

Direct CP Violation in hadronic two-body charm-meson decays

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Charm-flavour physics



- Flavour physics of the **up-type**: complementary, but less well known than **down-type** **strange** and **bottom** sectors
 - QCD @ intermediate regime $M_K \ll m_c \ll m_b$ [consolidated theoretical tools for the two extrema, χPT_3 and **HQET**; slower behaviour of the $1/m_c$ perturbative series]
 - EW sector largely uncharted; more effective GIM mechanism: potential to identify BSM

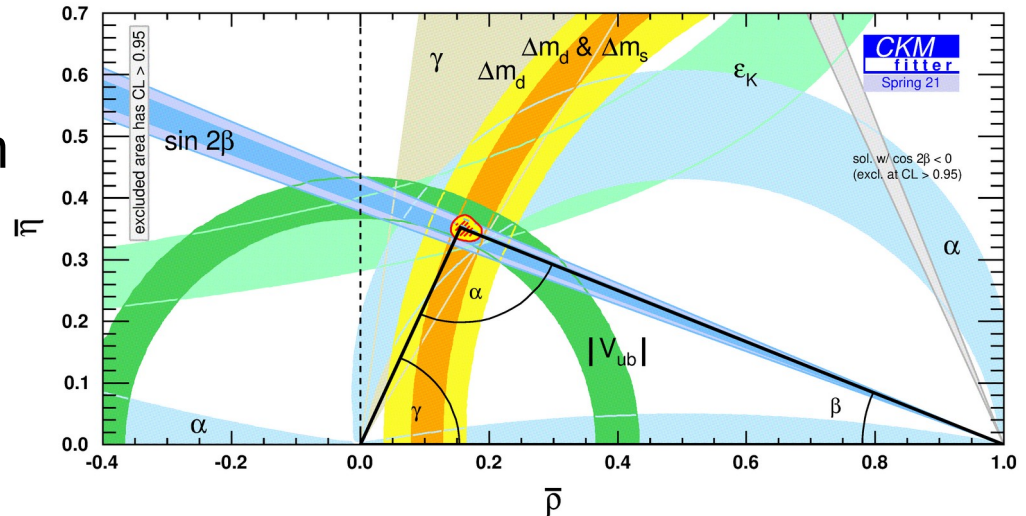
- CKM: a single CP-odd phase responsible for **CPV phenomena** in all quark flavour sectors of the SM



: $|V_{ub}|$, α , β , γ ,
 Δm_d , Δm_s



: ϵ_K



Measurement of direct CPV

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- Major discovery by LHCb in 2019:

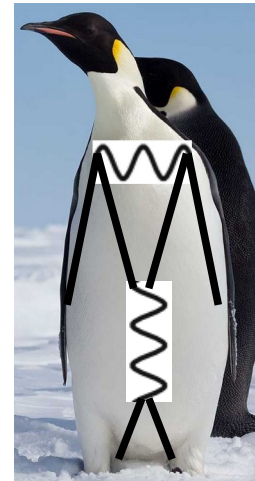
$$\Delta A_{CP} = A_{CP}(K^-K^+) - A_{CP}(\pi^-\pi^+) \neq 0$$

D^0 to K^-K^+ asym. D^0 to $\pi^-\pi^+$ asym.

[I will neglect indirect CPV throughout this talk]

- Bounds in many other cases: $\pi^+\pi^-$ and K^+K^- (individually), $\pi^0\pi^0$, $\pi^+\pi^0$, $K_S K_S$, K^+K_S , etc.
[LHCb '22] [LHCb, BABAR, Belle, ...]
- Much progress is expected in this decade:
LHCb Upgrade I and Belle II; about 3-fold better sensitivity to CPV in ΔA_{CP}

Direct CPV from “penguin topologies”



Present exp. sensitivity to penguins

LHCb UI



LHCb UII



Future exp. sensitivity to penguins

SM description of direct CPV

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- Theory has to match experimental progress

$$A_{CP}^{i \rightarrow f} \equiv \frac{|\langle f|T|i \rangle|^2 - |\langle \bar{f}|T|\bar{i} \rangle|^2}{|\langle f|T|i \rangle|^2 + |\langle \bar{f}|T|\bar{i} \rangle|^2} \approx -2 \frac{B}{A} \sin(\delta_1 - \delta_2) \sin(\phi_1 - \phi_2)$$

amplitude moduli (schematic)

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left[\underbrace{\sum_{i=1}^2 C_i(\mu) (\lambda_d Q_i^d + \lambda_s Q_i^s)}_{\text{current-current operators}} - \lambda_b \underbrace{\sum_{i=3}^6 C_i(\mu) Q_i}_{\text{penguin operators}} \right] + h.c.$$

[Buchalla, Buras, Lautenbacher '95]

$\lambda_q = V_{cq}^* V_{uq}$
(CKM factors)

$\mu \sim 2 \text{ GeV}$ for charm

- We need both **strong-phase** ($=\delta$) and **weak-phase** ($=\phi$) differences
- Strong-phases enhance A_{CP} , but also make its description more challenging
- **HERE**: discussion of **non-perturbative QCD effects**, their extraction from data, and physical impact on direct CPV in the charm sector

[see also: Brod, Grossman, Kagan, Zupan '12; Franco, Mishima, Silvestrini '12; Khodjamirian, Petrov '17; Soni '19; Chala, Lenz, Rusov, Scholtz '19; Schacht, Soni '21; etc., etc.]

Rescattering in weak decays

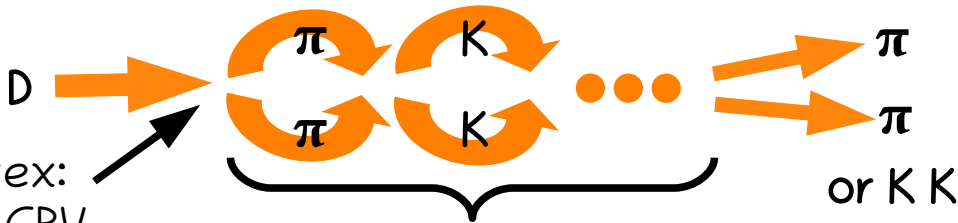
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- Rescattering among stable on-shell particles **produces a CP-even (strong) phase**; elastic limit: Watson theorem

phase of the π FF = (phase-shift $\pi\pi \rightarrow \pi\pi$) mod 180° , @ elastic region above $\pi\pi$ threshold

- Strong and weak dynamics are factorized; final-state rescattering in transition amplitude encoded in Ω
- Relate dispersive and absorptive parts** based on analyticity of the amplitudes (Mandelstam variables)

Charm-meson decays:



Strong dynamics: isospin, flavour, C, P, CP, G-parity conserving

$$\text{Re}[\Omega(s)] = \frac{1}{\pi} \int_{4M_\pi^2}^{\infty} \frac{\text{Im}[\Omega(s')]}{s' - s} ds' \quad (\text{absorptive})$$

(dispersive)

Dispersion Relation (DR) for Ω entering the transition amplitude

Omnes factor

- Elastic limit, explicit solution of the integral equation:

[Muskhelishvili '46; Omnes '58]

Explicit solution to the DR
(isospin=I, total angular mom.=J),
once-subtracted @ s_0 :

$$A_J^I(s) = \underbrace{\bar{A}_J^I(s)}_{\text{polynomial ambiguity}} \overbrace{\exp\{i\delta_J^I(s)\}}^{\text{Watson theorem}} \underbrace{\exp\left\{\frac{s-s_0}{\pi} \int_{4M_\pi^2}^{\infty} \frac{dz}{z-s_0} \frac{\delta_J^I(z)}{z-s}\right\}}_{\text{Omnes factor } |\Omega|: \text{behaviour dictated by } \delta} = \text{subtraction constant}$$

- **Phase-shift** and **Omnes factor** embody the effects of rescattering in the amplitudes of weak decays
- **Polynomial ambiguity** (analytical properties of Ω unchanged): requires some physical input [e.g., in K to $\pi\pi$, employ χPT_3]

[Pallante, Pich '99 '00;
Pallante, Pich, Scimemi '01;
Gisbert, Pich '17]

Two-channel analysis of rescattering 6

- Inelastic case**: set of integral equations (DRs) related by **unitarity**; no explicit solution known; DRs have to be solved numerically

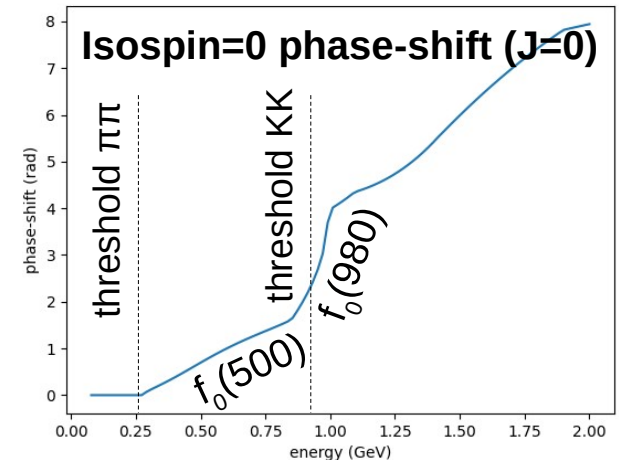
[Moussallam '00; Descotes-Genon '03]

- Neglect the effect of further channels
- Experimental input** for $(\pi\pi, KK)$ phase-shifts and inelasticity ($\pi\pi \leftrightarrow KK$) in **isospin=0** available

[Garcia-Martin, Kaminski, Pelaez, Ruiz de Elvira, Yndurain '11; Pelaez, Rodas, Ruiz De Elvira '19; Pelaez, Rodas '20][Buettiker, Descotes-Genon, Moussallam '04]

$$R(s) = R(s_0) + \frac{s - s_0}{\pi} \int_{4M_\pi^2}^{\infty} ds' \frac{1}{s' - s} \frac{X(s')R(s')}{s' - s_0}$$

R: real part of amplitudes
X: **2-by-2 rescattering matrix**
[X = tan(δ) in the elastic limit]



Further physical inputs

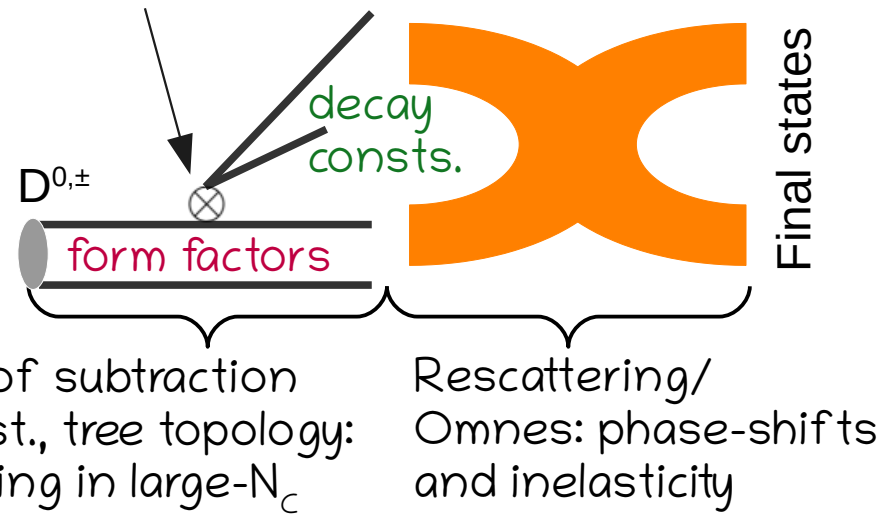


- Subtraction constant of DRs taken from large- N_c ; improvement given by **rescattering** (sub-leading in large- N_c)
- **Decay constants** and **form factors** (independent sub-leading large- N_c effects)
- Large perturbative QCD effects $\alpha_s(\mu) \cdot \log(\mu/M_W)$ are included in **Wilson Coefficients** (RGE improvement)

[Buras, Gerard, Rueckl '85; Bauer, Stech, Wirbel '86; Buras, Silvestrini '00; Mueller, Nierste, Schacht '15]

- **Isospin analysis**: information from D^+ to $\pi^+\pi^0$, K^+K_S branching ratios into D^0 decays; phase-shifts of final states with isospin=1 and =2 undetermined

Short distance:
WCs, CKM factors



CP-even amplitudes and BRs

WCs , DCs , FFs , rescattering factors
isospin decomposition: $A_0^\pi, A_2^\pi, A_0^K, A_{11}^K, A_{13}^K$

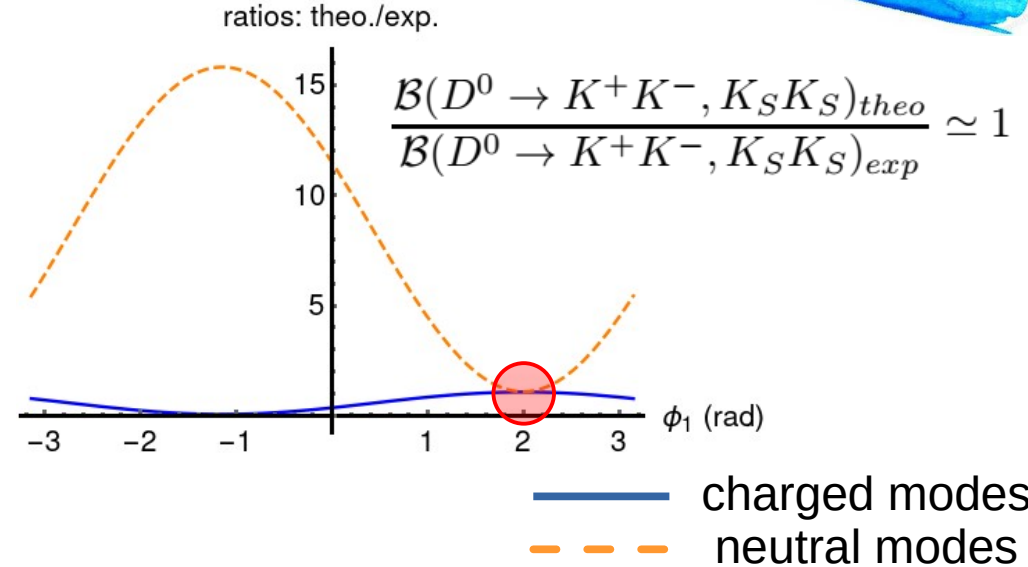
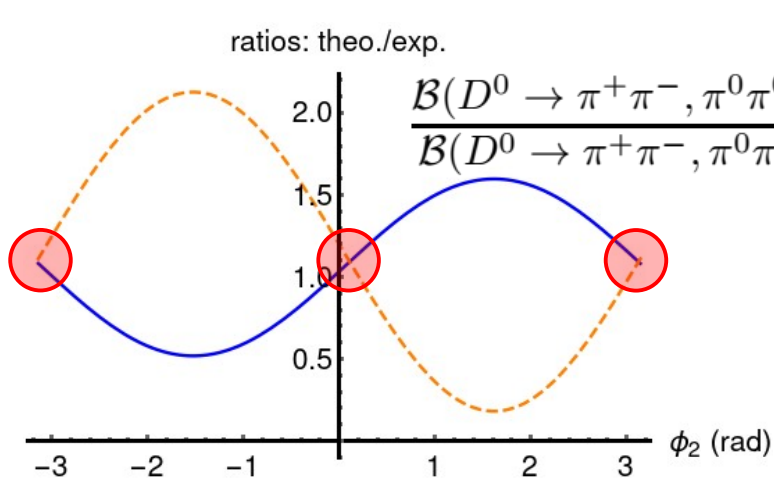
$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-, \pi^0\pi^0)_{theo}$$

$$\mathcal{B}(D^0 \rightarrow K^+K^-, K_S K_S)_{theo}$$

- $\mathbf{BR}_{theo} \sim \mathbf{BR}_{exp}$ can be found; however, large uncertainties are present
- **Inelasticity** is the main source of uncertainties
- **Use BRs to control uncertainties of dispersive inputs:** better prediction for A_{CP}

CP-even amplitudes and BRs

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- Phase-shifts of final states with **isospin=2** and **=1** adjusted
- **Isospin=0**: source of **breaking of symmetry between pions and kaons**, of size similar to f_K/f_π & $F^{DK}/F^{D\pi}$
- Other sources of breaking: **I=2** (for pion pairs), **I=1** (for kaon pairs)

Mechanisms of CPV



Isospin=0:

rescattering factors

$$\begin{pmatrix} A_0^\pi + i B_0^\pi \\ A_0^K + i B_0^K \end{pmatrix} = \underbrace{\Omega(M_D^2)}_{\text{rescattering factors}} \underbrace{\begin{pmatrix} \lambda_d T_{\pi\pi}^{CC} - \lambda_b T_{\pi\pi}^P \\ \lambda_s T_{KK}^{CC} - \lambda_b T_{KK}^P \end{pmatrix}}_{\text{CKM factors, WCs, DCs, FFs}}$$

similar expressions for $I=2$ (pions) and $I=1$ (kaons), which are treated elastically

- CPV from different interference terms between amplitudes
- $I=0/I=0$: possible due to rescattering;
correlation in pions and kaons: $\text{CPV}[\pi\pi] + \text{CPV}[KK] = 0$
- $I=0$ interference with exotic states: $I=2$ (pions), $I=1$ (kaons)
- scalar+/-pseudoscalar structure: small WC, but enhanced

$$\frac{2 M_\pi^2}{(m_u + m_d) m_c}, \frac{2 M_K^2}{m_s m_c} \sim 5$$

@ $\mu \sim 2 \text{ GeV}$

CP-odd amplitudes and CP asym. 11

WCs , DCs , FFs , rescattering factors

isospin decomposition: $A_0^\pi, B_0^\pi, A_2^\pi, B_2^\pi, A_0^K, B_0^K, A_{11}^K, B_{11}^K, A_{13}^K, B_{13}^K$

$$\Delta A_{CP}^{theo} \approx -2 \sum_{i=K,\pi} \underbrace{\frac{B_i}{A_i} \sin(\delta_1 - \delta_2)}_{\text{rescattering } \mathcal{O}(0.1)} \underbrace{\frac{\text{Jarlskog}}{|\lambda_d|^2}}_{= 6.2 \times 10^{-3}} \sim -4 \times 10^{-4} \ll \Delta A_{CP}^{exp} \simeq -2 \times 10^{-3}$$

A_i, B_i : full amplitude moduli (schematic)

\uparrow mainly from D^0 to $\pi^+\pi^-$ [LHCb '22]

- **Weak-phase**: rephasing-invariant Jarlskog/ $|\lambda_d|^2$ from bottom & strange
- Small CPV: rescattering effects not large enough
- **It seems difficult to explain the measured CPV based on this approach**

Conclusions

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- **Data-driven approach:** isospin=0 rescattering effects through DRs; isospin=2 & isospin=1 rescattering effects from D^+ to $\pi^+\pi^0$, K^+K_S BRs

subtraction constants given by large- N_c

- Exp. values of $\pi^+\pi^-$, $\pi^0\pi^0$ and K^+K^- , $K_S K_S$ BRs used to control uncs.
- Predicted CP asymmetries are too small

Many thanks!, Danke schoen!

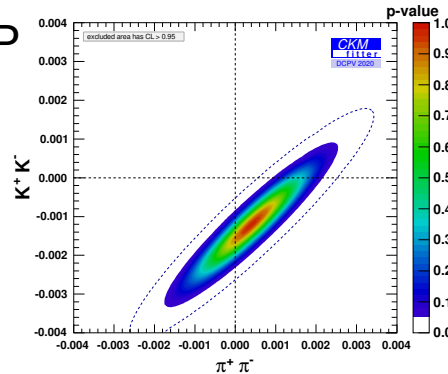
Fit of isospin amplitudes

isospin decomposition: $A_0^\pi, B_0^\pi, A_2^\pi, A_0^K, B_0^K, A_{11}^K, B_{11}^K, A_{13}^K$ [Franco, Mishima, Silvestrini '12]

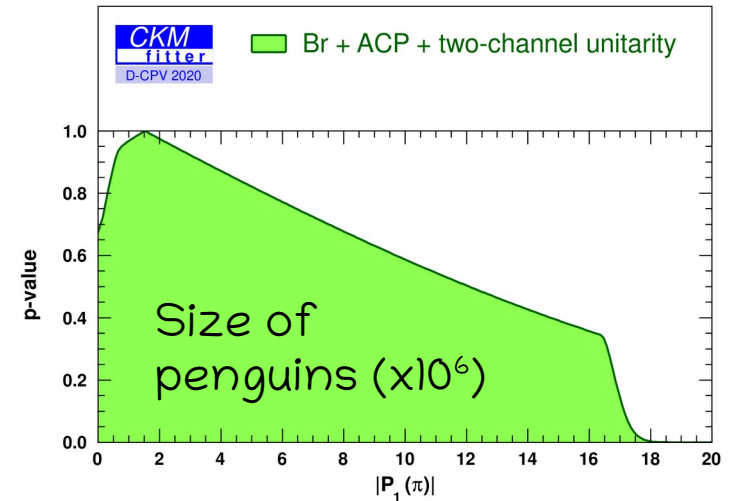
- Incorporate unitarity @ m_D only
- Amplitudes satisfy relations involving phase-shifts and inelasticity, that can be implemented in the isospin fit
- Fit includes also BRs and CP asymys.

Global fit combination of D to $\pi\pi$ and D to KK branching ratios & CP asymmetries

Results for the CP asymmetries in charged modes



[for inclusion of phase-shifts and inelasticity @ m_D see also: Bediaga, Frederico, Magalhaes '22]



Penguin still largely unconstrained

Operator basis and CPV

- WCs of penguin operators are tiny (aka GIM mechanism)
- One effect of CPV comes from non-unitarity of the 2-by-2 CKM sub-matrix; CP-odd contribution comes from loop topologies with insertions of current-current operators (light flavours in the loop, i.e., long-distance effect)
- The quantity Q_{udcs} is rephasing-invariant and has an imaginary part, namely, the Jarlskog

μ	C_1	C_2	C_3	C_4	C_5	C_6
m_c	1.22	-0.40	0.021	-0.055	0.0088	-0.060
2 GeV	1.18	-0.32	0.011	-0.031	0.0068	-0.032

$$\lambda_d \lambda_s^* = V_{ud} V_{cs} V_{us}^* V_{cd}^* = Q_{udcs}$$

[Buchalla, Buras, Lautenbacher '95]

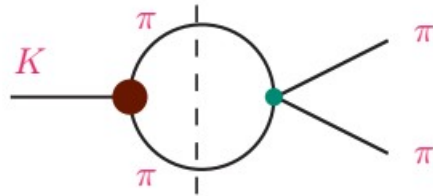
Implications of a Large Phase Shift

Slide from Antonio Pich, “Kaon decays & CP Violation”, FPCP 2020 (virtual)

Important difference with charm physics: analogous kaon process is elastic; moreover, in charm, e.g.: $\arg(A_2^\pi/A_0^\pi) \sim \pm 90^\circ$

$$\mathcal{A}_I \equiv A_I e^{i\delta_I} = \text{Dis}(\mathcal{A}_I) + i \text{Abs}(\mathcal{A}_I)$$

① **Unitarity:** $\delta_0(M_K) = (39.2 \pm 1.5)^\circ \rightarrow A_0 \approx 1.3 \times \text{Dis}(\mathcal{A}_0)$



$$\tan \delta_I = \frac{\text{Abs}(\mathcal{A}_I)}{\text{Dis}(\mathcal{A}_I)}$$

$$A_I = \text{Dis}(\mathcal{A}_I) \sqrt{1 + \tan^2 \delta_I}$$

② **Analyticity:** $\Delta \text{Dis}(\mathcal{A}_I)[s] = \frac{1}{\pi} \int dt \frac{\text{Abs}(\mathcal{A}_I)[t]}{t - s - i\epsilon} + \text{subtractions}$

Large $\delta_0 \rightarrow$ Large $\text{Abs}(\mathcal{A}_0) \rightarrow$ Large correction to $\text{Dis}(\mathcal{A}_0)$