kinematic range
- Older unpublished results by HPQCD are consistent with our findings



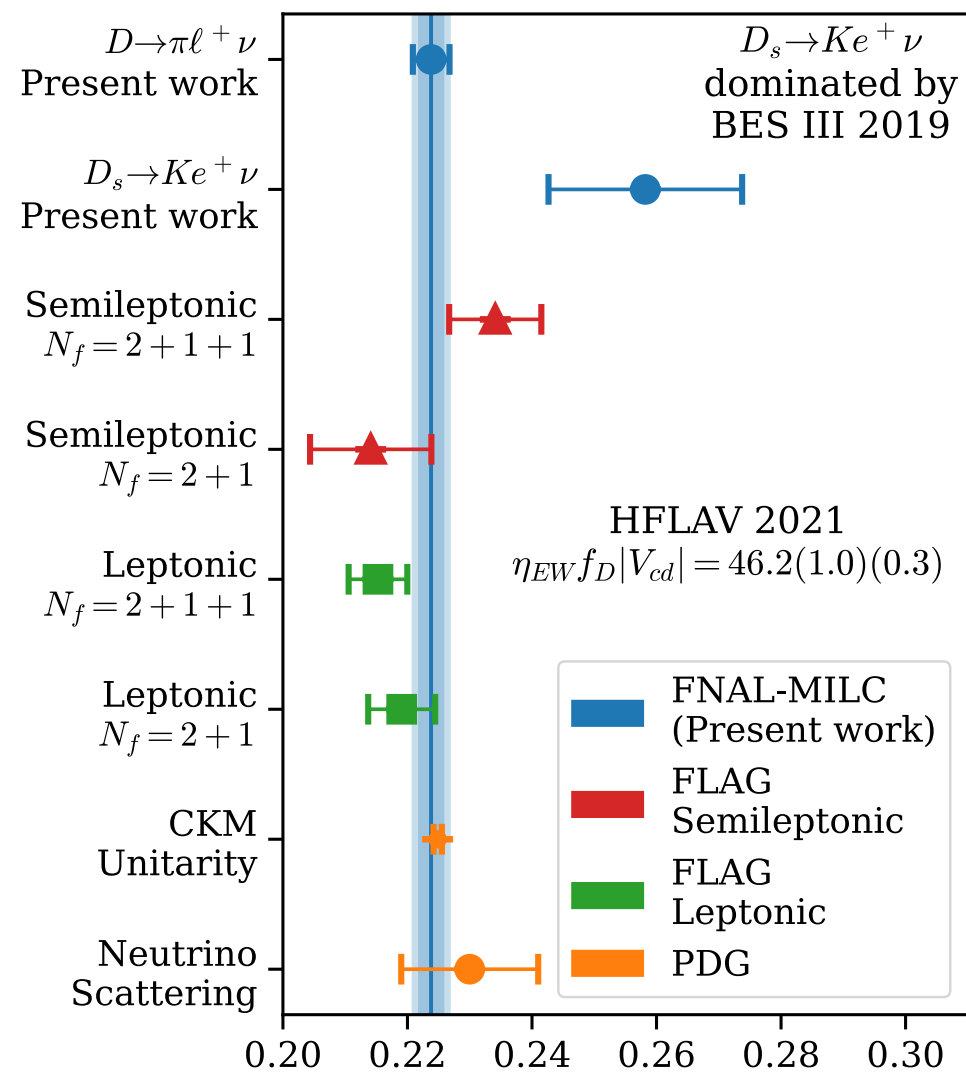


# Comparison to Literature: $|V_{cd}|$ and $|V_{cs}|$

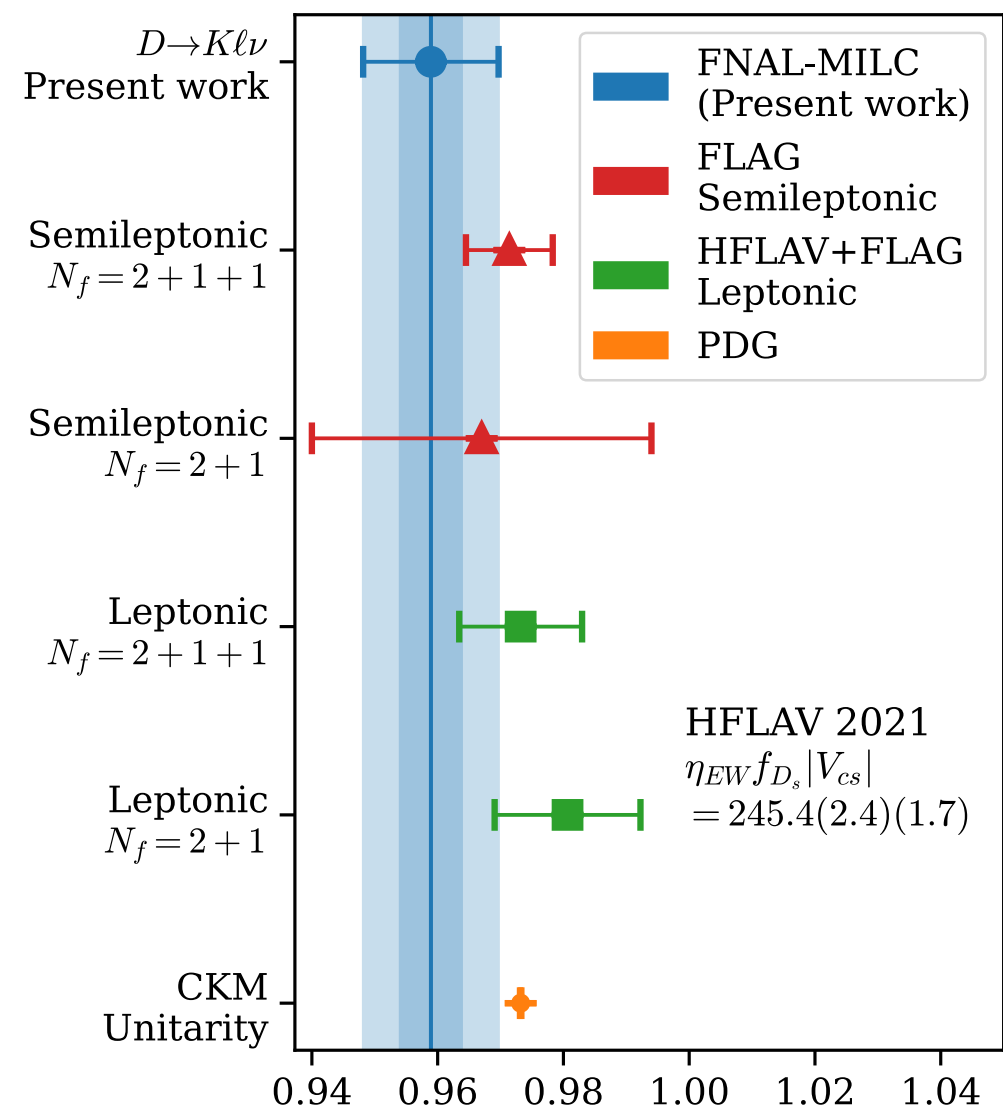
**PDG**  
particle data group

**HFLAV**

**FLAG**  
Flavour Lattice Averaging Group



$|V_{cd}|$



$|V_{cs}|$





# Chiral-continuum fit formulae

$$f_P(E) = \frac{c_0}{E + \Delta_{yx,P}^*} \times \left[ 1 + \delta f_{P,\text{logs}} + c_l \chi_l + c_H \chi_H + c_E \chi_E \right. \\ \left. + c_{l^2} (\chi_l)^2 + c_{H^2} (\chi_H)^2 + c_{E^2} (\chi_E)^2 \right. \\ \left. + c_{lH} \chi_l \chi_H + c_{lE} \chi_l \chi_E + c_{HE} \chi_H \chi_E \right. \\ \left. + \delta f_{\text{artifacts}}^{(a^2)} \right],$$

$$\chi_l = \frac{(M_\pi^{\text{meas.}})^2}{8\pi^2 f^2}$$

$$\chi_E = \frac{\sqrt{2}E}{4\pi f}$$

$$\chi_H = \frac{(M_{D(s)}^{\text{meas.}})^2 - (M_{D(s)}^{\text{PDG}})^2}{8\pi^2 f^2}$$

$$\delta f_{P,\text{logs}}^{SU(2)} = \left( -\frac{1}{16} \sum_{\xi} \mathcal{I}_1(M_{\pi,\xi}) + \frac{1}{4} \mathcal{I}_1(M_{\pi,I}) + \mathcal{I}_1(M_{\pi,V}) - \mathcal{I}_1(M_{\eta,V}) + [V \rightarrow A] \right) \\ \times \begin{cases} \frac{1+3g^2}{(4\pi f)^2}, D \rightarrow \pi \\ \frac{3g^2}{(4\pi f)^2}, D \rightarrow K \\ \frac{1}{(4\pi f)^2}, D_s \rightarrow K \end{cases}$$

$$x_{a^2} = \frac{a^2 \bar{\Delta}}{8\pi^2 f^2}$$

$$x_h = \frac{2}{\pi} a m_h.$$

$$M_{\pi,\xi}^2 = M_{uu,\xi}^2 = M_{dd,\xi}^2$$

$$M_{ij,\xi}^2 = \mu(m_i + m_j) + \Delta_\xi$$

$$M_{\eta,V(A)}^2 = M_{uu,V(A)}^2 + \frac{1}{2} \delta'_{V(A)}$$

$$\bar{\Delta} = \frac{1}{16} \sum_{\xi} \Delta_\xi.$$